

# **Fiscal Year 2018 Budget Request**

## **Governor's Recommendations**



# **DIFP**

Department of Insurance,  
Financial Institutions &  
Professional Registration

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Governor

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Acting Director

Missouri Department of Insurance, Financial Institutions and Professional Registration  
FY 2018 Budget Request

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## Department of Insurance, Financial Institutions and Professional Registration Overview

The department protects consumers through oversight of the insurance industry, financial institutions and licensed professionals.

The department organizational structure includes the director's office and seven divisions.

**Director's Office:** Charged with overseeing operations of the department. The director's office handles regulatory transactions, provides legal assistance to other divisions in the department and coordinates dissemination of department information to the public.

**Administration Division:** Responsible for department-wide administrative support functions including accounting, purchasing, human resources, budget and information systems. The division is responsible for the licensing of insurance producers operating within the state as well as public adjusters, bail bondsmen, surety recovery agents, surplus lines brokers, portable electronics insurance providers, navigators, self-service storage producers and utilization review agents. The division reviews and approves applications, contracts and policies for motor vehicle extended service contract providers, service contract providers, rating organizations, advisory organizations, purchasing groups, life care providers and vehicle protection product warrantors. Also, the division reviews various annual tax filings generating over \$291 million in premium taxes as well as oversees the CLAIM program, which provides free counseling for Missouri consumers with Medicare and their caregivers.

**Consumer Affairs Division:** Accepts complaints from Missouri consumers against insurance companies, insurance producers and other licensees. The division investigates complaints to ensure consumers are being treated fairly and within the Missouri insurance laws. The division acts as a liaison between the consumer and the insurance company and successfully recovered over \$16 million in 2016 for Missouri consumers. The division reaches and educates approximately 37,000 Missouri consumers each year through its website, statewide toll-free hotline and numerous outreach events throughout the state.

**Insurance Market Regulation Division:** Annually reviews approximately 9,500 policy forms, rates, and other pertinent material filed by insurance companies each year to ensure those products' compliance with state statutes and regulations. Performs market conduct examinations of insurance companies to ensure equitable treatment of policyholders and determine compliance with the statutes and regulations of the state in the company's operations. The division's statistics section actively monitors the insurance marketplace through the collection and compilation of industry financial and claim data.

**Insurance Company Regulation Division:** Responsible for monitoring and analyzing the financial solvency of insurance companies licensed in the state. The division performs financial examinations of insurance companies to prevent insolvencies and to take the appropriate action against financially unsound or incompetently managed companies. The division also licenses and regulates captive insurance companies and authorized reinsurance companies as well as various insurance related entities such as third party administrators, managing general agents and reinsurance intermediaries and brokers. In addition the division ensures surplus lines brokers are using eligible surplus lines carriers and reviews the premium tax filings made by surplus lines brokers generating approximately \$30 million in surplus line tax annually.

**Division of Credit Unions:** An accredited agency, responsible for the examination, supervision, chartering, merging and liquidation of Missouri's 107 state-chartered credit unions. The division also responds to consumer complaints concerning credit union services or operations. Missouri state-chartered credit unions have approximately 1.4 million members with assets of approximately \$13 billion. Missouri ranks sixth in the nation in the number of state-chartered credit union.

**Division of Finance:** Responsible for the incorporation and regulation of Missouri's 251 state-chartered banks, 4 non-deposit trust companies, and 5 savings and loan associations. The division also licenses and regulates consumer credit companies, credit services organizations, money order companies, mortgage broker companies and mortgage loan originators. Primary objectives include ensuring the safety and soundness of these institutions and the monitoring of compliance with laws and regulations, thereby safeguarding the funds of depositors and maintaining public confidence in Missouri's financial system. Missouri ranks third in the nation in the number of state-chartered banks.

**Division of Professional Registration:** Responsible for supporting 40 professional licensing boards and commissions in licensing and regulating the activities of over 467,000 Missourians representing approximately 240 different trades and professions. The boards and commissions process applications, administer examinations and, when warranted, conduct investigations into possible professional misconduct and may take disciplinary action against the practitioner.



## Missouri Department of Insurance, Financial Institutions and Professional Registration

State Auditor's Reports, Oversight Evaluations and Missouri Sunset Act Reports within the last three years

| Program or Division Name  | Type of Report | Date Issued | Website Link  |
|---|----------------|-------------|---|
| Department of Insurance, Financial Institutions, and Professional Registration - Insurance  | Audit          | 05/2016     | <a href="http://app.auditor.mo.gov/Repository/Press/2016026405770.pdf">http://app.auditor.mo.gov/Repository/Press/2016026405770.pdf</a>                                 |
| Department of Insurance, Financial Institutions, and Professional Registration - State Board of Registration for the Healing Arts | Audit          | 12/2015     | <a href="http://app.auditor.mo.gov/Repository/Press/2015124906406.pdf">http://app.auditor.mo.gov/Repository/Press/2015124906406.pdf</a>                                 |
| Department of Insurance, Financial Institutions, and Professional Registration - Board of Registration of Therapeutic Massage     | Audit          | 12/2015     | <a href="http://app.auditor.mo.gov/Repository/Press/2015118284761.pdf">http://app.auditor.mo.gov/Repository/Press/2015118284761.pdf</a>                                 |
| Department of Insurance, Financial Institutions, and Professional Registration - Missouri Dental Board                            | Audit          | 11/2015     | <a href="http://app.auditor.mo.gov/Repository/Press/2015110664236.pdf">http://app.auditor.mo.gov/Repository/Press/2015110664236.pdf</a>                                 |
| Department of Insurance, Financial Institutions, and Professional Registration - State Board of Accountancy                       | Audit          | 12/2014     | <a href="http://www.auditor.mo.gov/Press/2014137642076.pdf">http://www.auditor.mo.gov/Press/2014137642076.pdf</a>   |
| Department of Insurance, Financial Institutions, and Professional Registration - State Board of Pharmacy                          | Audit          | 12/2014     | <a href="http://www.auditor.mo.gov/Press/2014130349202.pdf">http://www.auditor.mo.gov/Press/2014130349202.pdf</a>   |
| Department of Insurance, Financial Institutions and Professional Registration - Division of Professional Registration             | Audit          | 1/2014      | <a href="http://auditor.mo.gov/Press/2014003692541.pdf">http://auditor.mo.gov/Press/2014003692541.pdf</a>   |
| Department of Insurance, Financial Institutions and Professional Registration - State Committee for Social Workers                | Audit          | 12/2013     | <a href="http://www.auditor.mo.gov/Press/2013148729627.pdf">http://www.auditor.mo.gov/Press/2013148729627.pdf</a>   |
| Department of Insurance, Financial Institutions and Professional Registration - State Board of Embalmers and Funeral Directors    | Audit          | 12/2013     | <a href="http://www.auditor.mo.gov/Press/2013133440191.pdf">http://www.auditor.mo.gov/Press/2013133440191.pdf</a>   |
| Department of Insurance, Financial Institutions and Professional Registration - Division of Credit Unions                         | Audit          | 8/2013      | <a href="http://www.auditor.mo.gov/Press/2013-084.pdf">http://www.auditor.mo.gov/Press/2013-084.pdf</a>   |
| Department of Insurance, Financial Institutions and Professional Registration - Insurance, Three Years Ended June 30, 2012        | Audit          | 1/2013      | <a href="http://www.auditor.mo.gov/AuditReports/AudRpt.aspx?c=Department of Insurance">http://www.auditor.mo.gov/AuditReports/AudRpt.aspx?c=Department of Insurance</a> |



# CORE DECISION ITEM

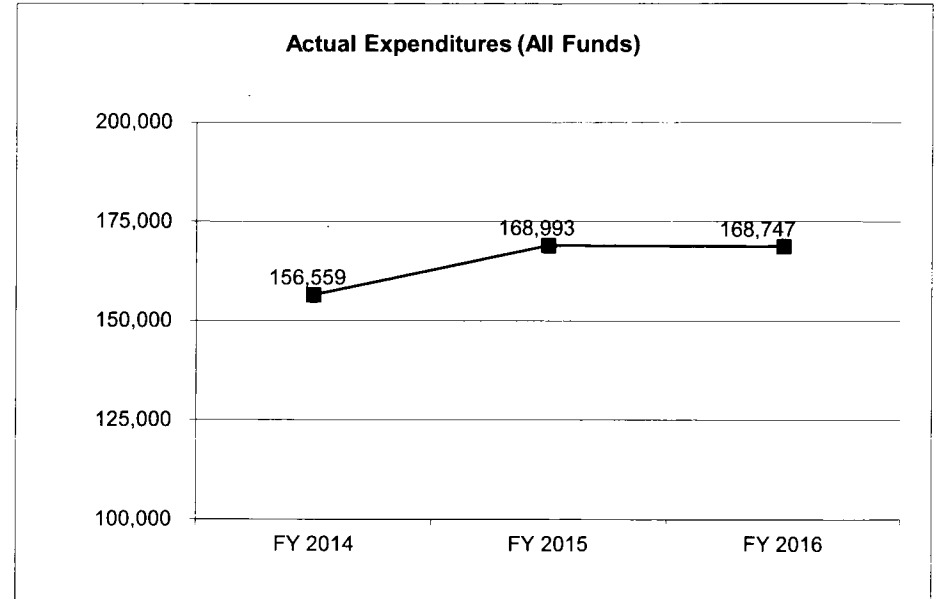
|  |      |         |         |         |   |             |      |         |         |         |   |
|--|------|---------|---------|---------|---|-------------|------|---------|---------|---------|---|
| Department of Insurance, Financial Institutions and Professional Registration  |      |         |         |         | Budget Unit   | 37502C      |      |         |         |         |   |
| Core - Department Administration   |      |         |         |         | HB Section  | 7.400       |      |         |         |         |   |
| 1. CORE FINANCIAL SUMMARY  |      |         |         |         |   |             |      |         |         |         |   |
| FY 2018 Budget Request   |      |         |         |         | FY 2018 Governor's Recommendation   |             |      |         |         |         |   |
|  | GR   | Federal | Other   | Total   | E   |             | GR   | Federal | Other   | Total   | E |
| PS   | 0    | 0       | 145,628 | 145,628 |   | PS          | 0    | 0       | 145,628 | 145,628 |   |
| EE   | 0    | 0       | 38,126  | 38,126  |   | EE          | 0    | 0       | 38,126  | 38,126  |   |
| PSD  | 0    | 0       | 0       | 0       |   | PSD         | 0    | 0       | 0       | 0       |   |
| TRF  | 0    | 0       | 0       | 0       |   | TRF         | 0    | 0       | 0       | 0       |   |
| Total  | 0    | 0       | 183,754 | 183,754 |   | Total       | 0    | 0       | 183,754 | 183,754 |   |
| FTE  | 0.00 | 0.00    | 4.82    | 4.82    |   | FTE         | 0.00 | 0.00    | 4.82    | 4.82    |   |
| Est. Fringe  | 0    | 0       | 89,441  | 89,441  |   | Est. Fringe | 0    | 0       | 89,441  | 89,441  |   |
| Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.  |      |         |         |         | Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. |             |      |         |         |         |   |
| Other Funds: DIFP Administrative Fund (0503)   |      |         |         |         | Other Funds: DIFP Administrative Fund (0503)  |             |      |         |         |         |   |
| 2. CORE DESCRIPTION  |      |         |         |         |   |             |      |         |         |         |   |
| Appropriation supports a portion of department administration staff providing department-wide direction and assistance to all divisions within the department through legislative coordination, human resources, accounting, budget and planning. Department Administration staff also provide coordination and administration of department-wide issues such as policy and procedure development and setting department objectives. |      |         |         |         |   |             |      |         |         |         |   |
| 3. PROGRAM LISTING (list programs included in this core funding)   |      |         |         |         |   |             |      |         |         |         |   |
| Department Administration  |      |         |         |         |   |             |      |         |         |         |   |

# **CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>37502C</b> |
| <b>Core - Department Administration</b>  | <b>HB Section</b>  | <b>7.400</b>  |

## **4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 178,290                   | 180,145                   | 180,898                   | 183,754                        |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 178,290                   | 180,145                   | 180,898                   | 183,754                        |
| Actual Expenditures (All Funds) | 156,559                   | 168,993                   | 168,747                   | N/A                            |
| Unexpended (All Funds)          | 21,731                    | 11,152                    | 12,151                    | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 21,731                    | 11,152                    | 12,151                    | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### **NOTES:**

- (1) Unexpended amount is primarily due to less than anticipated expense and equipment spending.
- (2) Unexpended amount is primarily due to less than anticipated expense and equipment spending.
- (3) Unexpended amount is primarily due to less than anticipated expense and equipment spending.

**CORE RECONCILIATION DETAIL**

**DIFP  
DEPT ADMINISTRATION**

**5. CORE RECONCILIATION DETAIL**

|                                    |           | <b>Budget<br/>Class</b> | <b>FTE</b>    | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>Explanation</b> |
|------------------------------------|-----------|-------------------------|---------------|-----------|----------------|----------------|----------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |           |                         |               |           |                |                |                |                    |
|                                    |           | PS                      | 4.82          | 0         | 0              | 145,628        | 145,628        |                    |
|                                    |           | EE                      | 0.00          | 0         | 0              | 38,126         | 38,126         |                    |
|                                    |           | <b>Total</b>            | <b>4.82</b>   | <b>0</b>  | <b>0</b>       | <b>183,754</b> | <b>183,754</b> |                    |
| <b>DEPARTMENT CORE ADJUSTMENTS</b> |           |                         |               |           |                |                |                |                    |
| Core Reallocation                  | 1045 3652 | PS                      | (0.00)        | 0         | 0              | 0              | (0)            |                    |
| <b>NET DEPARTMENT CHANGES</b>      |           |                         | <b>(0.00)</b> | <b>0</b>  | <b>0</b>       | <b>0</b>       | <b>(0)</b>     |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |           |                         |               |           |                |                |                |                    |
|                                    |           | PS                      | 4.82          | 0         | 0              | 145,628        | 145,628        |                    |
|                                    |           | EE                      | 0.00          | 0         | 0              | 38,126         | 38,126         |                    |
|                                    |           | <b>Total</b>            | <b>4.82</b>   | <b>0</b>  | <b>0</b>       | <b>183,754</b> | <b>183,754</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |           |                         |               |           |                |                |                |                    |
|                                    |           | PS                      | 4.82          | 0         | 0              | 145,628        | 145,628        |                    |
|                                    |           | EE                      | 0.00          | 0         | 0              | 38,126         | 38,126         |                    |
|                                    |           | <b>Total</b>            | <b>4.82</b>   | <b>0</b>  | <b>0</b>       | <b>183,754</b> | <b>183,754</b> |                    |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit                |                  |             |                  |             |                  |             |                  |             |
|----------------------------|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item              | FY 2016          | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
| Budget Object Summary      | ACTUAL           | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Fund                       | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>DEPT ADMINISTRATION</b> |                  |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                |                  |             |                  |             |                  |             |                  |             |
| PERSONAL SERVICES          |                  |             |                  |             |                  |             |                  |             |
| DIFP ADMINISTRATIVE        | 140,066          | 1.99        | 145,628          | 4.82        | 145,628          | 4.82        | 145,628          | 4.82        |
| TOTAL - PS                 | 140,066          | 1.99        | 145,628          | 4.82        | 145,628          | 4.82        | 145,628          | 4.82        |
| EXPENSE & EQUIPMENT        |                  |             |                  |             |                  |             |                  |             |
| DIFP ADMINISTRATIVE        | 28,681           | 0.00        | 38,126           | 0.00        | 38,126           | 0.00        | 38,126           | 0.00        |
| TOTAL - EE                 | 28,681           | 0.00        | 38,126           | 0.00        | 38,126           | 0.00        | 38,126           | 0.00        |
| <b>TOTAL</b>               | <b>168,747</b>   | <b>1.99</b> | <b>183,754</b>   | <b>4.82</b> | <b>183,754</b>   | <b>4.82</b> | <b>183,754</b>   | <b>4.82</b> |
| <b>GRAND TOTAL</b>         | <b>\$168,747</b> | <b>1.99</b> | <b>\$183,754</b> | <b>4.82</b> | <b>\$183,754</b> | <b>4.82</b> | <b>\$183,754</b> | <b>4.82</b> |

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## FLEXIBILITY REQUEST FORM

|   |   |   |
|---|---|---|
| <b>BUDGET UNIT NUMBER:</b> 37502C<br><b>BUDGET UNIT NAME:</b> Department Administration<br><b>HOUSE BILL SECTION:</b> 7.400   | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><b>DIVISION:</b> Department Administration  |   |
| <b>1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.</b> |   |   |
| <b>DEPARTMENT REQUEST</b>   | <b>GOVERNOR'S RECOMMENDATION</b>  |   |
| No flexibility requested.   | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |   |
| <b>2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.</b>  |   |   |
| <b>PRIOR YEAR<br/>ACTUAL AMOUNT OF FLEXIBILITY USED</b>   | <b>CURRENT YEAR<br/>ESTIMATED AMOUNT OF<br/>FLEXIBILITY THAT WILL BE USED</b>   | <b>BUDGET REQUEST<br/>ESTIMATED AMOUNT OF<br/>FLEXIBILITY THAT WILL BE USED</b> |
| The department did not have any flexibility in prior year budgets.  | The department does not have any flexibility in the current year budget.  | The department will use flexibility only if necessary.                          |
| <b>3. Please explain how flexibility was used in the prior and/or current years.</b>  |   |   |
| <b>PRIOR YEAR<br/>EXPLAIN ACTUAL USE</b>  | <b>CURRENT YEAR<br/>EXPLAIN PLANNED USE</b>   |   |
| The department did not have any flexibility in prior year budgets.  | The department does not have any flexibility in the current year budget.  |   |

## DIFP

## DECISION ITEM DETAIL

| Budget Unit                    | FY 2016        | FY 2016     | FY 2017        | FY 2017     | FY 2018        | FY 2018     | FY 2018        | FY 2018     |
|--------------------------------|----------------|-------------|----------------|-------------|----------------|-------------|----------------|-------------|
| Decision Item                  | ACTUAL         | ACTUAL      | BUDGET         | BUDGET      | DEPT REQ       | DEPT REQ    | GOV REC        | GOV REC     |
| Budget Object Class            | DOLLAR         | FTE         | DOLLAR         | FTE         | DOLLAR         | FTE         | DOLLAR         | FTE         |
| <b>DEPT ADMINISTRATION</b>     |                |             |                |             |                |             |                |             |
| <b>CORE</b>                    |                |             |                |             |                |             |                |             |
| PROCUREMENT OFCR II            | 2,174          | 0.05        | 2,303          | 0.05        | 2,303          | 0.05        | 2,303          | 0.05        |
| ACCOUNTANT I                   | 224            | 0.01        | 0              | 0.00        | 0              | 0.00        | 0              | 0.00        |
| ACCOUNTING SPECIALIST I        | 1,983          | 0.05        | 0              | 0.00        | 0              | 0.00        | 0              | 0.00        |
| ACCOUNTING SPECIALIST II       | 0              | 0.00        | 1,985          | 0.05        | 2,060          | 0.05        | 2,060          | 0.05        |
| ACCOUNTING ANAL I              | 1,651          | 0.04        | 0              | 0.00        | 0              | 0.00        | 0              | 0.00        |
| ACCOUNTING ANAL II             | 83             | 0.00        | 2,090          | 0.05        | 2,179          | 0.05        | 2,179          | 0.05        |
| BUDGET ANAL III                | 9,031          | 0.20        | 9,365          | 0.20        | 9,212          | 0.20        | 9,212          | 0.20        |
| PERSONNEL ANAL II              | 2,019          | 0.05        | 2,053          | 0.05        | 2,060          | 0.05        | 2,060          | 0.05        |
| PUBLIC INFORMATION SPEC I      | 1,420          | 0.05        | 0              | 0.00        | 0              | 0.00        | 0              | 0.00        |
| PUBLIC INFORMATION SPEC II     | 168            | 0.00        | 0              | 0.00        | 8,529          | 0.20        | 8,529          | 0.20        |
| PUBLIC INFORMATION ADMSTR      | 4,458          | 0.10        | 4,119          | 0.20        | 0              | 0.00        | 0              | 0.00        |
| FISCAL & ADMINISTRATIVE MGR B1 | 12,841         | 0.20        | 13,169         | 0.20        | 13,708         | 0.20        | 13,708         | 0.20        |
| FISCAL & ADMINISTRATIVE MGR B2 | 3,194          | 0.05        | 3,293          | 0.05        | 3,427          | 0.05        | 3,427          | 0.05        |
| HUMAN RESOURCES MGR B1         | 2,662          | 0.05        | 2,657          | 0.05        | 2,751          | 0.05        | 2,751          | 0.05        |
| STATE DEPARTMENT DIRECTOR      | 24,341         | 0.20        | 24,797         | 0.20        | 24,828         | 0.20        | 24,828         | 0.20        |
| DEPUTY STATE DEPT DIRECTOR     | 23,762         | 0.20        | 24,624         | 0.20        | 24,624         | 0.20        | 24,624         | 0.20        |
| DESIGNATED PRINCIPAL ASST DEPT | 17,730         | 0.29        | 17,879         | 0.35        | 17,834         | 0.30        | 17,834         | 0.30        |
| DIVISION DIRECTOR              | 21,841         | 0.23        | 27,705         | 0.30        | 28,134         | 0.30        | 28,134         | 0.30        |
| DESIGNATED PRINCIPAL ASST DIV  | 2,790          | 0.06        | 1,849          | 0.05        | 1,849          | 0.05        | 1,849          | 0.05        |
| LEGAL COUNSEL                  | 1,041          | 0.01        | 0              | 0.00        | 0              | 0.00        | 0              | 0.00        |
| MISCELLANEOUS TECHNICAL        | 517            | 0.01        | 0              | 0.00        | 0              | 0.00        | 0              | 0.00        |
| SPECIAL ASST PROFESSIONAL      | 4,172          | 0.08        | 5,610          | 0.10        | 0              | 0.00        | 0              | 0.00        |
| SPECIAL ASST OFFICE & CLERICAL | 1,964          | 0.06        | 0              | 0.00        | 0              | 0.00        | 0              | 0.00        |
| MISCELLANEOUS PROFESSIONAL     | 0              | 0.00        | 2,130          | 2.72        | 2,130          | 2.87        | 2,130          | 2.87        |
| <b>TOTAL - PS</b>              | <b>140,066</b> | <b>1.99</b> | <b>145,628</b> | <b>4.82</b> | <b>145,628</b> | <b>4.82</b> | <b>145,628</b> | <b>4.82</b> |
| TRAVEL, IN-STATE               | 657            | 0.00        | 668            | 0.00        | 668            | 0.00        | 668            | 0.00        |
| TRAVEL, OUT-OF-STATE           | 868            | 0.00        | 325            | 0.00        | 925            | 0.00        | 925            | 0.00        |
| FUEL & UTILITIES               | 0              | 0.00        | 1,001          | 0.00        | 0              | 0.00        | 0              | 0.00        |
| SUPPLIES                       | 16,645         | 0.00        | 18,550         | 0.00        | 17,951         | 0.00        | 17,951         | 0.00        |
| PROFESSIONAL DEVELOPMENT       | 1,614          | 0.00        | 5,175          | 0.00        | 5,175          | 0.00        | 5,175          | 0.00        |
| COMMUNICATION SERV & SUPP      | 1,939          | 0.00        | 2,644          | 0.00        | 2,644          | 0.00        | 2,644          | 0.00        |
| PROFESSIONAL SERVICES          | 5,051          | 0.00        | 3,688          | 0.00        | 5,688          | 0.00        | 5,688          | 0.00        |

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**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                | FY 2016          | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
|----------------------------|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item              | ACTUAL           | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class        | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>DEPT ADMINISTRATION</b> |                  |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                |                  |             |                  |             |                  |             |                  |             |
| M&R SERVICES               | 44               | 0.00        | 75               | 0.00        | 75               | 0.00        | 75               | 0.00        |
| COMPUTER EQUIPMENT         | 0                | 0.00        | 1,000            | 0.00        | 0                | 0.00        | 0                | 0.00        |
| MOTORIZED EQUIPMENT        | 134              | 0.00        | 0                | 0.00        | 0                | 0.00        | 0                | 0.00        |
| OFFICE EQUIPMENT           | 989              | 0.00        | 2,500            | 0.00        | 2,500            | 0.00        | 2,500            | 0.00        |
| OTHER EQUIPMENT            | 708              | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        |
| BUILDING LEASE PAYMENTS    | 2                | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        |
| MISCELLANEOUS EXPENSES     | 30               | 0.00        | 500              | 0.00        | 500              | 0.00        | 500              | 0.00        |
| <b>TOTAL - EE</b>          | <b>28,681</b>    | <b>0.00</b> | <b>38,126</b>    | <b>0.00</b> | <b>38,126</b>    | <b>0.00</b> | <b>38,126</b>    | <b>0.00</b> |
| <b>GRAND TOTAL</b>         | <b>\$168,747</b> | <b>1.99</b> | <b>\$183,754</b> | <b>4.82</b> | <b>\$183,754</b> | <b>4.82</b> | <b>\$183,754</b> | <b>4.82</b> |
| <b>GENERAL REVENUE</b>     | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>       | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>OTHER FUNDS</b>         | <b>\$168,747</b> | <b>1.99</b> | <b>\$183,754</b> | <b>4.82</b> | <b>\$183,754</b> | <b>4.82</b> | <b>\$183,754</b> | <b>4.82</b> |

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.400

**Department Administration**

**Program is found in the following core budget(s):** Department Administration

**1. What does this program do?**

Department Administration staff provide direction and assistance to all divisions within the Department of Insurance, Financial Institutions and Professional Registration through legislative coordination, human resources, accounting, budget and planning. Department Administration staff also provide coordination and administration of department wide issues, such as policy and procedure development and setting departmental objectives.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Not applicable.

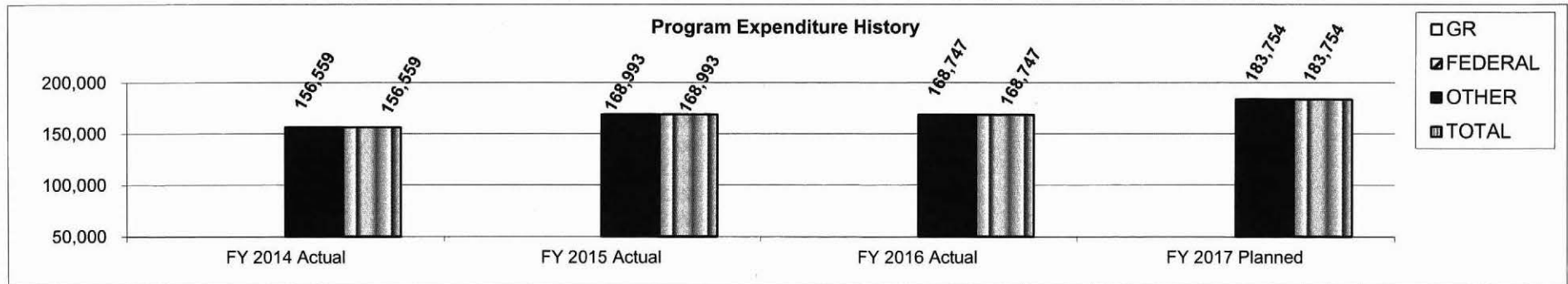
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

DIFP Administrative Fund (0503)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.400

Department Administration

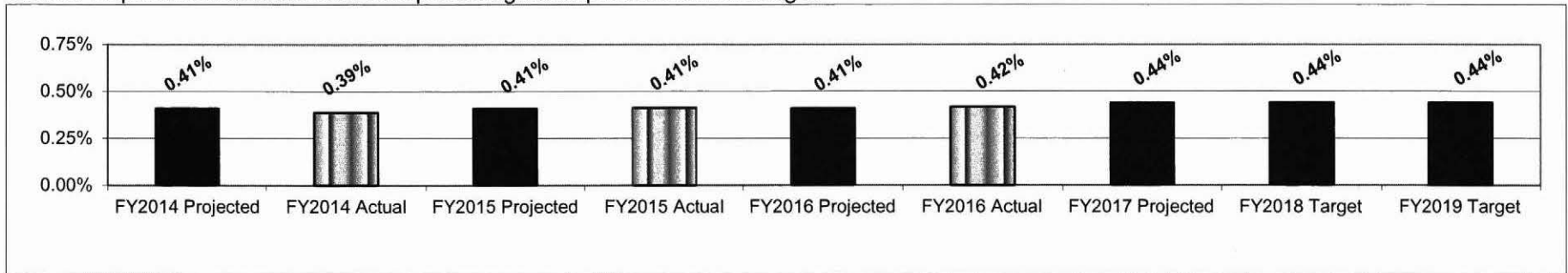
Program is found in the following core budget(s): Department Administration

**7a. Provide an effectiveness measure.**

None available.

**7b. Provide an efficiency measure.**

Cost of Department Administration as a percentage of department's total budget.



**7c. Provide the number of clients/individuals served, if applicable.**

|                           |                   |
|---------------------------|-------------------|
| Insurance                 | 234.86 FTE        |
| Finance                   | 118.15 FTE        |
| Credit Unions             | 15.50 FTE         |
| Professional Registration | <u>224.00 FTE</u> |
| <b>TOTAL</b>              | <b>592.51 FTE</b> |

**7d. Provide a customer satisfaction measure, if available.**

None available.

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# CORE DECISION ITEM

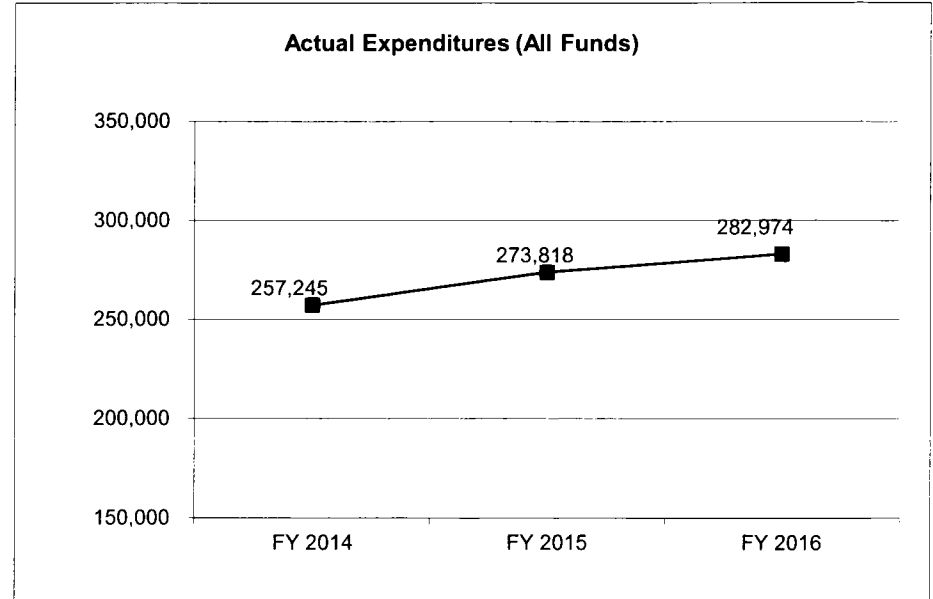
|   |                               |                |                |                |                                  |  |  |                |                |                |          |
|---|-------------------------------|----------------|----------------|----------------|----------------------------------|--|--|----------------|----------------|----------------|----------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>  |                               |                |                |                | <b>Budget Unit</b> <u>37503C</u> |  |  |                |                |                |          |
| <b>Core - Department Administration Transfer</b>  |                               |                |                |                | <b>HB Section</b> <u>7.405</u>   |  |  |                |                |                |          |
| <b>1. CORE FINANCIAL SUMMARY</b>  |                               |                |                |                |                                  |  |  |                |                |                |          |
|   | <b>FY 2018 Budget Request</b> |                |                |                |                                  |  | <b>FY 2018 Governor's Recommendation</b> |                |                |                |          |
|   | <b>GR</b>                     | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>E</b>                         |  | <b>GR</b>                                | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>E</b> |
| <b>PS</b>   | 0                             | 0              | 0              | 0              |                                  | <b>PS</b>  | 0  | 0              | 0              | 0              |          |
| <b>EE</b>   | 0                             | 0              | 0              | 0              |                                  | <b>EE</b>  | 0  | 0              | 0              | 0              |          |
| <b>PSD</b>  | 0                             | 0              | 0              | 0              |                                  | <b>PSD</b>   | 0  | 0              | 0              | 0              |          |
| <b>TRF</b>  | 0                             | 0              | 400,000        | 400,000        |                                  | <b>TRF</b>   | 0  | 0              | 400,000        | 400,000        |          |
| <b>Total</b>  | <u>0</u>                      | <u>0</u>       | <u>400,000</u> | <u>400,000</u> |                                  | <b>Total</b>   | <u>0</u>                                 | <u>0</u>       | <u>400,000</u> | <u>400,000</u> |          |
| <b>FTE</b>  | 0.00                          | 0.00           | 0.00           | 0.00           |                                  | <b>FTE</b>   | 0.00                                     | 0.00           | 0.00           | 0.00           |          |
| <b>Est. Fringe</b>  | 0                             | 0              | 0              | 0              |                                  | <b>Est. Fringe</b>   | 0  | 0              | 0              | 0              |          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>                                  |                               |                |                |                |                                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>   |  |                |                |                |          |
| Other Funds: Finance Fund (0550), Credit Unions Fund (0548), Professional Registration Fees Fund (0689), Insurance Dedicated Fund (0566)                                |                               |                |                |                |                                  | Other Funds: Finance Fund (0550), Credit Unions Fund (0548), Professional Registration Fees Fund (0689), Insurance Dedicated Fund (0566) |  |                |                |                |          |
| <b>2. CORE DESCRIPTION</b>  |                               |                |                |                |                                  |  |  |                |                |                |          |
| This transfer provides funds to the DIFP Administrative Fund from division funds to meet the salaries, fringe benefits and expenses of Department Administration staff. |                               |                |                |                |                                  |  |  |                |                |                |          |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |                               |                |                |                |                                  |  |  |                |                |                |          |
| Department Administration Transfer  |                               |                |                |                |                                  |  |  |                |                |                |          |

**CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>37503C</b> |
| <b>Core - Department Administration Transfer</b>                                     | <b>HB Section</b>  | <b>7.405</b>  |

**4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 400,000                   | 400,000                   | 400,000                   | 400,000                        |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 400,000                   | 400,000                   | 400,000                   | 400,000                        |
| Actual Expenditures (All Funds) | 257,245                   | 273,818                   | 282,974                   | N/A                            |
| Unexpended (All Funds)          | 142,755                   | 126,182                   | 117,026                   | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 142,755                   | 126,182                   | 117,026                   | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

**NOTES:**

- (1) Unexpended amount is primarily due to less than anticipated expense and equipment spending.
- (2) Unexpended amount is primarily due to less than anticipated expense and equipment spending.
- (3) Unexpended amount is primarily due to less than anticipated expense and equipment spending.

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**CORE RECONCILIATION DETAIL**

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DIFP  
DEPT ADMINISTRATION TRANSFER

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**5. CORE RECONCILIATION DETAIL**

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|                             | Budget<br>Class | FTE         | GR       | Federal  | Other          | Total          | Explanation |
|-----------------------------|-----------------|-------------|----------|----------|----------------|----------------|-------------|
| <hr/>                       |                 |             |          |          |                |                |             |
| TAFP AFTER VETOES           | TRF             | 0.00        | 0        | 0        | 400,000        | 400,000        |             |
|                             | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>400,000</b> | <b>400,000</b> |             |
| <hr/>                       |                 |             |          |          |                |                |             |
| DEPARTMENT CORE REQUEST     | TRF             | 0.00        | 0        | 0        | 400,000        | 400,000        |             |
|                             | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>400,000</b> | <b>400,000</b> |             |
| <hr/>                       |                 |             |          |          |                |                |             |
| GOVERNOR'S RECOMMENDED CORE | TRF             | 0.00        | 0        | 0        | 400,000        | 400,000        |             |
|                             | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>400,000</b> | <b>400,000</b> |             |
| <hr/>                       |                 |             |          |          |                |                |             |



**DIFP****DECISION ITEM SUMMARY**

| <b>Budget Unit</b>                  |                  |                |                  |                |                  |                 |                  |                |
|-------------------------------------|------------------|----------------|------------------|----------------|------------------|-----------------|------------------|----------------|
| <b>Decision Item</b>                | <b>FY 2016</b>   | <b>FY 2016</b> | <b>FY 2017</b>   | <b>FY 2017</b> | <b>FY 2018</b>   | <b>FY 2018</b>  | <b>FY 2018</b>   | <b>FY 2018</b> |
| <b>Budget Object Summary</b>        | <b>ACTUAL</b>    | <b>ACTUAL</b>  | <b>BUDGET</b>    | <b>BUDGET</b>  | <b>DEPT REQ</b>  | <b>DEPT REQ</b> | <b>GOV REC</b>   | <b>GOV REC</b> |
| <b>Fund</b>                         | <b>DOLLAR</b>    | <b>FTE</b>     | <b>DOLLAR</b>    | <b>FTE</b>     | <b>DOLLAR</b>    | <b>FTE</b>      | <b>DOLLAR</b>    | <b>FTE</b>     |
| <b>DEPT ADMINISTRATION TRANSFER</b> |                  |                |                  |                |                  |                 |                  |                |
| <b>CORE</b>                         |                  |                |                  |                |                  |                 |                  |                |
| FUND TRANSFERS                      |                  |                |                  |                |                  |                 |                  |                |
| DIVISION OF CREDIT UNIONS           | 19,473           | 0.00           | 40,000           | 0.00           | 40,000           | 0.00            | 40,000           | 0.00           |
| DIVISION OF FINANCE                 | 80,033           | 0.00           | 125,000          | 0.00           | 125,000          | 0.00            | 125,000          | 0.00           |
| INSURANCE DEDICATED FUND            | 6,578            | 0.00           | 35,000           | 0.00           | 35,000           | 0.00            | 35,000           | 0.00           |
| PROFESSIONAL REGISTRATION FEES      | 176,890          | 0.00           | 200,000          | 0.00           | 200,000          | 0.00            | 200,000          | 0.00           |
| TOTAL - TRF                         | 282,974          | 0.00           | 400,000          | 0.00           | 400,000          | 0.00            | 400,000          | 0.00           |
| <b>TOTAL</b>                        | <b>282,974</b>   | <b>0.00</b>    | <b>400,000</b>   | <b>0.00</b>    | <b>400,000</b>   | <b>0.00</b>     | <b>400,000</b>   | <b>0.00</b>    |
| <b>GRAND TOTAL</b>                  | <b>\$282,974</b> | <b>0.00</b>    | <b>\$400,000</b> | <b>0.00</b>    | <b>\$400,000</b> | <b>0.00</b>     | <b>\$400,000</b> | <b>0.00</b>    |

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**DIFP****DECISION ITEM DETAIL**

| Budget Unit                         | FY 2016          | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
|-------------------------------------|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                       | ACTUAL           | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class                 | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>DEPT ADMINISTRATION TRANSFER</b> |                  |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                         |                  |             |                  |             |                  |             |                  |             |
| TRANSFERS OUT                       | 282,974          | 0.00        | 400,000          | 0.00        | 400,000          | 0.00        | 400,000          | 0.00        |
| TOTAL - TRF                         | 282,974          | 0.00        | 400,000          | 0.00        | 400,000          | 0.00        | 400,000          | 0.00        |
| <b>GRAND TOTAL</b>                  | <b>\$282,974</b> | <b>0.00</b> | <b>\$400,000</b> | <b>0.00</b> | <b>\$400,000</b> | <b>0.00</b> | <b>\$400,000</b> | <b>0.00</b> |
| GENERAL REVENUE                     | \$0              | 0.00        | \$0              | 0.00        | \$0              | 0.00        | \$0              | 0.00        |
| FEDERAL FUNDS                       | \$0              | 0.00        | \$0              | 0.00        | \$0              | 0.00        | \$0              | 0.00        |
| OTHER FUNDS                         | \$282,974        | 0.00        | \$400,000        | 0.00        | \$400,000        | 0.00        | \$400,000        | 0.00        |

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.405

**Department Administration Transfer**

**Program is found in the following core budget(s):** Transfers to Department Administration

**1. What does this program do?**

This transfer provides funds to the DIFP Administrative Fund from division funds to meet the salaries, fringe benefits and expenses of Department Administration staff.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Not applicable.

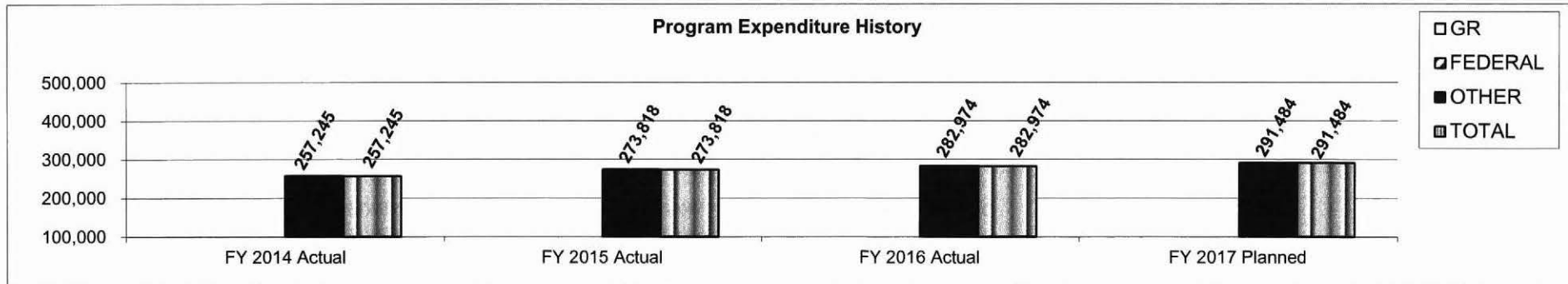
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Division of Credit Unions Fund (0548), Division of Finance Fund (0550), Professional Registration Fees Fund (0689) and the Insurance Dedicated Fund (0566)

**7a. Provide an effectiveness measure.**

None available.

**7b.**

**Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

None available.

**7d.**

**Provide a customer satisfaction measure, if available.**

None available.



