



# MEC

Missouri Ethics Commission | Ethics Overview | August 10,  
2021





# Agenda

**Part 1:** Intro to the Commission

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**Part 2:** Personal Financial Disclosure

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**Part 3:** Lobbying

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**Part 4:** Conflict of Interest

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A dark, blue-tinted photograph of the Minnesota State Capitol building. The building features a large central dome and a portico with many columns. The text "Introduction to the Commission" is overlaid in white. In the bottom right corner, some traffic signs are visible, including a "STOP" sign and a "SPEED LIMIT 20" sign.

# Introduction to the Commission

# Our Mission

Serve the public interest

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Assist and educate

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Create transparency

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Enforce Missouri's ethics laws

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# We serve

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Public officials

Missouri citizens

Lobbyists

Individuals participating  
in public elections



\*Personal Financial Disclosure

A top-down view of a person's hands holding a smartphone, with a semi-transparent blue overlay. The background shows a desk with a calculator, a notepad with handwritten notes, a pen, a laptop, and some papers.

# Personal Financial Disclosure (PFD)



# Who is required to file?

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“The members of each board or commission... created pursuant to the Constitution...”

# When am I required to file?



## **Newly Appointed:**

Due within 30 days  
of appointment



## **Annual Filers:**

Due May 1; covers  
prior calendar year



## **Former Officials:**


Due May 1; covers  
Jan. 1 – last day  
position was held



# What form must I file?

## Personal Financial Disclosure Statement

Also known as the “long form”

 Missouri Ethics Commission (MEC)  
PO Box 1370, Jefferson City MO 65102, (800) 392-8660, www.mec.mo.gov

Office Use:

**Personal Financial Disclosure Statement**

1. **Statement Information (select one)**  
Type: ☐ New ☐ Amended

2. **Filing Status & Time Period Covered (select one & insert time period)**  
A. Filing Status  
☐ **Annual Filer:** file from Jan 1 to Dec 31 of prior year (if no longer serving, enter the time period served), due by May 1  
☐ **Newly Appointed/Employed:** file for calendar year before start date, due within 30 days  
☐ **Incumbent Candidate:** file from Jan 1 of prior year to closing date for candidacy (may be longer than 12-month period), due within 14 days of closing date for candidacy  
☐ **New Candidate:** file for the 12-month period before the closing date for candidacy, due within 14 days of closing date for candidacy  
B. Time Period Covered: From \_\_\_/\_\_\_/\_\_\_ to \_\_\_/\_\_\_/\_\_\_ (mm/dd/yyyy)

3. **Filer's Information**

Filer's name (First, Middle, Last)		Spouse's name (First, Middle, Last)	
Mailing address		City/State/Zip	
Dependent child(ren)'s name* (First, Middle, Last)		Dependent child(ren)'s name* (First, Middle, Last)	
Political Subdivision or State Agency		Title (Position/Office Seeking)	

☐ Check if spouse is filing separate from yourself (if your spouse is not required to file a PFD, this statement MUST disclose his/her information).  
\*Includes all children, stepchildren, foster children and wards under the age of eighteen residing in the person's household and who receive in excess of 50% of their support from the person.

4. **Employment**  
List the name and address of every employer from whom you, your spouse or dependent child(ren) received income of \$1,000 or more during the time period covered by this statement.

Employer Name	Employer Address/City/State/Zip	Person's name who received income
Employer Name	Employer Address/City/State/Zip	Person's name who received income
Employer Name	Employer Address/City/State/Zip	Person's name who received income
Employer Name	Employer Address/City/State/Zip	Person's name who received income

5. **Sole Proprietorships**  
List each sole proprietorship owned by you, your spouse or dependent child(ren) during the time period covered by this statement.

Sole Proprietorship Name	Sole Proprietorship Address/City/State/Zip
Sole Proprietorship Name	Sole Proprietorship Address/City/State/Zip

6. **General Partnerships, Joint Ventures**  
List each general partnership and joint venture in which you, your spouse or dependent child(ren) were a partner or participant during the time period covered by this statement, and the names of partners or co-participants unless such names and addresses are filed with the Secretary of State.

General Partnership or Joint Venture Name	Address/City/State/Zip	Nature of Business	Partner/Coparticipant's Name & Address	Party Involved
General Partnership or Joint Venture Name	Address/City/State/Zip	Nature of Business	Partner/Coparticipant's Name & Address	Party Involved

What are the penalties for late filing of a PFD?