# CORE DECISION ITEM

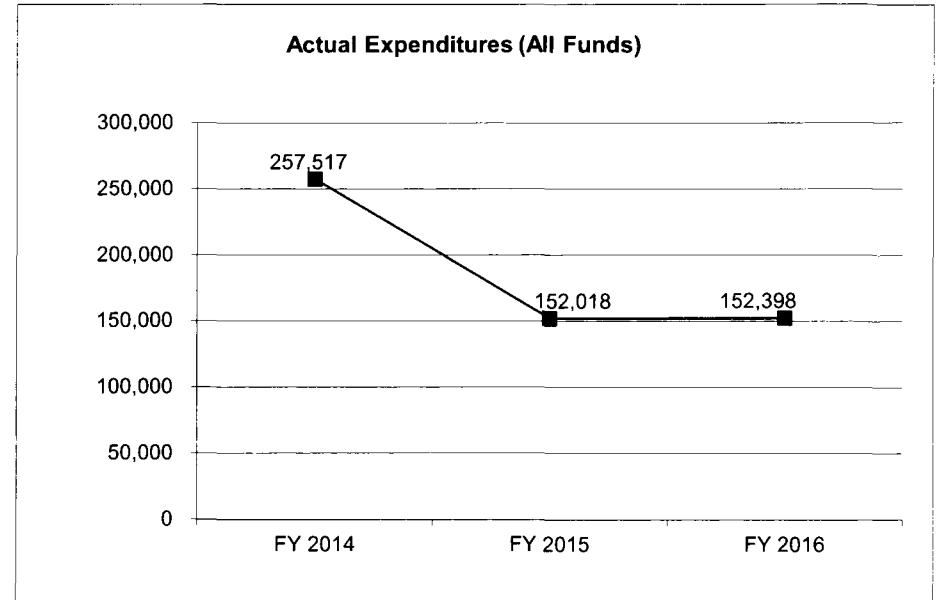
|  |                               |                |              |                |                                  |  |             |                |              |              |          |
|--|-------------------------------|----------------|--------------|----------------|----------------------------------|--|-------------|----------------|--------------|--------------|----------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>   |                               |                |              |                | <b>Budget Unit</b> <u>37506C</u> |  |             |                |              |              |          |
| <b>Core - Implement Federal Grants</b>   |                               |                |              |                | <b>HB Section</b> <u>7.410</u>   |  |             |                |              |              |          |
| <b>1. CORE FINANCIAL SUMMARY</b>   |                               |                |              |                |                                  |  |             |                |              |              |          |
|  | <b>FY 2018 Budget Request</b> |                |              |                |                                  | <b>FY 2018 Governor's Recommendation</b>   |             |                |              |              |          |
|  | <b>GR</b>                     | <b>Federal</b> | <b>Other</b> | <b>Total</b>   | <b>E</b>                         |  | <b>GR</b>   | <b>Federal</b> | <b>Other</b> | <b>Total</b> | <b>E</b> |
| <b>PS</b>  | 0                             | 478,096        | 0            | 478,096        |                                  | <b>PS</b>  | 0           | 0              | 0            | 0            |          |
| <b>EE</b>  | 0                             | 64,511         | 0            | 64,511         |                                  | <b>EE</b>  | 0           | 0              | 0            | 0            |          |
| <b>PSD</b>   | 0                             | 0              | 0            | 0              |                                  | <b>PSD</b>   | 0           | 0              | 0            | 0            |          |
| <b>TRF</b>   | 0                             | 0              | 0            | 0              |                                  | <b>TRF</b>   | 0           | 0              | 0            | 0            |          |
| <b>Total</b>   | <b>0</b>                      | <b>542,607</b> | <b>0</b>     | <b>542,607</b> |                                  | <b>Total</b>   | <b>0</b>    | <b>0</b>       | <b>0</b>     | <b>0</b>     |          |
| <b>FTE</b>   | <b>0.00</b>                   | <b>21.00</b>   | <b>0.00</b>  | <b>21.00</b>   |                                  | <b>FTE</b>   | <b>0.00</b> | <b>0.00</b>    | <b>0.00</b>  | <b>0.00</b>  |          |
| <b>Est. Fringe</b>   | 0                             | 346,988        | 0            | 346,988        |                                  | <b>Est. Fringe</b>   | 0           | 0              | 0            | 0            |          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>   |                               |                |              |                |                                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |             |                |              |              |          |
| Other Funds:   |                               |                |              |                |                                  | Other Funds:   |             |                |              |              |          |
| <b>2. CORE DESCRIPTION</b>   |                               |                |              |                |                                  |  |             |                |              |              |          |
| <p>The department received a grant through the federal Department of Health and Human Services for extending, enhancing and increasing resources to the department's current consumer assistance program. The funding allowed the department to assist Missouri consumers with health coverage questions, provide consumer education and outreach activities, and assist consumers with finding and enrolling in health care plans best suited for their needs. This grant ended in FY 2016. No future grants are anticipated.</p> |                               |                |              |                |                                  |  |             |                |              |              |          |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |                               |                |              |                |                                  |  |             |                |              |              |          |
| <p>Implement Federal Grants</p>  |                               |                |              |                |                                  |  |             |                |              |              |          |

# **CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>37506C</b> |
| <b>Core - Implement Federal Grants</b>   | <b>HB Section</b>  | <b>7.410</b>  |

## **4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 523,348                   | 530,723                   | 533,233                   | 524,607                        |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 523,348                   | 530,723                   | 533,233                   | 524,607                        |
| Actual Expenditures (All Funds) | 257,517                   | 152,018                   | 152,398                   | N/A                            |
| Unexpended (All Funds)          | 265,831                   | 378,705                   | 380,835                   | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 265,831                   | 378,705                   | 380,835                   | N/A                            |
| Other                           | 0                         | 0                         | 0                         | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### **NOTES:**

- (1) Unexpended amount due to less grant funds available.
- (2) Unexpended amount due to less grant funds available.
- (3) Unexpended amount due to less grant funds available.

**CORE RECONCILIATION DETAIL**

**DIFP  
IMPLEMENT FEDERAL GRANTS**

**5. CORE RECONCILIATION DETAIL**

|   |           | <b>Budget<br/>Class</b> | <b>FTE</b>     | <b>GR</b> | <b>Federal</b>   | <b>Other</b> | <b>Total</b>     | <b>Explanation</b>                                    |
|---|-----------|-------------------------|----------------|-----------|------------------|--------------|------------------|---|
| <b>TAFP AFTER VETOES</b>                      |           |                         |                |           |                  |              |                  |   |
|   |           | PS                      | 21.00          | 0         | 478,096          | 0            | 478,096          |   |
|   |           | EE                      | 0.00           | 0         | 64,511           | 0            | 64,511           |   |
|   |           | <b>Total</b>            | <b>21.00</b>   | <b>0</b>  | <b>542,607</b>   | <b>0</b>     | <b>542,607</b>   |   |
| <b>DEPARTMENT CORE REQUEST</b>                |           |                         |                |           |                  |              |                  |   |
|   |           | PS                      | 21.00          | 0         | 478,096          | 0            | 478,096          |   |
|   |           | EE                      | 0.00           | 0         | 64,511           | 0            | 64,511           |   |
|   |           | <b>Total</b>            | <b>21.00</b>   | <b>0</b>  | <b>542,607</b>   | <b>0</b>     | <b>542,607</b>   |   |
| <b>GOVERNOR'S ADDITIONAL CORE ADJUSTMENTS</b> |           |                         |                |           |                  |              |                  |   |
| Core Reduction                                | 1857 7885 | PS                      | (21.00)        | 0         | (478,096)        | 0            | (478,096)        | Core reduction of federal authority no longer needed. |
| Core Reduction                                | 1857 7886 | EE                      | 0.00           | 0         | (64,511)         | 0            | (64,511)         | Core reduction of federal authority no longer needed. |
| <b>NET GOVERNOR CHANGES</b>                   |           |                         | <b>(21.00)</b> | <b>0</b>  | <b>(542,607)</b> | <b>0</b>     | <b>(542,607)</b> |   |
| <b>GOVERNOR'S RECOMMENDED CORE</b>            |           |                         |                |           |                  |              |                  |   |
|   |           | PS                      | 0.00           | 0         | 0                | 0            | 0                |   |
|   |           | EE                      | 0.00           | 0         | 0                | 0            | 0                |   |
|   |           | <b>Total</b>            | <b>0.00</b>    | <b>0</b>  | <b>0</b>         | <b>0</b>     | <b>0</b>         |   |

# DIFP

# DECISION ITEM SUMMARY

| Budget Unit                     |                  |             |                  |              |                  |              |            |             |
|---------------------------------|------------------|-------------|------------------|--------------|------------------|--------------|------------|-------------|
| Decision Item                   | FY 2016          | FY 2016     | FY 2017          | FY 2017      | FY 2018          | FY 2018      | FY 2018    | FY 2018     |
| Budget Object Summary           | ACTUAL           | ACTUAL      | BUDGET           | BUDGET       | DEPT REQ         | DEPT REQ     | GOV REC    | GOV REC     |
| Fund                            | DOLLAR           | FTE         | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR     | FTE         |
| <b>IMPLEMENT FEDERAL GRANTS</b> |                  |             |                  |              |                  |              |            |             |
| <b>CORE</b>                     |                  |             |                  |              |                  |              |            |             |
| PERSONAL SERVICES               |                  |             |                  |              |                  |              |            |             |
| FEDERAL - MDI                   | 145,655          | 3.36        | 478,096          | 21.00        | 478,096          | 21.00        | 0          | 0.00        |
| TOTAL - PS                      | 145,655          | 3.36        | 478,096          | 21.00        | 478,096          | 21.00        | 0          | 0.00        |
| EXPENSE & EQUIPMENT             |                  |             |                  |              |                  |              |            |             |
| FEDERAL - MDI                   | 6,743            | 0.00        | 64,511           | 0.00         | 64,511           | 0.00         | 0          | 0.00        |
| TOTAL - EE                      | 6,743            | 0.00        | 64,511           | 0.00         | 64,511           | 0.00         | 0          | 0.00        |
| <b>TOTAL</b>                    | <b>152,398</b>   | <b>3.36</b> | <b>542,607</b>   | <b>21.00</b> | <b>542,607</b>   | <b>21.00</b> | <b>0</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>              | <b>\$152,398</b> | <b>3.36</b> | <b>\$542,607</b> | <b>21.00</b> | <b>\$542,607</b> | <b>21.00</b> | <b>\$0</b> | <b>0.00</b> |

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**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                     | FY 2016          | FY 2016     | FY 2017          | FY 2017      | FY 2018          | FY 2018      | FY 2018    | FY 2018     |
|---------------------------------|------------------|-------------|------------------|--------------|------------------|--------------|------------|-------------|
| Decision Item                   | ACTUAL           | ACTUAL      | BUDGET           | BUDGET       | DEPT REQ         | DEPT REQ     | GOV REC    | GOV REC     |
| Budget Object Class             | DOLLAR           | FTE         | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR     | FTE         |
| <b>IMPLEMENT FEDERAL GRANTS</b> |                  |             |                  |              |                  |              |            |             |
| <b>CORE</b>                     |                  |             |                  |              |                  |              |            |             |
| PROCUREMENT OFCR II             | 836              | 0.02        | 0                | 0.00         | 0                | 0.00         | 0          | 0.00        |
| ACCOUNTANT I                    | 124              | 0.00        | 0                | 0.00         | 0                | 0.00         | 0          | 0.00        |
| ACCOUNTING SPECIALIST I         | 173              | 0.00        | 0                | 0.00         | 0                | 0.00         | 0          | 0.00        |
| ACCOUNTING ANAL I               | 10               | 0.00        | 0                | 0.00         | 0                | 0.00         | 0          | 0.00        |
| INVESTIGATOR II                 | 18,832           | 0.50        | 101,510          | 4.00         | 101,510          | 4.00         | 0          | 0.00        |
| FISCAL & ADMINISTRATIVE MGR B2  | 458              | 0.01        | 5,886            | 0.10         | 5,886            | 0.10         | 0          | 0.00        |
| DESIGNATED PRINCIPAL ASST DEPT  | 3,251            | 0.05        | 5,717            | 0.10         | 5,717            | 0.10         | 0          | 0.00        |
| DIVISION DIRECTOR               | 4,763            | 0.06        | 8,294            | 0.10         | 8,294            | 0.10         | 0          | 0.00        |
| SENIOR COUNSEL                  | 3,872            | 0.05        | 5,717            | 0.10         | 5,717            | 0.10         | 0          | 0.00        |
| MISCELLANEOUS PROFESSIONAL      | 1,363            | 0.03        | 1,974            | 3.10         | 1,974            | 3.10         | 0          | 0.00        |
| CONSUMER COMPLAINT SPEC I       | 15,669           | 0.45        | 91,363           | 4.00         | 91,363           | 4.00         | 0          | 0.00        |
| CONSUMER COMPLAINT SPEC II      | 16,356           | 0.42        | 153,093          | 6.75         | 153,093          | 6.75         | 0          | 0.00        |
| CONSUMER COMPLAIN SPEC III      | 43,103           | 1.04        | 42,216           | 1.75         | 42,216           | 1.75         | 0          | 0.00        |
| MANAGER                         | 36,845           | 0.73        | 62,326           | 1.00         | 62,326           | 1.00         | 0          | 0.00        |
| <b>TOTAL - PS</b>               | <b>145,655</b>   | <b>3.36</b> | <b>478,096</b>   | <b>21.00</b> | <b>478,096</b>   | <b>21.00</b> | <b>0</b>   | <b>0.00</b> |
| TRAVEL, IN-STATE                | 813              | 0.00        | 1,102            | 0.00         | 1,102            | 0.00         | 0          | 0.00        |
| TRAVEL, OUT-OF-STATE            | 2,116            | 0.00        | 2,096            | 0.00         | 2,096            | 0.00         | 0          | 0.00        |
| SUPPLIES                        | 0                | 0.00        | 4,880            | 0.00         | 4,880            | 0.00         | 0          | 0.00        |
| PROFESSIONAL DEVELOPMENT        | 1,345            | 0.00        | 3,880            | 0.00         | 3,880            | 0.00         | 0          | 0.00        |
| COMMUNICATION SERV & SUPP       | 1,068            | 0.00        | 11,780           | 0.00         | 11,780           | 0.00         | 0          | 0.00        |
| PROFESSIONAL SERVICES           | 1,401            | 0.00        | 19,948           | 0.00         | 19,948           | 0.00         | 0          | 0.00        |
| COMPUTER EQUIPMENT              | 0                | 0.00        | 1,000            | 0.00         | 1,000            | 0.00         | 0          | 0.00        |
| BUILDING LEASE PAYMENTS         | 0                | 0.00        | 19,825           | 0.00         | 19,825           | 0.00         | 0          | 0.00        |
| <b>TOTAL - EE</b>               | <b>6,743</b>     | <b>0.00</b> | <b>64,511</b>    | <b>0.00</b>  | <b>64,511</b>    | <b>0.00</b>  | <b>0</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>              | <b>\$152,398</b> | <b>3.36</b> | <b>\$542,607</b> | <b>21.00</b> | <b>\$542,607</b> | <b>21.00</b> | <b>\$0</b> | <b>0.00</b> |
| <b>GENERAL REVENUE</b>          | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b>  | <b>\$0</b>       | <b>0.00</b>  |            | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>            | <b>\$152,398</b> | <b>3.36</b> | <b>\$542,607</b> | <b>21.00</b> | <b>\$542,607</b> | <b>21.00</b> |            | <b>0.00</b> |
| <b>OTHER FUNDS</b>              | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b>  | <b>\$0</b>       | <b>0.00</b>  |            | <b>0.00</b> |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.410

Implement Federal Grants

Program is found in the following core budget(s): Implement Federal Grants

**1. What does this program do?**

The department used this appropriation for a grant received from the federal Department of Health and Human Services. The grant was for extending, enhancing and increasing resources to the department's current consumer assistance program. The grant ended on December 23, 2015.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Federal CFDA - 93.519

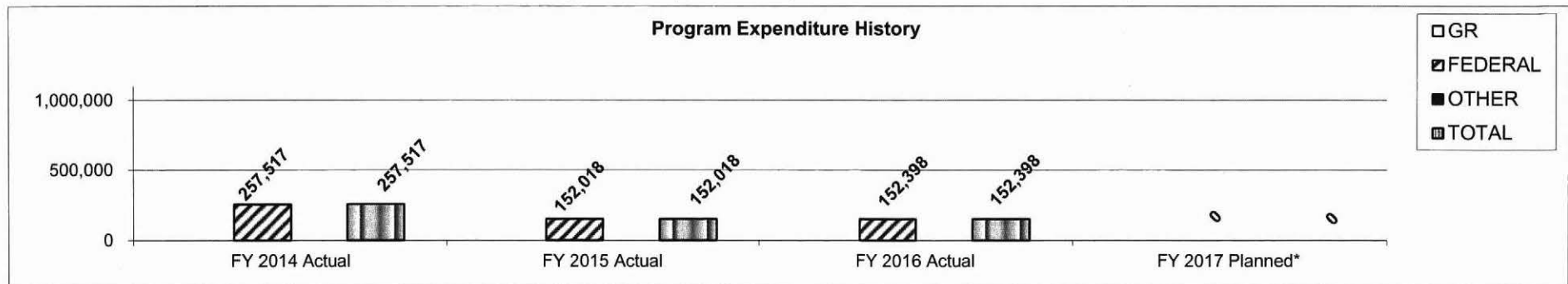
**3. Are there federal matching requirements? If yes, please explain.**

N/A

**4. Is this a federally mandated program? If yes, please explain.**

N/A

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



\*Currently, no consumer assistance program grant available.

**6. What are the sources of the "Other " funds?**

Not applicable.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.410

Implement Federal Grants

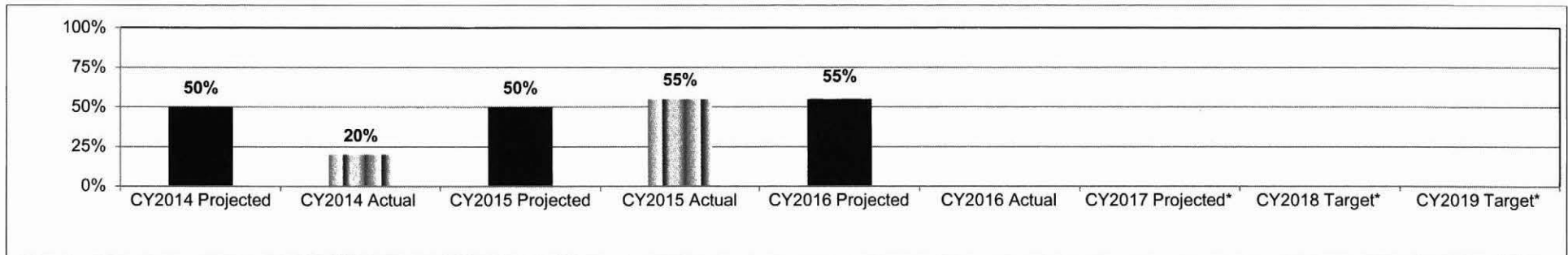
Program is found in the following core budget(s): Implement Federal Grants

**7a. Provide an effectiveness measure.**

None available.

**7b. Provide an efficiency measure.**

Percent of consumer complaints regarding health insurance closed in less than 60 days.



\*Currently, no consumer assistance program grant available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                             | CY2014 |        | CY2015 |        | CY2016 |        | CY2017 | CY2018  | CY2019  |
|-----------------------------|--------|--------|--------|--------|--------|--------|--------|---------|---------|
|                             | Proj.  | Actual | Proj.  | Actual | Proj.  | Actual | Proj.* | Target* | Target* |
| Complaints                  | 1,000  | 1,550  | 1,000  | 2,100  | 1,000  | 0      |        |         |         |
| Consumer Education Contacts | 10,000 | 12,850 | 10,000 | 19,364 | 10,000 | 0      |        |         |         |

\*Currently, no consumer assistance program grant available.

**7d. Provide a customer satisfaction measure, if available.**

None available.

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# CORE DECISION ITEM

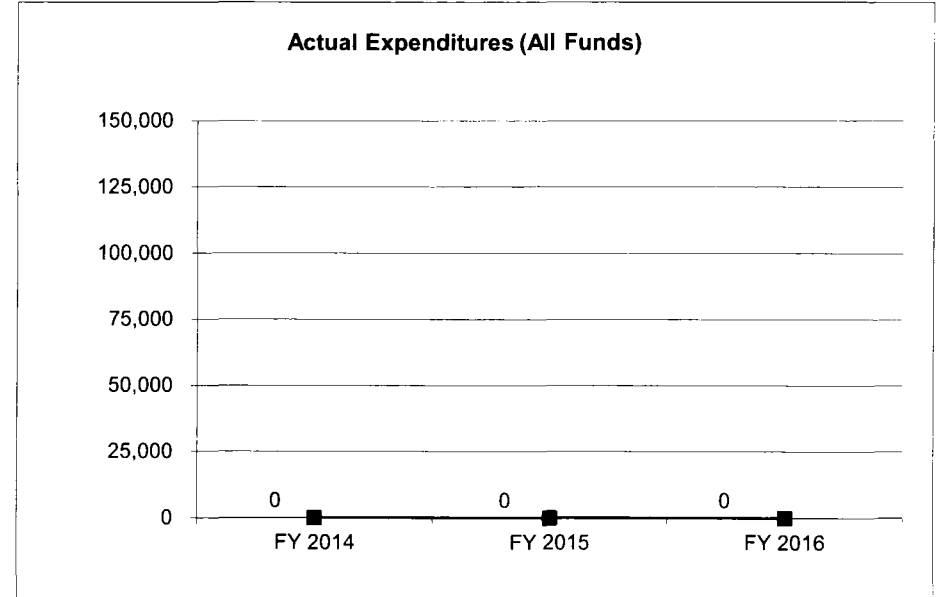
|   |                               |                |              |                |                                  |  |  |                |              |              |             |
|---|-------------------------------|----------------|--------------|----------------|----------------------------------|--|--|----------------|--------------|--------------|-------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>  |                               |                |              |                | <b>Budget Unit</b> <u>37507C</u> |  |  |                |              |              |             |
| <b>Core - Federal Grant Transfer</b>  |                               |                |              |                | <b>HB Section</b> <u>7.415</u>   |  |  |                |              |              |             |
| <b>1. CORE FINANCIAL SUMMARY</b>  |                               |                |              |                |                                  |  |  |                |              |              |             |
|   | <b>FY 2018 Budget Request</b> |                |              |                |                                  |  | <b>FY 2018 Governor's Recommendation</b> |                |              |              |             |
|   | <b>GR</b>                     | <b>Federal</b> | <b>Other</b> | <b>Total</b>   | <b>E</b>                         |  | <b>GR</b>                                | <b>Federal</b> | <b>Other</b> | <b>Total</b> | <b>E</b>    |
| <b>PS</b>   | 0                             | 0              | 0            | 0              | 0                                | <b>PS</b>  | 0  | 0              | 0            | 0            | 0           |
| <b>EE</b>   | 0                             | 0              | 0            | 0              | 0                                | <b>EE</b>  | 0  | 0              | 0            | 0            | 0           |
| <b>PSD</b>  | 0                             | 0              | 0            | 0              | 0                                | <b>PSD</b>   | 0  | 0              | 0            | 0            | 0           |
| <b>TRF</b>  | 0                             | 150,000        | 0            | 150,000        | 0                                | <b>TRF</b>   | 0  | 0              | 0            | 0            | 0           |
| <b>Total</b>  | <b>0</b>                      | <b>150,000</b> | <b>0</b>     | <b>150,000</b> | <b>0</b>                         | <b>Total</b>   | <b>0</b>                                 | <b>0</b>       | <b>0</b>     | <b>0</b>     | <b>0</b>    |
| <b>FTE</b>  | <b>0.00</b>                   | <b>0.00</b>    | <b>0.00</b>  | <b>0.00</b>    | <b>0.00</b>                      | <b>FTE</b>   | <b>0.00</b>                              | <b>0.00</b>    | <b>0.00</b>  | <b>0.00</b>  | <b>0.00</b> |
| <b>Est. Fringe</b>  | 0                             | 0              | 0            | 0              | 0                                | <b>Est. Fringe</b>   | 0  | 0              | 0            | 0            | 0           |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |                               |                |              |                |                                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |  |                |              |              |             |
| Other Funds:  |                               |                |              |                |                                  | Other Funds:   |  |                |              |              |             |
| <b>2. CORE DESCRIPTION</b>  |                               |                |              |                |                                  |  |  |                |              |              |             |
| <p>This transfer appropriation provided funds from federal grant funds to the Insurance Dedicated Fund to reimburse the Insurance Dedicated Fund for the cost of salaries, fringe benefits and expenses of existing staff working on federal grants. The federal grant has ended. Transfer appropriation is no longer needed.</p> |                               |                |              |                |                                  |  |  |                |              |              |             |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |                               |                |              |                |                                  |  |  |                |              |              |             |
| Federal Grant Transfer  |                               |                |              |                |                                  |  |  |                |              |              |             |

# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 37507C |
| Core - Federal Grant Transfer   | HB Section  | 7.415  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 150,000           | 150,000           | 150,000           | 150,000                |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 150,000           | 150,000           | 150,000           | 150,000                |
| Actual Expenditures (All Funds) | 0                 | 0                 | 0                 | N/A                    |
| Unexpended (All Funds)          | 150,000           | 150,000           | 150,000           | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 150,000           | 150,000           | 150,000           | N/A                    |
| Other                           | 0                 | 0                 | 0                 | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Transfers were not needed in FY 2014.
- (2) Transfers were not needed in FY 2015.
- (3) Transfers were not needed in FY 2016.

## CORE RECONCILIATION DETAIL

DIFP  
FEDERAL GRANT TRANSFER

### 5. CORE RECONCILIATION DETAIL

|   | Budget Class | FTE         | GR       | Federal          | Other     | Total            | Explanation   |
|---|--------------|-------------|----------|------------------|-----------|------------------|---|
| <b>TAFP AFTER VETOES</b>                      |              |             |          |                  |           |                  |   |
|   | TRF          | 0.00        | 0        | 150,000          | 0         | 150,000          |   |
|   | <b>Total</b> | <b>0.00</b> | <b>0</b> | <b>150,000</b>   | <b>0</b>  | <b>150,000</b>   |   |
| <b>DEPARTMENT CORE REQUEST</b>                |              |             |          |                  |           |                  |   |
|   | TRF          | 0.00        | 0        | 150,000          | 0         | 150,000          |   |
|   | <b>Total</b> | <b>0.00</b> | <b>0</b> | <b>150,000</b>   | <b>0</b>  | <b>150,000</b>   |   |
| <b>GOVERNOR'S ADDITIONAL CORE ADJUSTMENTS</b> |              |             |          |                  |           |                  |   |
| Core Reduction                                | 1855 T364    | TRF         | 0.00     | 0                | (150,000) | 0                | (150,000) Core reduction of federal authority no longer needed. |
| <b>NET GOVERNOR CHANGES</b>                   |              | <b>0.00</b> | <b>0</b> | <b>(150,000)</b> | <b>0</b>  | <b>(150,000)</b> |   |
| <b>GOVERNOR'S RECOMMENDED CORE</b>            |              |             |          |                  |           |                  |   |
|   | TRF          | 0.00        | 0        | 0                | 0         | 0                |   |
|   | <b>Total</b> | <b>0.00</b> | <b>0</b> | <b>0</b>         | <b>0</b>  | <b>0</b>         |   |



**DIFP****DECISION ITEM SUMMARY**

| Budget Unit                   |            |             |                  |             |                  |             |            |             |
|-------------------------------|------------|-------------|------------------|-------------|------------------|-------------|------------|-------------|
| Decision Item                 | FY 2016    | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018    | FY 2018     |
| Budget Object Summary         | ACTUAL     | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC    | GOV REC     |
| Fund                          | DOLLAR     | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR     | FTE         |
| <b>FEDERAL GRANT TRANSFER</b> |            |             |                  |             |                  |             |            |             |
| <b>CORE</b>                   |            |             |                  |             |                  |             |            |             |
| FUND TRANSFERS                |            |             |                  |             |                  |             |            |             |
| FEDERAL - MDI                 | 0          | 0.00        | 150,000          | 0.00        | 150,000          | 0.00        | 0          | 0.00        |
| TOTAL - TRF                   | 0          | 0.00        | 150,000          | 0.00        | 150,000          | 0.00        | 0          | 0.00        |
| <b>TOTAL</b>                  | <b>0</b>   | <b>0.00</b> | <b>150,000</b>   | <b>0.00</b> | <b>150,000</b>   | <b>0.00</b> | <b>0</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>            | <b>\$0</b> | <b>0.00</b> | <b>\$150,000</b> | <b>0.00</b> | <b>\$150,000</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> |

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# DIFP

# DECISION ITEM DETAIL

| Budget Unit                   | FY 2016    | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018    | FY 2018     |
|-------------------------------|------------|-------------|------------------|-------------|------------------|-------------|------------|-------------|
| Decision Item                 | ACTUAL     | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC    | GOV REC     |
| Budget Object Class           | DOLLAR     | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR     | FTE         |
| <b>FEDERAL GRANT TRANSFER</b> |            |             |                  |             |                  |             |            |             |
| <b>CORE</b>                   |            |             |                  |             |                  |             |            |             |
| TRANSFERS OUT                 | 0          | 0.00        | 150,000          | 0.00        | 150,000          | 0.00        | 0          | 0.00        |
| TOTAL - TRF                   | 0          | 0.00        | 150,000          | 0.00        | 150,000          | 0.00        | 0          | 0.00        |
| <b>GRAND TOTAL</b>            | <b>\$0</b> | <b>0.00</b> | <b>\$150,000</b> | <b>0.00</b> | <b>\$150,000</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> |
| GENERAL REVENUE               | \$0        | 0.00        | \$0              | 0.00        | \$0              | 0.00        |            | 0.00        |
| FEDERAL FUNDS                 | \$0        | 0.00        | \$150,000        | 0.00        | \$150,000        | 0.00        |            | 0.00        |
| OTHER FUNDS                   | \$0        | 0.00        | \$0              | 0.00        | \$0              | 0.00        |            | 0.00        |

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.415

**Federal Grant Transfer**

**Program is found in the following core budget(s):** Federal Grant Transfer

**1. What does this program do?**

This transfer appropriation provides funds from federal grant funds to the Insurance Dedicated Fund to reimburse the Insurance Dedicated Fund for the cost of salaries, fringe benefits and expenses of existing staff working on federal grants.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

Dependent on federal grant recieved.

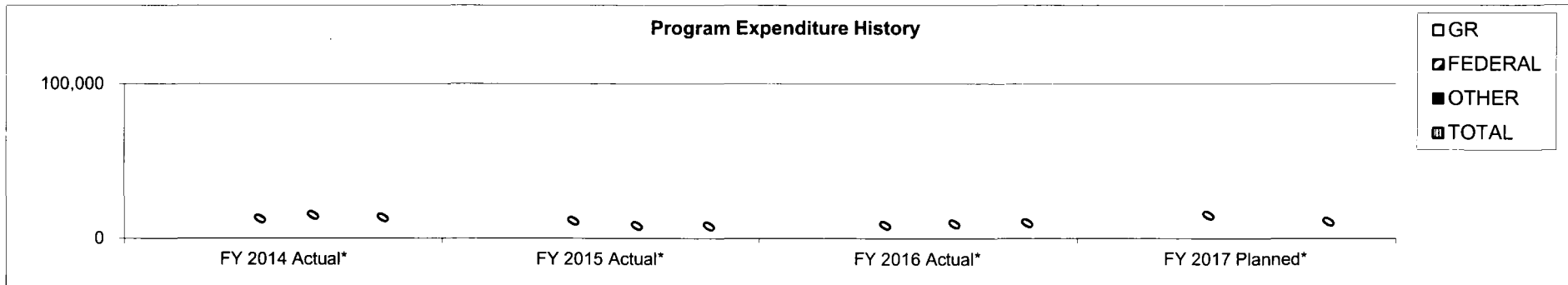
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



\*Transfers were not needed in FY 2014, FY 2015 or FY 2016. Transfer not expected for FY 2017.

**6. What are the sources of the "Other " funds?**

Not applicable.

**7a. Provide an effectiveness measure.**

None available.

**7b.**

**Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

None available.

**7d.**

**Provide a customer satisfaction measure, if available.**

None available.



# CORE DECISION ITEM

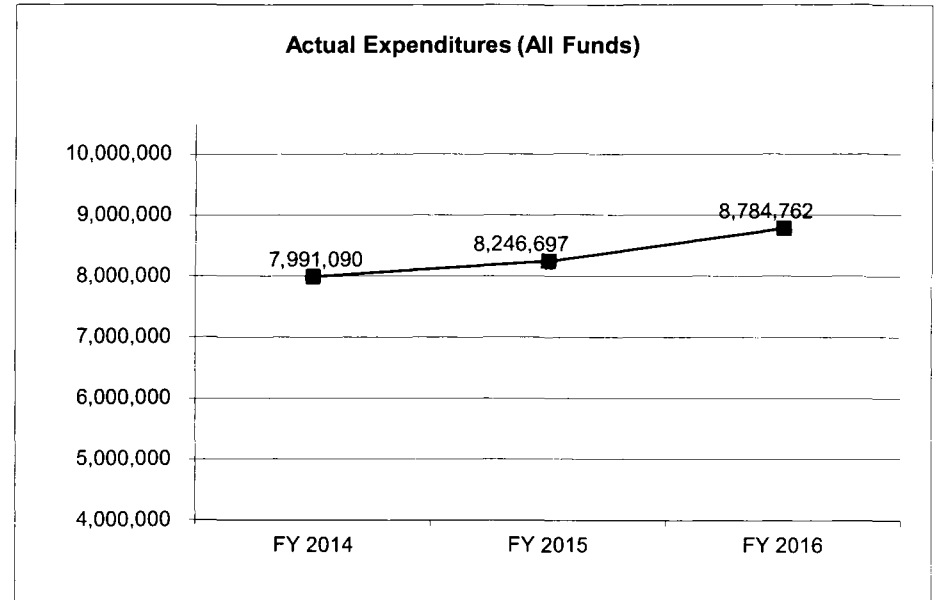
|  |      |         |            |            |                                   |   |      |         |            |            |   |
|--|------|---------|------------|------------|-----------------------------------|---|------|---------|------------|------------|---|
| Department of Insurance, Financial Institutions and Professional Registration  |      |         |            |            | Budget Unit 37501C                |   |      |         |            |            |   |
| Insurance  |      |         |            |            |                                   |   |      |         |            |            |   |
| Core - Insurance Operations  |      |         |            |            | HB Section 7.420                  |   |      |         |            |            |   |
| 1. CORE FINANCIAL SUMMARY  |      |         |            |            |                                   |   |      |         |            |            |   |
| FY 2018 Budget Request   |      |         |            |            | FY 2018 Governor's Recommendation |   |      |         |            |            |   |
|  | GR   | Federal | Other      | Total      | E                                 |   | GR   | Federal | Other      | Total      | E |
| PS   | 0    | 0       | 8,306,262  | 8,306,262  |                                   | PS  | 0    | 0       | 8,306,262  | 8,306,262  |   |
| EE   | 0    | 0       | 1,985,643  | 1,985,643  |                                   | EE  | 0    | 0       | 1,985,643  | 1,985,643  |   |
| PSD  | 0    | 0       | 5,000      | 5,000      |                                   | PSD   | 0    | 0       | 5,000      | 5,000      |   |
| TRF  | 0    | 0       | 0          | 0          |                                   | TRF   | 0    | 0       | 0          | 0          |   |
| Total  | 0    | 0       | 10,296,905 | 10,296,905 |                                   | Total   | 0    | 0       | 10,296,905 | 10,296,905 |   |
| FTE  | 0.00 | 0.00    | 170.96     | 170.96     |                                   | FTE   | 0.00 | 0.00    | 170.96     | 170.96     |   |
| Est. Fringe  | 0    | 0       | 4,029,865  | 4,029,865  |                                   | Est. Fringe   | 0    | 0       | 4,029,865  | 4,029,865  |   |
| Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.  |      |         |            |            |                                   | Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. |      |         |            |            |   |
| Other Funds: Insurance Dedicated Fund (0566)<br>Consumer Restitution Fund (0792)   |      |         |            |            |                                   | Other Funds: Insurance Dedicated Fund (0566)<br>Consumer Restitution Fund (0792)  |      |         |            |            |   |
| 2. CORE DESCRIPTION  |      |         |            |            |                                   |   |      |         |            |            |   |
| Core request for Insurance Operations. The department is responsible for overseeing the insurance industry's compliance with all Missouri insurance laws and regulations and protecting the interests of the Missouri insurance-buying consumer. The department licenses over 164,000 insurance producers (agents, brokers and agencies). The department, acting on complaints from consumers, investigates companies and producers accused of insurance law and regulation violations. The department certifies for collection over \$291 million in premium taxes paid by insurance companies for deposit into general revenue and school funds. The department provides information to over 37,000 consumers each year through a statewide toll-free hotline and the department's website and continues to increase the amount of information and services available to both consumers and industry through the department's website. Core includes the Consumer Restitution Fund which is for preserving and distributing to aggrieved consumers funds obtained through enforcement proceedings by the director. |      |         |            |            |                                   |   |      |         |            |            |   |
| 3. PROGRAM LISTING (list programs included in this core funding)   |      |         |            |            |                                   |   |      |         |            |            |   |
| Director's Office<br>Insurance Consumer Affairs Division<br>Insurance Company Regulation Division  |      |         |            |            |                                   | Insurance Market Regulation Division<br>Administration Division<br>Insurance Consumer Restitution Fund                          |      |         |            |            |   |

# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 37501C |
| Insurance   |             |        |
| Core - Insurance Operations   | HB Section  | 7.420  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 9,003,319         | 9,250,572         | 9,856,580         | 10,333,366             |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 9,003,319         | 9,250,572         | 9,856,580         | 10,333,366             |
| Actual Expenditures (All Funds) | 7,991,090         | 8,246,697         | 8,784,762         | N/A                    |
| Unexpended (All Funds)          | 1,012,229         | 1,003,875         | 1,071,818         | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 1,012,229         | 1,003,875         | 1,071,818         | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
- (2) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
- (3) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

**CORE RECONCILIATION DETAIL**

DIFP  
INSURANCE OPERATIONS

**5. CORE RECONCILIATION DETAIL**

|                                    |      |      |  | Budget<br>Class | FTE           | GR       | Federal  | Other             | Total             | Explanation                                |
|------------------------------------|------|------|--|-----------------|---------------|----------|----------|-------------------|-------------------|--|
| <b>TAFP AFTER VETOES</b>           |      |      |  |                 |               |          |          |                   |                   |  |
|                                    |      |      |  | PS              | 170.96        | 0        | 0        | 8,306,262         | 8,306,262         |  |
|                                    |      |      |  | EE              | 0.00          | 0        | 0        | 2,022,104         | 2,022,104         |  |
|                                    |      |      |  | PD              | 0.00          | 0        | 0        | 5,000             | 5,000             |  |
|                                    |      |      |  | <b>Total</b>    | <b>170.96</b> | <b>0</b> | <b>0</b> | <b>10,333,366</b> | <b>10,333,366</b> |  |
| <b>DEPARTMENT CORE ADJUSTMENTS</b> |      |      |  |                 |               |          |          |                   |                   |  |
| Reduce One Time                    | 799  | 9908 |  | EE              | 0.00          | 0        | 0        | (36,461)          | (36,461)          | 1X Expenditures - HB 50 & HB 709<br>FY2017 |
| Core Reallocation                  | 1046 | 9907 |  | PS              | 0.00          | 0        | 0        | 0                 | 0                 |  |
| <b>NET DEPARTMENT CHANGES</b>      |      |      |  |                 | <b>0.00</b>   | <b>0</b> | <b>0</b> | <b>(36,461)</b>   | <b>(36,461)</b>   |  |
| <b>DEPARTMENT CORE REQUEST</b>     |      |      |  |                 |               |          |          |                   |                   |  |
|                                    |      |      |  | PS              | 170.96        | 0        | 0        | 8,306,262         | 8,306,262         |  |
|                                    |      |      |  | EE              | 0.00          | 0        | 0        | 1,985,643         | 1,985,643         |  |
|                                    |      |      |  | PD              | 0.00          | 0        | 0        | 5,000             | 5,000             |  |
|                                    |      |      |  | <b>Total</b>    | <b>170.96</b> | <b>0</b> | <b>0</b> | <b>10,296,905</b> | <b>10,296,905</b> |  |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |      |      |  |                 |               |          |          |                   |                   |  |
|                                    |      |      |  | PS              | 170.96        | 0        | 0        | 8,306,262         | 8,306,262         |  |
|                                    |      |      |  | EE              | 0.00          | 0        | 0        | 1,985,643         | 1,985,643         |  |
|                                    |      |      |  | PD              | 0.00          | 0        | 0        | 5,000             | 5,000             |  |
|                                    |      |      |  | <b>Total</b>    | <b>170.96</b> | <b>0</b> | <b>0</b> | <b>10,296,905</b> | <b>10,296,905</b> |  |

**DIFP**

**DECISION ITEM SUMMARY**

| <b>Budget Unit</b>                               |                    |                |                     |                |                     |                 |                     |                |
|--|--------------------|----------------|---------------------|----------------|---------------------|-----------------|---------------------|----------------|
| <b>Decision Item</b>                             | <b>FY 2016</b>     | <b>FY 2016</b> | <b>FY 2017</b>      | <b>FY 2017</b> | <b>FY 2018</b>      | <b>FY 2018</b>  | <b>FY 2018</b>      | <b>FY 2018</b> |
| <b>Budget Object Summary</b>                     | <b>ACTUAL</b>      | <b>ACTUAL</b>  | <b>BUDGET</b>       | <b>BUDGET</b>  | <b>DEPT REQ</b>     | <b>DEPT REQ</b> | <b>GOV REC</b>      | <b>GOV REC</b> |
| <b>Fund</b>                                      | <b>DOLLAR</b>      | <b>FTE</b>     | <b>DOLLAR</b>       | <b>FTE</b>     | <b>DOLLAR</b>       | <b>FTE</b>      | <b>DOLLAR</b>       | <b>FTE</b>     |
| <b>INSURANCE OPERATIONS</b>                      |                    |                |                     |                |                     |                 |                     |                |
| <b>CORE</b>                                      |                    |                |                     |                |                     |                 |                     |                |
| <b>PERSONAL SERVICES</b>                         |                    |                |                     |                |                     |                 |                     |                |
| INSURANCE DEDICATED FUND                         | 7,499,863          | 144.43         | 8,306,262           | 170.96         | 8,306,262           | 170.96          | 8,306,262           | 170.96         |
| TOTAL - PS                                       | 7,499,863          | 144.43         | 8,306,262           | 170.96         | 8,306,262           | 170.96          | 8,306,262           | 170.96         |
| <b>EXPENSE &amp; EQUIPMENT</b>                   |                    |                |                     |                |                     |                 |                     |                |
| INSURANCE DEDICATED FUND                         | 1,284,899          | 0.00           | 2,022,104           | 0.00           | 1,985,643           | 0.00            | 1,985,643           | 0.00           |
| TOTAL - EE                                       | 1,284,899          | 0.00           | 2,022,104           | 0.00           | 1,985,643           | 0.00            | 1,985,643           | 0.00           |
| <b>PROGRAM-SPECIFIC</b>                          |                    |                |                     |                |                     |                 |                     |                |
| CONSUMER RESTITUTION FUND                        | 0                  | 0.00           | 5,000               | 0.00           | 5,000               | 0.00            | 5,000               | 0.00           |
| TOTAL - PD                                       | 0                  | 0.00           | 5,000               | 0.00           | 5,000               | 0.00            | 5,000               | 0.00           |
| <b>TOTAL</b>                                     | <b>8,784,762</b>   | <b>144.43</b>  | <b>10,333,366</b>   | <b>170.96</b>  | <b>10,296,905</b>   | <b>170.96</b>   | <b>10,296,905</b>   | <b>170.96</b>  |
| <b>Rate Reviews - SB 865 &amp; 866 - 1375001</b> |                    |                |                     |                |                     |                 |                     |                |
| <b>PERSONAL SERVICES</b>                         |                    |                |                     |                |                     |                 |                     |                |
| INSURANCE DEDICATED FUND                         | 0                  | 0.00           | 0                   | 0.00           | 0                   | 0.00            | 250,772             | 4.00           |
| TOTAL - PS                                       | 0                  | 0.00           | 0                   | 0.00           | 0                   | 0.00            | 250,772             | 4.00           |
| <b>EXPENSE &amp; EQUIPMENT</b>                   |                    |                |                     |                |                     |                 |                     |                |
| INSURANCE DEDICATED FUND                         | 0                  | 0.00           | 0                   | 0.00           | 0                   | 0.00            | 36,768              | 0.00           |
| TOTAL - EE                                       | 0                  | 0.00           | 0                   | 0.00           | 0                   | 0.00            | 36,768              | 0.00           |
| <b>TOTAL</b>                                     | <b>0</b>           | <b>0.00</b>    | <b>0</b>            | <b>0.00</b>    | <b>0</b>            | <b>0.00</b>     | <b>287,540</b>      | <b>4.00</b>    |
| <b>NAIC Standard - SB 164 - 1375002</b>          |                    |                |                     |                |                     |                 |                     |                |
| <b>PERSONAL SERVICES</b>                         |                    |                |                     |                |                     |                 |                     |                |
| INSURANCE DEDICATED FUND                         | 0                  | 0.00           | 0                   | 0.00           | 0                   | 0.00            | 172,000             | 1.60           |
| TOTAL - PS                                       | 0                  | 0.00           | 0                   | 0.00           | 0                   | 0.00            | 172,000             | 1.60           |
| <b>EXPENSE &amp; EQUIPMENT</b>                   |                    |                |                     |                |                     |                 |                     |                |
| INSURANCE DEDICATED FUND                         | 0                  | 0.00           | 0                   | 0.00           | 0                   | 0.00            | 16,096              | 0.00           |
| TOTAL - EE                                       | 0                  | 0.00           | 0                   | 0.00           | 0                   | 0.00            | 16,096              | 0.00           |
| <b>TOTAL</b>                                     | <b>0</b>           | <b>0.00</b>    | <b>0</b>            | <b>0.00</b>    | <b>0</b>            | <b>0.00</b>     | <b>188,096</b>      | <b>1.60</b>    |
| <b>GRAND TOTAL</b>                               | <b>\$8,784,762</b> | <b>144.43</b>  | <b>\$10,333,366</b> | <b>170.96</b>  | <b>\$10,296,905</b> | <b>170.96</b>   | <b>\$10,772,541</b> | <b>176.56</b>  |

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im\_disummary



## FLEXIBILITY REQUEST FORM

|   |   |
|---|---|
| <b>BUDGET UNIT NUMBER:</b> 37501C<br><b>BUDGET UNIT NAME:</b> Insurance Operations<br><b>HOUSE BILL SECTION:</b> 7.420  | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><b>DIVISION:</b> Insurance Operations   |
| <b>1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.</b> |   |
| <b>DEPARTMENT REQUEST</b>   | <b>GOVERNOR'S RECOMMENDATION</b>  |
| No flexibility requested.   | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |
| <b>2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.</b>  |   |
| <b>PRIOR YEAR<br/>ACTUAL AMOUNT OF FLEXIBILITY USED</b>   | <b>CURRENT YEAR<br/>ESTIMATED AMOUNT OF<br/>FLEXIBILITY THAT WILL BE USED</b>   |
| The department did not have any flexibility in prior year budgets.  | The department does not have any flexibility in the current year budget.  |
| <b>3. Please explain how flexibility was used in the prior and/or current years.</b>  |   |
| <b>PRIOR YEAR<br/>EXPLAIN ACTUAL USE</b>  | <b>CURRENT YEAR<br/>EXPLAIN PLANNED USE</b>   |
| The department did not have any flexibility in prior year budgets.  | The department does not have any flexibility in the current year budget.  |

DIFP

DECISION ITEM DETAIL

| Budget Unit                    | FY 2016 | FY 2016 | FY 2017 | FY 2017 | FY 2018  | FY 2018  | FY 2018 | FY 2018 |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR  | FTE     |
| <b>INSURANCE OPERATIONS</b>    |         |         |         |         |          |          |         |         |
| <b>CORE</b>                    |         |         |         |         |          |          |         |         |
| ADMIN OFFICE SUPPORT ASSISTANT | 34,045  | 1.03    | 33,841  | 1.00    | 88,841   | 3.00     | 88,841  | 3.00    |
| OFFICE SUPPORT ASSISTANT       | 6,755   | 0.29    | 23,658  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| SR OFFICE SUPPORT ASSISTANT    | 146,948 | 5.57    | 136,003 | 6.50    | 120,791  | 5.16     | 120,791 | 5.16    |
| OFFICE SERVICES ASST           | 29,004  | 1.00    | 29,463  | 1.00    | 29,580   | 1.00     | 29,580  | 1.00    |
| PROCUREMENT OFCR II            | 41,312  | 0.93    | 43,756  | 0.95    | 43,756   | 0.95     | 43,756  | 0.95    |
| ACCOUNT CLERK II               | 29,412  | 1.00    | 30,009  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| ACCOUNTANT I                   | 4,263   | 0.12    | 0       | 0.00    | 0        | 0.00     | 0       | 0.00    |
| ACCOUNTING SPECIALIST I        | 37,679  | 0.95    | 0       | 0.00    | 0        | 0.00     | 0       | 0.00    |
| ACCOUNTING SPECIALIST II       | 0       | 0.00    | 37,722  | 0.95    | 39,140   | 0.95     | 39,140  | 0.95    |
| ACCOUNTING ANAL I              | 31,360  | 0.79    | 0       | 0.00    | 0        | 0.00     | 0       | 0.00    |
| ACCOUNTING ANAL II             | 1,568   | 0.04    | 39,164  | 0.95    | 41,401   | 0.95     | 41,401  | 0.95    |
| BUDGET ANAL III                | 36,125  | 0.80    | 36,865  | 0.80    | 36,848   | 0.80     | 36,848  | 0.80    |
| ACCOUNTING TECHNICIAN          | 0       | 0.00    | 0       | 0.00    | 32,148   | 1.00     | 32,148  | 1.00    |
| PERSONNEL ANAL II              | 38,361  | 0.95    | 39,128  | 0.95    | 39,140   | 0.95     | 39,140  | 0.95    |
| RESEARCH ANAL II               | 10,560  | 0.29    | 36,473  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| RESEARCH ANAL III              | 108,637 | 2.71    | 116,079 | 3.00    | 110,079  | 3.00     | 110,079 | 3.00    |
| RESEARCH ANAL IV               | 62,664  | 1.00    | 64,043  | 1.00    | 64,043   | 1.00     | 64,043  | 1.00    |
| PUBLIC INFORMATION SPEC I      | 12,781  | 0.41    | 0       | 0.00    | 0        | 0.00     | 0       | 0.00    |
| PUBLIC INFORMATION SPEC II     | 1,514   | 0.04    | 0       | 0.00    | 76,760   | 1.80     | 76,760  | 1.80    |
| PUBLIC INFORMATION ADMSTR      | 40,122  | 0.86    | 37,069  | 0.90    | 0        | 0.00     | 0       | 0.00    |
| PLANNER II                     | 46,932  | 1.00    | 47,606  | 1.00    | 47,868   | 1.00     | 47,868  | 1.00    |
| INVESTIGATOR II                | 356,947 | 9.39    | 417,017 | 12.00   | 417,017  | 12.00    | 417,017 | 12.00   |
| INVESTIGATOR III               | 0       | 0.00    | 39,021  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| INSURANCE PRODUCT ANALYST I    | 76,998  | 2.46    | 0       | 0.00    | 126,824  | 4.00     | 126,824 | 4.00    |
| INSURANCE PRODUCT ANALYST II   | 157,509 | 4.62    | 283,470 | 10.00   | 236,970  | 7.00     | 236,970 | 7.00    |
| INSURANCE PRODUCT ANALYST III  | 77,574  | 1.92    | 107,721 | 3.00    | 81,196   | 2.00     | 81,196  | 2.00    |
| INSURANCE FINANCIAL ANAL SPEC  | 153,480 | 3.73    | 162,883 | 3.80    | 154,883  | 3.90     | 154,883 | 3.90    |
| WORKERS COMPENSATION SPEC      | 62,196  | 1.59    | 81,659  | 2.00    | 41,416   | 1.00     | 41,416  | 1.00    |
| INSURANCE FINANCIAL ANALYST I  | 30,161  | 0.98    | 0       | 0.00    | 31,608   | 1.00     | 31,608  | 1.00    |
| INSURANCE FINANCIAL ANALYST II | 38,232  | 1.00    | 76,970  | 2.00    | 71,970   | 2.00     | 71,970  | 2.00    |
| INSURANCE LICENSING TECH I     | 74,628  | 3.00    | 111,312 | 5.00    | 0        | 0.00     | 0       | 0.00    |
| INSURANCE LICENSING TECH II    | 128,796 | 4.00    | 92,399  | 4.00    | 177,399  | 6.00     | 177,399 | 6.00    |

# DIFP

# DECISION ITEM DETAIL

| Budget Unit                    | FY 2016 | FY 2016 | FY 2017 | FY 2017 | FY 2018  | FY 2018  | FY 2018 | FY 2018 |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR  | FTE     |
| <b>INSURANCE OPERATIONS</b>    |         |         |         |         |          |          |         |         |
| <b>CORE</b>                    |         |         |         |         |          |          |         |         |
| TAX AUDITOR II                 | 161,724 | 4.00    | 164,958 | 5.00    | 164,958  | 5.00     | 164,958 | 5.00    |
| PROF REG LICENSING/CERT SUPV   | 12,951  | 0.34    | 0       | 0.00    | 0        | 0.00     | 0       | 0.00    |
| FISCAL & ADMINISTRATIVE MGR B1 | 51,364  | 0.80    | 52,677  | 0.80    | 54,832   | 0.80     | 54,832  | 0.80    |
| FISCAL & ADMINISTRATIVE MGR B2 | 60,690  | 0.94    | 62,555  | 0.95    | 65,113   | 0.95     | 65,113  | 0.95    |
| HUMAN RESOURCES MGR B1         | 50,587  | 0.95    | 54,487  | 0.95    | 52,269   | 0.95     | 52,269  | 0.95    |
| INVESTIGATION MGR B1           | 53,984  | 1.00    | 50,796  | 1.00    | 55,714   | 1.00     | 55,714  | 1.00    |
| INSURANCE REGULATORY MGR B1    | 163,360 | 3.00    | 151,223 | 3.00    | 151,223  | 3.00     | 151,223 | 3.00    |
| INSURANCE REGULATORY MGR B2    | 115,409 | 2.04    | 118,645 | 2.00    | 112,145  | 2.00     | 112,145 | 2.00    |
| STATE DEPARTMENT DIRECTOR      | 97,364  | 0.80    | 111,676 | 0.80    | 99,312   | 0.80     | 99,312  | 0.80    |
| DEPUTY STATE DEPT DIRECTOR     | 95,047  | 0.80    | 98,495  | 0.80    | 98,495   | 0.80     | 98,495  | 0.80    |
| DESIGNATED PRINCIPAL ASST DEPT | 201,709 | 3.52    | 214,372 | 3.65    | 219,932  | 3.70     | 219,932 | 3.70    |
| DIVISION DIRECTOR              | 256,028 | 2.72    | 366,308 | 3.75    | 272,308  | 2.70     | 272,308 | 2.70    |
| DESIGNATED PRINCIPAL ASST DIV  | 346,815 | 7.34    | 188,636 | 4.00    | 278,636  | 6.95     | 278,636 | 6.95    |
| PARALEGAL                      | 62,555  | 2.00    | 99,661  | 3.00    | 90,661   | 3.00     | 90,661  | 3.00    |
| LEGAL COUNSEL                  | 208,559 | 3.99    | 245,819 | 5.00    | 252,319  | 4.95     | 252,319 | 4.95    |
| CHIEF COUNSEL                  | 90,660  | 1.00    | 91,173  | 1.00    | 92,906   | 1.00     | 92,906  | 1.00    |
| SENIOR COUNSEL                 | 401,900 | 5.92    | 454,167 | 7.00    | 427,872  | 7.00     | 427,872 | 7.00    |
| ACTUARY                        | 287,797 | 2.29    | 420,954 | 3.00    | 329,954  | 2.75     | 329,954 | 2.75    |
| MISCELLANEOUS PROFESSIONAL     | 76,710  | 2.96    | 16,320  | 0.50    | 16,320   | 0.50     | 16,320  | 0.50    |
| SPECIAL ASST PROFESSIONAL      | 106,544 | 2.48    | 132,223 | 2.90    | 116,223  | 3.00     | 116,223 | 3.00    |
| INVESTIGATIVE CONSULTANT       | 57,661  | 1.01    | 58,372  | 1.00    | 58,372   | 1.00     | 58,372  | 1.00    |
| AUDIT MANAGER-FINANCIAL EXAM   | 183,010 | 1.90    | 284,933 | 2.90    | 276,933  | 2.90     | 276,933 | 2.90    |
| CHIEF FINANCIAL EXAMINER       | 88,626  | 0.88    | 102,855 | 1.00    | 90,567   | 0.88     | 90,567  | 0.88    |
| CONSUMER COMPLAINT SPEC I      | 139,964 | 3.92    | 0       | 0.00    | 0        | 0.00     | 0       | 0.00    |
| CONSUMER COMPLAINT SPEC II     | 360,503 | 9.30    | 303,648 | 13.51   | 443,948  | 16.00    | 443,948 | 16.00   |
| CONSUMER COMPLAIN SPEC III     | 82,133  | 1.96    | 277,429 | 9.00    | 277,429  | 9.00     | 277,429 | 9.00    |
| CHIEF MARKET CONDUCT EXAM      | 96,145  | 1.00    | 98,067  | 1.00    | 98,067   | 1.00     | 98,067  | 1.00    |
| M C EXAMINER II                | 5,484   | 0.10    | 97,920  | 2.00    | 5,570    | 0.10     | 5,570   | 0.10    |
| M C EXAMINER III               | 282,094 | 4.10    | 215,231 | 2.90    | 325,231  | 5.10     | 325,231 | 5.10    |
| EXAMINER-IN-CHARGE MC          | 12,149  | 0.14    | 4,410   | 0.05    | 8,820    | 0.10     | 8,820   | 0.10    |
| AUDIT MANAGER-MARKET CONDUCT   | 182,716 | 1.96    | 185,352 | 2.00    | 90,250   | 0.95     | 90,250  | 0.95    |
| FINANCIAL EXAMINER II          | 86,227  | 1.59    | 108,779 | 2.00    | 108,779  | 2.00     | 108,779 | 2.00    |

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**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                    | FY 2016          | FY 2016       | FY 2017          | FY 2017       | FY 2018          | FY 2018       | FY 2018          | FY 2018       |
|--------------------------------|------------------|---------------|------------------|---------------|------------------|---------------|------------------|---------------|
| Decision Item                  | ACTUAL           | ACTUAL        | BUDGET           | BUDGET        | DEPT REQ         | DEPT REQ      | GOV REC          | GOV REC       |
| Budget Object Class            | DOLLAR           | FTE           | DOLLAR           | FTE           | DOLLAR           | FTE           | DOLLAR           | FTE           |
| <b>INSURANCE OPERATIONS</b>    |                  |               |                  |               |                  |               |                  |               |
| <b>CORE</b>                    |                  |               |                  |               |                  |               |                  |               |
| FINANCIAL EXAMINER III         | 563,864          | 7.49          | 665,643          | 9.70          | 665,643          | 10.00         | 665,643          | 10.00         |
| EXAMINER-IN-CHARGE FINANCIAL   | 207,954          | 2.30          | 344,538          | 4.00          | 344,538          | 4.00          | 344,538          | 4.00          |
| REINSURANCE EXAMINER           | 114,210          | 1.41          | 171,706          | 2.00          | 116,706          | 1.50          | 116,706          | 1.50          |
| CAPTIVE FINANCIAL EX III       | 82,279           | 1.11          | 64,664           | 1.00          | 71,300           | 0.92          | 71,300           | 0.92          |
| SR EXAMINER - IN CHARGE        | 58,557           | 0.63          | 0                | 0.00          | 114,000          | 1.20          | 114,000          | 1.20          |
| MANAGER                        | 117,967          | 2.27          | 104,239          | 3.00          | 149,239          | 3.00          | 149,239          | 3.00          |
| <b>TOTAL - PS</b>              | <b>7,499,863</b> | <b>144.43</b> | <b>8,306,262</b> | <b>170.96</b> | <b>8,306,262</b> | <b>170.96</b> | <b>8,306,262</b> | <b>170.96</b> |
| TRAVEL, IN-STATE               | 82,850           | 0.00          | 101,663          | 0.00          | 101,663          | 0.00          | 101,663          | 0.00          |
| TRAVEL, OUT-OF-STATE           | 121,950          | 0.00          | 111,000          | 0.00          | 123,000          | 0.00          | 123,000          | 0.00          |
| FUEL & UTILITIES               | 0                | 0.00          | 1,001            | 0.00          | 1,001            | 0.00          | 1,001            | 0.00          |
| SUPPLIES                       | 176,361          | 0.00          | 251,674          | 0.00          | 251,674          | 0.00          | 251,674          | 0.00          |
| PROFESSIONAL DEVELOPMENT       | 125,856          | 0.00          | 217,661          | 0.00          | 217,661          | 0.00          | 217,661          | 0.00          |
| COMMUNICATION SERV & SUPP      | 92,145           | 0.00          | 174,944          | 0.00          | 174,944          | 0.00          | 174,944          | 0.00          |
| PROFESSIONAL SERVICES          | 585,130          | 0.00          | 712,702          | 0.00          | 712,702          | 0.00          | 712,702          | 0.00          |
| HOUSEKEEPING & JANITORIAL SERV | 108              | 0.00          | 8,001            | 0.00          | 501              | 0.00          | 501              | 0.00          |
| M&R SERVICES                   | 2,228            | 0.00          | 53,545           | 0.00          | 49,045           | 0.00          | 49,045           | 0.00          |
| COMPUTER EQUIPMENT             | 0                | 0.00          | 11,000           | 0.00          | 11,000           | 0.00          | 11,000           | 0.00          |
| MOTORIZED EQUIPMENT            | 13,280           | 0.00          | 0                | 0.00          | 0                | 0.00          | 0                | 0.00          |
| OFFICE EQUIPMENT               | 40,559           | 0.00          | 145,409          | 0.00          | 108,948          | 0.00          | 108,948          | 0.00          |
| OTHER EQUIPMENT                | 8,872            | 0.00          | 15,000           | 0.00          | 15,000           | 0.00          | 15,000           | 0.00          |
| PROPERTY & IMPROVEMENTS        | 10,881           | 0.00          | 135,001          | 0.00          | 135,001          | 0.00          | 135,001          | 0.00          |
| BUILDING LEASE PAYMENTS        | 12,191           | 0.00          | 25,001           | 0.00          | 25,001           | 0.00          | 25,001           | 0.00          |
| EQUIPMENT RENTALS & LEASES     | 1,824            | 0.00          | 17,501           | 0.00          | 17,501           | 0.00          | 17,501           | 0.00          |
| MISCELLANEOUS EXPENSES         | 10,664           | 0.00          | 40,000           | 0.00          | 40,000           | 0.00          | 40,000           | 0.00          |
| REBILLABLE EXPENSES            | 0                | 0.00          | 1,001            | 0.00          | 1,001            | 0.00          | 1,001            | 0.00          |
| <b>TOTAL - EE</b>              | <b>1,284,899</b> | <b>0.00</b>   | <b>2,022,104</b> | <b>0.00</b>   | <b>1,985,643</b> | <b>0.00</b>   | <b>1,985,643</b> | <b>0.00</b>   |

# DIFP

# DECISION ITEM DETAIL

| Budget Unit                 | FY 2016            | FY 2016       | FY 2017             | FY 2017       | FY 2018             | FY 2018       | FY 2018             | FY 2018       |
|-----------------------------|--------------------|---------------|---------------------|---------------|---------------------|---------------|---------------------|---------------|
| Decision Item               | ACTUAL             | ACTUAL        | BUDGET              | BUDGET        | DEPT REQ            | DEPT REQ      | GOV REC             | GOV REC       |
| Budget Object Class         | DOLLAR             | FTE           | DOLLAR              | FTE           | DOLLAR              | FTE           | DOLLAR              | FTE           |
| <b>INSURANCE OPERATIONS</b> |                    |               |                     |               |                     |               |                     |               |
| <b>CORE</b>                 |                    |               |                     |               |                     |               |                     |               |
| PROGRAM DISTRIBUTIONS       | 0                  | 0.00          | 5,000               | 0.00          | 5,000               | 0.00          | 5,000               | 0.00          |
| TOTAL - PD                  | 0                  | 0.00          | 5,000               | 0.00          | 5,000               | 0.00          | 5,000               | 0.00          |
| <b>GRAND TOTAL</b>          | <b>\$8,784,762</b> | <b>144.43</b> | <b>\$10,333,366</b> | <b>170.96</b> | <b>\$10,296,905</b> | <b>170.96</b> | <b>\$10,296,905</b> | <b>170.96</b> |
| GENERAL REVENUE             | \$0                | 0.00          | \$0                 | 0.00          | \$0                 | 0.00          | \$0                 | 0.00          |
| FEDERAL FUNDS               | \$0                | 0.00          | \$0                 | 0.00          | \$0                 | 0.00          | \$0                 | 0.00          |
| OTHER FUNDS                 | \$8,784,762        | 144.43        | \$10,333,366        | 170.96        | \$10,296,905        | 170.96        | \$10,296,905        | 170.96        |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420

Director's Office

Program is found in the following core budget(s): Insurance Operations

### 1. What does this program do?

The Director's Office provides oversight and management of all department activities. The Director's Office is responsible for policy decisions, regulation, legislation and communications. The Director's Office also includes legal and insurance receivership activities. The department's legal section provides counsel to the Director's Office and each division and section and represents the department directly before courts and the Administrative Hearing Commission. When a judge orders an insurance company into receivership, the director is responsible for either rehabilitating the company or liquidating it as provided by statute and court order.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statutes: Chapters 325, 354 and 374-385 RSMo.

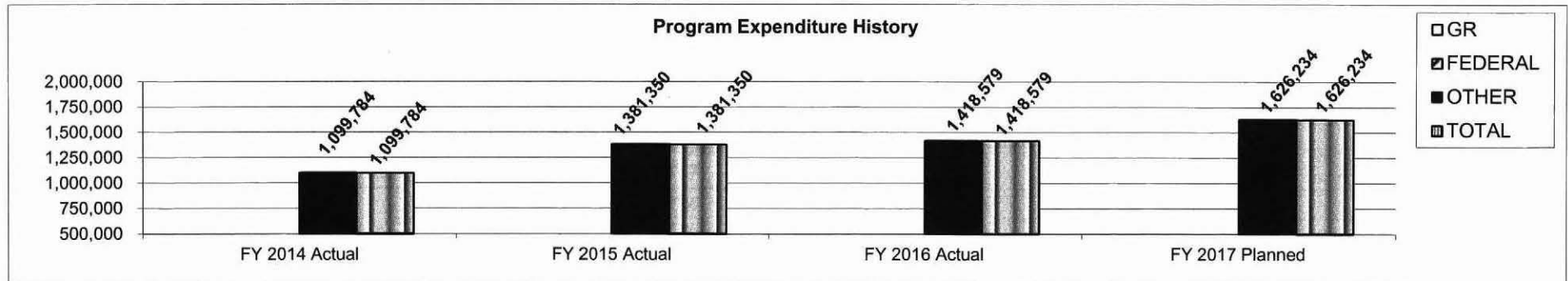
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

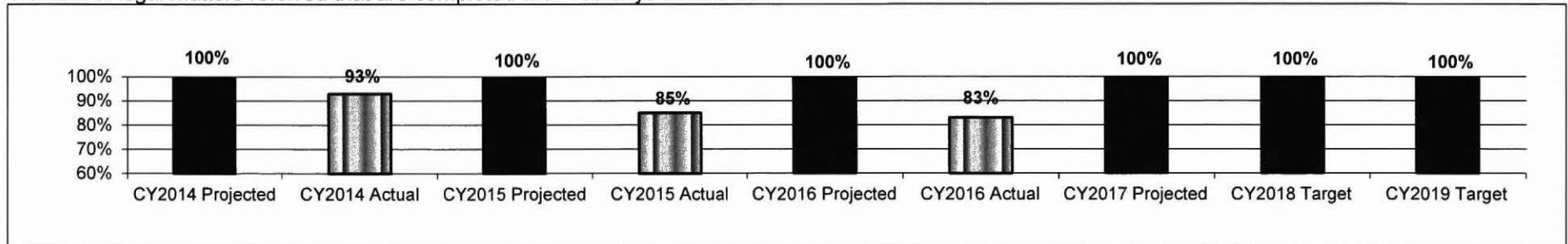
HB Section(s): 7.420

Director's Office

Program is found in the following core budget(s): Insurance Operations

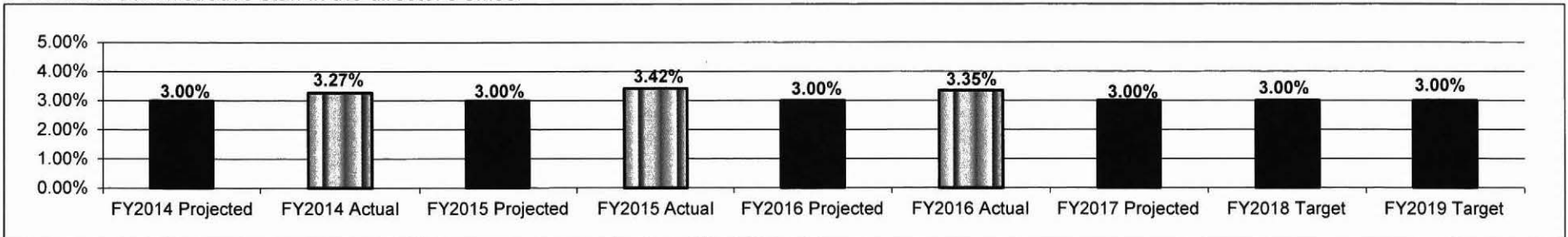
**7a. Provide an effectiveness measure.**

Percent of legal matters referred that are completed with 180 days of referral.



**7b. Provide an efficiency measure.**

Percent of administrative staff in the director's office.



**7c. Provide the number of clients/individuals served, if applicable.**

None available.

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420

Consumer Affairs Division

Program is found in the following core budget(s): Insurance Operations

**1. What does this program do?**

The Consumer Affairs Division answers questions from the public about insurance companies, contracts and citizen concerns through the department's consumer hotline (1-800-726-7390). The division also provides insurance education and outreach activities to Missouri citizens. In disputed insurance claims, the division acts as a liaison between the consumer and the insurance company. The division investigates complaints submitted by the public alleging unfair or unlawful acts committed by insurance companies and agencies, licensed insurance agents and brokers, bail bond agents, public adjusters, sellers of motor vehicle service contracts and navigators. The division investigates unlicensed insurance activities, reviews license applications and reviews reports of insurance fraud submitted by insurance companies and consumers.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapter 374.085 RSMo.

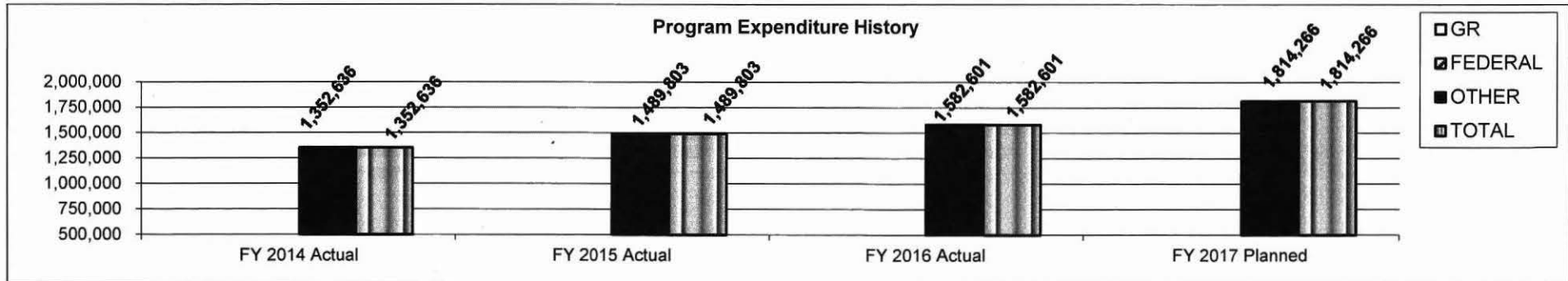
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Insurance Dedicated Fund (0566)

**7a. Provide an effectiveness measure.**

None available.



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

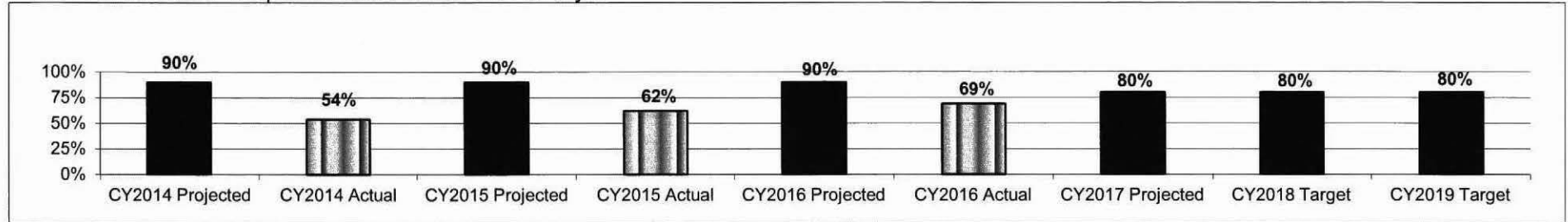
HB Section(s): 7.420

Consumer Affairs Division

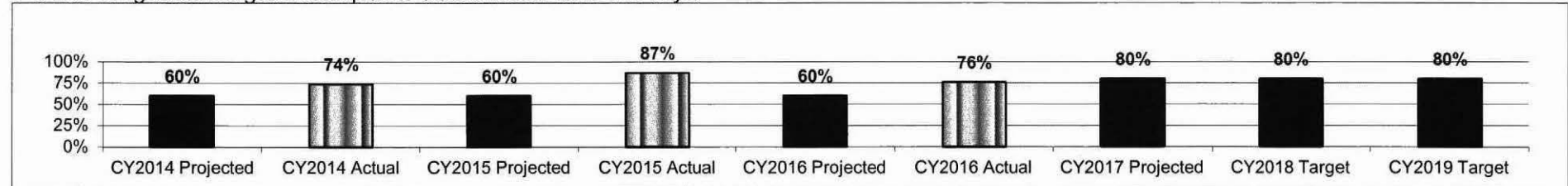
Program is found in the following core budget(s): Insurance Operations

**7b. Provide an efficiency measure.**

Percent of consumer complaints closed in less than 60 days.



Percent of agent investigation complaints closed in less than 120 days.



**7c. Provide the number of clients/individuals served, if applicable.**

|                      | CY2014    |        | CY2015    |        | CY2016    |        | CY2017    | CY2018 | CY2019 |
|----------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                      | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Consumer Complaints  | 3,000     | 4,290  | 3,000     | 3,248  | 3,000     | 2,936  | 3,000     | 3,000  | 3,000  |
| Agent Investigations | 1,000     | 755    | 1,000     | 946    | 900       | 972    | 750       | 900    | 900    |
| Consumer Phone Calls | 22,000    | 27,112 | 22,000    | 24,761 | 21,000    | 19,998 | 22,000    | 22,000 | 22,000 |
| Inquiries            | 9,000     | 9,264  | 9,000     | 7,792  | 9,000     | 4,076  | 9,000     | 9,000  | 9,000  |
| Walk-ins             | 100       | 70     | 100       | 55     | 75        | 49     | 75        | 75     | 75     |
| Outreach Events      |           |        |           |        |           | 9,000  | 10,000    | 10,000 | 10,000 |

The division added outreach events as a measure for 2016.

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

### FY 2017 PLANNED

|              | Insurance Operations | Insurance Examinations | Total            |
|--------------|----------------------|------------------------|------------------|
| GR           | 0                    | 0                      | 0                |
| FEDERAL      | 0                    | 0                      | 0                |
| OTHER        | 3,017,067            | 2,358,726              | 5,375,793        |
| <b>TOTAL</b> | <b>3,017,067</b>     | <b>2,358,726</b>       | <b>5,375,793</b> |

#### 1. What does this program do?

The Insurance Company Regulation Division monitors the financial condition of all domestic (Missouri based) and foreign (non-Missouri based) insurance companies operating in Missouri. The division conducts financial examinations of domestic insurance companies and analyzes the financial condition of all companies licensed to sell insurance in Missouri. The division seeks to prevent insolvencies of domestic companies and takes appropriate action against any financially unsound company to protect policyholders and taxpayers. The division accounts for surplus lines taxes and admission fees due to Missouri and for insurance companies' deposits held to benefit policyholders.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 148, 287, 374, 375, 376 and 384 RSMo.

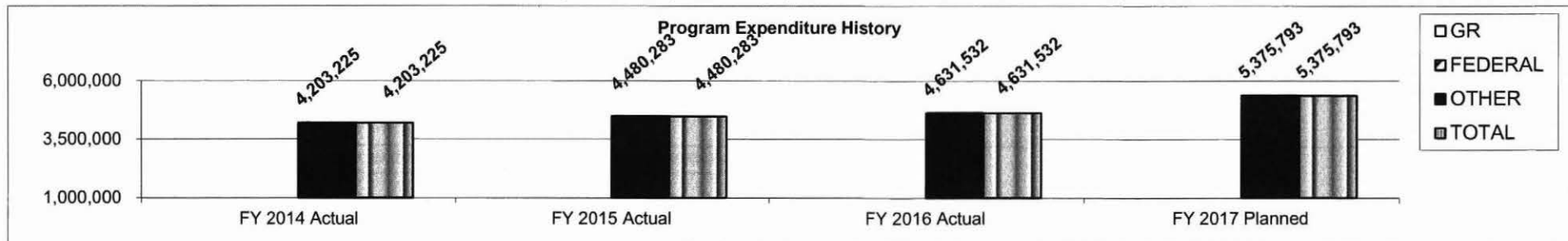
#### 3. Are there federal matching requirements? If yes, please explain.

No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Company Regulation Division

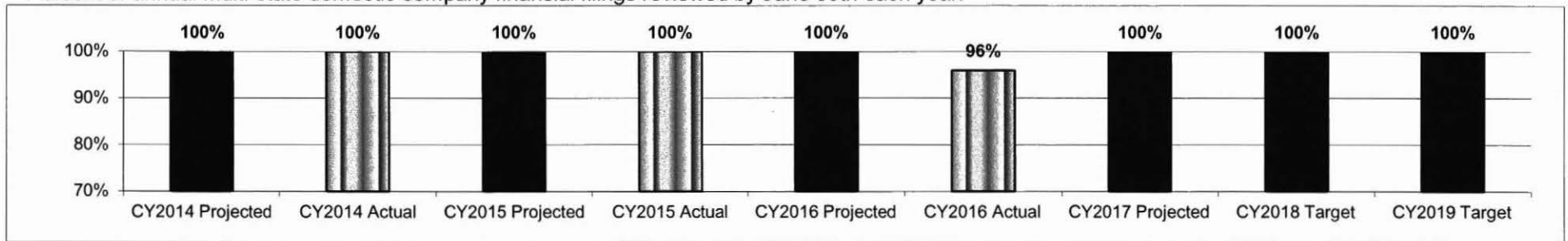
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

### 6. What are the sources of the "Other " funds?

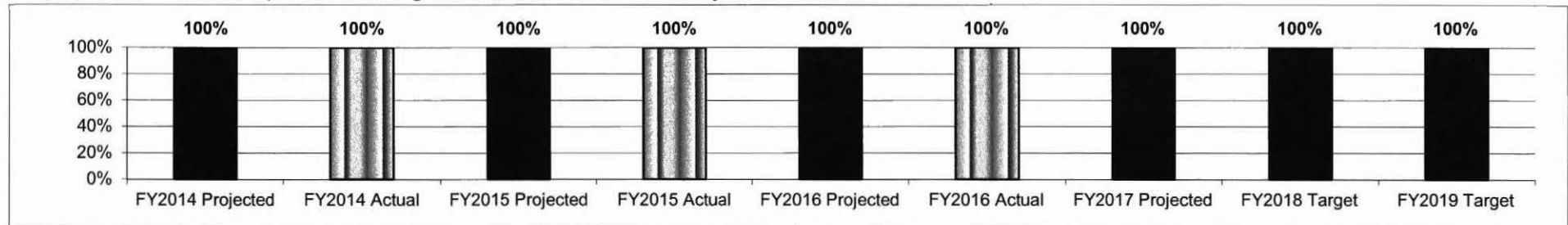
Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

### 7a. Provide an effectiveness measure.

Percent of annual multi-state domestic company financial filings reviewed by June 30th each year.



Percent of domestic companies receiving a financial exam within a five-year timeframe.



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

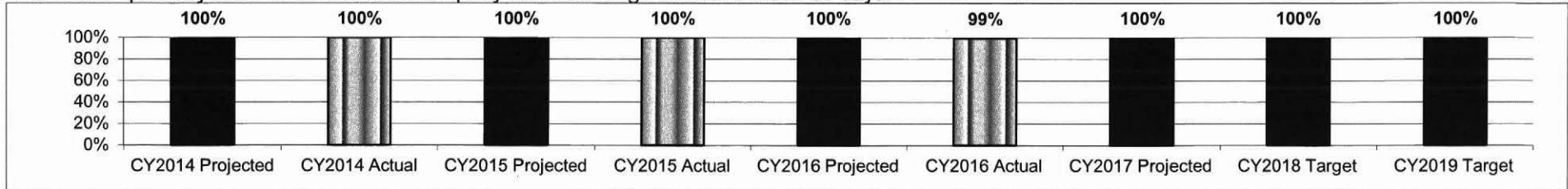
HB Section(s): 7.420 / 7.425

Insurance Company Regulation Division

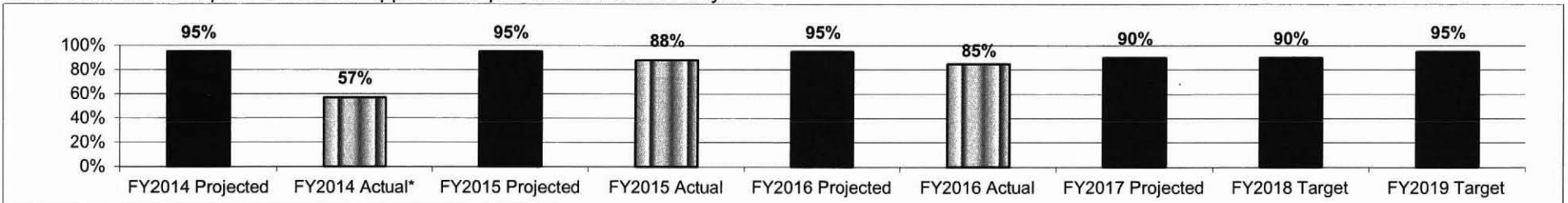
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

**7b. Provide an efficiency measure.**

Percent of quarterly multi-state domestic company financial filings reviewed within 60 days.



Percent of new complete admission applications processed within 90 days.



\*Decrease due to 60% staff turnover in the section and training of new employees.

**7c. Provide the number of clients/individuals served, if applicable.**

|                                 | CY2014    |          | CY2015    |          | CY2016    |          | CY2017    | CY2018 | CY2019 |
|---------------------------------|-----------|----------|-----------|----------|-----------|----------|-----------|--------|--------|
|                                 | Projected | Actual   | Projected | Actual   | Projected | Actual   | Projected | Target | Target |
| Number of Domestic Companies    | 210       | 225      | 225       | 228      | 230       | 226      | 235       | 245    | 250    |
| Number of Licensed Companies    | 1,985     | 2,018    | 2,000     | 2,010    | 2,000     | 2,006    | 2,000     | 2,000  | 2,000  |
| Number of Surplus Lines Brokers | 1,700     | 1,832    | 1,700     | 1,892    | 1,700     | 1,786    | 1,700     | 1,911  | 1,911  |
| Surplus Lines Tax Collected     | 23 mil    | 28.7 mil | 23 mil    | 29.3 mil | 25 mil    | 30.2 mil | 26 mil    | 29 mil | 29 mil |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

### FY 2017 PLANNED

|         | Insurance Operations | Insurance Exam | Total     |
|---------|----------------------|----------------|-----------|
| GR      | 0                    | 0              | 0         |
| FEDERAL | 0                    | 0              | 0         |
| OTHER   | 2,515,876            | 1,812,563      | 4,328,439 |
| TOTAL   | 2,515,876            | 1,812,563      | 4,328,439 |

#### 1. What does this program do?

The Insurance Market Regulation Division protects the interests of Missouri's insurance buying consumers by ensuring companies are conducting business according to all applicable state statutes and regulations. The division performs market conduct examinations of insurance companies operating in the state to ensure equitable treatment of policyholders. The division prepares reports on insurance markets in the state for use by consumers, insurance companies and department staff. In addition, the division oversees activities of Missouri Health Maintenance Organizations (HMO) and reviews company applications for new certificates of authority or service area changes and analyzes trends in HMO activities. The division approves policy forms that an insurer wants to sell in the state and reviews forms, endorsements, illustrations and some rate filings.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 287, 354, 374, 375, 376, 377, 378, 379, 380, 381, 383, 385 and 447 RSMo.

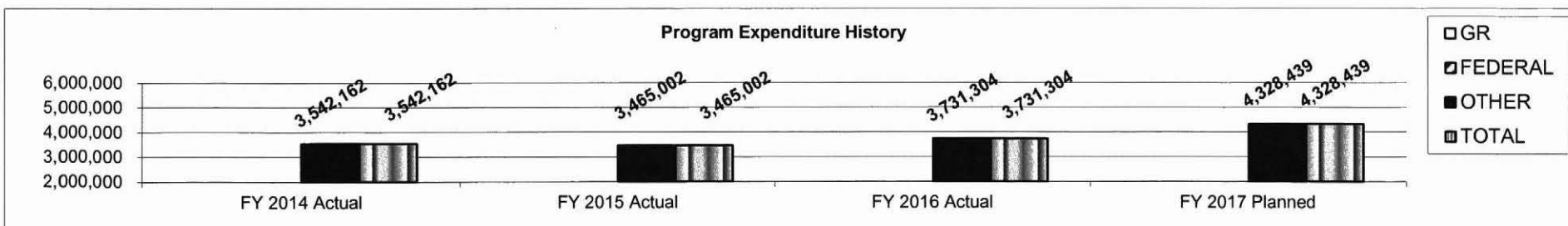
#### 3. Are there federal matching requirements? If yes, please explain.

No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s): 7.420 / 7.425**

**Insurance Market Regulation Division**

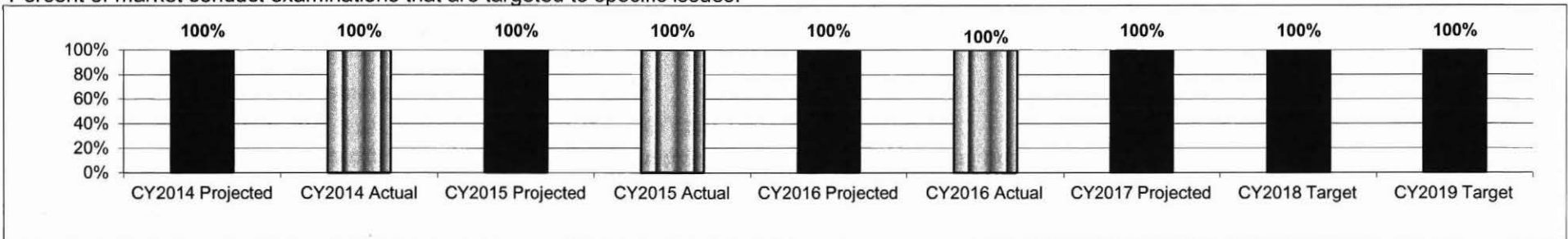
**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

### 6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

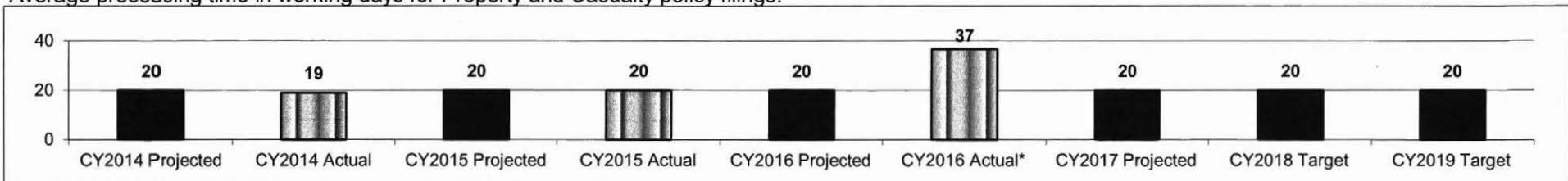
### 7a. Provide an effectiveness measure.

Percent of market conduct examinations that are targeted to specific issues.



### 7b. Provide an efficiency measure.

Average processing time in working days for Property and Casualty policy filings.



\* Employee turnover affected CY 2016.

The Department's target goal for product filing reviews is 20 days. This 20 day product filing review benchmark was established through the National of Insurance Commissioners (NAIC) "Speed to Market Initiative".

## PROGRAM DESCRIPTION

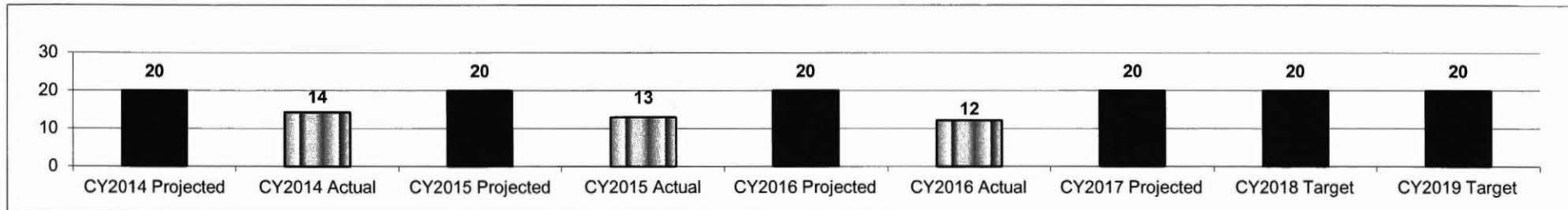
**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s): 7.420 / 7.425**

**Insurance Market Regulation Division**

**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

Average processing time in working days for Life and Health policy filings.



The Department's target goal for product filing reviews is 20 days. This 20 day product filing review benchmark was established through the National of Insurance Commissioners (NAIC) "Speed to Market Initiative".

**7c. Provide the number of clients/individuals served, if applicable.**

|                      | CY2014    |        | CY2015    |         | CY2016    |         | CY2017    | CY2018  | CY2019  |
|----------------------|-----------|--------|-----------|---------|-----------|---------|-----------|---------|---------|
|                      | Projected | Actual | Projected | Actual  | Projected | Actual  | Projected | Target  | Target  |
| P&C filings received | 5,700     | 6,637  | 5,500     | 6,470   | 6,500     | 5,506   | 6,825     | 7,648   | 7,800   |
| ** P&C pages filed   |           |        |           | 303,363 | 300,000   | 330,625 | 300,000   | 300,000 | 300,000 |
| L&H filings received | 7,500     | 3,958  | 5,000     | 3,856   | 5,000     | 3,978   | 6,175     | 6,850   | 7,000   |
| ** L&H pages filed   |           |        |           | 279,352 | 280,000   | 317,187 | 300,000   | 300,000 | 325,000 |

\*\*DIFP did not start capturing this data until CY2015, no additional information is available.