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**\$10 per day**  
late fees up  
to **\$6,000.**

# Can I redact my child's information from a PFD?

## Dependent Child Redaction Request Form

Redacts name and employment information for dependent children under the age of 21



Missouri Ethics Commission

PO Box 1370, Jefferson City MO 65102, (800) 392-8660, FAX 573-526-4506, [www.mec.mo.gov](http://www.mec.mo.gov)

### Personal Financial Disclosure Dependent Child Redaction Request

State statute (Section 105.485.5, RSMo) now allows the name and employment information of dependent children\* under the age of 21 to be redacted from a Personal Financial Disclosure Statement (PFD) upon written request. Complete this form to request the redaction of your child's information from any PFD on file with the Missouri Ethics Commission.

The year the PFD was filed includes information for the previous calendar year. For example, the PFD you filed in 2020 includes information for the calendar year 2019.

#### Dependent Children

Child's Name	Year(s) PFD was filed
Child's Name	Year(s) PFD was filed
Child's Name	Year(s) PFD was filed
Child's Name	Year(s) PFD was filed
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Child's Name	Year(s) PFD was filed
Child's Name	Year(s) PFD was filed

#### Requestor Information

I request the redaction of my child(ren)'s\* name(s) and employment information from my Personal Financial Disclosure Statement(s).

Printed Name	
Email	Phone
Requestor's Signature	Date

Return this completed form to the MEC by mail to the address above or via email to [pfdonline@mec.mo.gov](mailto:pfdonline@mec.mo.gov).

\* "Dependent child(ren)," for the purposes of this form, refers to all children, stepchildren, foster children, and wards under the age of 21 residing in the person's household and who receive in excess of 50% of their support from the person during the reporting period covered by the Personal Financial Disclosure Statement.

A dark blue-tinted photograph of a desk setup. In the background, a laptop keyboard is visible. In the foreground, a pair of tortoiseshell-rimmed glasses rests on an open notebook. To the right of the notebook, a black pen lies on the desk surface. The word "Lobbying" is centered in white text over the notebook.

# Lobbying

# Do lobbyist gift bans apply to me as a member of this commission?

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## **Art. VIII § 2(b), Mo. Const.**

General Assembly members and employees may not accept gifts from paid lobbyists or principals.



## **Executive Order 18-10**

Executive branch employees may not accept gifts from lobbyists.

# Who is a “public official” for lobbying reporting purposes?

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“... any member of any state  
board or commission...”

# What is a lobbyist expenditure?

“any gift, honorarium or item of value bestowed including food or beverage”

“any price, charge or fee which is waived, forgiven, reduced or indefinitely delayed”

“the transfer of any item with a reasonably discernible cost or fair market value from one person to another”

“provision of any service or granting of any opportunity for which a charge is customarily made, without charge or for a reduced charge”




# What is not a lobbyist expenditure?

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- Transfer of any item or service or granting any opportunity necessary for an official to perform their official duties
- Informational materials related to the public official's duties, or souvenirs or mementos valued at less than \$10
- Items or services of minimal value offered to the general public and not motivated by the official's status

# What is not a lobbyist expenditure? (continued)

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Compensation for employment of self, employee, spouse, or dependent child other than employment as a public official



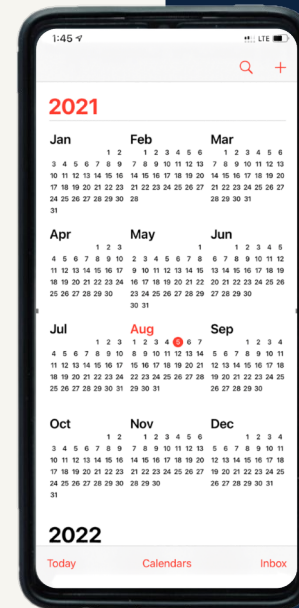
Anything of value given to a family member within the 3<sup>rd</sup> degree of the lobbyist or principal

Consult § 105.470(3), RSMo for other exceptions

# Lobbyist Expenditure Reporting Timeline

**10<sup>th</sup> of each month :** Deadline to report expenditures made for public officials during prior month

**1<sup>st</sup> of each month :** Expenditure reports submitted during the prior month are made live on the MEC's website