**7d. Provide a customer satisfaction measure, if available.**

None available.



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.420

**Administration Division**

**Program is found in the following core budget(s): Insurance Operations**

### 1. What does this program do?

The Administration Division is responsible for the general operation and support within the department. The division prepares the department's annual budget request, monitors department revenues and expenditures, handles personnel and training for department employees and is responsible for monitoring all accounting, procurement and grant management for the department. The division is also responsible for the coordination of department information technology needs with the Information Technology Services Division within the Office of Administration. In addition to support activities, the division is responsible for the licensing and renewals of all insurance producers operating within the state as well as public adjusters, bail bondsmen, surety recovery agents, surplus lines brokers, motor vehicle service contract providers and producers, portable electronics insurance providers, navigators, self-service storage producers and utilization review agents. Also, the division reviews various annual tax filings generating over \$291 million in premium taxes as well as oversees the CLAIM program, which provides free counseling for Missouri consumers with Medicare and their caregivers.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 325, 374, 375, 384 RSMo.

State Constitution: Article IX Section 7 (state school fund deposits).

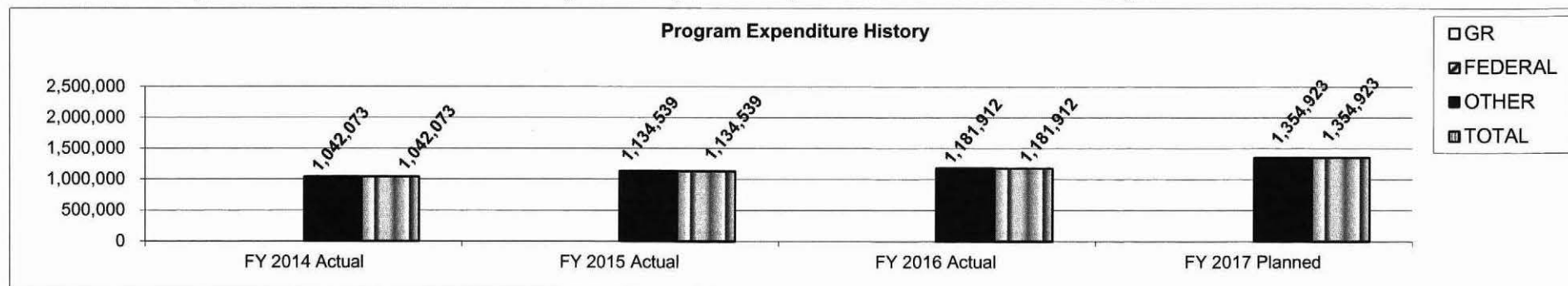
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

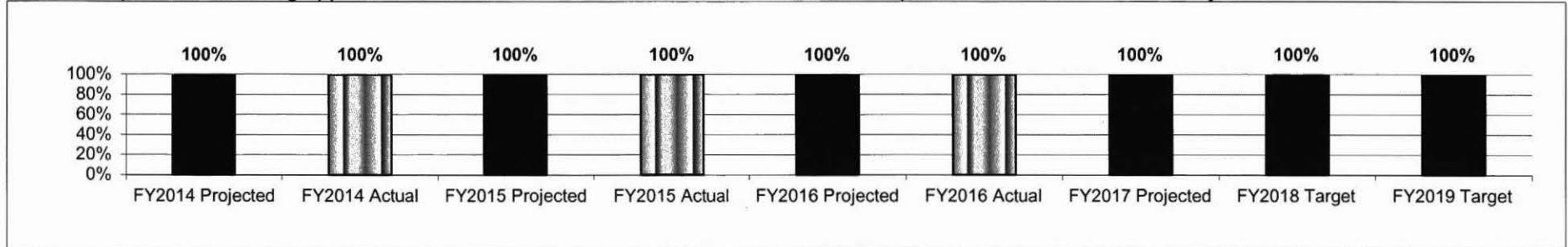
HB Section(s): 7.420

Administration Division

Program is found in the following core budget(s): Insurance Operations

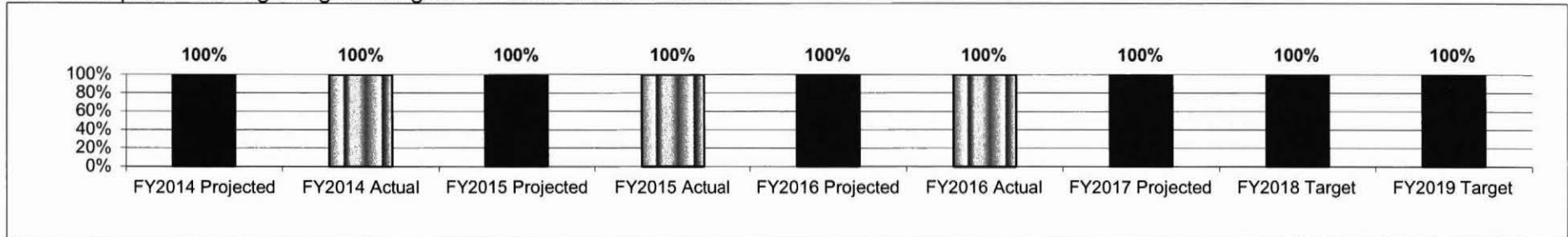
**7a. Provide an effectiveness measure.**

Percent of producer licensing applications, renewal and certifications and clearance letters processed within 5 business days.

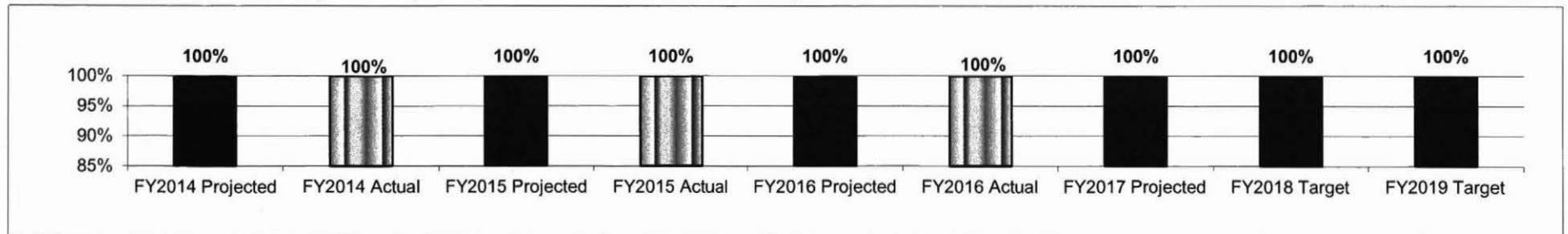


**7b. Provide an efficiency measure.**

Percent of phone calls regarding licensing issues returned within 24 hours.



Percent of fully documented payment requests processed within 10 business days.



# PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420

Administration Division

Program is found in the following core budget(s): Insurance Operations

7c. Provide the number of clients/individuals served, if applicable.

|                                 | FY2014    |           | FY2015    |           | FY2016    |           | FY2017    | FY2018  | FY2019  |
|---------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|---------|---------|
|                                 | Projected | Actual    | Projected | Actual    | Projected | Actual    | Projected | Target  | Target  |
| New licensing applications      | 31,000    | 34,402    | 31,000    | 39,711    | 31,000    | 40,644    | 35,000    | 35,000  | 43,000  |
| Renewal licensing applications  | 40,000    | 45,350    | 40,000    | 57,480    | 40,000    | 62,239    | 60,000    | 60,000  | 65,000  |
| Certification/clearance letters | 250       | 212       | 250       | 244       | 250       | 104       | 100       | 100     | 100     |
| Inquiries to licensing          | 37,000    | 33,372    | 37,000    | 30,786    | 37,000    | 26,615    | 30,000    | 30,000  | 30,000  |
| Number of checks processed      | 32,000    | 30,927    | 32,000    | 18,107    | 30,000    | 15,342    | 15,000    | 15,000  | 15,000  |
| Number of EFTs processed        | 67,000    | 71,658    | 67,000    | 94,513    | 67,000    | 96,193    | 96,000    | 96,500  | 96,750  |
| Number of payments processed    | 2,500     | 1,928     | 2,500     | 1,547     | 2,200     | 1,550     | 1,500     | 1,500   | 1,500   |
|                                 |           |           |           |           |           |           |           |         |         |
|                                 | CY2014    |           | CY2015    |           | CY2016    |           | CY2017    | CY2018  | CY2019  |
|                                 | Projected | Actual    | Projected | Actual    | Projected | Actual    | Projected | Target  | Target  |
| Premium Tax Collected           | 210 mil   | 237.6 mil | 210 mil   | 271.7 mil | 210 mil   | 291.8 mil | 280 mil   | 280 mil | 290 mil |

7d. Provide a customer satisfaction measure, if available.

None available.



**NEW DECISION ITEM**  
**RANK: 5 OF 7**

|  |                           |
|--|---------------------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit 37501C</b> |
| <b>Insurance-Insurance Operations</b>  |                           |
| <b>Implement SB 865 and SB 866 (2016)</b>  | <b>House Bill 7.420</b>   |
| <b>DI# 1375001</b>   |                           |

**1. AMOUNT OF REQUEST**

|              | FY 2018 Budget Request |             |             |             |
|--------------|------------------------|-------------|-------------|-------------|
|              | GR                     | Federal     | Other       | Total       |
| PS           | 0                      | 0           | 0           | 0           |
| EE           | 0                      | 0           | 0           | 0           |
| PSD          | 0                      | 0           | 0           | 0           |
| TRF          | 0                      | 0           | 0           | 0           |
| <b>Total</b> | <b>0</b>               | <b>0</b>    | <b>0</b>    | <b>0</b>    |
| <b>FTE</b>   | <b>0.00</b>            | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> |

|                    |   |   |   |   |
|--------------------|---|---|---|---|
| <b>Est. Fringe</b> | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds:

|              | FY 2018 Governor's Recommendation |             |                |                |
|--------------|-----------------------------------|-------------|----------------|----------------|
|              | GR                                | Federal     | Other          | Total          |
| PS           | 0                                 | 0           | 250,772        | 250,772        |
| EE           | 0                                 | 0           | 36,768         | 36,768         |
| PSD          | 0                                 | 0           | 0              | 0              |
| TRF          | 0                                 | 0           | 0              | 0              |
| <b>Total</b> | <b>0</b>                          | <b>0</b>    | <b>287,540</b> | <b>287,540</b> |
| <b>FTE</b>   | <b>0.00</b>                       | <b>0.00</b> | <b>4.00</b>    | <b>4.00</b>    |

|                    |   |   |         |         |
|--------------------|---|---|---------|---------|
| <b>Est. Fringe</b> | 0 | 0 | 108,829 | 108,829 |
|--------------------|---|---|---------|---------|

*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds: Insurance Dedicated Fund (0566)

**2. THIS REQUEST CAN BE CATEGORIZED AS:**

|   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> New Legislation | <input type="checkbox"/> New Program       | <input type="checkbox"/> Fund Switch           |
| <input type="checkbox"/> Federal Mandate            | <input type="checkbox"/> Program Expansion | <input type="checkbox"/> Cost to Continue      |
| <input type="checkbox"/> GR Pick-Up                 | <input type="checkbox"/> Space Request     | <input type="checkbox"/> Equipment Replacement |
| <input type="checkbox"/> Pay Plan                   | <input type="checkbox"/> Other:            |  |

**3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.**

Senate Bill 865 and Senate Bill 866 (SB 865 & SB 866) established the Missouri Health Insurance Rate Transparency Act for all types of health insurance. The department is required to review the proposed rates within a sixty day time frame and in some instances, make formal determinations as to the actuarial soundness of the rates and determine if the rates are excessive, inadequate or unfairly discriminatory. This will require very technical insurance knowledge. The department anticipates receiving approximately 2,350 rate filings per year.

NEW DECISION ITEM  
RANK: 5 OF 7

|   |             |                  |
|---|-------------|------------------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 37501C           |
| Insurance-Insurance Operations  |             |                  |
| Implement SB 865 and SB 866 (2016)  | DI# 1375001 | House Bill 7.420 |

**4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)**

Based on the estimated volume of reviews required, one Actuary FTE and three Insurance Product Analyst III FTE's are being requested for policy review and review of rates for actuarial soundness. This request matches the department's TAFP fiscal note.

**5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.**

| Budget Object Class/Job Class | Dept Req<br>GR<br>DOLLARS | Dept Req<br>GR<br>FTE | Dept Req<br>FED<br>DOLLARS | Dept Req<br>FED<br>FTE | Dept Req<br>OTHER<br>DOLLARS | Dept Req<br>OTHER<br>FTE | Dept Req<br>TOTAL<br>DOLLARS | Dept Req<br>TOTAL<br>FTE | Dept Req<br>One-Time<br>DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
|                               | 0                         |                       |                            |                        |                              |                          | 0                            | 0.0                      |                                 |
|                               |                           |                       |                            |                        |                              |                          | 0                            | 0.0                      |                                 |
| <b>Total PS</b>               | <b>0</b>                  | <b>0.0</b>            | <b>0</b>                   | <b>0.0</b>             | <b>0</b>                     | <b>0.0</b>               | <b>0</b>                     | <b>0.0</b>               | <b>0</b>                        |
|                               |                           |                       |                            |                        |                              |                          | 0                            |                          | 0                               |
|                               |                           |                       |                            |                        |                              |                          | 0                            |                          |                                 |
|                               |                           |                       |                            |                        |                              |                          | 0                            |                          |                                 |
|                               | <u>0</u>                  |                       | <u>0</u>                   |                        | <u>0</u>                     |                          | <u>0</u>                     |                          | <u>0</u>                        |
| Program Distributions         |                           |                       |                            |                        |                              |                          | 0                            |                          |                                 |
| <b>Total PSD</b>              | <u>0</u>                  |                       | <u>0</u>                   |                        | <u>0</u>                     |                          | <u>0</u>                     |                          | <u>0</u>                        |
| Transfers                     |                           |                       |                            |                        |                              |                          |                              |                          |                                 |
| <b>Total TRF</b>              | <u>0</u>                  |                       | <u>0</u>                   |                        | <u>0</u>                     |                          | <u>0</u>                     |                          | <u>0</u>                        |
| <b>Grand Total</b>            | <u>0</u>                  | <u>0.0</u>            | <u>0</u>                   | <u>0.0</u>             | <u>0</u>                     | <u>0.0</u>               | <u>0</u>                     | <u>0.0</u>               | <u>0</u>                        |

NEW DECISION ITEM  
RANK: 5 OF 7

| Department of Insurance, Financial Institutions ans Professional Registration |                          |                      |                           |                       | Budget Unit 37501C          |                         |                             |                         |                                |
|---|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| Insurance-Insurance Operations  |                          |                      |                           |                       |                             |                         |                             |                         |                                |
| Implement SB 865 and SB 866 (2016)  |                          |                      | DI# 1375001               |                       | House Bill                  |                         | 7.420                       |                         |                                |
|   |                          |                      |                           |                       |                             |                         |                             |                         |                                |
| Budget Object Class/Job Class   | Gov Rec<br>GR<br>DOLLARS | Gov Rec<br>GR<br>FTE | Gov Rec<br>FED<br>DOLLARS | Gov Rec<br>FED<br>FTE | Gov Rec<br>OTHER<br>DOLLARS | Gov Rec<br>OTHER<br>FTE | Gov Rec<br>TOTAL<br>DOLLARS | Gov Rec<br>TOTAL<br>FTE | Gov Rec<br>One-Time<br>DOLLARS |
| 100/009779/Actuary  |                          |                      |                           |                       | 140,000                     | 1.0                     | 140,000                     | 1.0                     |                                |
| 100/007577 / Insurance Product Analyst III                                    |                          |                      |                           |                       | 110,772                     | 3.0                     | 110,772                     | 3.0                     |                                |
| Total PS  | 0                        | 0.0                  | 0                         | 0.0                   | 250,772                     | 4.0                     | 250,772                     | 4.0                     | 0                              |
|   |                          |                      |                           |                       |                             |                         |                             |                         |                                |
| 0580 / Office Equipment   |                          |                      |                           |                       | 31,720                      |                         | 31,720                      |                         | 31,720                         |
| 0190 / Office Supplies  |                          |                      |                           |                       | 1,488                       |                         | 1,488                       |                         |                                |
| 0320 / Professional Development   |                          |                      |                           |                       | 1,600                       |                         | 1,600                       |                         |                                |
| 0340 / Communications   |                          |                      |                           |                       | 1,960                       |                         | 1,960                       |                         |                                |
| Total EE  | 0                        |                      | 0                         |                       | 36,768                      |                         | 36,768                      |                         | 31,720                         |
|   |                          |                      |                           |                       |                             |                         |                             |                         |                                |
| Program Distributions   |                          |                      |                           |                       |                             |                         |                             |                         |                                |
| Total PSD   | 0                        |                      | 0                         |                       | 0                           |                         | 0                           |                         | 0                              |
|   |                          |                      |                           |                       |                             |                         |                             |                         |                                |
| Transfers   |                          |                      |                           |                       |                             |                         |                             |                         |                                |
| Total TRF   | 0                        |                      | 0                         |                       | 0                           |                         | 0                           |                         | 0                              |
|   |                          |                      |                           |                       |                             |                         |                             |                         |                                |
| Grand Total   | 0                        | 0.0                  | 0                         | 0.0                   | 287,540                     | 4.0                     | 287,540                     | 4.0                     | 31,720                         |

NEW DECISION ITEM  
RANK: 5 OF 7

|   |             |                  |
|---|-------------|------------------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 37501C           |
| Insurance-Insurance Operations  |             |                  |
| Implement SB 865 and SB 866 (2016)  | DI# 1375001 | House Bill 7.420 |

**6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)**

**6a. Provide an effectiveness measure.**

Not yet available.

**6b. Provide an efficiency measure.**

Estimated percent of rate reviews  
meeting statutory deadlines:

|         |      |
|---------|------|
| FY 2018 | 100% |
| FY 2019 | 100% |
| FY 2020 | 100% |

**6c. Provide the number of clients/individuals served, if applicable.**

Estimated number of filings:

|         |       |
|---------|-------|
| FY 2018 | 2,350 |
| FY 2019 | 2,400 |
| FY 2020 | 2,400 |

**6d. Provide a customer satisfaction measure, if available.**

Not yet available.

**7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:**

Not yet available.

**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                                      | FY 2016    | FY 2016     | FY 2017    | FY 2017     | FY 2018    | FY 2018     | FY 2018          | FY 2018     |
|--|------------|-------------|------------|-------------|------------|-------------|------------------|-------------|
| Decision Item                                    | ACTUAL     | ACTUAL      | BUDGET     | BUDGET      | DEPT REQ   | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class                              | DOLLAR     | FTE         | DOLLAR     | FTE         | DOLLAR     | FTE         | DOLLAR           | FTE         |
| <b>INSURANCE OPERATIONS</b>                      |            |             |            |             |            |             |                  |             |
| <b>Rate Reviews - SB 865 &amp; 866 - 1375001</b> |            |             |            |             |            |             |                  |             |
| INSURANCE PRODUCT ANALYST III                    | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 110,772          | 3.00        |
| ACTUARY  | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 140,000          | 1.00        |
| <b>TOTAL - PS</b>                                | <b>0</b>   | <b>0.00</b> | <b>0</b>   | <b>0.00</b> | <b>0</b>   | <b>0.00</b> | <b>250,772</b>   | <b>4.00</b> |
| SUPPLIES   | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 1,488            | 0.00        |
| PROFESSIONAL DEVELOPMENT                         | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 1,600            | 0.00        |
| COMMUNICATION SERV & SUPP                        | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 1,960            | 0.00        |
| OFFICE EQUIPMENT                                 | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 31,720           | 0.00        |
| <b>TOTAL - EE</b>                                | <b>0</b>   | <b>0.00</b> | <b>0</b>   | <b>0.00</b> | <b>0</b>   | <b>0.00</b> | <b>36,768</b>    | <b>0.00</b> |
| <b>GRAND TOTAL</b>                               | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$287,540</b> | <b>4.00</b> |
| <b>GENERAL REVENUE</b>                           | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>                             | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>OTHER FUNDS</b>                               | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$287,540</b> | <b>4.00</b> |



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NEW DECISION ITEM  
RANK: 6 OF 7

|   |             |                            |
|---|-------------|----------------------------|
| Department of Insurance, Financial Institutions & Professional Registration | Budget Unit | 37501C / 37510C            |
| Insurance Company Regulation Division                                       |             |                            |
| Implement SB 164 (2015)   | DI# 1375002 | House Bill 07.420 / 07.425 |

**1. AMOUNT OF REQUEST**

|       | FY 2018 Budget Request |         |       |       |
|-------|------------------------|---------|-------|-------|
|       | GR                     | Federal | Other | Total |
| PS    | 0                      | 0       | 0     | 0     |
| EE    | 0                      | 0       | 0     | 0     |
| PSD   | 0                      | 0       | 0     | 0     |
| TRF   | 0                      | 0       | 0     | 0     |
| Total | 0                      | 0       | 0     | 0     |
| FTE   | 0.00                   | 0.00    | 0.00  | 0.00  |

|                    |   |   |   |   |
|--------------------|---|---|---|---|
| <b>Est. Fringe</b> | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|

*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds:

|       | FY 2018 Governor's Recommendation |         |         |         |
|-------|-----------------------------------|---------|---------|---------|
|       | GR                                | Federal | Other   | Total   |
| PS    | 0                                 | 0       | 215,000 | 215,000 |
| EE    | 0                                 | 0       | 20,120  | 20,120  |
| PSD   | 0                                 | 0       | 0       | 0       |
| TRF   | 0                                 | 0       | 0       | 0       |
| Total | 0                                 | 0       | 235,120 | 235,120 |
| FTE   | 0.00                              | 0.00    | 2.00    | 2.00    |

|                    |   |   |        |        |
|--------------------|---|---|--------|--------|
| <b>Est. Fringe</b> | 0 | 0 | 78,879 | 78,879 |
|--------------------|---|---|--------|--------|

*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds: Insurance Dedicated Fund (0566), Insurance Examiners Fund (0552)

**2. THIS REQUEST CAN BE CATEGORIZED AS:**

|   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> New Legislation | <input type="checkbox"/> New Program       | <input type="checkbox"/> Fund Switch           |
| <input type="checkbox"/> Federal Mandate            | <input type="checkbox"/> Program Expansion | <input type="checkbox"/> Cost to Continue      |
| <input type="checkbox"/> GR Pick-Up                 | <input type="checkbox"/> Space Request     | <input type="checkbox"/> Equipment Replacement |
| <input type="checkbox"/> Pay Plan                   | <input type="checkbox"/> Other: _____      |  |

**3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.**

Senate Bill 164 (SB 164) incorporates the National Association of Insurance Commissioners (NAIC) model standard valuation law as it relates to principals based reserving ("PBR") which will be a required accreditation standard on January 1, 2020, establishing a nationwide standard that better adapts to product innovation while providing confidence in the adequacy of reserve for solvency protection. The legislation was passed in 2015 with an effective date that was conditioned upon substantially similar legislation being passed in at least 42 states that include at least 75% of the life insurance premium in the United States, originally assumed to be FY 2019. This threshold was exceeded and the director's order making PBR effective January 1, 2017, with a three year phase in period, was issued on June 30, 2016.

NEW DECISION ITEM  
RANK: 6 OF 7

|   |             |                            |
|---|-------------|----------------------------|
| Department of Insurance, Financial Institutions & Professional Registration | Budget Unit | 37501C / 37510C            |
| Insurance Company Regulation Division                                       |             |                            |
| Implement SB 164 (2015)   | DI# 1375002 | House Bill 07.420 / 07.425 |

**4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)**

Life insurance reserving under PBR is accomplished using a multitude of assumptions derived from company experience and the volume of information required to support reserves will increase significantly. The prior formulaic reserving method was based on the use of fixed mortality tables which is more easily verifiable. However, the prior method could lead to improper reserves for many complex products that have various elements such as interest earned on the product based on a standard interest index from the marketplace or certain guarantees for varying lengths of time for which the prior formula does not adequately adjust. Based on the additional expertise needed under PBR two Actuary FTE will be necessary. It was originally estimated that three FTE would be required. However now the division believes two FTE to be sufficient.

**5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.**

| Budget Object Class/Job Class | Dept Req<br>GR<br>DOLLARS | Dept Req<br>GR<br>FTE | Dept Req<br>FED<br>DOLLARS | Dept Req<br>FED<br>FTE | Dept Req<br>OTHER<br>DOLLARS | Dept Req<br>OTHER<br>FTE | Dept Req<br>TOTAL<br>DOLLARS | Dept Req<br>TOTAL<br>FTE | Dept Req<br>One-Time<br>DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
|                               |                           |                       |                            |                        |                              |                          | 0                            | 0.0                      |                                 |
|                               |                           |                       |                            |                        |                              |                          | 0                            | 0.0                      |                                 |
| <b>Total PS</b>               | <u>0</u>                  | <u>0.0</u>            | <u>0</u>                   | <u>0.0</u>             | <u>0</u>                     | <u>0.0</u>               | <u>0</u>                     | <u>0.0</u>               | <u>0</u>                        |
|                               |                           |                       |                            |                        |                              |                          | 0                            |                          | 0                               |
|                               |                           |                       |                            |                        |                              |                          | 0                            |                          |                                 |
|                               |                           |                       |                            |                        |                              |                          | 0                            |                          |                                 |
| <b>Total EE</b>               | <u>0</u>                  |                       | <u>0</u>                   |                        | <u>0</u>                     |                          | <u>0</u>                     |                          | <u>0</u>                        |
| Program Distributions         |                           |                       |                            |                        |                              |                          | 0                            |                          |                                 |
| <b>Total PSD</b>              | <u>0</u>                  |                       | <u>0</u>                   |                        | <u>0</u>                     |                          | <u>0</u>                     |                          | <u>0</u>                        |
| Transfers                     |                           |                       |                            |                        |                              |                          |                              |                          |                                 |
| <b>Total TRF</b>              | <u>0</u>                  |                       | <u>0</u>                   |                        | <u>0</u>                     |                          | <u>0</u>                     |                          | <u>0</u>                        |
| <b>Grand Total</b>            | <u>0</u>                  | <u>0.0</u>            | <u>0</u>                   | <u>0.0</u>             | <u>0</u>                     | <u>0.0</u>               | <u>0</u>                     | <u>0.0</u>               | <u>0</u>                        |

NEW DECISION ITEM  
RANK: 6 OF 7

| Department of Insurance, Financial Institutions & Professional Registration |                          |                      |                           |                       | Budget Unit 37501C / 37510C |                         |                             |                         |                                |
|---|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| Insurance Company Regulation Division                                       |                          |                      |                           |                       |                             |                         |                             |                         |                                |
| Implement SB 164 (2015)   |                          | DI# 1375002          |                           |                       | House Bill                  |                         | 07.420 / 07.425             |                         |                                |
| Budget Object Class/Job Class   | Gov Rec<br>GR<br>DOLLARS | Gov Rec<br>GR<br>FTE | Gov Rec<br>FED<br>DOLLARS | Gov Rec<br>FED<br>FTE | Gov Rec<br>OTHER<br>DOLLARS | Gov Rec<br>OTHER<br>FTE | Gov Rec<br>TOTAL<br>DOLLARS | Gov Rec<br>TOTAL<br>FTE | Gov Rec<br>One-Time<br>DOLLARS |
| 100/009779/Actuary  |                          |                      |                           |                       | 215,000                     | 2.0                     | 215,000                     | 2.0                     |                                |
| <b>Total PS</b>   | <u>0</u>                 | <u>0.0</u>           | <u>0</u>                  | <u>0.0</u>            | <u>215,000</u>              | <u>2.0</u>              | <u>215,000</u>              | <u>2.0</u>              | <u>0</u>                       |
| 0580 / Office Equipment   |                          |                      |                           |                       | 17,596                      |                         | 17,596                      |                         | 17,596                         |
| 0190 / Office Supplies  |                          |                      |                           |                       | 744                         |                         | 744                         |                         |                                |
| 0320 / Professional Development   |                          |                      |                           |                       | 800                         |                         | 800                         |                         |                                |
| 0340 / Communications   |                          |                      |                           |                       | 980                         |                         | 980                         |                         |                                |
| <b>Total EE</b>   | <u>0</u>                 |                      | <u>0</u>                  |                       | <u>20,120</u>               |                         | <u>20,120</u>               |                         | <u>17,596</u>                  |
| Program Distributions   |                          |                      |                           |                       |                             |                         | 0                           |                         |                                |
| <b>Total PSD</b>  | <u>0</u>                 |                      | <u>0</u>                  |                       | <u>0</u>                    |                         | <u>0</u>                    |                         | <u>0</u>                       |
| Transfers   |                          |                      |                           |                       |                             |                         |                             |                         |                                |
| <b>Total TRF</b>  | <u>0</u>                 |                      | <u>0</u>                  |                       | <u>0</u>                    |                         | <u>0</u>                    |                         | <u>0</u>                       |
| <b>Grand Total</b>  | <u>0</u>                 | <u>0.0</u>           | <u>0</u>                  | <u>0.0</u>            | <u>235,120</u>              | <u>2.0</u>              | <u>235,120</u>              | <u>2.0</u>              | <u>17,596</u>                  |

NEW DECISION ITEM  
RANK: 6 OF 7

|   |                                    |
|---|------------------------------------|
| Department of Insurance, Financial Institutions & Professional Registration | Budget Unit <u>37501C / 37510C</u> |
| Insurance Company Regulation Division                                       |                                    |
| Implement SB 164 (2015)   | House Bill <u>07.420 / 07.425</u>  |
| DI# <u>1375002</u>  |                                    |

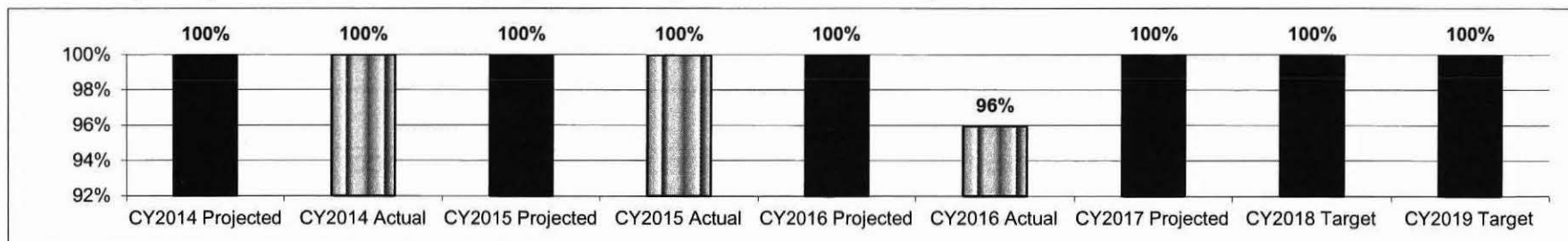
**6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)**

**6a. Provide an effectiveness measure.**

None available.

**6b. Provide an efficiency measure.**

Percent of quarterly multi-state domestic company financial filings reviewed within 60 days.



**6c. Provide the number of clients/individuals served, if applicable.**

None available.

**6d. Provide a customer satisfaction measure, if available.**

None available.

**7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:**

None available.

**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                             | FY 2016    | FY 2016     | FY 2017    | FY 2017     | FY 2018    | FY 2018     | FY 2018          | FY 2018     |
|---|------------|-------------|------------|-------------|------------|-------------|------------------|-------------|
| Decision Item                           | ACTUAL     | ACTUAL      | BUDGET     | BUDGET      | DEPT REQ   | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class                     | DOLLAR     | FTE         | DOLLAR     | FTE         | DOLLAR     | FTE         | DOLLAR           | FTE         |
| <b>INSURANCE OPERATIONS</b>             |            |             |            |             |            |             |                  |             |
| <b>NAIC Standard - SB 164 - 1375002</b> |            |             |            |             |            |             |                  |             |
| ACTUARY                                 | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 172,000          | 1.60        |
| TOTAL - PS                              | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 172,000          | 1.60        |
| SUPPLIES                                | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 595              | 0.00        |
| PROFESSIONAL DEVELOPMENT                | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 640              | 0.00        |
| COMMUNICATION SERV & SUPP               | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 784              | 0.00        |
| OFFICE EQUIPMENT                        | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 14,077           | 0.00        |
| TOTAL - EE                              | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 16,096           | 0.00        |
| <b>GRAND TOTAL</b>                      | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$188,096</b> | <b>1.60</b> |
| GENERAL REVENUE                         | \$0        | 0.00        | \$0        | 0.00        | \$0        | 0.00        | \$0              | 0.00        |
| FEDERAL FUNDS                           | \$0        | 0.00        | \$0        | 0.00        | \$0        | 0.00        | \$0              | 0.00        |
| OTHER FUNDS                             | \$0        | 0.00        | \$0        | 0.00        | \$0        | 0.00        | \$188,096        | 1.60        |

# DIFP

# DECISION ITEM DETAIL

| Budget Unit                             | FY 2016    | FY 2016     | FY 2017    | FY 2017     | FY 2018    | FY 2018     | FY 2018         | FY 2018     |
|---|------------|-------------|------------|-------------|------------|-------------|-----------------|-------------|
| Decision Item                           | ACTUAL     | ACTUAL      | BUDGET     | BUDGET      | DEPT REQ   | DEPT REQ    | GOV REC         | GOV REC     |
| Budget Object Class                     | DOLLAR     | FTE         | DOLLAR     | FTE         | DOLLAR     | FTE         | DOLLAR          | FTE         |
| <b>INSURANCE EXAMINATIONS</b>           |            |             |            |             |            |             |                 |             |
| <b>NAIC Standard - SB 164 - 1375002</b> |            |             |            |             |            |             |                 |             |
| ACTUARY                                 | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 43,000          | 0.40        |
| <b>TOTAL - PS</b>                       | <b>0</b>   | <b>0.00</b> | <b>0</b>   | <b>0.00</b> | <b>0</b>   | <b>0.00</b> | <b>43,000</b>   | <b>0.40</b> |
| SUPPLIES                                | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 149             | 0.00        |
| PROFESSIONAL DEVELOPMENT                | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 160             | 0.00        |
| COMMUNICATION SERV & SUPP               | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 196             | 0.00        |
| OFFICE EQUIPMENT                        | 0          | 0.00        | 0          | 0.00        | 0          | 0.00        | 3,519           | 0.00        |
| <b>TOTAL - EE</b>                       | <b>0</b>   | <b>0.00</b> | <b>0</b>   | <b>0.00</b> | <b>0</b>   | <b>0.00</b> | <b>4,024</b>    | <b>0.00</b> |
| <b>GRAND TOTAL</b>                      | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$47,024</b> | <b>0.40</b> |
| <b>GENERAL REVENUE</b>                  | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>                    | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> |
| <b>OTHER FUNDS</b>                      | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$0</b> | <b>0.00</b> | <b>\$47,024</b> | <b>0.40</b> |





# CORE DECISION ITEM

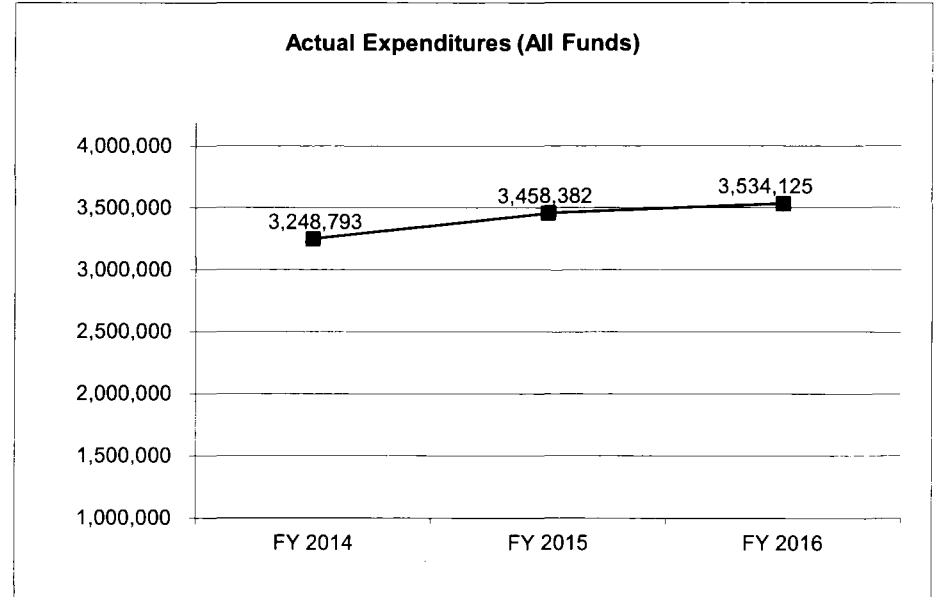
|  |      |         |           |           |             |   |      |         |           |                                   |   |  |   |         |           |           |   |  |  |
|--|------|---------|-----------|-----------|-------------|---|------|---------|-----------|-----------------------------------|---|--|---|---------|-----------|-----------|---|--|--|
| Department of Insurance, Financial Institutions and Professional Registration  |      |         |           |           | Budget Unit |   |      |         |           | 37510C                            |   |  |   |         |           |           |   |  |  |
| Insurance  |      |         |           |           |             |   |      |         |           |                                   |   |  |   |         |           |           |   |  |  |
| Core - Insurance Examinations  |      |         |           |           |             |   |      |         |           | HB Section                        |   |  |   |         | 7.425     |           |   |  |  |
| 1. CORE FINANCIAL SUMMARY  |      |         |           |           |             |   |      |         |           |                                   |   |  |   |         |           |           |   |  |  |
| FY 2018 Budget Request   |      |         |           |           |             |   |      |         |           | FY 2018 Governor's Recommendation |   |  |   |         |           |           |   |  |  |
|  | GR   | Federal | Other     | Total     | E           |   | GR   | Federal | Other     | Total                             | E |  | GR  | Federal | Other     | Total     | E |  |  |
| PS   | 0    | 0       | 3,403,590 | 3,403,590 |             | PS  | 0    | 0       | 3,403,590 | 3,403,590                         |   |  | 0   | 0       | 3,403,590 | 3,403,590 |   |  |  |
| EE   | 0    | 0       | 766,943   | 766,943   |             | EE  | 0    | 0       | 766,943   | 766,943                           |   |  | 0   | 0       | 766,943   | 766,943   |   |  |  |
| PSD  | 0    | 0       | 0         | 0         |             | PSD   | 0    | 0       | 0         | 0                                 |   |  | 0   | 0       | 0         | 0         |   |  |  |
| TRF  | 0    | 0       | 0         | 0         |             | TRF   | 0    | 0       | 0         | 0                                 |   |  | 0   | 0       | 0         | 0         |   |  |  |
| Total  | 0    | 0       | 4,170,533 | 4,170,533 |             | Total   | 0    | 0       | 4,170,533 | 4,170,533                         |   |  | 0   | 0       | 4,170,533 | 4,170,533 |   |  |  |
| FTE  | 0.00 | 0.00    | 42.90     | 42.90     |             | FTE   | 0.00 | 0.00    | 42.90     | 42.90                             |   |  | 0.00  | 0.00    | 42.90     | 42.90     |   |  |  |
| Est. Fringe  | 0    | 0       | 1,371,393 | 1,371,393 |             | Est. Fringe   | 0    | 0       | 1,371,393 | 1,371,393                         |   |  | 0   | 0       | 1,371,393 | 1,371,393 |   |  |  |
| Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.  |      |         |           |           |             | Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. |      |         |           |                                   |   |  | Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. |         |           |           |   |  |  |
| Other Funds: Insurance Examiners Fund (0552)   |      |         |           |           |             | Other Funds: Insurance Examiners Fund (0552)  |      |         |           |                                   |   |  |   |         |           |           |   |  |  |
| 2. CORE DESCRIPTION  |      |         |           |           |             |   |      |         |           |                                   |   |  |   |         |           |           |   |  |  |
| The Insurance Examiners Fund serves as a revolving fund to collect fees assessed and to pay expenses of insurance company examinations. The department conducts both financial and market conduct examinations. Financial examinations ensure insurance companies have sufficient reserves to pay consumer claims and consumers have access to affordable insurance coverage. Market conduct examinations of insurance companies serve to verify that policyholders and beneficiaries receive the full benefits from the contracts they and the insurer have agreed to. Since many of the insurance companies examined have physical locations outside of the state, department examiners must go to these locations to examine company records. The expenses of these examinations are billed to the companies examined. The costs assessed to the companies for examinations can be taken as credits against the company's premium tax payment to the state of Missouri. |      |         |           |           |             |   |      |         |           |                                   |   |  |   |         |           |           |   |  |  |
| 3. PROGRAM LISTING (list programs included in this core funding)   |      |         |           |           |             |   |      |         |           |                                   |   |  |   |         |           |           |   |  |  |
| Insurance Company Regulation Division<br>Insurance Market Regulation Division  |      |         |           |           |             |   |      |         |           |                                   |   |  |   |         |           |           |   |  |  |

# **CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>37510C</b> |
| <b>Insurance</b>   |                    |               |
| <b>Core - Insurance Examinations</b>   | <b>HB Section</b>  | <b>7.425</b>  |

## **4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 4,028,574                 | 4,054,203                 | 4,071,933                 | 4,171,289                      |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 4,028,574                 | 4,054,203                 | 4,071,933                 | 4,171,289                      |
| Actual Expenditures (All Funds) | 3,248,793                 | 3,458,382                 | 3,534,125                 | N/A                            |
| Unexpended (All Funds)          | 779,781                   | 595,821                   | 537,808                   | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 779,781                   | 595,821                   | 537,808                   | N/A                            |
| Other                           | 0                         | 0                         | 0                         | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### **NOTES:**

- (1) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
- (2) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.
- (3) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

**CORE RECONCILIATION DETAIL**

**DIFP  
INSURANCE EXAMINATIONS**

**5. CORE RECONCILIATION DETAIL**

|                                    |      |      |  | Budget<br>Class | FTE           | GR       | Federal  | Other            | Total            | Explanation                    |
|------------------------------------|------|------|--|-----------------|---------------|----------|----------|------------------|------------------|--------------------------------|
| <b>TAFP AFTER VETOES</b>           |      |      |  |                 |               |          |          |                  |                  |                                |
|                                    |      |      |  | PS              | 42.90         | 0        | 0        | 3,403,590        | 3,403,590        |                                |
|                                    |      |      |  | EE              | 0.00          | 0        | 0        | 767,699          | 767,699          |                                |
|                                    |      |      |  | <b>Total</b>    | <b>42.90</b>  | <b>0</b> | <b>0</b> | <b>4,171,289</b> | <b>4,171,289</b> |                                |
| <b>DEPARTMENT CORE ADJUSTMENTS</b> |      |      |  |                 |               |          |          |                  |                  |                                |
| Reduce One Time                    | 801  | 2042 |  | EE              | 0.00          | 0        | 0        | (756)            | (756)            | 1X Expenditures - HB 50 FY2017 |
| Core Reallocation                  | 1044 | 0793 |  | PS              | (0.00)        | 0        | 0        | 0                | (0)              |                                |
| <b>NET DEPARTMENT CHANGES</b>      |      |      |  |                 | <b>(0.00)</b> | <b>0</b> | <b>0</b> | <b>(756)</b>     | <b>(756)</b>     |                                |
| <b>DEPARTMENT CORE REQUEST</b>     |      |      |  |                 |               |          |          |                  |                  |                                |
|                                    |      |      |  | PS              | 42.90         | 0        | 0        | 3,403,590        | 3,403,590        |                                |
|                                    |      |      |  | EE              | 0.00          | 0        | 0        | 766,943          | 766,943          |                                |
|                                    |      |      |  | <b>Total</b>    | <b>42.90</b>  | <b>0</b> | <b>0</b> | <b>4,170,533</b> | <b>4,170,533</b> |                                |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |      |      |  |                 |               |          |          |                  |                  |                                |
|                                    |      |      |  | PS              | 42.90         | 0        | 0        | 3,403,590        | 3,403,590        |                                |
|                                    |      |      |  | EE              | 0.00          | 0        | 0        | 766,943          | 766,943          |                                |
|                                    |      |      |  | <b>Total</b>    | <b>42.90</b>  | <b>0</b> | <b>0</b> | <b>4,170,533</b> | <b>4,170,533</b> |                                |

DIFP

DECISION ITEM SUMMARY

| Budget Unit                             |                    |              |                    |              |                    |              |                    |              |
|---|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|
| Decision Item                           | FY 2016            | FY 2016      | FY 2017            | FY 2017      | FY 2018            | FY 2018      | FY 2018            | FY 2018      |
| Budget Object Summary                   | ACTUAL             | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |
| Fund                                    | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |
| <b>INSURANCE EXAMINATIONS</b>           |                    |              |                    |              |                    |              |                    |              |
| <b>CORE</b>                             |                    |              |                    |              |                    |              |                    |              |
| PERSONAL SERVICES                       |                    |              |                    |              |                    |              |                    |              |
| INSURANCE EXAMINERS FUND                | 3,287,066          | 43.32        | 3,403,590          | 42.90        | 3,403,590          | 42.90        | 3,403,590          | 42.90        |
| TOTAL - PS                              | 3,287,066          | 43.32        | 3,403,590          | 42.90        | 3,403,590          | 42.90        | 3,403,590          | 42.90        |
| EXPENSE & EQUIPMENT                     |                    |              |                    |              |                    |              |                    |              |
| INSURANCE EXAMINERS FUND                | 247,059            | 0.00         | 767,699            | 0.00         | 766,943            | 0.00         | 766,943            | 0.00         |
| TOTAL - EE                              | 247,059            | 0.00         | 767,699            | 0.00         | 766,943            | 0.00         | 766,943            | 0.00         |
| <b>TOTAL</b>                            | <b>3,534,125</b>   | <b>43.32</b> | <b>4,171,289</b>   | <b>42.90</b> | <b>4,170,533</b>   | <b>42.90</b> | <b>4,170,533</b>   | <b>42.90</b> |
| <b>NAIC Standard - SB 164 - 1375002</b> |                    |              |                    |              |                    |              |                    |              |
| PERSONAL SERVICES                       |                    |              |                    |              |                    |              |                    |              |
| INSURANCE EXAMINERS FUND                | 0                  | 0.00         | 0                  | 0.00         | 0                  | 0.00         | 43,000             | 0.40         |
| TOTAL - PS                              | 0                  | 0.00         | 0                  | 0.00         | 0                  | 0.00         | 43,000             | 0.40         |
| EXPENSE & EQUIPMENT                     |                    |              |                    |              |                    |              |                    |              |
| INSURANCE EXAMINERS FUND                | 0                  | 0.00         | 0                  | 0.00         | 0                  | 0.00         | 4,024              | 0.00         |
| TOTAL - EE                              | 0                  | 0.00         | 0                  | 0.00         | 0                  | 0.00         | 4,024              | 0.00         |
| <b>TOTAL</b>                            | <b>0</b>           | <b>0.00</b>  | <b>0</b>           | <b>0.00</b>  | <b>0</b>           | <b>0.00</b>  | <b>47,024</b>      | <b>0.40</b>  |
| <b>GRAND TOTAL</b>                      | <b>\$3,534,125</b> | <b>43.32</b> | <b>\$4,171,289</b> | <b>42.90</b> | <b>\$4,170,533</b> | <b>42.90</b> | <b>\$4,217,557</b> | <b>43.30</b> |

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## FLEXIBILITY REQUEST FORM

|   |   |   |
|---|---|---|
| <b>BUDGET UNIT NUMBER:</b> 37510C<br><b>BUDGET UNIT NAME:</b> Insurance Examinations<br><b>HOUSE BILL SECTION:</b> 7.425  | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><b>DIVISION:</b> Insurance Examinations   |   |
| <b>1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.</b> |   |   |
| <b>DEPARTMENT REQUEST</b>   | <b>GOVERNOR'S RECOMMENDATION</b>  |   |
| No flexibility requested.   | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |   |
| <b>2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.</b>  |   |   |
| <b>PRIOR YEAR<br/>ACTUAL AMOUNT OF FLEXIBILITY USED</b>   | <b>CURRENT YEAR<br/>ESTIMATED AMOUNT OF<br/>FLEXIBILITY THAT WILL BE USED</b>   | <b>BUDGET REQUEST<br/>ESTIMATED AMOUNT OF<br/>FLEXIBILITY THAT WILL BE USED</b> |
| The department did not have any flexibility in prior year budgets.  | The department does not have any flexibility in the current year budget.  | The department will use flexibility only if necessary.                          |
| <b>3. Please explain how flexibility was used in the prior and/or current years.</b>  |   |   |
| <b>PRIOR YEAR<br/>EXPLAIN ACTUAL USE</b>  | <b>CURRENT YEAR<br/>EXPLAIN PLANNED USE</b>   |   |
| The department did not have any flexibility in prior year budgets.  | The department does not have any flexibility in the current year budget.  |   |

## DIFP

## DECISION ITEM DETAIL

| Budget Unit                   | FY 2016          | FY 2016      | FY 2017          | FY 2017      | FY 2018          | FY 2018      | FY 2018          | FY 2018      |
|-------------------------------|------------------|--------------|------------------|--------------|------------------|--------------|------------------|--------------|
| Decision Item                 | ACTUAL           | ACTUAL       | BUDGET           | BUDGET       | DEPT REQ         | DEPT REQ     | GOV REC          | GOV REC      |
| Budget Object Class           | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          |
| <b>INSURANCE EXAMINATIONS</b> |                  |              |                  |              |                  |              |                  |              |
| <b>CORE</b>                   |                  |              |                  |              |                  |              |                  |              |
| INSURANCE FINANCIAL ANAL SPEC | 4,279            | 0.10         | 8,135            | 0.20         | 4,035            | 0.10         | 4,035            | 0.10         |
| LEGAL COUNSEL                 | 421              | 0.01         | 5,295            | 0.10         | 3,005            | 0.05         | 3,005            | 0.05         |
| SENIOR COUNSEL                | 2,040            | 0.03         | 11,910           | 0.15         | 4,055            | 0.05         | 4,055            | 0.05         |
| ACTUARY                       | 33,773           | 0.25         | 0                | 0.00         | 35,500           | 0.25         | 35,500           | 0.25         |
| AUDIT MANAGER-FINANCIAL EXAM  | 33,658           | 0.35         | 28,683           | 0.28         | 48,533           | 0.50         | 48,533           | 0.50         |
| CHIEF FINANCIAL EXAMINER      | 11,404           | 0.12         | 0                | 0.00         | 12,350           | 0.12         | 12,350           | 0.12         |
| M C EXAMINER II               | 191,045          | 3.53         | 0                | 0.00         | 166,905          | 3.00         | 166,905          | 3.00         |
| M C EXAMINER III              | 878,385          | 12.04        | 1,273,173        | 16.90        | 922,173          | 12.50        | 922,173          | 12.50        |
| EXAMINER-IN-CHARGE MC         | 425,917          | 4.86         | 425,242          | 5.00         | 437,812          | 4.90         | 437,812          | 4.90         |
| AUDIT MANAGER-MARKET CONDUCT  | 3,503            | 0.04         | 0                | 0.00         | 4,750            | 0.05         | 4,750            | 0.05         |
| FINANCIAL EXAMINER II         | 190,729          | 3.44         | 0                | 0.00         | 257,458          | 4.00         | 257,458          | 4.00         |
| FINANCIAL EXAMINER III        | 824,506          | 10.88        | 987,345          | 13.20        | 836,458          | 10.00        | 836,458          | 10.00        |
| EXAMINER-IN-CHARGE FINANCIAL  | 610,333          | 6.74         | 659,931          | 7.00         | 553,931          | 6.00         | 553,931          | 6.00         |
| REINSURANCE EXAMINER          | 19,958           | 0.30         | 0                | 0.00         | 34,425           | 0.50         | 34,425           | 0.50         |
| ASST. REINSURANCE EXAMINER    | 0                | 0.00         | 3,876            | 0.07         | 0                | 0.00         | 0                | 0.00         |
| CAPTIVE FINANCIAL EX III      | 7,172            | 0.09         | 0                | 0.00         | 6,200            | 0.08         | 6,200            | 0.08         |
| SR EXAMINER - IN CHARGE       | 49,943           | 0.54         | 0                | 0.00         | 76,000           | 0.80         | 76,000           | 0.80         |
| <b>TOTAL - PS</b>             | <b>3,287,066</b> | <b>43.32</b> | <b>3,403,590</b> | <b>42.90</b> | <b>3,403,590</b> | <b>42.90</b> | <b>3,403,590</b> | <b>42.90</b> |
| TRAVEL, IN-STATE              | 71,097           | 0.00         | 196,786          | 0.00         | 196,786          | 0.00         | 196,786          | 0.00         |
| TRAVEL, OUT-OF-STATE          | 133,790          | 0.00         | 279,278          | 0.00         | 279,278          | 0.00         | 279,278          | 0.00         |
| SUPPLIES                      | 10,277           | 0.00         | 58,048           | 0.00         | 58,048           | 0.00         | 58,048           | 0.00         |
| PROFESSIONAL DEVELOPMENT      | 0                | 0.00         | 1                | 0.00         | 1                | 0.00         | 1                | 0.00         |
| COMMUNICATION SERV & SUPP     | 16,307           | 0.00         | 51,643           | 0.00         | 51,643           | 0.00         | 51,643           | 0.00         |
| PROFESSIONAL SERVICES         | 15,220           | 0.00         | 119,987          | 0.00         | 119,987          | 0.00         | 119,987          | 0.00         |
| M&R SERVICES                  | 0                | 0.00         | 5,000            | 0.00         | 5,000            | 0.00         | 5,000            | 0.00         |
| OFFICE EQUIPMENT              | 368              | 0.00         | 51,953           | 0.00         | 51,197           | 0.00         | 51,197           | 0.00         |
| OTHER EQUIPMENT               | 0                | 0.00         | 1,001            | 0.00         | 1,001            | 0.00         | 1,001            | 0.00         |
| PROPERTY & IMPROVEMENTS       | 0                | 0.00         | 1,001            | 0.00         | 1,001            | 0.00         | 1,001            | 0.00         |
| EQUIPMENT RENTALS & LEASES    | 0                | 0.00         | 1,000            | 0.00         | 1,000            | 0.00         | 1,000            | 0.00         |
| MISCELLANEOUS EXPENSES        | 0                | 0.00         | 1,000            | 0.00         | 1,000            | 0.00         | 1,000            | 0.00         |

**DIFP****DECISION ITEM DETAIL**

| Budget Unit                   | FY 2016            | FY 2016      | FY 2017            | FY 2017      | FY 2018            | FY 2018      | FY 2018            | FY 2018      |
|-------------------------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|
| Decision Item                 | ACTUAL             | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |
| Budget Object Class           | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |
| <b>INSURANCE EXAMINATIONS</b> |                    |              |                    |              |                    |              |                    |              |
| <b>CORE</b>                   |                    |              |                    |              |                    |              |                    |              |
| REBILLABLE EXPENSES           | 0                  | 0.00         | 1,001              | 0.00         | 1,001              | 0.00         | 1,001              | 0.00         |
| TOTAL - EE                    | 247,059            | 0.00         | 767,699            | 0.00         | 766,943            | 0.00         | 766,943            | 0.00         |
| <b>GRAND TOTAL</b>            | <b>\$3,534,125</b> | <b>43.32</b> | <b>\$4,171,289</b> | <b>42.90</b> | <b>\$4,170,533</b> | <b>42.90</b> | <b>\$4,170,533</b> | <b>42.90</b> |
| GENERAL REVENUE               | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         |
| FEDERAL FUNDS                 | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         |
| OTHER FUNDS                   | \$3,534,125        | 43.32        | \$4,171,289        | 42.90        | \$4,170,533        | 42.90        | \$4,170,533        | 42.90        |



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Company Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

### FY 2017 PLANNED

|              | Insurance Operations | Insurance Examinations | Total            |
|--------------|----------------------|------------------------|------------------|
| GR           | 0                    | 0                      | 0                |
| FEDERAL      | 0                    | 0                      | 0                |
| OTHER        | 3,017,067            | 2,358,726              | 5,375,793        |
| <b>TOTAL</b> | <b>3,017,067</b>     | <b>2,358,726</b>       | <b>5,375,793</b> |

#### 1. What does this program do?

The Insurance Company Regulation Division monitors the financial condition of all domestic (Missouri based) and foreign (non-Missouri based) insurance companies operating in Missouri. The division conducts financial examinations of domestic insurance companies and analyzes the financial condition of all companies licensed to sell insurance in Missouri. The division seeks to prevent insolvencies of domestic companies and takes appropriate action against any financially unsound company to protect policyholders and taxpayers. The division accounts for surplus lines taxes and admission fees due to Missouri and for insurance companies' deposits held to benefit policyholders.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 148, 287, 374, 375, 376 and 384 RSMo.

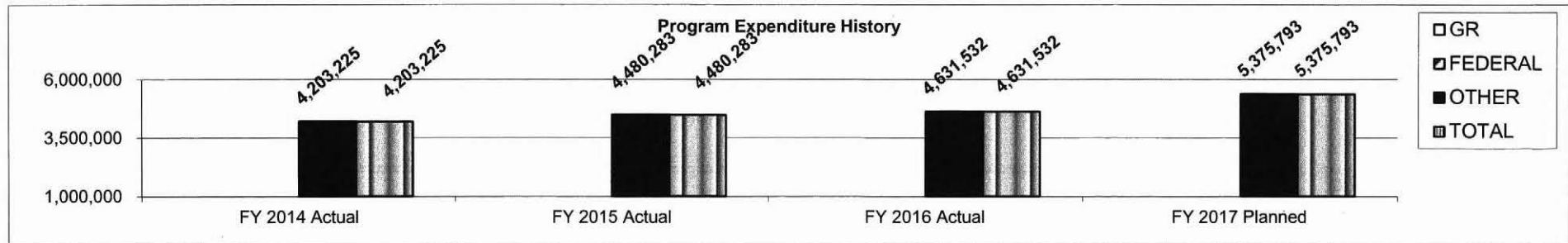
#### 3. Are there federal matching requirements? If yes, please explain.

No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Company Regulation Division

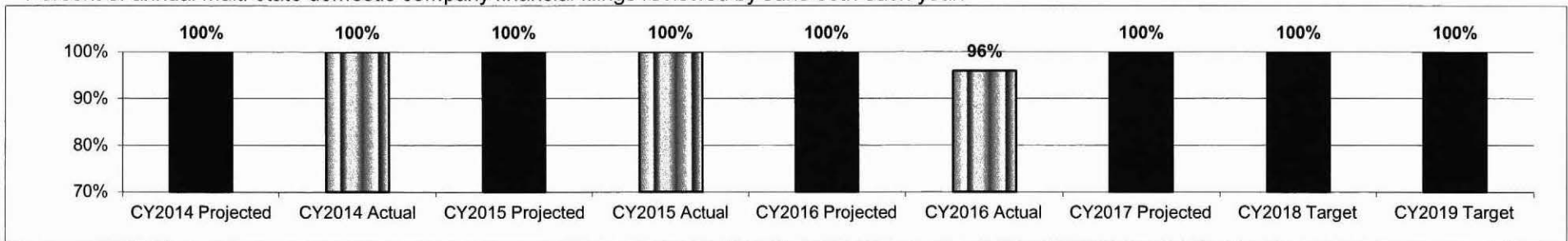
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

### 6. What are the sources of the "Other " funds?

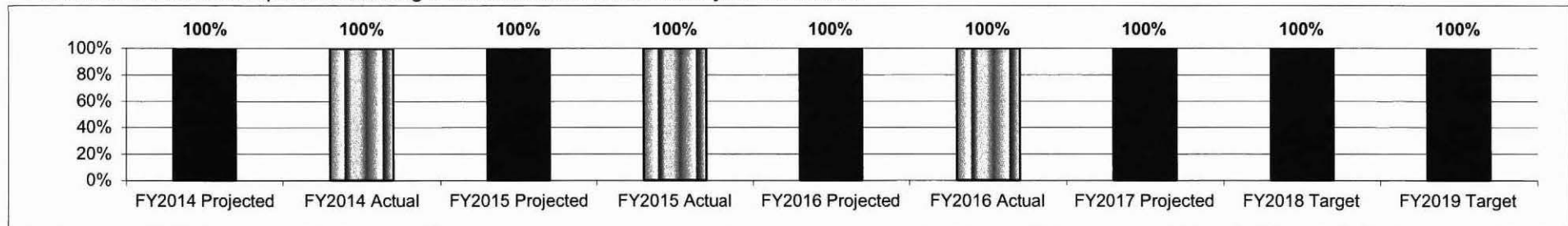
Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

### 7a. Provide an effectiveness measure.

Percent of annual multi-state domestic company financial filings reviewed by June 30th each year.



Percent of domestic companies receiving a financial exam within a five-year timeframe.



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

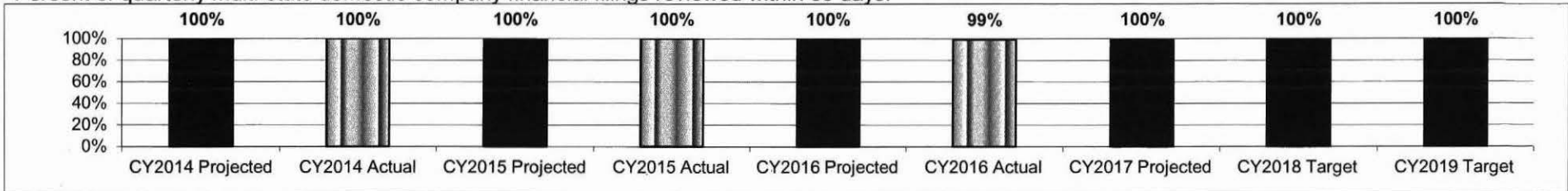
HB Section(s): 7.420 / 7.425

Insurance Company Regulation Division

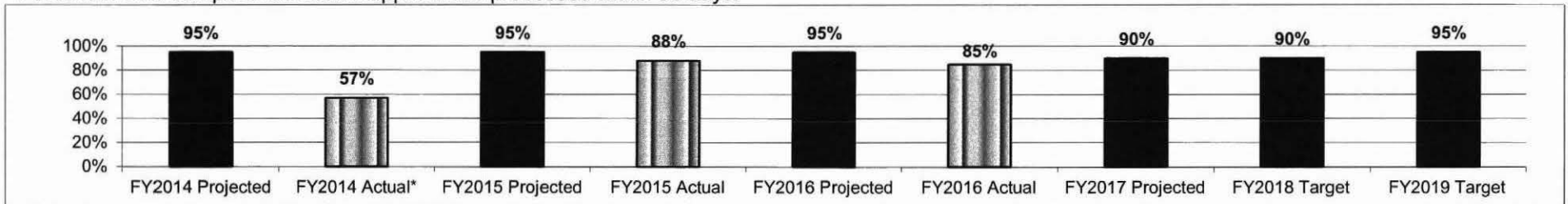
Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

### 7b. Provide an efficiency measure.

Percent of quarterly multi-state domestic company financial filings reviewed within 60 days.



Percent of new complete admission applications processed within 90 days.



\*Decrease due to 60% staff turnover in the section and training of new employees.

### 7c. Provide the number of clients/individuals served, if applicable.

|                                 | CY2014    |          | CY2015    |          | CY2016    |          | CY2017    | CY2018 | CY2019 |
|---------------------------------|-----------|----------|-----------|----------|-----------|----------|-----------|--------|--------|
|                                 | Projected | Actual   | Projected | Actual   | Projected | Actual   | Projected | Target | Target |
| Number of Domestic Companies    | 210       | 225      | 225       | 228      | 230       | 226      | 235       | 245    | 250    |
| Number of Licensed Companies    | 1,985     | 2,018    | 2,000     | 2,010    | 2,000     | 2,006    | 2,000     | 2,000  | 2,000  |
| Number of Surplus Lines Brokers | 1,700     | 1,832    | 1,700     | 1,892    | 1,700     | 1,786    | 1,700     | 1,911  | 1,911  |
| Surplus Lines Tax Collected     | 23 mil    | 28.7 mil | 23 mil    | 29.3 mil | 25 mil    | 30.2 mil | 26 mil    | 29 mil | 29 mil |

### 7d. Provide a customer satisfaction measure, if available.

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.420 / 7.425

Insurance Market Regulation Division

Program is found in the following core budget(s): Insurance Operations; Insurance Examinations

### FY 2017 PLANNED

|         | Insurance Operations | Insurance Exam | Total     |
|---------|----------------------|----------------|-----------|
| GR      | 0                    | 0              | 0         |
| FEDERAL | 0                    | 0              | 0         |
| OTHER   | 2,515,876            | 1,812,563      | 4,328,439 |
| TOTAL   | 2,515,876            | 1,812,563      | 4,328,439 |

#### 1. What does this program do?

The Insurance Market Regulation Division protects the interests of Missouri's insurance buying consumers by ensuring companies are conducting business according to all applicable state statutes and regulations. The division performs market conduct examinations of insurance companies operating in the state to ensure equitable treatment of policyholders. The division prepares reports on insurance markets in the state for use by consumers, insurance companies and department staff. In addition, the division oversees activities of Missouri Health Maintenance Organizations (HMO) and reviews company applications for new certificates of authority or service area changes and analyzes trends in HMO activities. The division approves policy forms that an insurer wants to sell in the state and reviews forms, endorsements, illustrations and some rate filings.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 287, 354, 374, 375, 376, 377, 378, 379, 380, 381, 383, 385 and 447 RSMo.

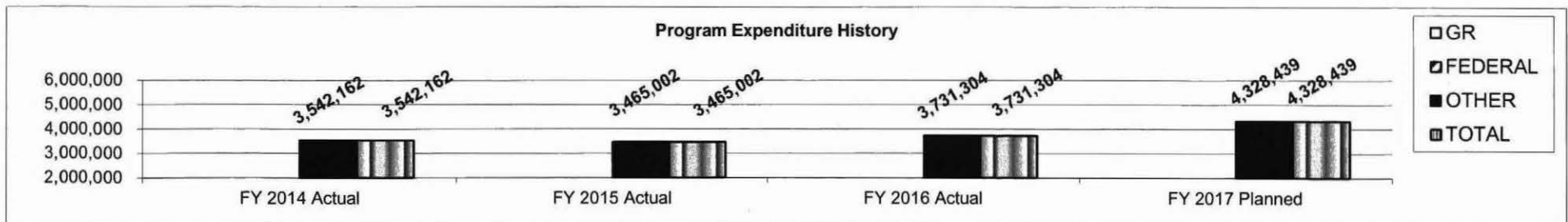
#### 3. Are there federal matching requirements? If yes, please explain.

No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s): 7.420 / 7.425**

**Insurance Market Regulation Division**

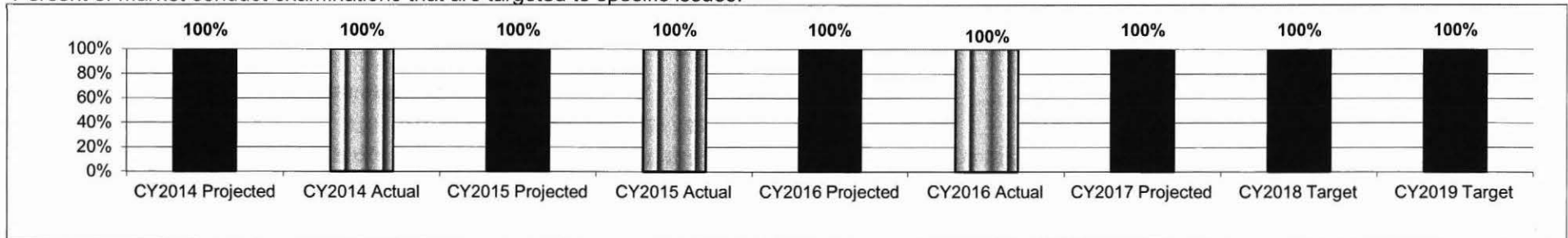
**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

### 6. What are the sources of the "Other " funds?

Insurance Examiners Fund (0552); Insurance Dedicated Fund (0566)

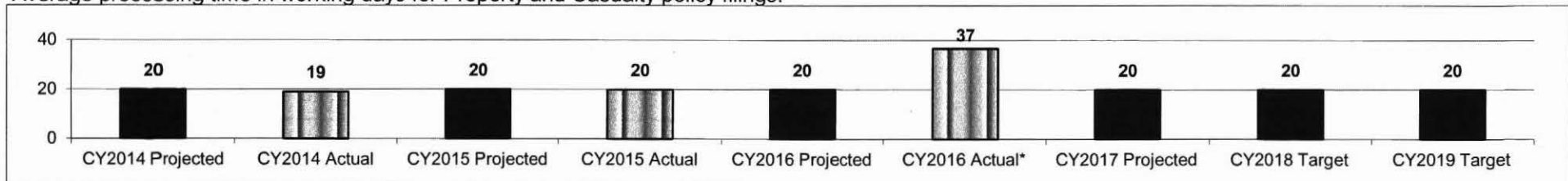
### 7a. Provide an effectiveness measure.

Percent of market conduct examinations that are targeted to specific issues.



### 7b. Provide an efficiency measure.

Average processing time in working days for Property and Casualty policy filings.



\* Employee turnover affected CY 2016.

The Department's target goal for product filing reviews is 20 days. This 20 day product filing review benchmark was established through the National of Insurance Commissioners (NAIC) "Speed to Market Initiative".

## PROGRAM DESCRIPTION

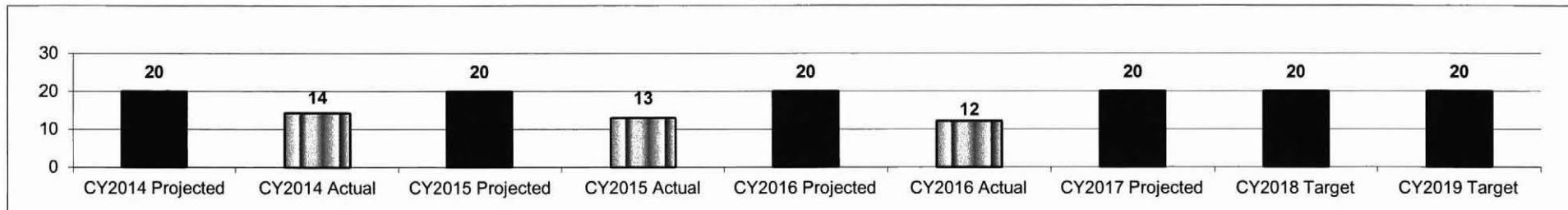
**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s): 7.420 / 7.425**

**Insurance Market Regulation Division**

**Program is found in the following core budget(s): Insurance Operations; Insurance Examinations**

Average processing time in working days for Life and Health policy filings.



The Department's target goal for product filing reviews is 20 days. This 20 day product filing review benchmark was established through the National of Insurance Commissioners (NAIC) "Speed to Market Initiative".

**7c. Provide the number of clients/individuals served, if applicable.**

|                      | CY2014    |        | CY2015    |         | CY2016    |         | CY2017    | CY2018  | CY2019  |
|----------------------|-----------|--------|-----------|---------|-----------|---------|-----------|---------|---------|
|                      | Projected | Actual | Projected | Actual  | Projected | Actual  | Projected | Target  | Target  |
| P&C filings received | 5,700     | 6,637  | 5,500     | 6,470   | 6,500     | 5,506   | 6,825     | 7,648   | 7,800   |
| ** P&C pages filed   |           |        |           | 303,363 | 300,000   | 330,625 | 300,000   | 300,000 | 300,000 |
| L&H filings received | 7,500     | 3,958  | 5,000     | 3,856   | 5,000     | 3,978   | 6,175     | 6,850   | 7,000   |
| ** L&H pages filed   |           |        |           | 279,352 | 280,000   | 317,187 | 300,000   | 300,000 | 325,000 |

\*\*DIFP did not start capturing this data until CY2015, no additional information is available.

**7d. Provide a customer satisfaction measure, if available.**

None available.

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# CORE DECISION ITEM

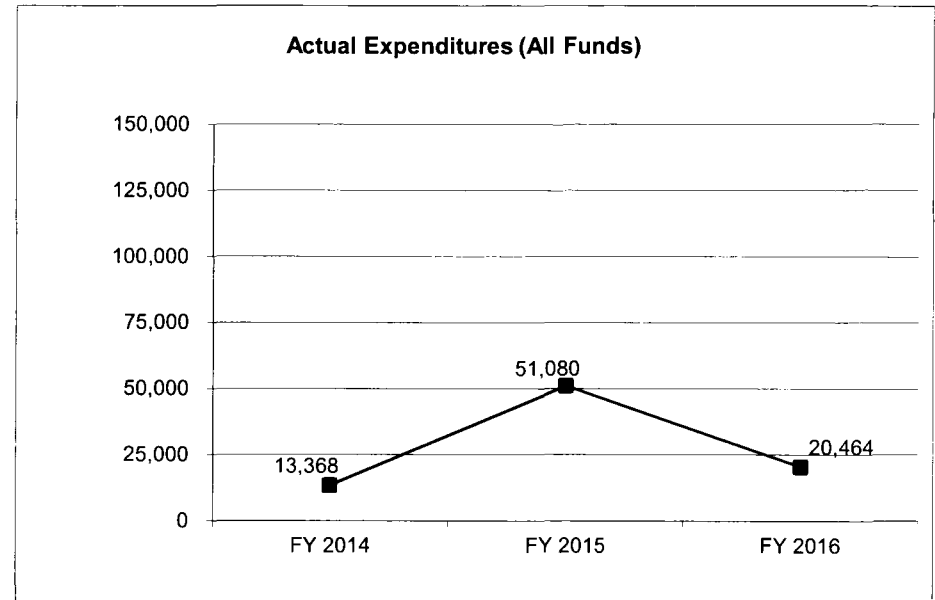
|   |                               |          |                |                |                                  |  |  |          |                |                |   |
|---|-------------------------------|----------|----------------|----------------|----------------------------------|--|--|----------|----------------|----------------|---|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>  |                               |          |                |                | <b>Budget Unit</b> <u>37520C</u> |  |  |          |                |                |   |
| <b>Insurance</b>  |                               |          |                |                |                                  |  |  |          |                |                |   |
| <b>Core - Insurance Refunds</b>   |                               |          |                |                | <b>HB Section</b> <u>7.430</u>   |  |  |          |                |                |   |
| <b>1. CORE FINANCIAL SUMMARY</b>  |                               |          |                |                |                                  |  |  |          |                |                |   |
|   | <b>FY 2018 Budget Request</b> |          |                |                |                                  |  | <b>FY 2018 Governor's Recommendation</b> |          |                |                |   |
|   | GR                            | Federal  | Other          | Total          | E                                |  | GR                                       | Federal  | Other          | Total          | E |
| <b>PS</b>   | 0                             | 0        | 0              | 0              |                                  | <b>PS</b>  | 0  | 0        | 0              | 0              |   |
| <b>EE</b>   | 0                             | 0        | 0              | 0              |                                  | <b>EE</b>  | 0  | 0        | 0              | 0              |   |
| <b>PSD</b>  | 0                             | 0        | 135,000        | 135,000        |                                  | <b>PSD</b>   | 0  | 0        | 135,000        | 135,000        |   |
| <b>TRF</b>  | 0                             | 0        | 0              | 0              |                                  | <b>TRF</b>   | 0  | 0        | 0              | 0              |   |
| <b>Total</b>  | <u>0</u>                      | <u>0</u> | <u>135,000</u> | <u>135,000</u> |                                  | <b>Total</b>   | <u>0</u>                                 | <u>0</u> | <u>135,000</u> | <u>135,000</u> |   |
| <b>FTE</b>  | 0.00                          | 0.00     | 0.00           | 0.00           |                                  | <b>FTE</b>   | 0.00                                     | 0.00     | 0.00           | 0.00           |   |
| <b>Est. Fringe</b>  | 0                             | 0        | 0              | 0              |                                  | <b>Est. Fringe</b>   | 0  | 0        | 0              | 0              |   |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |                               |          |                |                |                                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |  |          |                |                |   |
| Other Funds: Insurance Dedicated Fund (0566)<br>Insurance Examiners Fund (0552)   |                               |          |                |                |                                  | Other Funds: Insurance Dedicated Fund (0566)<br>Insurance Examiners Fund (0552)  |  |          |                |                |   |
| <b>2. CORE DESCRIPTION</b>  |                               |          |                |                |                                  |  |  |          |                |                |   |
| This core request is needed to refund incorrect or overpayment of insurance fees received from individuals and insurance companies. When any incorrect or overpayment of fees is received, a refund is issued from the appropriate insurance fund using this appropriation. |                               |          |                |                |                                  |  |  |          |                |                |   |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |                               |          |                |                |                                  |  |  |          |                |                |   |
| Insurance Refunds   |                               |          |                |                |                                  |  |  |          |                |                |   |

# **CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>37520C</b> |
| <b>Insurance</b>   |                    |               |
| <b>Core - Insurance Refunds</b>  | <b>HB Section</b>  | <b>7.430</b>  |

## **4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 135,000                   | 135,000                   | 135,000                   | 135,000                        |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 135,000                   | 135,000                   | 135,000                   | 135,000                        |
| Actual Expenditures (All Funds) | 13,368                    | 51,080                    | 20,464                    | N/A                            |
| Unexpended (All Funds)          | 121,632                   | 83,920                    | 114,536                   | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 121,632                   | 83,920                    | 114,536                   | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### **NOTES:**

- (1) Unexpended amount due to less refunds processed than appropriation level.
- (2) Unexpended amount due to less refunds processed than appropriation level.
- (3) Unexpended amount due to less refunds processed than appropriation level.

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**CORE RECONCILIATION DETAIL**

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**DIFP  
INSURANCE REFUNDS**

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**5. CORE RECONCILIATION DETAIL**

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|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|----------------|----------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                |                |                |                    |
|                                    | PD                      | 0.00        | 0         | 0              | 135,000        | 135,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>135,000</b> | <b>135,000</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                |                |                |                    |
|                                    | PD                      | 0.00        | 0         | 0              | 135,000        | 135,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>135,000</b> | <b>135,000</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                |                |                |                    |
|                                    | PD                      | 0.00        | 0         | 0              | 135,000        | 135,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>135,000</b> | <b>135,000</b> |                    |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit              |                 |             |                  |             |                  |             |                  |             |             |
|--------------------------|-----------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|-------------|
| Decision Item            | FY 2016         | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     | FY 2018     |
| Budget Object Summary    | ACTUAL          | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     | GOV REC     |
| Fund                     | DOLLAR          | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | FTE         |
| <b>INSURANCE REFUNDS</b> |                 |             |                  |             |                  |             |                  |             |             |
| <b>CORE</b>              |                 |             |                  |             |                  |             |                  |             |             |
| <b>PROGRAM-SPECIFIC</b>  |                 |             |                  |             |                  |             |                  |             |             |
| INSURANCE EXAMINERS FUND | 0               | 0.00        | 60,000           | 0.00        | 60,000           | 0.00        | 60,000           | 0.00        | 0.00        |
| INSURANCE DEDICATED FUND | 20,464          | 0.00        | 75,000           | 0.00        | 75,000           | 0.00        | 75,000           | 0.00        | 0.00        |
| TOTAL - PD               | 20,464          | 0.00        | 135,000          | 0.00        | 135,000          | 0.00        | 135,000          | 0.00        | 0.00        |
| <b>TOTAL</b>             | <b>20,464</b>   | <b>0.00</b> | <b>135,000</b>   | <b>0.00</b> | <b>135,000</b>   | <b>0.00</b> | <b>135,000</b>   | <b>0.00</b> | <b>0.00</b> |
| <b>GRAND TOTAL</b>       | <b>\$20,464</b> | <b>0.00</b> | <b>\$135,000</b> | <b>0.00</b> | <b>\$135,000</b> | <b>0.00</b> | <b>\$135,000</b> | <b>0.00</b> | <b>0.00</b> |

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**DIFP****DECISION ITEM DETAIL**

| Budget Unit              | FY 2016  | FY 2016 | FY 2017   | FY 2017 | FY 2018   | FY 2018  | FY 2018   | FY 2018 |
|--------------------------|----------|---------|-----------|---------|-----------|----------|-----------|---------|
| Decision Item            | ACTUAL   | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |
| Budget Object Class      | DOLLAR   | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |
| <b>INSURANCE REFUNDS</b> |          |         |           |         |           |          |           |         |
| <b>CORE</b>              |          |         |           |         |           |          |           |         |
| REFUNDS                  | 20,464   | 0.00    | 135,000   | 0.00    | 135,000   | 0.00     | 135,000   | 0.00    |
| TOTAL - PD               | 20,464   | 0.00    | 135,000   | 0.00    | 135,000   | 0.00     | 135,000   | 0.00    |
| GRAND TOTAL              | \$20,464 | 0.00    | \$135,000 | 0.00    | \$135,000 | 0.00     | \$135,000 | 0.00    |
| <b>GENERAL REVENUE</b>   |          |         |           |         |           |          |           |         |
|                          | \$0      | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0       | 0.00    |
| <b>FEDERAL FUNDS</b>     |          |         |           |         |           |          |           |         |
|                          | \$0      | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0       | 0.00    |
| <b>OTHER FUNDS</b>       |          |         |           |         |           |          |           |         |
|                          | \$20,464 | 0.00    | \$135,000 | 0.00    | \$135,000 | 0.00     | \$135,000 | 0.00    |

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions & Professional Registration**

**HB Section(s):** 7.430

**Insurance Refunds**

**Program is found in the following core budget(s): Insurance Refunds**

**1. What does this program do?**

Individuals and companies sometimes submit incorrect insurance fee amounts to the department. According to Chapter 374.150 RSMo., all fees due to the state under the provisions of the insurance laws shall be deposited to the credit of department funds unless otherwise provided. The department deposits fees upon receipt, and if it is determined that an incorrect or overpayment has occurred then a refund is generated.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapter 374.150 RSMo.

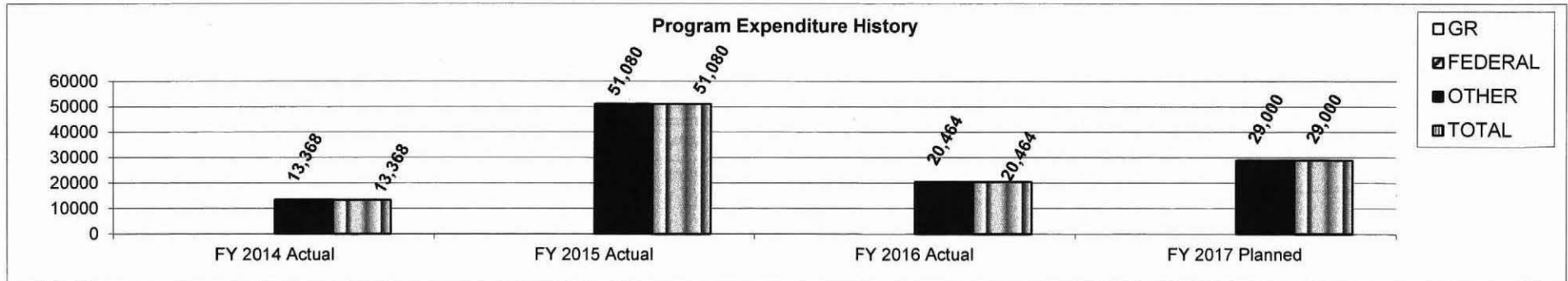
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Insurance Dedicated Fund (0566) and Insurance Examiners Fund (0552)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions & Professional Registration

HB Section(s): 7.430

Insurance Refunds

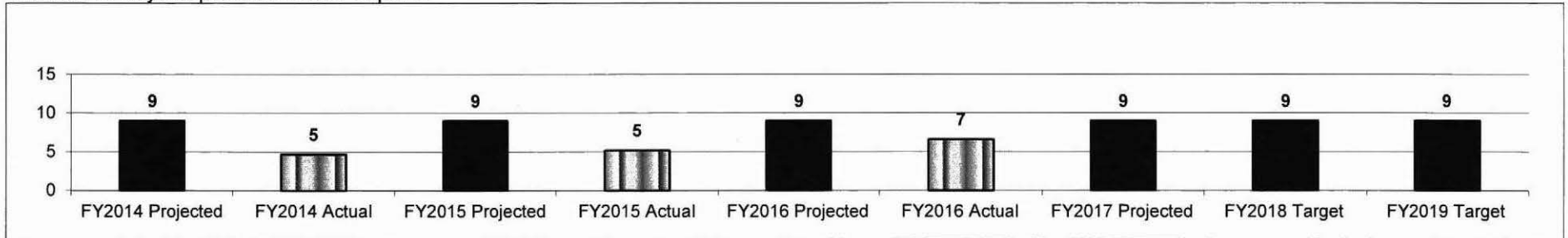
Program is found in the following core budget(s): Insurance Refunds

**7a. Provide an effectiveness measure.**

None available.

**7b. Provide an efficiency measure.**

Number of days to process refund request.



**7c. Provide the number of clients/individuals served, if applicable.**

|                   | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|-------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                   | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Refunds processed | 300       | 231    | 300       | 277    | 300       | 138    | 250       | 200    | 175    |

**7d. Provide a customer satisfaction measure, if available.**

None available.

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### CORE DECISION ITEM

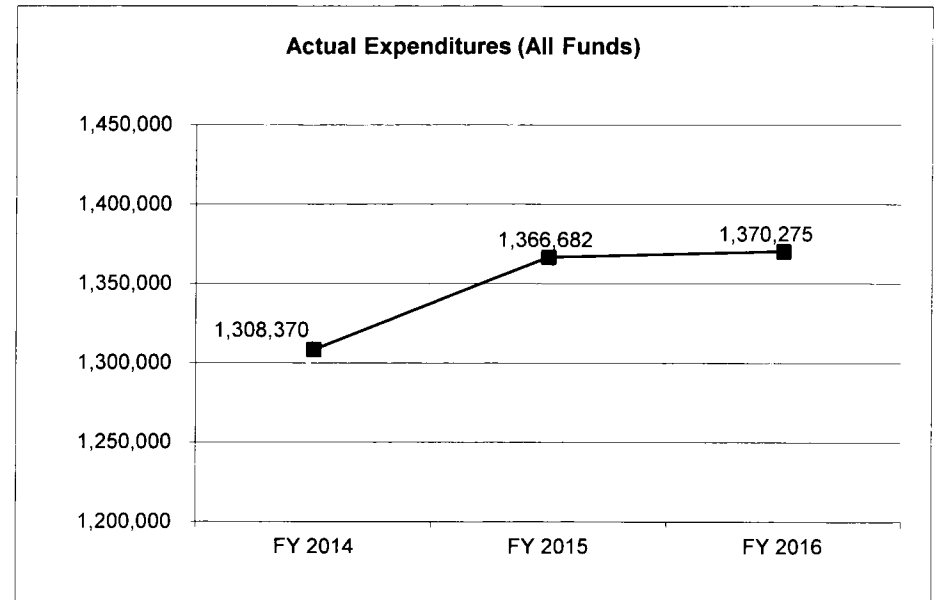
|   |                               |                  |                |                  |                                  |  |             |                  |                |                  |          |
|---|-------------------------------|------------------|----------------|------------------|----------------------------------|--|-------------|------------------|----------------|------------------|----------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>  |                               |                  |                |                  | <b>Budget Unit</b> <u>37540C</u> |  |             |                  |                |                  |          |
| <b>Insurance</b>  |                               |                  |                |                  | <b>HB Section</b> <u>7.435</u>   |  |             |                  |                |                  |          |
| <b>Core - Health Insurance Counseling</b>   |                               |                  |                |                  |                                  |  |             |                  |                |                  |          |
| <b>1. CORE FINANCIAL SUMMARY</b>  |                               |                  |                |                  |                                  |  |             |                  |                |                  |          |
|   | <b>FY 2018 Budget Request</b> |                  |                |                  |                                  | <b>FY 2018 Governor's Recommendation</b>   |             |                  |                |                  |          |
|   | <b>GR</b>                     | <b>Federal</b>   | <b>Other</b>   | <b>Total</b>     | <b>E</b>                         |  | <b>GR</b>   | <b>Federal</b>   | <b>Other</b>   | <b>Total</b>     | <b>E</b> |
| <b>PS</b>   | 0                             | 0                | 0              | 0                |                                  | <b>PS</b>  | 0           | 0                | 0              | 0                |          |
| <b>EE</b>   | 0                             | 0                | 0              | 0                |                                  | <b>EE</b>  | 0           | 0                | 0              | 0                |          |
| <b>PSD</b>  | 0                             | 1,250,000        | 200,000        | 1,450,000        |                                  | <b>PSD</b>   | 0           | 1,250,000        | 200,000        | 1,450,000        |          |
| <b>TRF</b>  | 0                             | 0                | 0              | 0                |                                  | <b>TRF</b>   | 0           | 0                | 0              | 0                |          |
| <b>Total</b>  | <b>0</b>                      | <b>1,250,000</b> | <b>200,000</b> | <b>1,450,000</b> |                                  | <b>Total</b>   | <b>0</b>    | <b>1,250,000</b> | <b>200,000</b> | <b>1,450,000</b> |          |
| <b>FTE</b>  | <b>0.00</b>                   | <b>0.00</b>      | <b>0.00</b>    | <b>0.00</b>      |                                  | <b>FTE</b>   | <b>0.00</b> | <b>0.00</b>      | <b>0.00</b>    | <b>0.00</b>      |          |
| <b>Est. Fringe</b>  | 0                             | 0                | 0              | 0                |                                  | <b>Est. Fringe</b>   | 0           | 0                | 0              | 0                |          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |                               |                  |                |                  |                                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |             |                  |                |                  |          |
| Other Funds:     Insurance Dedicated Fund (0566)  |                               |                  |                |                  |                                  | Other Funds: Insurance Dedicated Fund (0566)   |             |                  |                |                  |          |
| <b>2. CORE DESCRIPTION</b>  |                               |                  |                |                  |                                  |  |             |                  |                |                  |          |
| <p>The CLAIM program provides counseling and educational activities to people on Medicare on health insurance coverage and Medicare benefits. CLAIM has been the official State Health Insurance Assistance Program (SHIP) for Missouri since 1993. Funding is provided by the Administration for Community Living and the state with oversight by the department. The department contracts with Knowledge Management Associates to administer the CLAIM program. All of CLAIM's services are free, unbiased and confidential. CLAIM recruits local community partners and volunteers, develops and conducts volunteer training, provides program outreach through events, assists beneficiaries during Medicare's Annual Enrollment Period (AEP) in the fall of each year and maintains a toll-free number, 1-800-390-3330, and website, <a href="http://www.missouricclaim.org">www.missouricclaim.org</a>. Volunteers are recruited locally and community organizations such as hospitals, community centers, public housing, faith based organizations and senior centers serve as partners and provide space, supplies and local publicity for the program. CLAIM averages 411 volunteer counselors and has over 195 counseling locations throughout the state where counseling is provided.</p> |                               |                  |                |                  |                                  |  |             |                  |                |                  |          |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |                               |                  |                |                  |                                  |  |             |                  |                |                  |          |
| Health Insurance Counseling   |                               |                  |                |                  |                                  |  |             |                  |                |                  |          |

# **CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>37540C</b> |
| <b>Insurance</b>   |                    |               |
| <b>Core - Health Insurance Counseling</b>  | <b>HB Section</b>  | <b>7.435</b>  |

## **4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 1,450,000                 | 1,450,000                 | 1,450,000                 | 1,450,000                      |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 1,450,000                 | 1,450,000                 | 1,450,000                 | 1,450,000                      |
| Actual Expenditures (All Funds) | 1,308,370                 | 1,366,682                 | 1,370,275                 | N/A                            |
| Unexpended (All Funds)          | 141,630                   | 83,318                    | 79,725                    | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 141,630                   | 83,318                    | 79,725                    | N/A                            |
| Other                           | 0                         | 0                         | 0                         | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## **NOTES:**

- (1) Grant amount was less than appropriation.
- (2) Grant amount was less than appropriation.
- (3) Grant amount was less than appropriation.