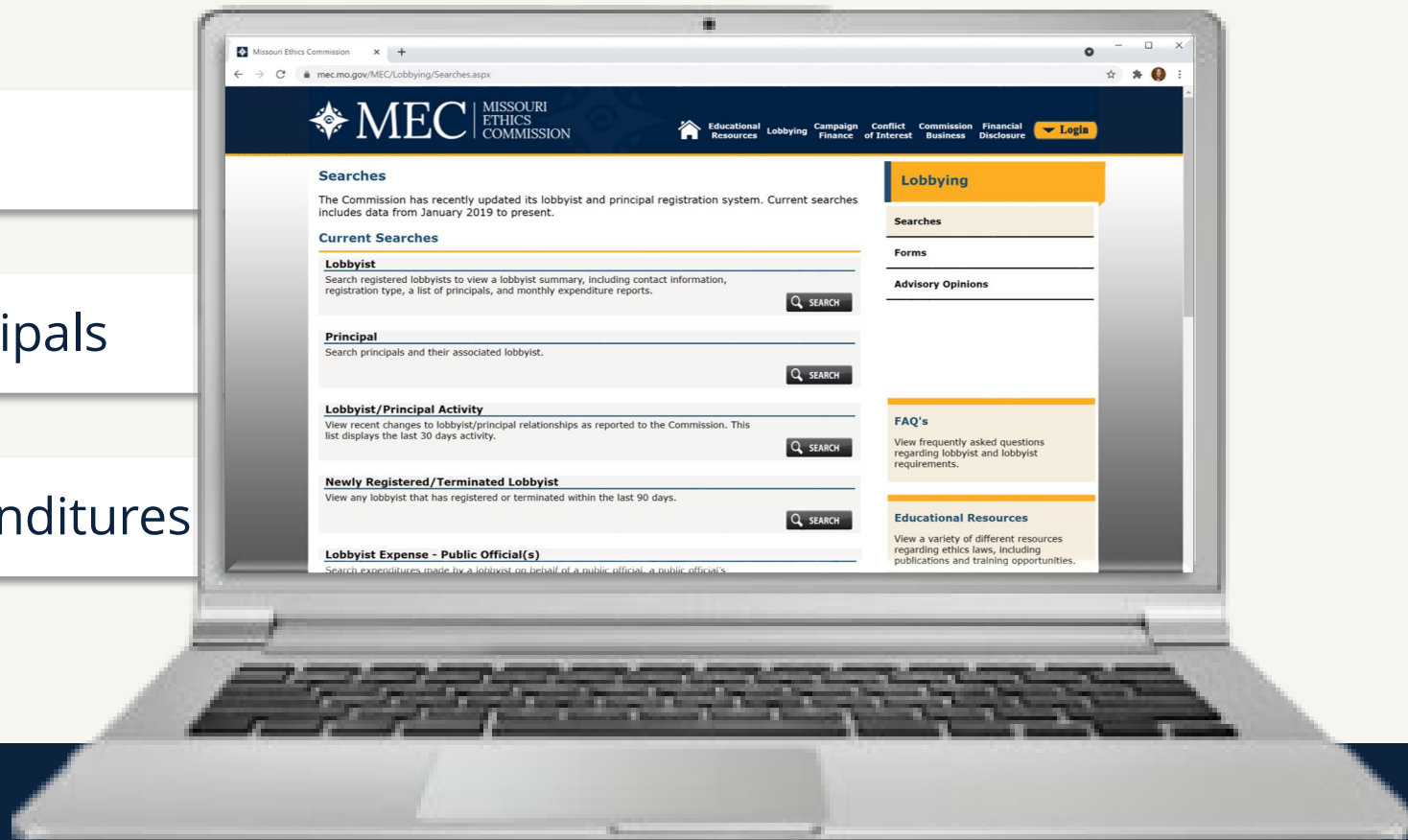


# Searching Lobbyist Information

Lobbyists

Lobbyist Principals

Lobbyist Expenditures



A close-up, slightly blurred photograph of a hand holding a wooden gavel, about to strike a document. Another hand is visible on the right, holding the document steady. A pen lies on the desk in the foreground. The scene is dimly lit, with a blue tint, suggesting a formal or legal setting.