**CORE RECONCILIATION DETAIL**

**DIFP  
HEALTH INSURANCE COUNSELING**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b>   | <b>Other</b>   | <b>Total</b>     | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|------------------|----------------|------------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                  |                |                  |                    |
|                                    | PD                      | 0.00        | 0         | 1,250,000        | 200,000        | 1,450,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>1,250,000</b> | <b>200,000</b> | <b>1,450,000</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                  |                |                  |                    |
|                                    | PD                      | 0.00        | 0         | 1,250,000        | 200,000        | 1,450,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>1,250,000</b> | <b>200,000</b> | <b>1,450,000</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                  |                |                  |                    |
|                                    | PD                      | 0.00        | 0         | 1,250,000        | 200,000        | 1,450,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>1,250,000</b> | <b>200,000</b> | <b>1,450,000</b> |                    |

**DIFP****DECISION ITEM SUMMARY**

| <b>Budget Unit</b>                 |                    |                |                    |                |                    |                 |                    |                |
|------------------------------------|--------------------|----------------|--------------------|----------------|--------------------|-----------------|--------------------|----------------|
| <b>Decision Item</b>               | <b>FY 2016</b>     | <b>FY 2016</b> | <b>FY 2017</b>     | <b>FY 2017</b> | <b>FY 2018</b>     | <b>FY 2018</b>  | <b>FY 2018</b>     | <b>FY 2018</b> |
| <b>Budget Object Summary</b>       | <b>ACTUAL</b>      | <b>ACTUAL</b>  | <b>BUDGET</b>      | <b>BUDGET</b>  | <b>DEPT REQ</b>    | <b>DEPT REQ</b> | <b>GOV REC</b>     | <b>GOV REC</b> |
| <b>Fund</b>                        | <b>DOLLAR</b>      | <b>FTE</b>     | <b>DOLLAR</b>      | <b>FTE</b>     | <b>DOLLAR</b>      | <b>FTE</b>      | <b>DOLLAR</b>      | <b>FTE</b>     |
| <b>HEALTH INSURANCE COUNSELING</b> |                    |                |                    |                |                    |                 |                    |                |
| <b>CORE</b>                        |                    |                |                    |                |                    |                 |                    |                |
| <b>PROGRAM-SPECIFIC</b>            |                    |                |                    |                |                    |                 |                    |                |
| FEDERAL - MDI                      | 1,170,275          | 0.00           | 1,250,000          | 0.00           | 1,250,000          | 0.00            | 1,250,000          | 0.00           |
| INSURANCE DEDICATED FUND           | 200,000            | 0.00           | 200,000            | 0.00           | 200,000            | 0.00            | 200,000            | 0.00           |
| TOTAL - PD                         | 1,370,275          | 0.00           | 1,450,000          | 0.00           | 1,450,000          | 0.00            | 1,450,000          | 0.00           |
| <b>TOTAL</b>                       | <b>1,370,275</b>   | <b>0.00</b>    | <b>1,450,000</b>   | <b>0.00</b>    | <b>1,450,000</b>   | <b>0.00</b>     | <b>1,450,000</b>   | <b>0.00</b>    |
| <b>GRAND TOTAL</b>                 | <b>\$1,370,275</b> | <b>0.00</b>    | <b>\$1,450,000</b> | <b>0.00</b>    | <b>\$1,450,000</b> | <b>0.00</b>     | <b>\$1,450,000</b> | <b>0.00</b>    |

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**DIFP****DECISION ITEM DETAIL**

| Budget Unit                        | FY 2016     | FY 2016 | FY 2017     | FY 2017 | FY 2018     | FY 2018  | FY 2018     | FY 2018 |
|------------------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Decision Item                      | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class                | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| <b>HEALTH INSURANCE COUNSELING</b> |             |         |             |         |             |          |             |         |
| <b>CORE</b>                        |             |         |             |         |             |          |             |         |
| PROGRAM DISTRIBUTIONS              | 1,370,275   | 0.00    | 1,450,000   | 0.00    | 1,450,000   | 0.00     | 1,450,000   | 0.00    |
| TOTAL - PD                         | 1,370,275   | 0.00    | 1,450,000   | 0.00    | 1,450,000   | 0.00     | 1,450,000   | 0.00    |
| GRAND TOTAL                        | \$1,370,275 | 0.00    | \$1,450,000 | 0.00    | \$1,450,000 | 0.00     | \$1,450,000 | 0.00    |
| <b>GENERAL REVENUE</b>             |             |         |             |         |             |          |             |         |
|                                    | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00    |
| <b>FEDERAL FUNDS</b>               |             |         |             |         |             |          |             |         |
|                                    | \$1,170,275 | 0.00    | \$1,250,000 | 0.00    | \$1,250,000 | 0.00     | \$1,250,000 | 0.00    |
| <b>OTHER FUNDS</b>                 |             |         |             |         |             |          |             |         |
|                                    | \$200,000   | 0.00    | \$200,000   | 0.00    | \$200,000   | 0.00     | \$200,000   | 0.00    |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.435

Health Insurance Counseling

Program is found in the following core budget(s): Health Insurance Counseling

### 1. What does this program do?

The CLAIM program provides counseling and educational activities to people on Medicare on health insurance coverage and Medicare benefits. CLAIM has been the official State Health Insurance Assistance Program (SHIP) for Missouri since 1993. Funding is provided by the Administration for Community Living and the state with oversight by the department. The department contracts with Knowledge Management Associates to administer the CLAIM program. All of CLAIM's services are free, unbiased and confidential. CLAIM recruits local community partners and volunteers, develops and conducts volunteer training, provides program outreach through events, assists beneficiaries during Medicare's Annual Enrollment Period (AEP) in the fall of each year and maintains a toll-free number, 1-800-390-3330, and website, [www.missouricclaim.org](http://www.missouricclaim.org). Volunteers are recruited locally and community organizations such as hospitals, community centers, public housing, faith based organizations and senior centers serve as partners and provide space, supplies and local publicity for the program. CLAIM averages 411 volunteer counselors and has over 195 counseling locations throughout the state where counseling is provided.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Federal CFDA - 93.324 and 93.071 MIPPA.

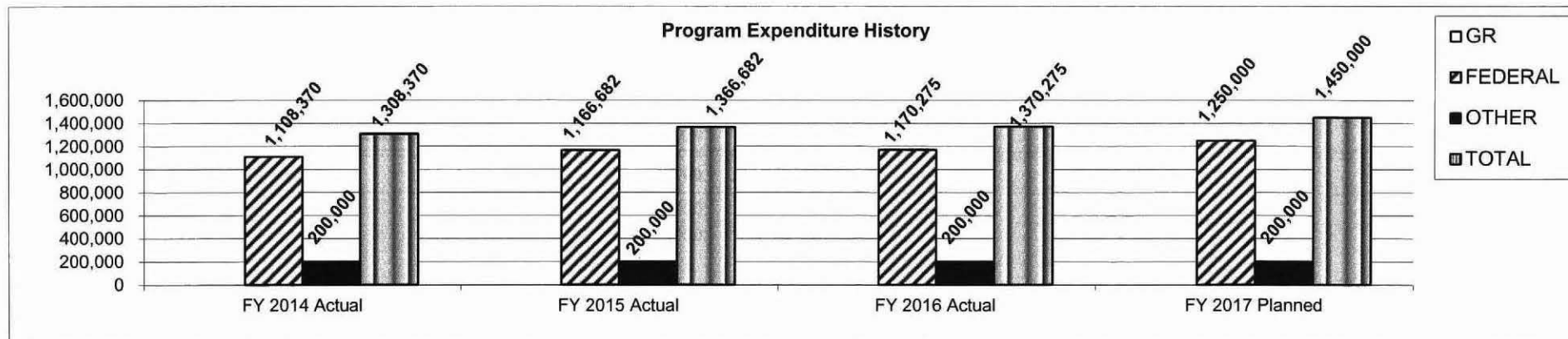
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Insurance Dedicated Fund (0566)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

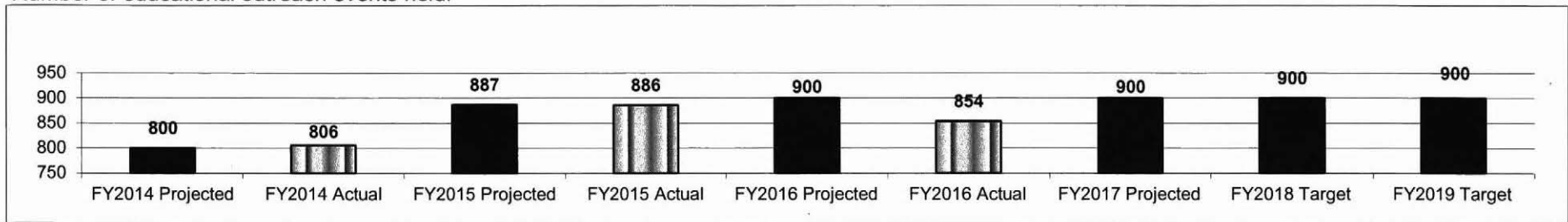
HB Section(s): 7.435

Health Insurance Counseling

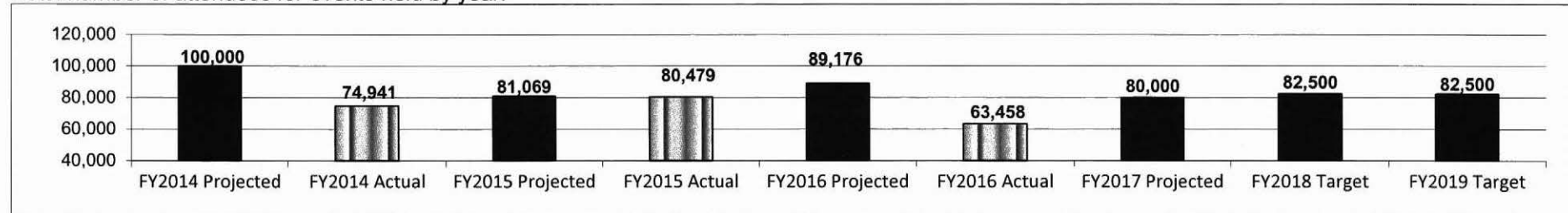
Program is found in the following core budget(s): Health Insurance Counseling

**7a. Provide an effectiveness measure.**

Number of educational outreach events held.

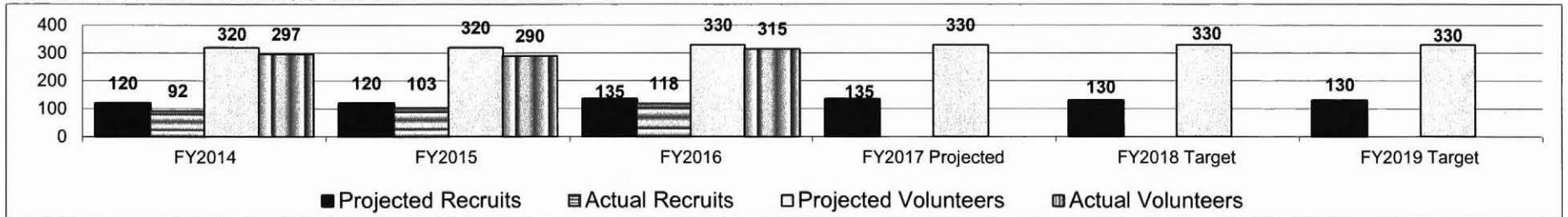


Total number of attendees for events held by year.



**7b. Provide an efficiency measure.**

Number of recruited volunteers and trained active volunteers.





# PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.435

Health Insurance Counseling

Program is found in the following core budget(s): Health Insurance Counseling

7c. Provide the number of clients/individuals served, if applicable.

|                       | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|-----------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                       | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Individuals counseled | 45,000    | 54,500 | 58,000    | 52,608 | 60,000    | 52,289 | 60,000    | 60,000 | 60,000 |

7d. Provide a customer satisfaction measure, if available.

CLAIM conducts random surveys to measure customer satisfaction with the counseling process.

|                                   | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|-----------------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                                   | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Excellent or above average rating | 90%       | 87%    | 90%       | 88%    | 90%       | 80%    | 90%       | 90%    | 90%    |



## CORE DECISION ITEM

|  |  |  |  |  |                                  |  |  |  |  |
|--|--|--|--|--|----------------------------------|--|--|--|--|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> |  |  |  |  | <b>Budget Unit</b> <u>42490C</u> |  |  |  |  |
| <b>Division of Credit Unions</b>   |  |  |  |  | <b>HB Section</b> <u>7.440</u>   |  |  |  |  |
| <b>Core - Credit Unions</b>  |  |  |  |  |                                  |  |  |  |  |

### 1. CORE FINANCIAL SUMMARY

|              | FY 2018 Budget Request |          |                  |                  | E |
|--------------|------------------------|----------|------------------|------------------|---|
|              | GR                     | Federal  | Other            | Total            |   |
| PS           | 0                      | 0        | 1,178,239        | 1,178,239        |   |
| EE           | 0                      | 0        | 144,055          | 144,055          |   |
| PSD          | 0                      | 0        | 0                | 0                |   |
| TRF          | 0                      | 0        | 0                | 0                |   |
| <b>Total</b> | <b>0</b>               | <b>0</b> | <b>1,322,294</b> | <b>1,322,294</b> |   |

|            |             |             |              |              |
|------------|-------------|-------------|--------------|--------------|
| <b>FTE</b> | <b>0.00</b> | <b>0.00</b> | <b>15.50</b> | <b>15.50</b> |
|------------|-------------|-------------|--------------|--------------|

|                    |   |   |         |         |
|--------------------|---|---|---------|---------|
| <b>Est. Fringe</b> | 0 | 0 | 481,433 | 481,433 |
|--------------------|---|---|---------|---------|

*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

|              | FY 2018 Governor's Recommendation |          |                  |                  | E |
|--------------|-----------------------------------|----------|------------------|------------------|---|
|              | GR                                | Federal  | Other            | Total            |   |
| PS           | 0                                 | 0        | 1,178,239        | 1,178,239        |   |
| EE           | 0                                 | 0        | 144,055          | 144,055          |   |
| PSD          | 0                                 | 0        | 0                | 0                |   |
| TRF          | 0                                 | 0        | 0                | 0                |   |
| <b>Total</b> | <b>0</b>                          | <b>0</b> | <b>1,322,294</b> | <b>1,322,294</b> |   |

|            |             |             |              |              |
|------------|-------------|-------------|--------------|--------------|
| <b>FTE</b> | <b>0.00</b> | <b>0.00</b> | <b>15.50</b> | <b>15.50</b> |
|------------|-------------|-------------|--------------|--------------|

|                    |   |   |         |         |
|--------------------|---|---|---------|---------|
| <b>Est. Fringe</b> | 0 | 0 | 481,433 | 481,433 |
|--------------------|---|---|---------|---------|

*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds:     Division of Credit Unions Fund (0548)

Other Funds: Division of Credit Unions Fund (0548)

### 2. CORE DESCRIPTION

The Division of Credit Unions is the regulatory agency responsible for the examination, supervision, chartering, merger and liquidation of all state-chartered credit unions. The division also responds to consumer requests or complaints about credit union services or operations. The division is an accredited agency through the National Association of State Credit Union Supervisors (NASCUS), and all member deposits are insured by the National Credit Union Share Insurance Fund, administered by the National Credit Union Administration. The division is statutorily required to conduct examinations at least once every 18 months. Examinations are conducted to ensure the safety and soundness of credit unions and to ensure compliance with applicable rules, regulations and statutes. The division proactively performs off-site monitoring on an ongoing basis to assist in identifying increasing risk. Credit unions incurring problems, whether financial, operational or in compliance areas, receive increased attention which may come in the form of enforcement actions. The Division of Credit Unions currently regulates 107 credit unions with assets exceeding \$ 13 billion. Missouri is ranked sixth in the nation in the number of state-chartered credit unions. There are approximately 1.4 million members of Missouri credit unions.

### 3. PROGRAM LISTING (list programs included in this core funding)

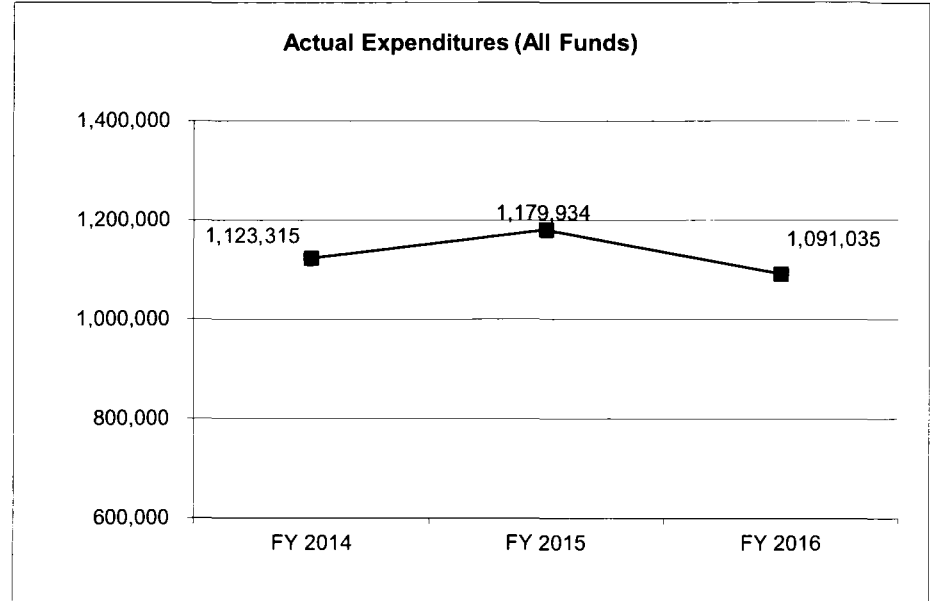
Division of Credit Unions

**CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>42490C</b> |
| <b>Division of Credit Unions</b>   |                    |               |
| <b>Core - Credit Unions</b>  | <b>HB Section</b>  | <b>7.440</b>  |

**4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 1,258,977                 | 1,268,095                 | 1,274,190                 | 1,322,294                      |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 1,258,977                 | 1,268,095                 | 1,274,190                 | 1,322,294                      |
| Actual Expenditures (All Funds) | 1,123,315                 | 1,179,934                 | 1,091,035                 | N/A                            |
| Unexpended (All Funds)          | 135,662                   | 88,161                    | 183,155                   | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 135,662                   | 88,161                    | 183,155                   | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

**NOTES:**

- (1) Unexpended amount is due to staff turnover and less than anticipated expense and equipment spending.
- (2) Unexpended amount is due to staff turnover and less than anticipated expense and equipment spending.
- (3) Unexpended amount is due to staff turnover and less than anticipated expense and equipment spending.

# CORE RECONCILIATION DETAIL

DIFP  
CREDIT UNIONS

## 5. CORE RECONCILIATION DETAIL

|                             | Budget<br>Class | FTE          | GR       | Federal  | Other            | Total            | Explanation |
|-----------------------------|-----------------|--------------|----------|----------|------------------|------------------|-------------|
| TAFP AFTER VETOES           |                 |              |          |          |                  |                  |             |
|                             | PS              | 15.50        | 0        | 0        | 1,178,239        | 1,178,239        |             |
|                             | EE              | 0.00         | 0        | 0        | 144,055          | 144,055          |             |
|                             | <b>Total</b>    | <b>15.50</b> | <b>0</b> | <b>0</b> | <b>1,322,294</b> | <b>1,322,294</b> |             |
| DEPARTMENT CORE REQUEST     |                 |              |          |          |                  |                  |             |
|                             | PS              | 15.50        | 0        | 0        | 1,178,239        | 1,178,239        |             |
|                             | EE              | 0.00         | 0        | 0        | 144,055          | 144,055          |             |
|                             | <b>Total</b>    | <b>15.50</b> | <b>0</b> | <b>0</b> | <b>1,322,294</b> | <b>1,322,294</b> |             |
| GOVERNOR'S RECOMMENDED CORE |                 |              |          |          |                  |                  |             |
|                             | PS              | 15.50        | 0        | 0        | 1,178,239        | 1,178,239        |             |
|                             | EE              | 0.00         | 0        | 0        | 144,055          | 144,055          |             |
|                             | <b>Total</b>    | <b>15.50</b> | <b>0</b> | <b>0</b> | <b>1,322,294</b> | <b>1,322,294</b> |             |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit               |                    |              |                    |              |                    |              |                    |              |
|---------------------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|
| Decision Item             | FY 2016            | FY 2016      | FY 2017            | FY 2017      | FY 2018            | FY 2018      | FY 2018            | FY 2018      |
| Budget Object Summary     | ACTUAL             | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |
| Fund                      | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |
| <b>CREDIT UNIONS</b>      |                    |              |                    |              |                    |              |                    |              |
| <b>CORE</b>               |                    |              |                    |              |                    |              |                    |              |
| PERSONAL SERVICES         |                    |              |                    |              |                    |              |                    |              |
| DIVISION OF CREDIT UNIONS | 981,209            | 13.99        | 1,178,239          | 15.50        | 1,178,239          | 15.50        | 1,178,239          | 15.50        |
| TOTAL - PS                | 981,209            | 13.99        | 1,178,239          | 15.50        | 1,178,239          | 15.50        | 1,178,239          | 15.50        |
| EXPENSE & EQUIPMENT       |                    |              |                    |              |                    |              |                    |              |
| DIVISION OF CREDIT UNIONS | 109,826            | 0.00         | 144,055            | 0.00         | 144,055            | 0.00         | 144,055            | 0.00         |
| TOTAL - EE                | 109,826            | 0.00         | 144,055            | 0.00         | 144,055            | 0.00         | 144,055            | 0.00         |
| <b>TOTAL</b>              | <b>1,091,035</b>   | <b>13.99</b> | <b>1,322,294</b>   | <b>15.50</b> | <b>1,322,294</b>   | <b>15.50</b> | <b>1,322,294</b>   | <b>15.50</b> |
| <b>GRAND TOTAL</b>        | <b>\$1,091,035</b> | <b>13.99</b> | <b>\$1,322,294</b> | <b>15.50</b> | <b>\$1,322,294</b> | <b>15.50</b> | <b>\$1,322,294</b> | <b>15.50</b> |

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## FLEXIBILITY REQUEST FORM

|   |  |
|---|--|
| <b>BUDGET UNIT NUMBER:</b> 42490C<br><b>BUDGET UNIT NAME:</b> Credit Unions<br><b>HOUSE BILL SECTION:</b> 7.440 | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><b>DIVISION:</b> Credit Unions |
|---|--|

**1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.**

| DEPARTMENT REQUEST        | GOVERNOR'S RECOMMENDATION   |
|---------------------------|---|
| No flexibility requested. | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |

**2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.**

| PRIOR YEAR<br>ACTUAL AMOUNT OF FLEXIBILITY USED                    | CURRENT YEAR<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED     | BUDGET REQUEST<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED |
|--|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. | The department will use flexibility only if necessary.                 |

**3. Please explain how flexibility was used in the prior and/or current years.**

| PRIOR YEAR<br>EXPLAIN ACTUAL USE                                   | CURRENT YEAR<br>EXPLAIN PLANNED USE                                      |
|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. |

DIFP

DECISION ITEM DETAIL

| Budget Unit                    | FY 2016        | FY 2016      | FY 2017          | FY 2017      | FY 2018          | FY 2018      | FY 2018          | FY 2018      |
|--------------------------------|----------------|--------------|------------------|--------------|------------------|--------------|------------------|--------------|
| Decision Item                  | ACTUAL         | ACTUAL       | BUDGET           | BUDGET       | DEPT REQ         | DEPT REQ     | GOV REC          | GOV REC      |
| Budget Object Class            | DOLLAR         | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          |
| <b>CREDIT UNIONS</b>           |                |              |                  |              |                  |              |                  |              |
| <b>CORE</b>                    |                |              |                  |              |                  |              |                  |              |
| DESIGNATED PRINCIPAL ASST DIV  | 496            | 0.01         | 490              | 0.00         | 490              | 0.00         | 490              | 0.00         |
| COMMISSION MEMBER              | 0              | 0.00         | 19,478           | 0.00         | 19,478           | 0.00         | 19,478           | 0.00         |
| ADMIN OFFICE SUPPORT ASSISTANT | 6,328          | 0.30         | 12,869           | 0.50         | 12,869           | 0.50         | 12,869           | 0.50         |
| ADMINISTRATIVE SECRETARY       | 41,609         | 1.30         | 36,679           | 1.00         | 36,679           | 1.00         | 36,679           | 1.00         |
| ASST C U EXAMINER - PROB I-II  | 4,167          | 0.08         | 53,222           | 1.00         | 53,222           | 1.00         | 53,222           | 1.00         |
| SR ASST C U EXAMINER I - II    | 58,009         | 1.11         | 117,794          | 2.00         | 63,648           | 1.00         | 63,648           | 1.00         |
| CREDIT UNION EXAMINER I - II   | 103,366        | 1.58         | 134,347          | 2.00         | 75,741           | 1.00         | 75,741           | 1.00         |
| SENIOR C U EXAMINER I-II-III   | 408,512        | 5.42         | 453,571          | 5.00         | 566,323          | 7.00         | 566,323          | 7.00         |
| CHIEF FINANCIAL EXAMINER       | 94,435         | 1.00         | 96,324           | 1.00         | 96,324           | 1.00         | 96,324           | 1.00         |
| DIVISION DIRECTOR              | 99,990         | 1.00         | 101,989          | 1.00         | 101,989          | 1.00         | 101,989          | 1.00         |
| DEPUTY DIVISION DIRECTOR       | 94,435         | 1.00         | 96,324           | 1.00         | 96,324           | 1.00         | 96,324           | 1.00         |
| FISCAL AND ADMINISTRATIVE MNGR | 54,072         | 1.00         | 55,152           | 1.00         | 55,152           | 1.00         | 55,152           | 1.00         |
| COMMISSION MEMBER              | 600            | 0.00         | 0                | 0.00         | 0                | 0.00         | 0                | 0.00         |
| MISCELLANEOUS PROFESSIONAL     | 15,190         | 0.19         | 0                | 0.00         | 0                | 0.00         | 0                | 0.00         |
| <b>TOTAL - PS</b>              | <b>981,209</b> | <b>13.99</b> | <b>1,178,239</b> | <b>15.50</b> | <b>1,178,239</b> | <b>15.50</b> | <b>1,178,239</b> | <b>15.50</b> |
| TRAVEL, IN-STATE               | 65,548         | 0.00         | 67,835           | 0.00         | 67,835           | 0.00         | 67,835           | 0.00         |
| TRAVEL, OUT-OF-STATE           | 3,566          | 0.00         | 2,685            | 0.00         | 2,685            | 0.00         | 2,685            | 0.00         |
| SUPPLIES                       | 4,803          | 0.00         | 5,440            | 0.00         | 5,440            | 0.00         | 5,440            | 0.00         |
| PROFESSIONAL DEVELOPMENT       | 18,632         | 0.00         | 46,025           | 0.00         | 46,025           | 0.00         | 46,025           | 0.00         |
| COMMUNICATION SERV & SUPP      | 0              | 0.00         | 10               | 0.00         | 10               | 0.00         | 10               | 0.00         |
| PROFESSIONAL SERVICES          | 370            | 0.00         | 5,277            | 0.00         | 5,277            | 0.00         | 5,277            | 0.00         |
| M&R SERVICES                   | 0              | 0.00         | 48               | 0.00         | 48               | 0.00         | 48               | 0.00         |
| OFFICE EQUIPMENT               | 100            | 0.00         | 82               | 0.00         | 82               | 0.00         | 82               | 0.00         |
| OTHER EQUIPMENT                | 0              | 0.00         | 9                | 0.00         | 9                | 0.00         | 9                | 0.00         |
| BUILDING LEASE PAYMENTS        | 300            | 0.00         | 70               | 0.00         | 70               | 0.00         | 70               | 0.00         |
| EQUIPMENT RENTALS & LEASES     | 0              | 0.00         | 9                | 0.00         | 9                | 0.00         | 9                | 0.00         |
| MISCELLANEOUS EXPENSES         | 259            | 0.00         | 75               | 0.00         | 75               | 0.00         | 75               | 0.00         |



**DIFP****DECISION ITEM DETAIL**

| Budget Unit          | FY 2016     | FY 2016 | FY 2017     | FY 2017 | FY 2018     | FY 2018  | FY 2018     | FY 2018 |
|----------------------|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Decision Item        | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class  | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| <b>CREDIT UNIONS</b> |             |         |             |         |             |          |             |         |
| <b>CORE</b>          |             |         |             |         |             |          |             |         |
| REBILLABLE EXPENSES  | 16,248      | 0.00    | 16,490      | 0.00    | 16,490      | 0.00     | 16,490      | 0.00    |
| TOTAL - EE           | 109,826     | 0.00    | 144,055     | 0.00    | 144,055     | 0.00     | 144,055     | 0.00    |
| GRAND TOTAL          | \$1,091,035 | 13.99   | \$1,322,294 | 15.50   | \$1,322,294 | 15.50    | \$1,322,294 | 15.50   |
| GENERAL REVENUE      | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00    |
| FEDERAL FUNDS        | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00    |
| OTHER FUNDS          | \$1,091,035 | 13.99   | \$1,322,294 | 15.50   | \$1,322,294 | 15.50    | \$1,322,294 | 15.50   |

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s): 7.440**

**Division of Credit Unions**

**Program is found in the following core budget(s): Credit Unions**

### 1. What does this program do?

The Division of Credit Unions is responsible for the regulation of state-chartered credit unions in Missouri to effectively safeguard the interests of depositors and shareholders of these organizations. The division performs examinations of credit unions to ensure compliance with applicable laws and regulations. The division also responds to consumer requests or complaints about credit union services and operations. The entire cost of the division is reimbursed to the state through fees and assessments paid by the credit unions. The division is accredited through the National Association of State Credit Union Supervisors (NASCUS). All deposits in Missouri credit unions are insured up to \$250,000 by the National Credit Union Administration (NCUA), an agency of the federal government. The division currently regulates 110 credit unions with assets exceeding \$12.5 billion. Missouri is currently ranked eighth in the nation in the number of state-chartered credit unions. There are approximately 1.4 million members of Missouri credit unions.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 370 RSMo.

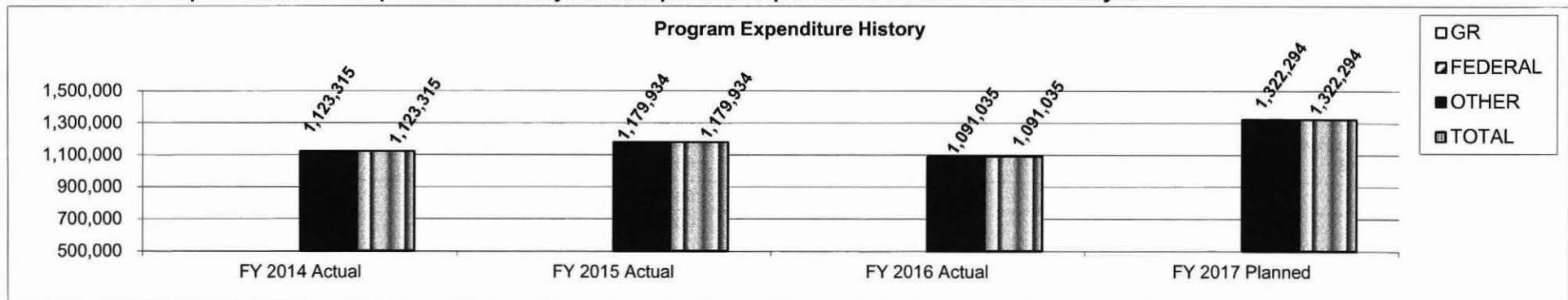
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Division of Credit Unions Fund (0548)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

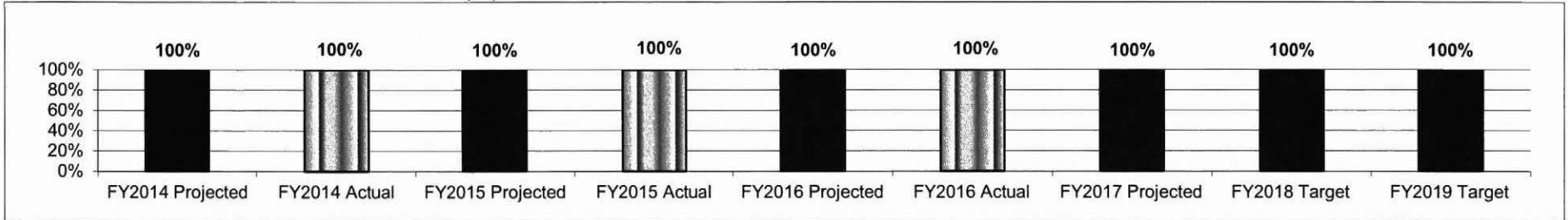
HB Section(s): 7.440

Division of Credit Unions

Program is found in the following core budget(s): Credit Unions

**7a. Provide an effectiveness measure.**

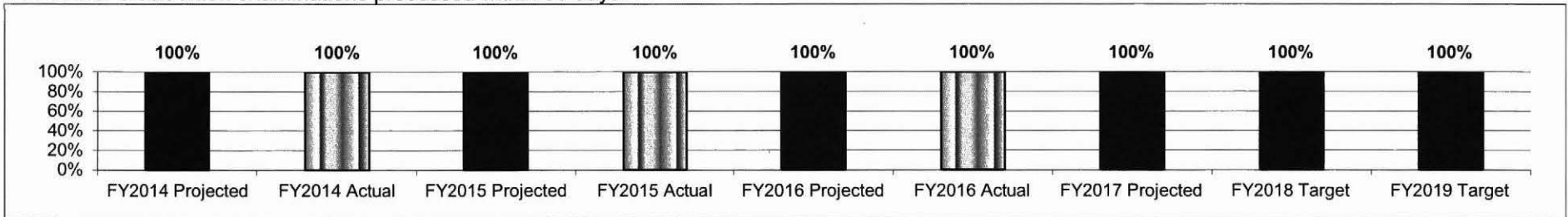
Percent of Missouri credit unions rated with a 1, 2, or 3\*.



\*A credit union's performance is measured by the CAMEL ratings. The CAMEL rating system (1 - 5) is based upon evaluation of critical elements of a credit union's operations. Credit unions rated at a CAMEL rate of 4 or 5 are considered to be performing poorly.

**7b. Provide an efficiency measure.**

Percent of credit union examinations processed within 30 days.



**7c. Provide the number of clients/individuals served, if applicable.**

|                               | FY2014    |           | FY2015    |           | FY2016    |           | FY2017    | FY2018    | FY2019    |
|-------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
|                               | Projected | Actual    | Projected | Actual    | Projected | Actual    | Projected | Target    | Target    |
| Missouri Credit Union Members | 1,333,346 | 1,338,861 | 1,339,207 | 1,374,580 | 1,374,601 | 1,397,660 | 1,374,648 | 1,471,283 | 1,471,283 |

**7d. Provide a customer satisfaction measure, if available.**

None available.

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# CORE DECISION ITEM

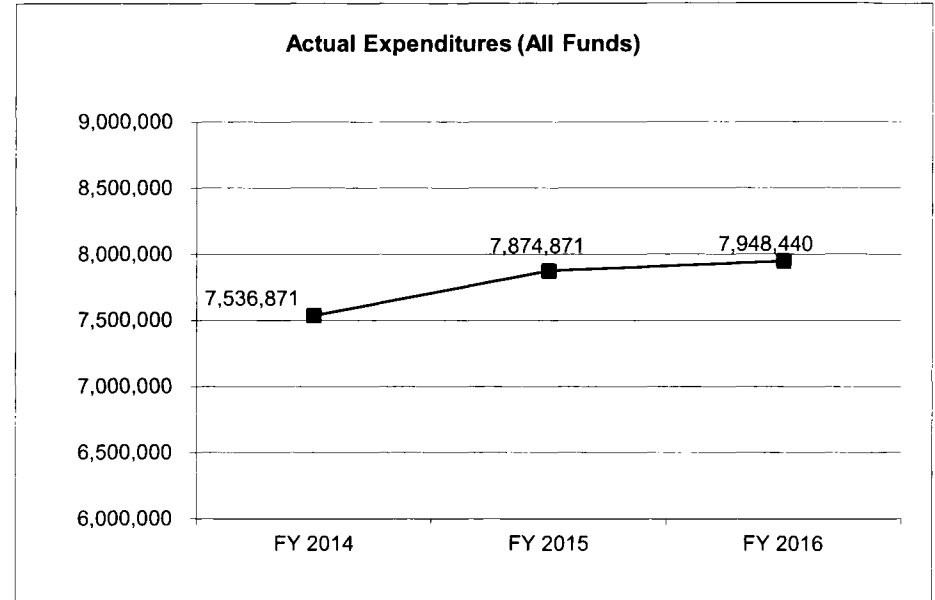
|   |  |      |  |         |             |           |  |           |  |   |  |             |  |      |       |         |  |           |  |           |  |   |  |
|---|--|------|--|---------|-------------|-----------|--|-----------|--|---|--|-------------|--|------|-------|---------|--|-----------|--|-----------|--|---|--|
| Department of Insurance, Financial Institutions and Professional Registration   |  |      |  |         | Budget Unit |           |  |           |  | 42510C  |  |             |  |      |       |         |  |           |  |           |  |   |  |
| Division of Finance   |  |      |  |         |             |           |  |           |  |   |  |             |  |      |       |         |  |           |  |           |  |   |  |
| Core - Finance  |  |      |  |         |             |           |  |           |  | HB Section  |  |             |  |      | 7.445 |         |  |           |  |           |  |   |  |
| 1. CORE FINANCIAL SUMMARY   |  |      |  |         |             |           |  |           |  |   |  |             |  |      |       |         |  |           |  |           |  |   |  |
| FY 2018 Budget Request  |  |      |  |         |             |           |  |           |  | FY 2018 Governor's Recommendation   |  |             |  |      |       |         |  |           |  |           |  |   |  |
|   |  | GR   |  | Federal |             | Other     |  | Total     |  | E   |  |             |  | GR   |       | Federal |  | Other     |  | Total     |  | E |  |
| PS  |  | 0    |  | 0       |             | 8,119,094 |  | 8,119,094 |  |   |  | PS          |  | 0    |       | 0       |  | 8,119,094 |  | 8,119,094 |  |   |  |
| EE  |  | 0    |  | 0       |             | 927,276   |  | 927,276   |  |   |  | EE          |  | 0    |       | 0       |  | 927,276   |  | 927,276   |  |   |  |
| PSD   |  | 0    |  | 0       |             | 1,000     |  | 1,000     |  |   |  | PSD         |  | 0    |       | 0       |  | 1,000     |  | 1,000     |  |   |  |
| TRF   |  | 0    |  | 0       |             | 0         |  | 0         |  |   |  | TRF         |  | 0    |       | 0       |  | 0         |  | 0         |  |   |  |
| Total   |  | 0    |  | 0       |             | 9,047,370 |  | 9,047,370 |  |   |  | Total       |  | 0    |       | 0       |  | 9,047,370 |  | 9,047,370 |  |   |  |
| FTE   |  | 0.00 |  | 0.00    |             | 118.15    |  | 118.15    |  |   |  | FTE         |  | 0.00 |       | 0.00    |  | 118.15    |  | 118.15    |  |   |  |
| Est. Fringe   |  | 0    |  | 0       |             | 3,434,403 |  | 3,434,403 |  |   |  | Est. Fringe |  | 0    |       | 0       |  | 3,434,403 |  | 3,434,403 |  |   |  |
| Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.   |  |      |  |         |             |           |  |           |  | Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. |  |             |  |      |       |         |  |           |  |           |  |   |  |
| Other Funds: Division of Finance Fund (0550)  |  |      |  |         |             |           |  |           |  | Other Funds: Division of Finance Fund (0550)  |  |             |  |      |       |         |  |           |  |           |  |   |  |
| 2. CORE DESCRIPTION   |  |      |  |         |             |           |  |           |  |   |  |             |  |      |       |         |  |           |  |           |  |   |  |
| The Division of Finance is responsible for the regulation of state-chartered financial institutions in Missouri to ensure a sound banking system and protect depositor's funds. The health and vitality of Missouri's banking industry is critical to the state's economic well-being. Bank failures have a negative impact on a state's economy and threaten the public's confidence in the banking system. It is important that Missouri citizens have confidence that the money deposited in the state's financial institutions is safe. The Division of Finance helps to ensure the safety and soundness of Missouri's financial institutions through the chartering and regulation of state chartered banks, trust companies, and savings and loan associations. The division also licenses and regulates consumer credit companies, credit service organizations, money order companies, residential mortgage brokers, and loan originators. Statutes require that each state bank, trust company, and savings and loan association be examined for safety and soundness at least every 18 months. Consumer credit companies as well as banks are examined periodically for compliance with statutes and regulations governing finance charges, credit insurance fees, etc. The Conference of State Bank Supervisors (CSBS) dues are paid from this core. The CSBS is the only national organization that provides a forum for all 50 states and their commissioners to advocate for the dual banking system and preservation of the state bank charter. CSBS also provides a wide array of professional development and training courses for regulators and conducts a comprehensive Accreditation Program in order to maintain quality standards and best practices within each state banking department. |  |      |  |         |             |           |  |           |  |   |  |             |  |      |       |         |  |           |  |           |  |   |  |
| 3. PROGRAM LISTING (list programs included in this core funding)  |  |      |  |         |             |           |  |           |  |   |  |             |  |      |       |         |  |           |  |           |  |   |  |
| Bank and Trust Company Regulation<br>Consumer Credit Licensing and Regulation   |  |      |  |         |             |           |  |           |  |   |  |             |  |      |       |         |  |           |  |           |  |   |  |

# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42510C |
| Division of Finance   |             |        |
| Core - Finance  | HB Section  | 7.445  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 8,552,612         | 8,617,233         | 8,657,921         | 9,047,370              |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 8,552,612         | 8,617,233         | 8,657,921         | 9,047,370              |
| Actual Expenditures (All Funds) | 7,536,871         | 7,874,871         | 7,948,440         | N/A                    |
| Unexpended (All Funds)          | 1,015,741         | 742,362           | 709,481           | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 1,015,741         | 742,362           | 709,481           | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Unexpended amount is due to lower than expected expenditures.
- (2) Unexpended amount is due to lower than expected expenditures.
- (3) Unexpended amount is due to lower than expected expenditures.

**CORE RECONCILIATION DETAIL**

**DIFP  
FINANCE**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>    | <b>GR</b> | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>Explanation</b> |
|------------------------------------|-------------------------|---------------|-----------|----------------|------------------|------------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |               |           |                |                  |                  |                    |
|                                    | PS                      | 118.15        | 0         | 0              | 8,119,094        | 8,119,094        |                    |
|                                    | EE                      | 0.00          | 0         | 0              | 927,276          | 927,276          |                    |
|                                    | PD                      | 0.00          | 0         | 0              | 1,000            | 1,000            |                    |
|                                    | <b>Total</b>            | <b>118.15</b> | <b>0</b>  | <b>0</b>       | <b>9,047,370</b> | <b>9,047,370</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |               |           |                |                  |                  |                    |
|                                    | PS                      | 118.15        | 0         | 0              | 8,119,094        | 8,119,094        |                    |
|                                    | EE                      | 0.00          | 0         | 0              | 927,276          | 927,276          |                    |
|                                    | PD                      | 0.00          | 0         | 0              | 1,000            | 1,000            |                    |
|                                    | <b>Total</b>            | <b>118.15</b> | <b>0</b>  | <b>0</b>       | <b>9,047,370</b> | <b>9,047,370</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |               |           |                |                  |                  |                    |
|                                    | PS                      | 118.15        | 0         | 0              | 8,119,094        | 8,119,094        |                    |
|                                    | EE                      | 0.00          | 0         | 0              | 927,276          | 927,276          |                    |
|                                    | PD                      | 0.00          | 0         | 0              | 1,000            | 1,000            |                    |
|                                    | <b>Total</b>            | <b>118.15</b> | <b>0</b>  | <b>0</b>       | <b>9,047,370</b> | <b>9,047,370</b> |                    |



**DIFP****DECISION ITEM SUMMARY**

| Budget Unit           |                    |               |                    |               |                    |               |                    |               |  |
|-----------------------|--------------------|---------------|--------------------|---------------|--------------------|---------------|--------------------|---------------|--|
| Decision Item         | FY 2016            | FY 2016       | FY 2017            | FY 2017       | FY 2018            | FY 2018       | FY 2018            | FY 2018       |  |
| Budget Object Summary | ACTUAL             | ACTUAL        | BUDGET             | BUDGET        | DEPT REQ           | DEPT REQ      | GOV REC            | GOV REC       |  |
| Fund                  | DOLLAR             | FTE           | DOLLAR             | FTE           | DOLLAR             | FTE           | DOLLAR             | FTE           |  |
| <b>FINANCE</b>        |                    |               |                    |               |                    |               |                    |               |  |
| <b>CORE</b>           |                    |               |                    |               |                    |               |                    |               |  |
| PERSONAL SERVICES     |                    |               |                    |               |                    |               |                    |               |  |
| DIVISION OF FINANCE   | 7,202,354          | 109.47        | 8,119,094          | 118.15        | 8,119,094          | 118.15        | 8,119,094          | 118.15        |  |
| TOTAL - PS            | 7,202,354          | 109.47        | 8,119,094          | 118.15        | 8,119,094          | 118.15        | 8,119,094          | 118.15        |  |
| EXPENSE & EQUIPMENT   |                    |               |                    |               |                    |               |                    |               |  |
| DIVISION OF FINANCE   | 738,786            | 0.00          | 927,276            | 0.00          | 927,276            | 0.00          | 927,276            | 0.00          |  |
| TOTAL - EE            | 738,786            | 0.00          | 927,276            | 0.00          | 927,276            | 0.00          | 927,276            | 0.00          |  |
| PROGRAM-SPECIFIC      |                    |               |                    |               |                    |               |                    |               |  |
| DIVISION OF FINANCE   | 7,300              | 0.00          | 1,000              | 0.00          | 1,000              | 0.00          | 1,000              | 0.00          |  |
| TOTAL - PD            | 7,300              | 0.00          | 1,000              | 0.00          | 1,000              | 0.00          | 1,000              | 0.00          |  |
| <b>TOTAL</b>          | <b>7,948,440</b>   | <b>109.47</b> | <b>9,047,370</b>   | <b>118.15</b> | <b>9,047,370</b>   | <b>118.15</b> | <b>9,047,370</b>   | <b>118.15</b> |  |
| <b>GRAND TOTAL</b>    | <b>\$7,948,440</b> | <b>109.47</b> | <b>\$9,047,370</b> | <b>118.15</b> | <b>\$9,047,370</b> | <b>118.15</b> | <b>\$9,047,370</b> | <b>118.15</b> |  |

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### FLEXIBILITY REQUEST FORM

|   |   |   |
|---|---|---|
| <b>BUDGET UNIT NUMBER:</b> 42510C<br><b>BUDGET UNIT NAME:</b> Finance<br><b>HOUSE BILL SECTION:</b> 7.445   | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><b>DIVISION:</b> Finance  |   |
| <b>1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.</b> |   |   |
| <b>DEPARTMENT REQUEST</b>   | <b>GOVERNOR'S RECOMMENDATION</b>  |   |
| No flexibility requested.   | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |   |
| <b>2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.</b>  |   |   |
| <b>PRIOR YEAR<br/>ACTUAL AMOUNT OF FLEXIBILITY USED</b>   | <b>CURRENT YEAR<br/>ESTIMATED AMOUNT OF<br/>FLEXIBILITY THAT WILL BE USED</b>   | <b>BUDGET REQUEST<br/>ESTIMATED AMOUNT OF<br/>FLEXIBILITY THAT WILL BE USED</b> |
| The department did not have any flexibility in prior year budgets.  | The department does not have any flexibility in the current year budget.  | The department will use flexibility only if necessary.                          |
| <b>3. Please explain how flexibility was used in the prior and/or current years.</b>  |   |   |
| <b>PRIOR YEAR<br/>EXPLAIN ACTUAL USE</b>  | <b>CURRENT YEAR<br/>EXPLAIN PLANNED USE</b>   |   |
| The department did not have any flexibility in prior year budgets.  | The department does not have any flexibility in the current year budget.  |   |

**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                    | FY 2016 | FY 2016 | FY 2017   | FY 2017 | FY 2018   | FY 2018  | FY 2018   | FY 2018 |
|--------------------------------|---------|---------|-----------|---------|-----------|----------|-----------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |
| <b>FINANCE</b>                 |         |         |           |         |           |          |           |         |
| <b>CORE</b>                    |         |         |           |         |           |          |           |         |
| SALARIES & WAGES               | 0       | 0.00    | 234,854   | 0.00    | 0         | 0.00     | 0         | 0.00    |
| DESIGNATED PRINCIPAL ASST DIV  | 1,268   | 0.02    | 0         | 0.00    | 0         | 0.00     | 0         | 0.00    |
| SPECIAL ASST PROFESSIONAL      | 20      | 0.00    | 0         | 0.00    | 0         | 0.00     | 0         | 0.00    |
| ADMIN OFFICE SUPPORT ASSISTANT | 65,616  | 2.00    | 69,374    | 2.00    | 69,374    | 2.00     | 69,374    | 2.00    |
| ADMINISTRATIVE SECRETARY       | 81,955  | 2.00    | 83,202    | 2.00    | 83,202    | 2.00     | 83,202    | 2.00    |
| SR OFC SUPPORT ASST (KEYBRD)   | 26,713  | 0.99    | 54,911    | 2.00    | 28,911    | 1.00     | 28,911    | 1.00    |
| SENIOR ACCOUNTING CLERK        | 28,596  | 1.00    | 29,168    | 1.00    | 29,168    | 1.00     | 29,168    | 1.00    |
| ASSISTANT BANK EXAMINER        | 142,788 | 3.43    | 206,815   | 5.00    | 208,858   | 5.00     | 208,858   | 5.00    |
| SENIOR ASSISTANT BANK EXAMINER | 224,004 | 4.54    | 252,623   | 5.00    | 201,116   | 4.00     | 201,116   | 4.00    |
| BANK EXAMINER                  | 502,454 | 8.34    | 676,241   | 11.00   | 122,952   | 2.00     | 122,952   | 2.00    |
| SENIOR BANK EXAMINER I         | 815,584 | 11.67   | 993,831   | 14.00   | 572,131   | 8.00     | 572,131   | 8.00    |
| REVIEW EXAMINER                | 252,578 | 3.00    | 339,053   | 4.00    | 339,053   | 4.00     | 339,053   | 4.00    |
| SENIOR ASSISTANT TRUST EXAM    | 14,447  | 0.29    | 101,049   | 2.00    | 50,524    | 1.00     | 50,524    | 1.00    |
| TRUST SUPERVISOR               | 83,319  | 1.00    | 84,566    | 1.00    | 84,566    | 1.00     | 84,566    | 1.00    |
| DISTRICT SUPERVISOR            | 452,428 | 5.00    | 458,827   | 5.00    | 468,233   | 5.00     | 468,233   | 5.00    |
| REPORT ANALYST                 | 35,514  | 1.00    | 40,845    | 1.00    | 40,845    | 1.00     | 40,845    | 1.00    |
| ASSISTANT BANK EXAMINER II     | 148,377 | 3.29    | 137,936   | 3.00    | 137,936   | 3.00     | 137,936   | 3.00    |
| ASSIST TRUST EXAMINER II       | 76,139  | 1.69    | 0         | 0.00    | 0         | 0.00     | 0         | 0.00    |
| ASST CONS. CREDIT EXAMINER     | 28,819  | 0.70    | 41,363    | 1.00    | 82,726    | 2.00     | 82,726    | 2.00    |
| SENIOR ASST CONS. CREDIT EXAM  | 39,214  | 0.79    | 0         | 0.00    | 0         | 0.00     | 0         | 0.00    |
| CONSUMER CREDIT EXAMINER       | 60,271  | 1.00    | 61,476    | 1.00    | 61,476    | 1.00     | 61,476    | 1.00    |
| SR CONS CREDIT EXAMINER I      | 223,674 | 3.21    | 141,976   | 2.00    | 70,988    | 1.00     | 70,988    | 1.00    |
| ASST CONSUMER CREDIT EXAM II   | 0       | 0.00    | 0         | 0.00    | 45,978    | 1.00     | 45,978    | 1.00    |
| SUPERVISOR OF CONSUMER CREDIT  | 90,031  | 1.00    | 91,406    | 1.00    | 91,406    | 1.00     | 91,406    | 1.00    |
| SENIOR BANK EXAMINER II        | 777,862 | 10.58   | 601,718   | 8.00    | 827,365   | 11.00    | 827,365   | 11.00   |
| SENIOR BANK EXAMINER III       | 926,488 | 11.50   | 1,129,434 | 14.00   | 1,778,154 | 21.00    | 1,778,154 | 21.00   |
| SENIOR TRUST EXAMINER III      | 78,690  | 1.00    | 80,674    | 1.00    | 80,674    | 1.00     | 80,674    | 1.00    |
| SR CONS CREDIT EXAMINER II     | 122,472 | 1.71    | 150,430   | 2.00    | 225,645   | 3.00     | 225,645   | 3.00    |
| SR CONS CREDIT EXAMINER III    | 153,787 | 1.95    | 242,022   | 3.00    | 242,022   | 3.00     | 242,022   | 3.00    |
| SUPVSR OF MORTGAGE LICENSING   | 85,993  | 1.00    | 87,170    | 1.00    | 87,170    | 1.00     | 87,170    | 1.00    |
| SENIOR ASSISTANT EXAMINER II   | 238,076 | 4.54    | 107,051   | 2.00    | 160,575   | 3.00     | 160,575   | 3.00    |
| BANK EXAMINER II               | 344,526 | 5.33    | 328,843   | 5.00    | 657,680   | 10.00    | 657,680   | 10.00   |

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**DECISION ITEM DETAIL**

| Budget Unit                    | FY 2016          | FY 2016       | FY 2017          | FY 2017       | FY 2018          | FY 2018       | FY 2018          | FY 2018       |
|--------------------------------|------------------|---------------|------------------|---------------|------------------|---------------|------------------|---------------|
| Decision Item                  | ACTUAL           | ACTUAL        | BUDGET           | BUDGET        | DEPT REQ         | DEPT REQ      | GOV REC          | GOV REC       |
| Budget Object Class            | DOLLAR           | FTE           | DOLLAR           | FTE           | DOLLAR           | FTE           | DOLLAR           | FTE           |
| <b>FINANCE</b>                 |                  |               |                  |               |                  |               |                  |               |
| <b>CORE</b>                    |                  |               |                  |               |                  |               |                  |               |
| SR ASST CONS CREDIT EXAM II    | 10,932           | 0.21          | 53,526           | 1.00          | 0                | 0.00          | 0                | 0.00          |
| SENIOR ASST TRUST EXAMINER II  | 0                | 0.00          | 0                | 0.00          | 53,525           | 1.00          | 53,525           | 1.00          |
| CONSUMER CREDIT EXAMINER II    | 91,345           | 1.42          | 131,537          | 2.00          | 65,768           | 1.00          | 65,768           | 1.00          |
| SENIOR ASSISTANT MORTGAGE EXAM | 32,451           | 0.66          | 50,525           | 1.00          | 0                | 0.00          | 0                | 0.00          |
| SENIOR MORTGAGE EXAMINER II    | 0                | 0.00          | 0                | 0.00          | 75,215           | 1.00          | 75,215           | 1.00          |
| PERSONNEL OFFICER              | 44,179           | 1.00          | 44,693           | 1.00          | 44,693           | 1.00          | 44,693           | 1.00          |
| ASSISTANT MORTGAGE EXAMINER    | 42,555           | 1.00          | 0                | 0.00          | 0                | 0.00          | 0                | 0.00          |
| ASSISTANT MORTGAGE EXAMINER II | 15,026           | 0.33          | 45,979           | 1.00          | 45,979           | 1.00          | 45,979           | 1.00          |
| SR ASST MORTGAGE EXAMINER II   | 14,312           | 0.27          | 0                | 0.00          | 53,525           | 1.00          | 53,525           | 1.00          |
| MORTGAGE EXAMINER              | 104,104          | 1.73          | 122,953          | 2.00          | 0                | 0.00          | 0                | 0.00          |
| MORTGAGE EXAMINER II           | 0                | 0.00          | 0                | 0.00          | 131,536          | 2.00          | 131,536          | 2.00          |
| SENIOR MORTGAGE EXAMINER I     | 69,596           | 1.00          | 70,988           | 1.00          | 0                | 0.00          | 0                | 0.00          |
| SENIOR MORTGAGE EXAMINER III   | 156,576          | 2.00          | 161,348          | 2.00          | 161,348          | 2.00          | 161,348          | 2.00          |
| EXAMINER SPECIALIST            | 53,069           | 1.00          | 53,460           | 1.00          | 53,460           | 1.00          | 53,460           | 1.00          |
| MORTGAGE LICENSING TECHNICIAN  | 0                | 0.00          | 0                | 0.00          | 26,000           | 1.00          | 26,000           | 1.00          |
| DIVISION DIRECTOR              | 0                | 0.00          | 109,069          | 1.00          | 104,287          | 1.00          | 104,287          | 1.00          |
| DEPUTY DIVISION DIRECTOR       | 102,243          | 1.00          | 97,938           | 1.00          | 99,543           | 1.00          | 99,543           | 1.00          |
| CHIEF EXAMINER                 | 96,859           | 1.00          | 98,318           | 1.00          | 98,318           | 1.00          | 98,318           | 1.00          |
| SENIOR COUNSEL                 | 76,982           | 1.00          | 78,153           | 1.00          | 78,153           | 1.00          | 78,153           | 1.00          |
| CHIEF COUNSEL                  | 97,591           | 1.00          | 93,468           | 1.00          | 93,468           | 1.00          | 93,468           | 1.00          |
| FISCAL AND ADMINISTRATIVE MNGR | 53,303           | 1.00          | 54,114           | 1.00          | 54,114           | 1.00          | 54,114           | 1.00          |
| BOARD MEMBER                   | 0                | 0.00          | 4,924            | 0.15          | 4,924            | 0.15          | 4,924            | 0.15          |
| MISCELLANEOUS PROFESSIONAL     | 19,528           | 0.28          | 21,243           | 1.00          | 26,510           | 1.00          | 26,510           | 1.00          |
| <b>TOTAL - PS</b>              | <b>7,202,354</b> | <b>109.47</b> | <b>8,119,094</b> | <b>118.15</b> | <b>8,119,094</b> | <b>118.15</b> | <b>8,119,094</b> | <b>118.15</b> |
| TRAVEL, IN-STATE               | 368,679          | 0.00          | 466,525          | 0.00          | 466,525          | 0.00          | 466,525          | 0.00          |
| TRAVEL, OUT-OF-STATE           | 69,880           | 0.00          | 132,369          | 0.00          | 102,369          | 0.00          | 102,369          | 0.00          |
| SUPPLIES                       | 54,010           | 0.00          | 67,133           | 0.00          | 67,133           | 0.00          | 67,133           | 0.00          |
| PROFESSIONAL DEVELOPMENT       | 138,196          | 0.00          | 127,086          | 0.00          | 147,086          | 0.00          | 147,086          | 0.00          |
| COMMUNICATION SERV & SUPP      | 31,030           | 0.00          | 36,325           | 0.00          | 36,325           | 0.00          | 36,325           | 0.00          |
| PROFESSIONAL SERVICES          | 33,636           | 0.00          | 67,323           | 0.00          | 67,323           | 0.00          | 67,323           | 0.00          |
| M&R SERVICES                   | 2,269            | 0.00          | 5,175            | 0.00          | 5,175            | 0.00          | 5,175            | 0.00          |
| MOTORIZED EQUIPMENT            | 0                | 0.00          | 1                | 0.00          | 1                | 0.00          | 1                | 0.00          |

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**DECISION ITEM DETAIL**

| Budget Unit                | FY 2016            | FY 2016       | FY 2017            | FY 2017       | FY 2018            | FY 2018       | FY 2018            | FY 2018       |
|----------------------------|--------------------|---------------|--------------------|---------------|--------------------|---------------|--------------------|---------------|
| Decision Item              | ACTUAL             | ACTUAL        | BUDGET             | BUDGET        | DEPT REQ           | DEPT REQ      | GOV REC            | GOV REC       |
| Budget Object Class        | DOLLAR             | FTE           | DOLLAR             | FTE           | DOLLAR             | FTE           | DOLLAR             | FTE           |
| <b>FINANCE</b>             |                    |               |                    |               |                    |               |                    |               |
| <b>CORE</b>                |                    |               |                    |               |                    |               |                    |               |
| OFFICE EQUIPMENT           | 33,439             | 0.00          | 13,293             | 0.00          | 23,293             | 0.00          | 23,293             | 0.00          |
| OTHER EQUIPMENT            | 899                | 0.00          | 6,000              | 0.00          | 6,000              | 0.00          | 6,000              | 0.00          |
| BUILDING LEASE PAYMENTS    | 25                 | 0.00          | 1                  | 0.00          | 1                  | 0.00          | 1                  | 0.00          |
| EQUIPMENT RENTALS & LEASES | 290                | 0.00          | 100                | 0.00          | 100                | 0.00          | 100                | 0.00          |
| MISCELLANEOUS EXPENSES     | 2,293              | 0.00          | 1,805              | 0.00          | 1,805              | 0.00          | 1,805              | 0.00          |
| REBILLABLE EXPENSES        | 4,140              | 0.00          | 4,140              | 0.00          | 4,140              | 0.00          | 4,140              | 0.00          |
| <b>TOTAL - EE</b>          | <b>738,786</b>     | <b>0.00</b>   | <b>927,276</b>     | <b>0.00</b>   | <b>927,276</b>     | <b>0.00</b>   | <b>927,276</b>     | <b>0.00</b>   |
| REFUNDS                    | 7,300              | 0.00          | 1,000              | 0.00          | 1,000              | 0.00          | 1,000              | 0.00          |
| <b>TOTAL - PD</b>          | <b>7,300</b>       | <b>0.00</b>   | <b>1,000</b>       | <b>0.00</b>   | <b>1,000</b>       | <b>0.00</b>   | <b>1,000</b>       | <b>0.00</b>   |
| <b>GRAND TOTAL</b>         | <b>\$7,948,440</b> | <b>109.47</b> | <b>\$9,047,370</b> | <b>118.15</b> | <b>\$9,047,370</b> | <b>118.15</b> | <b>\$9,047,370</b> | <b>118.15</b> |
| <b>GENERAL REVENUE</b>     | <b>\$0</b>         | <b>0.00</b>   | <b>\$0</b>         | <b>0.00</b>   | <b>\$0</b>         | <b>0.00</b>   | <b>\$0</b>         | <b>0.00</b>   |
| <b>FEDERAL FUNDS</b>       | <b>\$0</b>         | <b>0.00</b>   | <b>\$0</b>         | <b>0.00</b>   | <b>\$0</b>         | <b>0.00</b>   | <b>\$0</b>         | <b>0.00</b>   |
| <b>OTHER FUNDS</b>         | <b>\$7,948,440</b> | <b>109.47</b> | <b>\$9,047,370</b> | <b>118.15</b> | <b>\$9,047,370</b> | <b>118.15</b> | <b>\$9,047,370</b> | <b>118.15</b> |

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s): 7.445**

**Bank and Trust Company Regulation**

**Program is found in the following core budget(s): Finance**

**1. What does this program do?**

This program is responsible for the chartering, regulation and licensing of Missouri state-chartered banks and trust companies. As of September 30, 2016, Missouri ranked third in the nation in the number of state-chartered banks with 251 state-chartered banks, 4 non-deposit trust companies, and 5 savings and loan associations regulated by the division. Assets in Missouri state-chartered banks totaled \$119.6 billion on September 30, 2016. The 5 nondeposit trust companies held a combined total of \$16.2 billion in trust assets as of calendar year end 2015. The Conference of State Bank Supervisors (CSBS) dues are paid from this program. The CSBS is the only national organization that provides a forum for all 50 states and their commissioners to advocate for the dual banking system and preservation of the state bank charter. CSBS also provides a wide array of professional development and training courses for regulators and conducts a comprehensive Accreditation Program in order to maintain quality standards and best practices within each state banking department.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 361, 362, 369 and 443 RSMo.

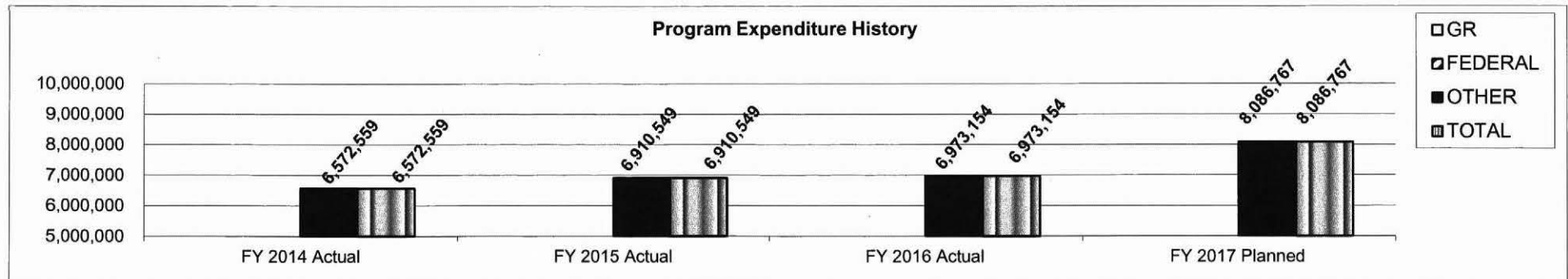
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Division of Finance Fund (0550)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

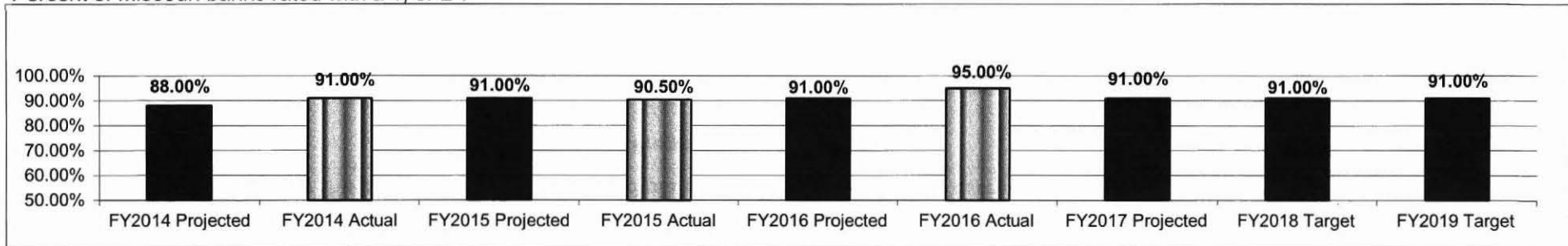
HB Section(s): 7.445

Bank and Trust Company Regulation

Program is found in the following core budget(s): Finance

**7a. Provide an effectiveness measure.**

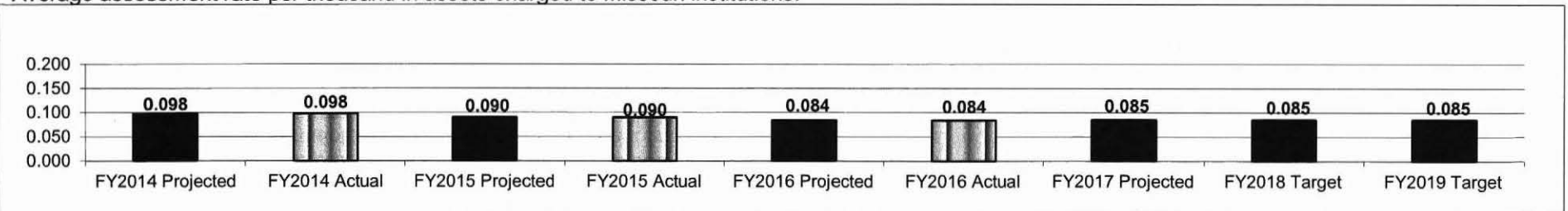
Percent of Missouri banks rated with a 1, or 2\*.



\*A bank's performance is measured by the CAMELS ratings. The CAMELS rating system (1 - 5) is based upon evaluation of critical elements of a bank's operations. Banks rated at a CAMELS rate of 3, 4 or 5 are considered to be problem institutions.

**7b. Provide an efficiency measure.**

Average assessment rate per thousand in assets charged to Missouri institutions.



**7c. Provide the number of clients/individuals served, if applicable.**

|                       | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|-----------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                       | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| State-chartered Banks | 262       | 262    | 262       | 262    | 262       | 258    | 258       | 258    | 258    |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.445

Consumer Credit Licensing and Regulation

Program is found in the following core budget(s): Finance

**1. What does this program do?**

This program is responsible for the licensing and regulation of various consumer credit outlets which include finance companies, pay day loan companies, consumer installment lender companies and title loan companies.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 364, 365, 367 and 408 RSMo.

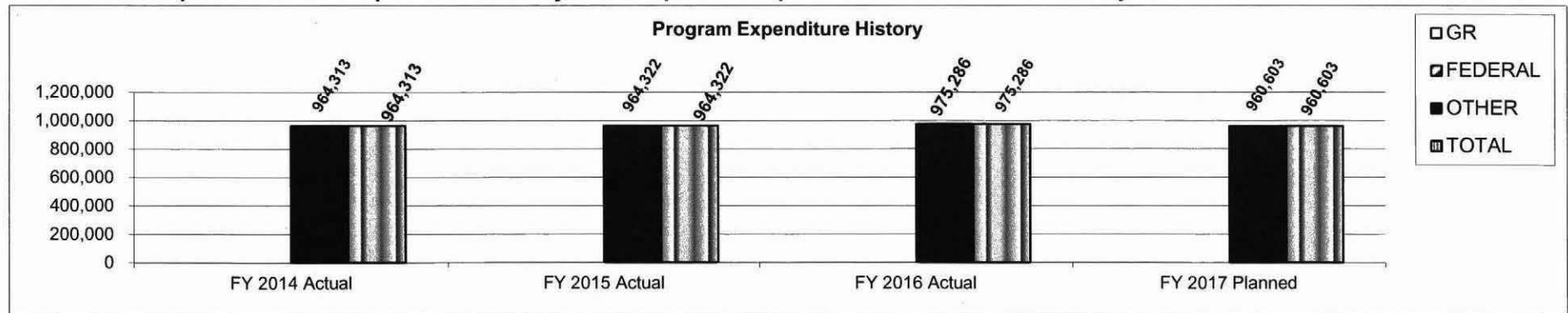
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Division of Finance Fund (0550)



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

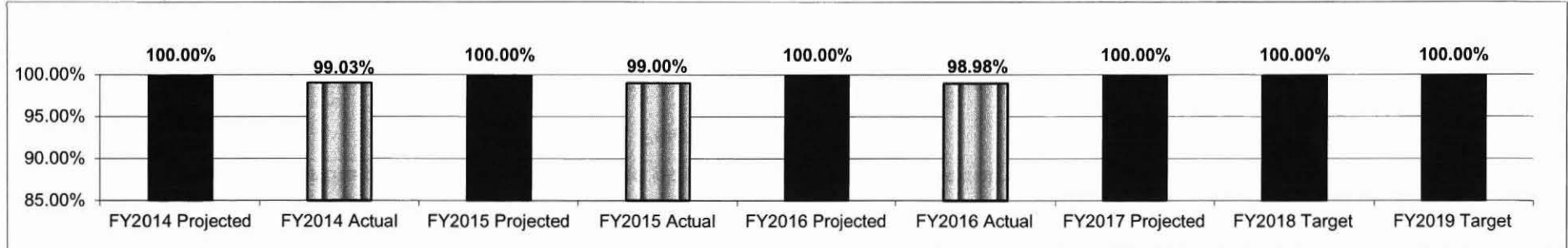
HB Section(s): 7.445

Consumer Credit Licensing and Regulation

Program is found in the following core budget(s): Finance

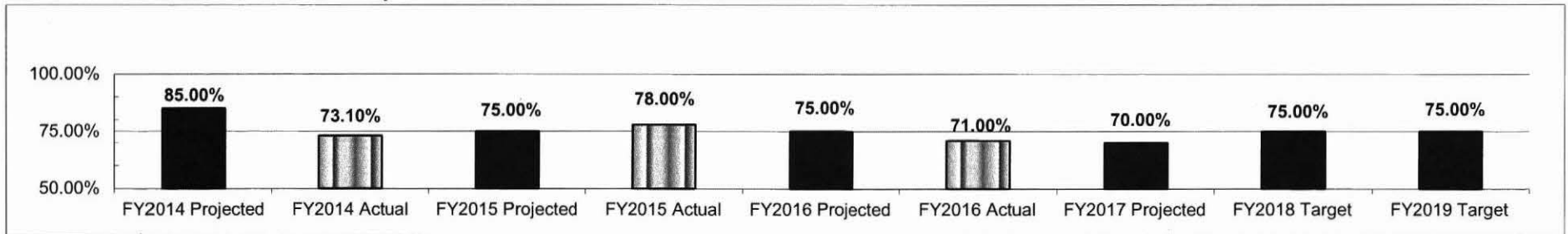
**7a. Provide an effectiveness measure.**

Percent of compliant licensees.



**7b. Provide an efficiency measure.**

Percent of licensees examined each year.



**7c. Provide the number of clients/individuals served, if applicable.**

|           | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|-----------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|           | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Licensees | 2,900     | 2,878  | 2,875     | 2,882  | 2,875     | 2,693  | 2,400     | 2,000  | 1,600  |

**7d. Provide a customer satisfaction measure, if available.**

None available.



# CORE DECISION ITEM

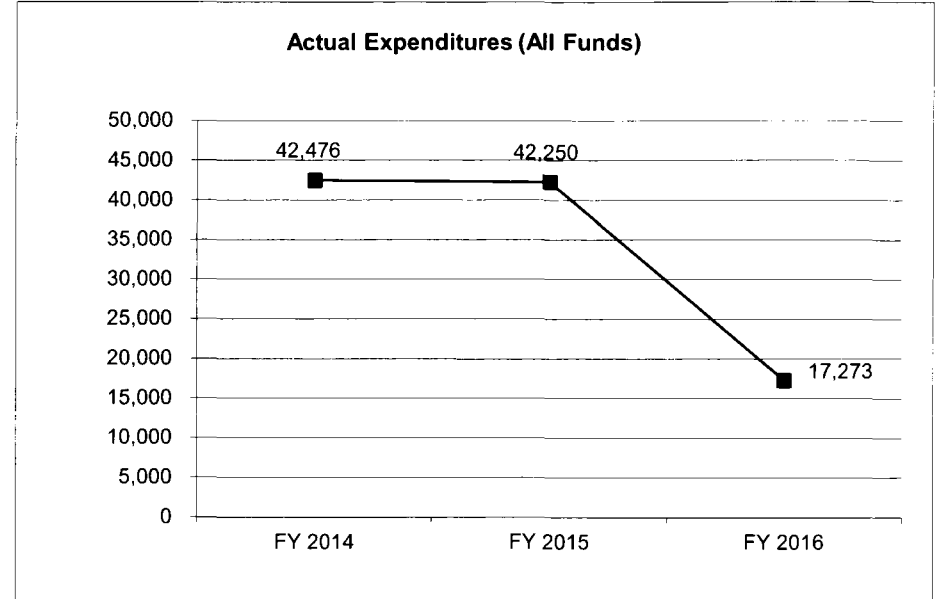
|  |                               |                |               |               |                                  |  |  |                |               |               |          |
|--|-------------------------------|----------------|---------------|---------------|----------------------------------|--|--|----------------|---------------|---------------|----------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>   |                               |                |               |               | <b>Budget Unit</b> <u>42520C</u> |  |  |                |               |               |          |
| <b>Division of Finance</b>   |                               |                |               |               |                                  |  |  |                |               |               |          |
| <b>Core - Savings and Loan Supervision Fund Transfer to Finance Fund</b>   |                               |                |               |               | <b>HB Section</b> <u>7.450</u>   |  |  |                |               |               |          |
| <b>1. CORE FINANCIAL SUMMARY</b>   |                               |                |               |               |                                  |  |  |                |               |               |          |
|  | <b>FY 2018 Budget Request</b> |                |               |               |                                  |  | <b>FY 2018 Governor's Recommendation</b> |                |               |               |          |
|  | <b>GR</b>                     | <b>Federal</b> | <b>Other</b>  | <b>Total</b>  | <b>E</b>                         |  | <b>GR</b>                                | <b>Federal</b> | <b>Other</b>  | <b>Total</b>  | <b>E</b> |
| <b>PS</b>  | 0                             | 0              | 0             | 0             |                                  | <b>PS</b>  | 0  | 0              | 0             | 0             |          |
| <b>EE</b>  | 0                             | 0              | 0             | 0             |                                  | <b>EE</b>  | 0  | 0              | 0             | 0             |          |
| <b>PSD</b>   | 0                             | 0              | 0             | 0             |                                  | <b>PSD</b>   | 0  | 0              | 0             | 0             |          |
| <b>TRF</b>   | 0                             | 0              | 50,000        | 50,000        |                                  | <b>TRF</b>   | 0  | 0              | 50,000        | 50,000        |          |
| <b>Total</b>   | <u>0</u>                      | <u>0</u>       | <u>50,000</u> | <u>50,000</u> |                                  | <b>Total</b>   | <u>0</u>                                 | <u>0</u>       | <u>50,000</u> | <u>50,000</u> |          |
| <b>FTE</b>   | 0.00                          | 0.00           | 0.00          | 0.00          |                                  | <b>FTE</b>   | 0.00                                     | 0.00           | 0.00          | 0.00          |          |
| <b>Est. Fringe</b>   | 0                             | 0              | 0             | 0             |                                  | <b>Est. Fringe</b>   | 0  | 0              | 0             | 0             |          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>   |                               |                |               |               |                                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |  |                |               |               |          |
| Other Funds:      Division of Savings and Loan Supervision Fund (0549)   |                               |                |               |               |                                  | Other Funds: Division of Savings and Loan Supervision Fund (0549)  |  |                |               |               |          |
| <b>2. CORE DESCRIPTION</b>   |                               |                |               |               |                                  |  |  |                |               |               |          |
| <p>This transfer provides funds to the Division of Finance Fund from the Savings and Loan Supervision Fund to meet the salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations.</p> |                               |                |               |               |                                  |  |  |                |               |               |          |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |                               |                |               |               |                                  |  |  |                |               |               |          |
| Savings and Loan Supervision Transfer  |                               |                |               |               |                                  |  |  |                |               |               |          |

# **CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>42520C</b> |
| <b>Division of Finance</b>   |                    |               |
| <b>Core - Savings and Loan Supervision Fund Transfer to Finance Fund</b>             | <b>HB Section</b>  | <b>7.450</b>  |

## **4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 50,000                    | 50,000                    | 50,000                    | 50,000                         |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 50,000                    | 50,000                    | 50,000                    | 50,000                         |
| Actual Expenditures (All Funds) | 42,476                    | 42,250                    | 17,273                    | N/A                            |
| Unexpended (All Funds)          | 7,524                     | 7,750                     | 32,727                    | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 7,524                     | 7,750                     | 32,727                    | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### **NOTES:**

- (1) Required transfer amount less than appropriation.
- (2) Required transfer amount less than appropriation.
- (3) Required transfer amount less than appropriation.

**CORE RECONCILIATION DETAIL**

**DIFP  
S&L FUND TRANSFER**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>  | <b>Total</b>  | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|---------------|---------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                |               |               |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 50,000        | 50,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>50,000</b> | <b>50,000</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                |               |               |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 50,000        | 50,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>50,000</b> | <b>50,000</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                |               |               |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 50,000        | 50,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>50,000</b> | <b>50,000</b> |                    |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit                    |                 |             |                 |             |                 |             |                 |             |
|--------------------------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|
| Decision Item                  | FY 2016         | FY 2016     | FY 2017         | FY 2017     | FY 2018         | FY 2018     | FY 2018         | FY 2018     |
| Budget Object Summary          | ACTUAL          | ACTUAL      | BUDGET          | BUDGET      | DEPT REQ        | DEPT REQ    | GOV REC         | GOV REC     |
| Fund                           | DOLLAR          | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         |
| <b>S&amp;L FUND TRANSFER</b>   |                 |             |                 |             |                 |             |                 |             |
| <b>CORE</b>                    |                 |             |                 |             |                 |             |                 |             |
| FUND TRANSFERS                 |                 |             |                 |             |                 |             |                 |             |
| DIV SAVINGS & LOAN SUPERVISION | 17,273          | 0.00        | 50,000          | 0.00        | 50,000          | 0.00        | 50,000          | 0.00        |
| TOTAL - TRF                    | 17,273          | 0.00        | 50,000          | 0.00        | 50,000          | 0.00        | 50,000          | 0.00        |
| <b>TOTAL</b>                   | <b>17,273</b>   | <b>0.00</b> | <b>50,000</b>   | <b>0.00</b> | <b>50,000</b>   | <b>0.00</b> | <b>50,000</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>             | <b>\$17,273</b> | <b>0.00</b> | <b>\$50,000</b> | <b>0.00</b> | <b>\$50,000</b> | <b>0.00</b> | <b>\$50,000</b> | <b>0.00</b> |

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**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                  | FY 2016         | FY 2016     | FY 2017         | FY 2017     | FY 2018         | FY 2018     | FY 2018         | FY 2018     |
|------------------------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|
| Decision Item                | ACTUAL          | ACTUAL      | BUDGET          | BUDGET      | DEPT REQ        | DEPT REQ    | GOV REC         | GOV REC     |
| Budget Object Class          | DOLLAR          | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         |
| <b>S&amp;L FUND TRANSFER</b> |                 |             |                 |             |                 |             |                 |             |
| <b>CORE</b>                  |                 |             |                 |             |                 |             |                 |             |
| TRANSFERS OUT                | 17,273          | 0.00        | 50,000          | 0.00        | 50,000          | 0.00        | 50,000          | 0.00        |
| TOTAL - TRF                  | 17,273          | 0.00        | 50,000          | 0.00        | 50,000          | 0.00        | 50,000          | 0.00        |
| <b>GRAND TOTAL</b>           | <b>\$17,273</b> | <b>0.00</b> | <b>\$50,000</b> | <b>0.00</b> | <b>\$50,000</b> | <b>0.00</b> | <b>\$50,000</b> | <b>0.00</b> |
| GENERAL REVENUE              | \$0             | 0.00        | \$0             | 0.00        | \$0             | 0.00        | \$0             | 0.00        |
| FEDERAL FUNDS                | \$0             | 0.00        | \$0             | 0.00        | \$0             | 0.00        | \$0             | 0.00        |
| OTHER FUNDS                  | \$17,273        | 0.00        | \$50,000        | 0.00        | \$50,000        | 0.00        | \$50,000        | 0.00        |

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.450

**Savings and Loan Supervision Fund Transfer to Finance Fund**

**Program is found in the following core budget(s): Savings and Loan Supervision Fund Transfer to Finance Fund**

**1. What does this program do?**

This transfer provides funds to the Division of Finance Fund from the Division of Savings and Loan Supervision fund to meet salaries and expenses of the Division of Finance in administering laws pertaining to savings and loan associations.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapter 369 RSMo.

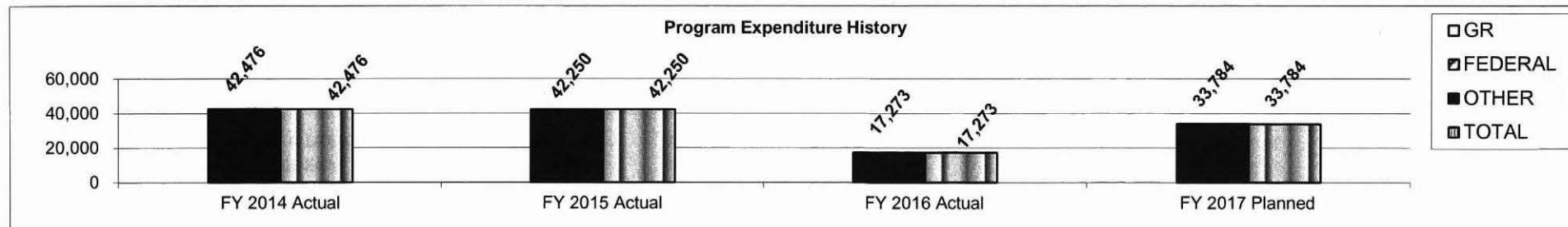
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Division of Savings and Loan Supervision Fund (0549)

**7a. Provide an effectiveness measure.**

None available.

**7b.**

**Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

None available.

**7d.**

**Provide a customer satisfaction measure, if available.**

None available.