# Conflict of Interest

# What laws govern conflicts of interest for appointed state officials?

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§§ 105.450 – 105.467,  
Revised Statutes of  
Missouri

# Conflict of Interest General Provision

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Prohibits financial gain for self, spouse, or dependent child under certain circumstances; may also include business interests



# Prohibitions



Quid pro quo:  
May not act or  
refrain from  
acting in  
exchange for a  
payment or  
promise to pay  
money or  
anything of  
value



May not use or  
disclose  
confidential  
information with  
the intent of  
financial gain for  
self, spouse,  
dependent child  
or their business



May not  
favorably act to  
give special  
monetary  
benefit to you or  
a family member

# General Conflict of Interest Categories

- Quid Pro Quo
- Employment
- Voting
- Conducting Business
- Purchasing
- Nepotism

A man with a beard and glasses is sitting on a couch, looking at a laptop. The image is dark and has a blue tint. The text "MEC Resources" is overlaid in the center.

# MEC Resources

# mec.mo.gov

Advisory opinions

Publications/brochures

FAQs

The screenshot displays the Missouri Ethics Commission (MEC) website. The header includes the MEC logo and navigation links: Educational Resources, Lobbying, Campaign Finance, Conflict of Interest, Commission Business, Financial Disclosure, and a Login button. A prominent banner features the Missouri State Capitol dome and the text "THE MEC GUIDE TO ETHICS LAWS A PLAIN ENGLISH SUMMARY" with a "Missouri 2021 BICENTENNIAL" logo. Below the banner, a navigation bar lists "Permanent Injunction", "MEC Quarterly Newsletter", "Amendment 3", and "2021 Guide to Ethics Laws". The main content area is divided into three columns: "How Do I:" with links for obtaining an ID, redacting information, registering, and filing a statement; "Upcoming Events" with a calendar for June and July; and "Tweets by @MOEthics" showing recent tweets. At the bottom, a "Searches" tab is active, displaying a list of search results under the heading "Campaign Finance (Candidate/Committee)".

Missouri Ethics Commission

MEC

MISSOURI ETHICS COMMISSION

Educational Resources Lobbying Campaign Finance Conflict of Interest Commission Business Financial Disclosure Login

THE MEC GUIDE TO ETHICS LAWS  
A PLAIN ENGLISH SUMMARY  
Missouri 2021 BICENTENNIAL  
Past. Present. Future.

2021 Guide to Ethics Laws

View the 2021 MEC Guide to Ethics Laws for a plain English summary of Missouri's ethics laws

Permanent Injunction MEC Quarterly Newsletter Amendment 3 2021 Guide to Ethics Laws

**How Do I:**

- Obtain an ID to File a PFD
- Redact my child's information from a PFD - *New*
- Register or Amend Committee
- Register as a Lobbyist
- Candidate/Committee Checklist
- Get Campaign Finance Excel Templates
- File a Statement of Exemption or Rejection
- Comply with "Paid for By" Requirements
- Make a Sunshine Request
- File a Complaint

**Upcoming Events**

Date	Event
TUE JUN 15	Commission Meeting Teleconference at 1:00 p.m.
SUN JUL 4	Independence Day Happy 4th of July!
MON JUL 5	Independence Day (observed) State Offices Closed
MON JUL 12	Commission Meeting Teleconference at 11:30 a.m.
MON JUL 12	Lobbyist Report Due Lobbyist report for expenditures made in June

**Tweets by @MOEthics**

Missouri Ethics Commission @MOEthics  
Lobbyists: don't forget to file your monthly expenditure report by 5:00 p.m. today, June 10! #MOEthics

Missouri Ethics Commission @MOEthics  
Join our team!

The MEC is #NowHiring a talented attorney to serve as Commission Counsel.

Interested? Learn more here:  
[mec.mo.gov/MEC/Employment...#MOCareers](https://mec.mo.gov/MEC/Employment...#MOCareers)  
#MOJobs

Searches Forms FAQs Law Links Statistics

**Campaign Finance (Candidate/Committee)**

- Candidate or Committee Name
- Candidates by Election
- Committee Expenditures for Candidates
- Ballot Measures by Election
- Committee Expenditures for Ballot Measures
- Committee Contributions & Expenditures
- Contributions Over \$5,000
- Non-Committee Expenditures

**Lobbyist, Commission, Financial Disclosure**

- Lobbyist Searches
- Commission Cases - Commission Actions
- Advisory Opinions
- Higher Ed. Out-Of-State Travel Report

a Complaint Sub



# Contact us:

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**Website:** [mec.mo.gov](http://mec.mo.gov)

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**Email:** [helpdesk@mec.mo.gov](mailto:helpdesk@mec.mo.gov)

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**Phone:** 800-392-8660  
573-751-2020

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**Twitter:** @MOEthics

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**YouTube:** [youtube.com/MOEthics](https://www.youtube.com/MOEthics)