# CORE DECISION ITEM

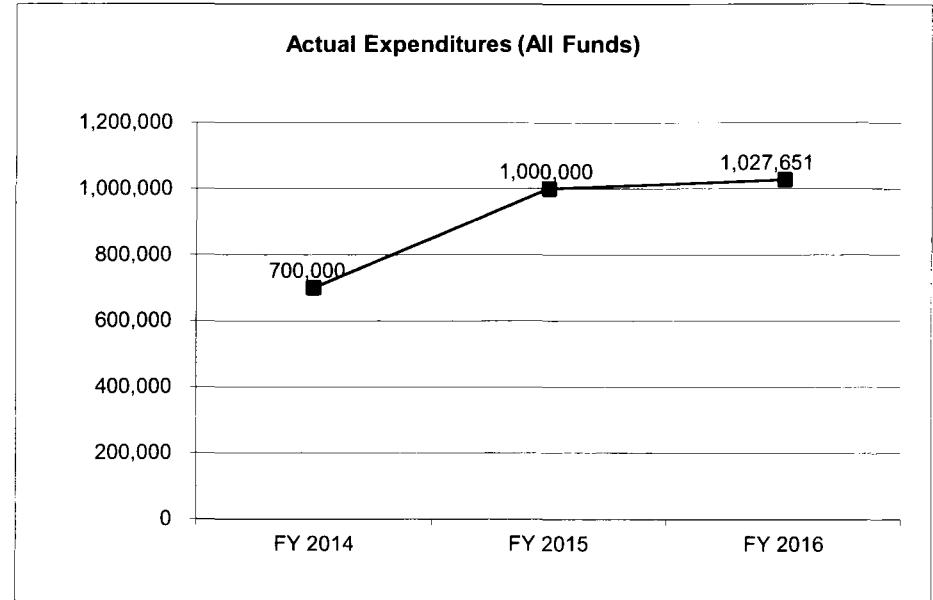
|  |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |
|--|-------------------------------|----------------|------------------|------------------|----------------------------------|--|--|----------------|------------------|------------------|----------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>   |                               |                |                  |                  | <b>Budget Unit</b> <u>42550C</u> |  |  |                |                  |                  |          |
| <b>Division of Finance</b>   |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |
| <b>Core - Residential Mortgage Licensing Fund Transfer to Finance Fund</b>   |                               |                |                  |                  | <b>HB Section</b> <u>7.455</u>   |  |  |                |                  |                  |          |
| <b>1. CORE FINANCIAL SUMMARY</b>   |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |
|  | <b>FY 2018 Budget Request</b> |                |                  |                  |                                  |  | <b>FY 2018 Governor's Recommendation</b> |                |                  |                  |          |
|  | <b>GR</b>                     | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>E</b>                         |  | <b>GR</b>                                | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>E</b> |
| <b>PS</b>  | 0                             | 0              | 0                | 0                |                                  | <b>PS</b>  | 0  | 0              | 0                | 0                |          |
| <b>EE</b>  | 0                             | 0              | 0                | 0                |                                  | <b>EE</b>  | 0  | 0              | 0                | 0                |          |
| <b>PSD</b>   | 0                             | 0              | 0                | 0                |                                  | <b>PSD</b>   | 0  | 0              | 0                | 0                |          |
| <b>TRF</b>   | 0                             | 0              | 1,200,000        | 1,200,000        |                                  | <b>TRF</b>   | 0  | 0              | 1,200,000        | 1,200,000        |          |
| <b>Total</b>   | <u>0</u>                      | <u>0</u>       | <u>1,200,000</u> | <u>1,200,000</u> |                                  | <b>Total</b>   | <u>0</u>                                 | <u>0</u>       | <u>1,200,000</u> | <u>1,200,000</u> |          |
| <b>FTE</b>   | 0.00                          | 0.00           | 0.00             | 0.00             |                                  | <b>FTE</b>   | 0.00                                     | 0.00           | 0.00             | 0.00             |          |
| <b>Est. Fringe</b>   | 0                             | 0              | 0                | 0                |                                  | <b>Est. Fringe</b>   | 0  | 0              | 0                | 0                |          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>   |                               |                |                  |                  |                                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |  |                |                  |                  |          |
| Other Funds:      Residential Mortgage Licensing Fund (0261)   |                               |                |                  |                  |                                  | Other Funds: Residential Mortgage Licensing Fund (0261)  |  |                |                  |                  |          |
| <b>2. CORE DESCRIPTION</b>   |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |
| <p>This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law.</p> |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |
| Residential Mortgage Licensing Fund Transfer   |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |

# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42550C |
| Division of Finance   |             |        |
| Core - Residential Mortgage Licensing Fund Transfer to Finance Fund           | HB Section  | 7.455  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 700,000           | 1,000,000         | 1,200,000         | 1,200,000              |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 700,000           | 1,000,000         | 1,200,000         | 1,200,000              |
| Actual Expenditures (All Funds) | 700,000           | 1,000,000         | 1,027,651         | N/A                    |
| Unexpended (All Funds)          | 0                 | 0                 | 172,349           | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 0                 | 0                 | 172,349           | N/A                    |
|                                 |                   |                   | (1)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

(1) Required transfer amount less than appropriation.

## CORE RECONCILIATION DETAIL

DIFP  
RESIDENTIAL MORTGAGE FUND TRF

### 5. CORE RECONCILIATION DETAIL

|                                    | Budget<br>Class | FTE         | GR       | Federal  | Other            | Total            | Explanation |
|------------------------------------|-----------------|-------------|----------|----------|------------------|------------------|-------------|
| <b>TAFP AFTER VETOES</b>           |                 |             |          |          |                  |                  |             |
|                                    | TRF             | 0.00        | 0        | 0        | 1,200,000        | 1,200,000        |             |
|                                    | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>1,200,000</b> | <b>1,200,000</b> |             |
| <b>DEPARTMENT CORE REQUEST</b>     |                 |             |          |          |                  |                  |             |
|                                    | TRF             | 0.00        | 0        | 0        | 1,200,000        | 1,200,000        |             |
|                                    | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>1,200,000</b> | <b>1,200,000</b> |             |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                 |             |          |          |                  |                  |             |
|                                    | TRF             | 0.00        | 0        | 0        | 1,200,000        | 1,200,000        |             |
|                                    | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>1,200,000</b> | <b>1,200,000</b> |             |

**DIFP**

**DECISION ITEM SUMMARY**

| Budget Unit                          |                    |             |                    |             |                    |             |                    |             |
|--------------------------------------|--------------------|-------------|--------------------|-------------|--------------------|-------------|--------------------|-------------|
| Decision Item                        | FY 2016            | FY 2016     | FY 2017            | FY 2017     | FY 2018            | FY 2018     | FY 2018            | FY 2018     |
| Budget Object Summary                | ACTUAL             | ACTUAL      | BUDGET             | BUDGET      | DEPT REQ           | DEPT REQ    | GOV REC            | GOV REC     |
| Fund                                 | DOLLAR             | FTE         | DOLLAR             | FTE         | DOLLAR             | FTE         | DOLLAR             | FTE         |
| <b>RESIDENTIAL MORTGAGE FUND TRF</b> |                    |             |                    |             |                    |             |                    |             |
| <b>CORE</b>                          |                    |             |                    |             |                    |             |                    |             |
| FUND TRANSFERS                       |                    |             |                    |             |                    |             |                    |             |
| RESIDENTIAL MORTGAGE LICENSING       | 1,027,651          | 0.00        | 1,200,000          | 0.00        | 1,200,000          | 0.00        | 1,200,000          | 0.00        |
| TOTAL - TRF                          | 1,027,651          | 0.00        | 1,200,000          | 0.00        | 1,200,000          | 0.00        | 1,200,000          | 0.00        |
| <b>TOTAL</b>                         | <b>1,027,651</b>   | <b>0.00</b> | <b>1,200,000</b>   | <b>0.00</b> | <b>1,200,000</b>   | <b>0.00</b> | <b>1,200,000</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                   | <b>\$1,027,651</b> | <b>0.00</b> | <b>\$1,200,000</b> | <b>0.00</b> | <b>\$1,200,000</b> | <b>0.00</b> | <b>\$1,200,000</b> | <b>0.00</b> |

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**DIFP****DECISION ITEM DETAIL**

| Budget Unit                          | FY 2016     | FY 2016 | FY 2017     | FY 2017 | FY 2018     | FY 2018  | FY 2018     | FY 2018 |
|--------------------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Decision Item                        | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class                  | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| <b>RESIDENTIAL MORTGAGE FUND TRF</b> |             |         |             |         |             |          |             |         |
| <b>CORE</b>                          |             |         |             |         |             |          |             |         |
| TRANSFERS OUT                        | 1,027,651   | 0.00    | 1,200,000   | 0.00    | 1,200,000   | 0.00     | 1,200,000   | 0.00    |
| TOTAL - TRF                          | 1,027,651   | 0.00    | 1,200,000   | 0.00    | 1,200,000   | 0.00     | 1,200,000   | 0.00    |
| GRAND TOTAL                          | \$1,027,651 | 0.00    | \$1,200,000 | 0.00    | \$1,200,000 | 0.00     | \$1,200,000 | 0.00    |
| GENERAL REVENUE                      | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00    |
| FEDERAL FUNDS                        | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00    |
| OTHER FUNDS                          | \$1,027,651 | 0.00    | \$1,200,000 | 0.00    | \$1,200,000 | 0.00     | \$1,200,000 | 0.00    |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.455

Residential Mortgage Licensing Fund Transfer

Program is found in the following core budget(s): Residential Mortgage Licensing Fund Transfer to Finance Fund

**1. What does this program do?**

This transfer provides funds to the Division of Finance Fund from the Residential Mortgage Licensing Fund to meet the salaries and expenses of the Division of Finance in administering the Residential Mortgage Licensing Law.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapter 443.845 RSMo.

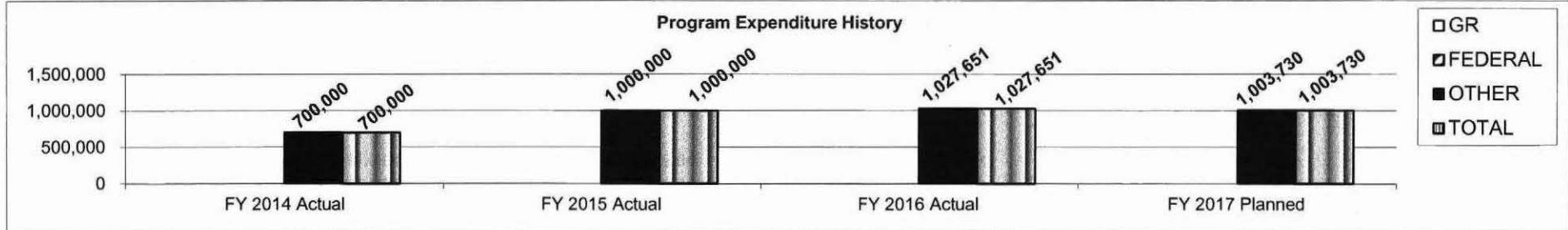
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Residential Mortgage Licensing Fund (0261)

**7a. Provide an effectiveness measure.**

None available.

**7b.**

**Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

None available.

**7d.**

**Provide a customer satisfaction measure, if available.**

None available.





# CORE DECISION ITEM

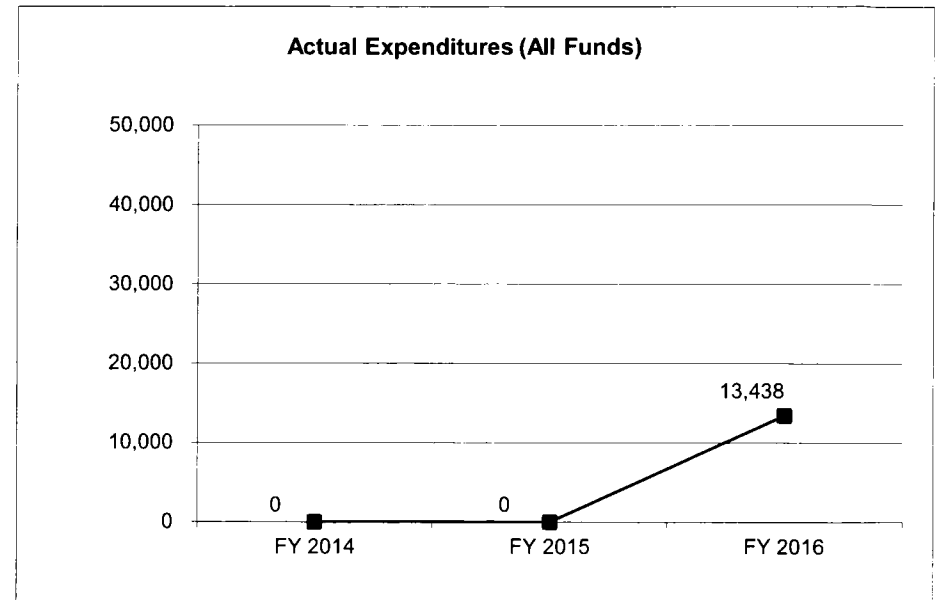
|   |      |         |        |        |             |             |      |         |        |   |   |  |      |         |        |        |   |  |  |
|---|------|---------|--------|--------|-------------|-------------|------|---------|--------|---|---|--|------|---------|--------|--------|---|--|--|
| Department of Insurance, Financial Institutions and Professional Registration   |      |         |        |        | Budget Unit |             |      |         |        | 42540C  |   |  |      |         |        |        |   |  |  |
| Division of Finance   |      |         |        |        |             |             |      |         |        |   |   |  |      |         |        |        |   |  |  |
| Core - Savings and Loan Supervision Fund Transfer to General Revenue  |      |         |        |        |             |             |      |         |        | HB Section  |   |  |      |         | 7.460  |        |   |  |  |
| 1. CORE FINANCIAL SUMMARY   |      |         |        |        |             |             |      |         |        |   |   |  |      |         |        |        |   |  |  |
| FY 2018 Budget Request  |      |         |        |        |             |             |      |         |        | FY 2018 Governor's Recommendation   |   |  |      |         |        |        |   |  |  |
|   | GR   | Federal | Other  | Total  | E           |             | GR   | Federal | Other  | Total   | E |  | GR   | Federal | Other  | Total  | E |  |  |
| PS  | 0    | 0       | 0      | 0      |             | PS          | 0    | 0       | 0      | 0   |   |  | 0    | 0       | 0      | 0      |   |  |  |
| EE  | 0    | 0       | 0      | 0      |             | EE          | 0    | 0       | 0      | 0   |   |  | 0    | 0       | 0      | 0      |   |  |  |
| PSD   | 0    | 0       | 0      | 0      |             | PSD         | 0    | 0       | 0      | 0   |   |  | 0    | 0       | 0      | 0      |   |  |  |
| TRF   | 0    | 0       | 50,000 | 50,000 |             | TRF         | 0    | 0       | 50,000 | 50,000  |   |  | 0    | 0       | 50,000 | 50,000 |   |  |  |
| Total   | 0    | 0       | 50,000 | 50,000 |             | Total       | 0    | 0       | 50,000 | 50,000  |   |  | 0    | 0       | 50,000 | 50,000 |   |  |  |
| FTE   | 0.00 | 0.00    | 0.00   | 0.00   |             | FTE         | 0.00 | 0.00    | 0.00   | 0.00  |   |  | 0.00 | 0.00    | 0.00   | 0.00   |   |  |  |
| Est. Fringe   | 0    | 0       | 0      | 0      |             | Est. Fringe | 0    | 0       | 0      | 0   |   |  | 0    | 0       | 0      | 0      |   |  |  |
| Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.   |      |         |        |        |             |             |      |         |        | Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. |   |  |      |         |        |        |   |  |  |
| Other Funds: Division of Savings and Loan Supervision Fund (0549)   |      |         |        |        |             |             |      |         |        | Other Funds: Division of Savings and Loan Supervision Fund (0549)   |   |  |      |         |        |        |   |  |  |
| 2. CORE DESCRIPTION   |      |         |        |        |             |             |      |         |        |   |   |  |      |         |        |        |   |  |  |
| In accordance with State Statute Chapter 369.324 RSMo., any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year which exceeds five percent of the amount assessed to the savings and loan associations shall be transferred to general revenue. This transfer is necessary to meet the requirements of the statute. |      |         |        |        |             |             |      |         |        |   |   |  |      |         |        |        |   |  |  |
| 3. PROGRAM LISTING (list programs included in this core funding)  |      |         |        |        |             |             |      |         |        |   |   |  |      |         |        |        |   |  |  |
| Division of Savings and Loan Supervision Fund Transfer to General Revenue   |      |         |        |        |             |             |      |         |        |   |   |  |      |         |        |        |   |  |  |

# **CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>42540C</b> |
| <b>Division of Finance</b>   |                    |               |
| <b>Core - Savings and Loan Supervision Fund Transfer to General Revenue</b>          | <b>HB Section</b>  | <b>7.460</b>  |

## **4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 25,000                    | 25,000                    | 50,000                    | 50,000                         |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 25,000                    | 25,000                    | 50,000                    | 50,000                         |
| Actual Expenditures (All Funds) | 0                         | 0                         | 13,438                    | N/A                            |
| Unexpended (All Funds)          | 25,000                    | 25,000                    | 36,562                    | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 25,000                    | 25,000                    | 36,562                    | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## **NOTES:**

- (1) No transfer required for FY 2014.
- (2) No transfer required for FY 2015.
- (3) Required transfer amount less than appropriation.

**CORE RECONCILIATION DETAIL**

**DIFP**  
**S&L FUND TRANSFER TO GR**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>  | <b>Total</b>  | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|---------------|---------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                |               |               |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 50,000        | 50,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>50,000</b> | <b>50,000</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                |               |               |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 50,000        | 50,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>50,000</b> | <b>50,000</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                |               |               |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 50,000        | 50,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>50,000</b> | <b>50,000</b> |                    |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit                        |                 |             |                 |             |                 |             |                 |             |
|------------------------------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|
| Decision Item                      | FY 2016         | FY 2016     | FY 2017         | FY 2017     | FY 2018         | FY 2018     | FY 2018         | FY 2018     |
| Budget Object Summary              | ACTUAL          | ACTUAL      | BUDGET          | BUDGET      | DEPT REQ        | DEPT REQ    | GOV REC         | GOV REC     |
| Fund                               | DOLLAR          | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         |
| <b>S&amp;L FUND TRANSFER TO GR</b> |                 |             |                 |             |                 |             |                 |             |
| <b>CORE</b>                        |                 |             |                 |             |                 |             |                 |             |
| FUND TRANSFERS                     |                 |             |                 |             |                 |             |                 |             |
| DIV SAVINGS & LOAN SUPERVISION     | 13,438          | 0.00        | 50,000          | 0.00        | 50,000          | 0.00        | 50,000          | 0.00        |
| TOTAL - TRF                        | 13,438          | 0.00        | 50,000          | 0.00        | 50,000          | 0.00        | 50,000          | 0.00        |
| <b>TOTAL</b>                       | <b>13,438</b>   | <b>0.00</b> | <b>50,000</b>   | <b>0.00</b> | <b>50,000</b>   | <b>0.00</b> | <b>50,000</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                 | <b>\$13,438</b> | <b>0.00</b> | <b>\$50,000</b> | <b>0.00</b> | <b>\$50,000</b> | <b>0.00</b> | <b>\$50,000</b> | <b>0.00</b> |

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**DIFP****DECISION ITEM DETAIL**

| Budget Unit                        | FY 2016  | FY 2016 | FY 2017  | FY 2017 | FY 2018  | FY 2018  | FY 2018  | FY 2018 |
|------------------------------------|----------|---------|----------|---------|----------|----------|----------|---------|
| Decision Item                      | ACTUAL   | ACTUAL  | BUDGET   | BUDGET  | DEPT REQ | DEPT REQ | GOV REC  | GOV REC |
| Budget Object Class                | DOLLAR   | FTE     | DOLLAR   | FTE     | DOLLAR   | FTE      | DOLLAR   | FTE     |
| <b>S&amp;L FUND TRANSFER TO GR</b> |          |         |          |         |          |          |          |         |
| <b>CORE</b>                        |          |         |          |         |          |          |          |         |
| TRANSFERS OUT                      | 13,438   | 0.00    | 50,000   | 0.00    | 50,000   | 0.00     | 50,000   | 0.00    |
| TOTAL - TRF                        | 13,438   | 0.00    | 50,000   | 0.00    | 50,000   | 0.00     | 50,000   | 0.00    |
| GRAND TOTAL                        | \$13,438 | 0.00    | \$50,000 | 0.00    | \$50,000 | 0.00     | \$50,000 | 0.00    |
|                                    |          |         |          |         |          |          |          |         |
| GENERAL REVENUE                    | \$0      | 0.00    | \$0      | 0.00    | \$0      | 0.00     | \$0      | 0.00    |
| FEDERAL FUNDS                      | \$0      | 0.00    | \$0      | 0.00    | \$0      | 0.00     | \$0      | 0.00    |
| OTHER FUNDS                        | \$13,438 | 0.00    | \$50,000 | 0.00    | \$50,000 | 0.00     | \$50,000 | 0.00    |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.460

Savings and Loan Supervision Fund Transfer to General Revenue

Program is found in the following core budget(s): Savings and Loan Supervision Fund Transfer to General Revenue

**1. What does this program do?**

Any amount remaining in the Division of Savings and Loan Supervision Fund at the end of the fiscal year that exceeds five percent of the amount assessed the saving and loan associations shall be transferred to General Revenue.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapter 369.324 RSMo.

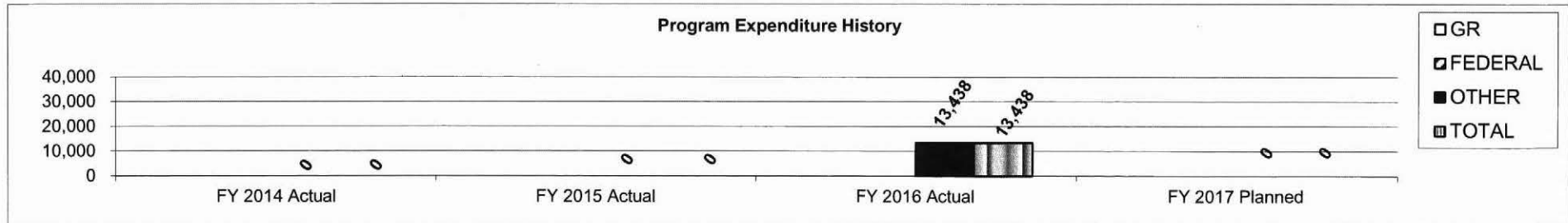
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Division of Savings and Loan Supervision Fund (0549)

**7a. Provide an effectiveness measure.**

None available.

**7b.**

**Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

None available.

**7d.**

**Provide a customer satisfaction measure, if available.**

None available.



# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42640C |
| Professional Registration   |             |        |
| Core - Professional Registration Administration                               | HB Section  | 7.465  |

## 1. CORE FINANCIAL SUMMARY

| FY 2018 Budget Request  |      |         |           |           | FY 2018 Governor's Recommendation |   |      |         |           |           |   |
|---|------|---------|-----------|-----------|-----------------------------------|---|------|---------|-----------|-----------|---|
|   | GR   | Federal | Other     | Total     | E                                 |   | GR   | Federal | Other     | Total     | E |
| PS  | 0    | 0       | 3,498,131 | 3,498,131 |                                   | PS  | 0    | 0       | 3,498,131 | 3,498,131 |   |
| EE  | 0    | 0       | 1,289,295 | 1,289,295 |                                   | EE  | 0    | 0       | 1,289,295 | 1,289,295 |   |
| PSD   | 0    | 0       | 125,000   | 125,000   |                                   | PSD   | 0    | 0       | 125,000   | 125,000   |   |
| TRF   | 0    | 0       | 0         | 0         |                                   | TRF   | 0    | 0       | 0         | 0         |   |
| Total   | 0    | 0       | 4,912,426 | 4,912,426 |                                   | Total   | 0    | 0       | 4,912,426 | 4,912,426 |   |
| FTE   | 0.00 | 0.00    | 84.50     | 84.50     |                                   | FTE   | 0.00 | 0.00    | 84.50     | 84.50     |   |
| Est. Fringe   | 0    | 0       | 1,826,016 | 1,826,016 |                                   | Est. Fringe   | 0    | 0       | 1,826,016 | 1,826,016 |   |
| Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. |      |         |           |           |                                   | Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. |      |         |           |           |   |

Other Funds: Professional Registration Fees Fund (0689)

Other Funds: Professional Registration Fees Fund (0689)

## 2. CORE DESCRIPTION

The core program request is necessary to ensure that the Division of Professional Registration Administration can continue to provide the accounting, budgeting, cash receiving, building maintenance, and other various services to the boards and commissions which regulate professions within the State of Missouri. In addition, this core program request is necessary to ensure the continued high quality of service provided by boxers, wrestlers, martial arts, judges, referees, timekeepers, announcers, promoters, athlete agents, behavior analysts, dietitians, endowed care cemeteries, geologists, hearing instrument specialists, interior designers, interpreters, marital and family therapists, massage therapists, occupational therapists, private investigators, private fire investigators, professional counselors, psychologists, real estate appraisers, respiratory therapists, social workers, tattoo artists, branders, and body piercers licensed in Missouri. Note: The core appropriation for Division/Administration also includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology and Barbers, Embalmers & Funeral Directors, Optometry, Podiatry, and Veterinary.

## 3. PROGRAM LISTING (list programs included in this core funding)

|  |   |   |
|--|---|---|
| Professional Registration Administration               | Board of Geologist Registration             | Board of Private Investigator and Private     |
| Missouri Acupuncture Advisory Committee                | Board of Hearing Instrument Specialists     | Fire Investigator Examiners                   |
| Office of Athletics                                    | Interior Design Council                     | Committee for Professional Counselors         |
| Office of Athlete Agents                               | State Committee of Interpreters             | State Committee of Psychologists              |
| State Board of Chiropractic Examiners (PS Only)        | Committee for Marital & Family Therapists   | Missouri Real Estate Appraisers Commission    |
| State Board of Cosmetology & Barbers (PS Only)         | State Board of Therapeutic Massage          | Board for Respiratory Care                    |
| Committee for Dietitians                               | Occupational Therapy                        | State Committee for Social Workers            |
| State Board of Embalmers & Funeral Directors (PS Only) | State Board of Optometry (PS Only)          | Office of Tattooing, Body Piercing & Branding |
| Endowed Care Cemeteries                                | State Board of Podiatric Medicine (PS Only) | Board of Veterinary Medicine (PS Only)        |

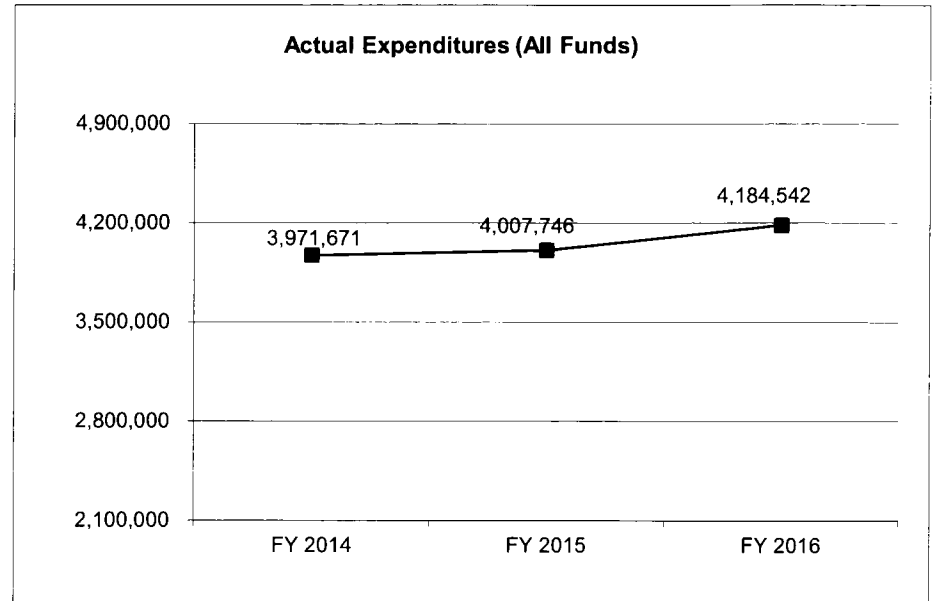


# CORE DECISION ITEM

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>42640C</b> |
| <b>Professional Registration</b>   |                    |               |
| <b>Core - Professional Registration Administration</b>                               | <b>HB Section</b>  | <b>7.465</b>  |

## 4. FINANCIAL HISTORY

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 4,790,175                 | 4,826,871                 | 4,843,833                 | 4,912,426                      |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 4,790,175                 | 4,826,871                 | 4,843,833                 | 4,912,426                      |
| Actual Expenditures (All Funds) | 3,971,671                 | 4,007,746                 | 4,184,542                 | N/A                            |
| Unexpended (All Funds)          | 818,504                   | 819,125                   | 659,291                   | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 818,504                   | 819,125                   | 659,291                   | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

**CORE RECONCILIATION DETAIL**

**DIFP  
PR ADMINISTRATION**

**5. CORE RECONCILIATION DETAIL**

|                                    | Budget<br>Class | FTE          | GR       | Federal  | Other            | Total            | Explanation |
|------------------------------------|-----------------|--------------|----------|----------|------------------|------------------|-------------|
| <b>TAFP AFTER VETOES</b>           |                 |              |          |          |                  |                  |             |
|                                    | PS              | 84.50        | 0        | 0        | 3,498,131        | 3,498,131        |             |
|                                    | EE              | 0.00         | 0        | 0        | 1,289,295        | 1,289,295        |             |
|                                    | PD              | 0.00         | 0        | 0        | 125,000          | 125,000          |             |
|                                    | <b>Total</b>    | <b>84.50</b> | <b>0</b> | <b>0</b> | <b>4,912,426</b> | <b>4,912,426</b> |             |
| <b>DEPARTMENT CORE REQUEST</b>     |                 |              |          |          |                  |                  |             |
|                                    | PS              | 84.50        | 0        | 0        | 3,498,131        | 3,498,131        |             |
|                                    | EE              | 0.00         | 0        | 0        | 1,289,295        | 1,289,295        |             |
|                                    | PD              | 0.00         | 0        | 0        | 125,000          | 125,000          |             |
|                                    | <b>Total</b>    | <b>84.50</b> | <b>0</b> | <b>0</b> | <b>4,912,426</b> | <b>4,912,426</b> |             |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                 |              |          |          |                  |                  |             |
|                                    | PS              | 84.50        | 0        | 0        | 3,498,131        | 3,498,131        |             |
|                                    | EE              | 0.00         | 0        | 0        | 1,289,295        | 1,289,295        |             |
|                                    | PD              | 0.00         | 0        | 0        | 125,000          | 125,000          |             |
|                                    | <b>Total</b>    | <b>84.50</b> | <b>0</b> | <b>0</b> | <b>4,912,426</b> | <b>4,912,426</b> |             |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit                    |                    |              |                    |              |                    |              |                    |              |  |
|--------------------------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--|
| Decision Item                  | FY 2016            | FY 2016      | FY 2017            | FY 2017      | FY 2018            | FY 2018      | FY 2018            | FY 2018      |  |
| Budget Object Summary          | ACTUAL             | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |  |
| Fund                           | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |  |
| <b>PR ADMINISTRATION</b>       |                    |              |                    |              |                    |              |                    |              |  |
| <b>CORE</b>                    |                    |              |                    |              |                    |              |                    |              |  |
| PERSONAL SERVICES              |                    |              |                    |              |                    |              |                    |              |  |
| PROFESSIONAL REGISTRATION FEES | 3,274,896          | 89.30        | 3,498,131          | 84.50        | 3,498,131          | 84.50        | 3,498,131          | 84.50        |  |
| TOTAL - PS                     | 3,274,896          | 89.30        | 3,498,131          | 84.50        | 3,498,131          | 84.50        | 3,498,131          | 84.50        |  |
| EXPENSE & EQUIPMENT            |                    |              |                    |              |                    |              |                    |              |  |
| PROFESSIONAL REGISTRATION FEES | 872,066            | 0.00         | 1,289,295          | 0.00         | 1,289,295          | 0.00         | 1,289,295          | 0.00         |  |
| TOTAL - EE                     | 872,066            | 0.00         | 1,289,295          | 0.00         | 1,289,295          | 0.00         | 1,289,295          | 0.00         |  |
| PROGRAM-SPECIFIC               |                    |              |                    |              |                    |              |                    |              |  |
| PROFESSIONAL REGISTRATION FEES | 37,580             | 0.00         | 125,000            | 0.00         | 125,000            | 0.00         | 125,000            | 0.00         |  |
| TOTAL - PD                     | 37,580             | 0.00         | 125,000            | 0.00         | 125,000            | 0.00         | 125,000            | 0.00         |  |
| <b>TOTAL</b>                   | <b>4,184,542</b>   | <b>89.30</b> | <b>4,912,426</b>   | <b>84.50</b> | <b>4,912,426</b>   | <b>84.50</b> | <b>4,912,426</b>   | <b>84.50</b> |  |
| <b>GRAND TOTAL</b>             | <b>\$4,184,542</b> | <b>89.30</b> | <b>\$4,912,426</b> | <b>84.50</b> | <b>\$4,912,426</b> | <b>84.50</b> | <b>\$4,912,426</b> | <b>84.50</b> |  |

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## FLEXIBILITY REQUEST FORM

|   |   |
|---|---|
| <b>BUDGET UNIT NUMBER:</b> 42640C<br><b>BUDGET UNIT NAME:</b> Professional Registration Administration<br><b>HOUSE BILL SECTION:</b> 7.465  | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><b>DIVISION:</b> Professional Registration  |
| <b>1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.</b> |   |
| <b>DEPARTMENT REQUEST</b>   | <b>GOVERNOR'S RECOMMENDATION</b>  |
| No flexibility requested.   | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |
| <b>2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.</b>  |   |
| <b>PRIOR YEAR<br/>ACTUAL AMOUNT OF FLEXIBILITY USED</b>   | <b>CURRENT YEAR<br/>ESTIMATED AMOUNT OF<br/>FLEXIBILITY THAT WILL BE USED</b>   |
| The department did not have any flexibility in prior year budgets.  | The department does not have any flexibility in the current year budget.  |
| <b>BUDGET REQUEST<br/>ESTIMATED AMOUNT OF<br/>FLEXIBILITY THAT WILL BE USED</b>   |   |
| The department will use flexibility only if necessary.  |   |
| <b>3. Please explain how flexibility was used in the prior and/or current years.</b>  |   |
| <b>PRIOR YEAR<br/>EXPLAIN ACTUAL USE</b>  | <b>CURRENT YEAR<br/>EXPLAIN PLANNED USE</b>   |
| The department did not have any flexibility in prior year budgets.  | The department does not have any flexibility in the current year budget.  |

DIFP

DECISION ITEM DETAIL

| Budget Unit                    | FY 2016 | FY 2016 | FY 2017 | FY 2017 | FY 2018  | FY 2018  | FY 2018 | FY 2018 |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR  | FTE     |
| <b>PR ADMINISTRATION</b>       |         |         |         |         |          |          |         |         |
| <b>CORE</b>                    |         |         |         |         |          |          |         |         |
| SR OFC SUPPORT ASST (CLERICAL) | 56,108  | 2.00    | 60,615  | 2.00    | 60,615   | 2.00     | 60,615  | 2.00    |
| ADMIN OFFICE SUPPORT ASSISTANT | 29,976  | 1.00    | 31,615  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| OFFICE SUPPORT ASSISTANT       | 11,015  | 0.45    | 25,500  | 1.00    | 25,500   | 1.00     | 25,500  | 1.00    |
| SR OFFICE SUPPORT ASSISTANT    | 70,782  | 2.32    | 61,077  | 2.00    | 61,077   | 2.00     | 61,077  | 2.00    |
| ACCOUNT CLERK II               | 17,488  | 0.67    | 27,739  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| ACCOUNTANT I                   | 27,995  | 0.91    | 33,482  | 1.00    | 33,482   | 1.00     | 33,482  | 1.00    |
| ACCOUNTANT II                  | 38,908  | 1.00    | 40,419  | 1.00    | 40,419   | 1.00     | 40,419  | 1.00    |
| BUDGET ANAL II                 | 34,974  | 0.91    | 39,708  | 1.00    | 39,708   | 1.00     | 39,708  | 1.00    |
| ACCOUNTING CLERK               | 8,744   | 0.33    | 0       | 0.00    | 59,354   | 2.00     | 59,354  | 2.00    |
| PERSONNEL OFFICER              | 54,288  | 1.00    | 55,995  | 1.00    | 58,995   | 1.00     | 58,995  | 1.00    |
| RESEARCH ANAL II               | 36,888  | 1.00    | 37,950  | 1.00    | 37,950   | 1.00     | 37,950  | 1.00    |
| PUBLIC INFORMATION SPEC II     | 37,941  | 1.00    | 39,953  | 1.00    | 39,953   | 1.00     | 39,953  | 1.00    |
| EXECUTIVE I                    | 34,316  | 1.00    | 37,686  | 1.00    | 77,190   | 2.00     | 77,190  | 2.00    |
| EXECUTIVE II                   | 40,305  | 1.00    | 39,504  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| PERSONNEL CLERK                | 30,984  | 1.00    | 31,674  | 1.00    | 33,174   | 1.00     | 33,174  | 1.00    |
| INVESTIGATOR I                 | 11,410  | 0.33    | 0       | 0.00    | 36,000   | 1.00     | 36,000  | 1.00    |
| INVESTIGATOR II                | 120,620 | 3.04    | 119,898 | 3.00    | 123,898  | 3.00     | 123,898 | 3.00    |
| INVESTIGATOR III               | 64      | 0.00    | 0       | 0.00    | 0        | 0.00     | 0       | 0.00    |
| INSURANCE FINANCIAL ANAL SPEC  | 47,062  | 1.00    | 47,968  | 1.00    | 47,968   | 1.00     | 47,968  | 1.00    |
| INSURANCE FINANCIAL ANALYST II | 113,464 | 2.83    | 123,385 | 3.00    | 124,385  | 3.00     | 124,385 | 3.00    |
| INSPECTOR (PROF REGISTRATION)  | 322,257 | 10.85   | 357,966 | 11.00   | 357,966  | 11.00    | 357,966 | 11.00   |
| INSP SUPV (PROF REGISTRATION)  | 34,209  | 1.00    | 40,683  | 1.00    | 40,683   | 1.00     | 40,683  | 1.00    |
| FUNERAL ESTABLISHMENT INSP     | 42,810  | 1.00    | 43,770  | 1.00    | 43,770   | 1.00     | 43,770  | 1.00    |
| PROF REG ADMSTV COOR           | 40,380  | 1.00    | 41,724  | 1.00    | 41,724   | 1.00     | 41,724  | 1.00    |
| FISCAL & ADMINISTRATIVE MGR B2 | 64,555  | 1.00    | 67,479  | 1.00    | 67,479   | 1.00     | 67,479  | 1.00    |
| INVESTIGATION MGR B1           | 54,129  | 1.00    | 55,349  | 1.00    | 55,349   | 1.00     | 55,349  | 1.00    |
| PROCESSING TECHNICIAN I        | 180,277 | 7.30    | 203,282 | 8.00    | 177,782  | 7.00     | 177,782 | 7.00    |
| PROCESSING TECHNICIAN II       | 422,571 | 15.29   | 509,323 | 17.50   | 498,823  | 17.50    | 498,823 | 17.50   |
| PROCESSING TECHNICIAN III      | 65,064  | 2.00    | 70,284  | 2.00    | 70,284   | 2.00     | 70,284  | 2.00    |
| PROCESSING TECHNICIAN SUPV     | 84,530  | 2.34    | 78,829  | 2.00    | 78,829   | 2.00     | 78,829  | 2.00    |
| DIVISION DIRECTOR              | 110,000 | 1.00    | 112,417 | 1.00    | 112,417  | 1.00     | 112,417 | 1.00    |
| DESIGNATED PRINCIPAL ASST DIV  | 18,408  | 0.32    | 50,552  | 3.00    | 50,552   | 3.00     | 50,552  | 3.00    |

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## DIFP

## DECISION ITEM DETAIL

| Budget Unit                    | FY 2016          | FY 2016      | FY 2017          | FY 2017      | FY 2018          | FY 2018      | FY 2018          | FY 2018      |
|--------------------------------|------------------|--------------|------------------|--------------|------------------|--------------|------------------|--------------|
| Decision Item                  | ACTUAL           | ACTUAL       | BUDGET           | BUDGET       | DEPT REQ         | DEPT REQ     | GOV REC          | GOV REC      |
| Budget Object Class            | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          |
| <b>PR ADMINISTRATION</b>       |                  |              |                  |              |                  |              |                  |              |
| <b>CORE</b>                    |                  |              |                  |              |                  |              |                  |              |
| LEGAL COUNSEL                  | 119,586          | 2.00         | 142,320          | 2.00         | 133,820          | 2.00         | 133,820          | 2.00         |
| CHIEF COUNSEL                  | 71,205           | 1.00         | 72,680           | 1.00         | 72,680           | 1.00         | 72,680           | 1.00         |
| BOARD MEMBER                   | 58,720           | 4.23         | 64,533           | 0.00         | 64,533           | 0.00         | 64,533           | 0.00         |
| CLERK                          | 124,308          | 4.24         | 89,400           | 0.00         | 89,400           | 0.00         | 89,400           | 0.00         |
| INSPECTOR                      | 42,550           | 1.64         | 46,057           | 0.00         | 46,057           | 0.00         | 46,057           | 0.00         |
| SPECIAL ASST OFFICIAL & ADMSTR | 76,255           | 1.00         | 80,357           | 1.00         | 79,357           | 1.00         | 79,357           | 1.00         |
| SPECIAL ASST PROFESSIONAL      | 3,316            | 0.05         | 0                | 0.00         | 0                | 0.00         | 0                | 0.00         |
| PRINCIPAL ASST BOARD/COMMISSON | 516,434          | 8.25         | 516,958          | 8.00         | 516,958          | 8.00         | 516,958          | 8.00         |
| <b>TOTAL - PS</b>              | <b>3,274,896</b> | <b>89.30</b> | <b>3,498,131</b> | <b>84.50</b> | <b>3,498,131</b> | <b>84.50</b> | <b>3,498,131</b> | <b>84.50</b> |
| TRAVEL, IN-STATE               | 78,604           | 0.00         | 119,125          | 0.00         | 119,125          | 0.00         | 119,125          | 0.00         |
| TRAVEL, OUT-OF-STATE           | 44,009           | 0.00         | 53,700           | 0.00         | 53,700           | 0.00         | 53,700           | 0.00         |
| FUEL & UTILITIES               | 0                | 0.00         | 1                | 0.00         | 1                | 0.00         | 1                | 0.00         |
| SUPPLIES                       | 179,559          | 0.00         | 149,002          | 0.00         | 149,002          | 0.00         | 149,002          | 0.00         |
| PROFESSIONAL DEVELOPMENT       | 67,149           | 0.00         | 169,392          | 0.00         | 169,392          | 0.00         | 169,392          | 0.00         |
| COMMUNICATION SERV & SUPP      | 52,284           | 0.00         | 53,525           | 0.00         | 53,525           | 0.00         | 53,525           | 0.00         |
| PROFESSIONAL SERVICES          | 261,923          | 0.00         | 605,554          | 0.00         | 605,554          | 0.00         | 605,554          | 0.00         |
| M&R SERVICES                   | 26,007           | 0.00         | 38,445           | 0.00         | 38,445           | 0.00         | 38,445           | 0.00         |
| COMPUTER EQUIPMENT             | 5,071            | 0.00         | 0                | 0.00         | 0                | 0.00         | 0                | 0.00         |
| MOTORIZED EQUIPMENT            | 11,900           | 0.00         | 1                | 0.00         | 1                | 0.00         | 1                | 0.00         |
| OFFICE EQUIPMENT               | 45,879           | 0.00         | 22,225           | 0.00         | 22,225           | 0.00         | 22,225           | 0.00         |
| OTHER EQUIPMENT                | 41,342           | 0.00         | 550              | 0.00         | 550              | 0.00         | 550              | 0.00         |
| PROPERTY & IMPROVEMENTS        | 0                | 0.00         | 25,050           | 0.00         | 25,050           | 0.00         | 25,050           | 0.00         |
| BUILDING LEASE PAYMENTS        | 21,572           | 0.00         | 18,250           | 0.00         | 18,250           | 0.00         | 18,250           | 0.00         |
| EQUIPMENT RENTALS & LEASES     | 9,384            | 0.00         | 2,800            | 0.00         | 2,800            | 0.00         | 2,800            | 0.00         |
| MISCELLANEOUS EXPENSES         | 22,180           | 0.00         | 31,675           | 0.00         | 31,675           | 0.00         | 31,675           | 0.00         |
| REBILLABLE EXPENSES            | 5,203            | 0.00         | 0                | 0.00         | 0                | 0.00         | 0                | 0.00         |
| <b>TOTAL - EE</b>              | <b>872,066</b>   | <b>0.00</b>  | <b>1,289,295</b> | <b>0.00</b>  | <b>1,289,295</b> | <b>0.00</b>  | <b>1,289,295</b> | <b>0.00</b>  |

**DIFP****DECISION ITEM DETAIL**

| Budget Unit              | FY 2016     | FY 2016 | FY 2017     | FY 2017 | FY 2018     | FY 2018  | FY 2018     | FY 2018 |
|--------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Decision Item            | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class      | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| <b>PR ADMINISTRATION</b> |             |         |             |         |             |          |             |         |
| <b>CORE</b>              |             |         |             |         |             |          |             |         |
| REFUNDS                  | 37,580      | 0.00    | 125,000     | 0.00    | 125,000     | 0.00     | 125,000     | 0.00    |
| TOTAL - PD               | 37,580      | 0.00    | 125,000     | 0.00    | 125,000     | 0.00     | 125,000     | 0.00    |
| GRAND TOTAL              | \$4,184,542 | 89.30   | \$4,912,426 | 84.50   | \$4,912,426 | 84.50    | \$4,912,426 | 84.50   |
| GENERAL REVENUE          | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00    |
| FEDERAL FUNDS            | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00    |
| OTHER FUNDS              | \$4,184,542 | 89.30   | \$4,912,426 | 84.50   | \$4,912,426 | 84.50    | \$4,912,426 | 84.50   |

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.465

**Professional Registration Administration**

**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

Professional Registration Administration provides the accounting, budgeting, cash receiving, building maintenance and other various services to the agencies which regulate professions within the State of Missouri.

Note: The core appropriation for Professional Registration Administration also includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology & Barber, Embalmers & Funeral Directors, Optometry, Podiatry and Veterinary.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 620.105-620.154 RSMo.

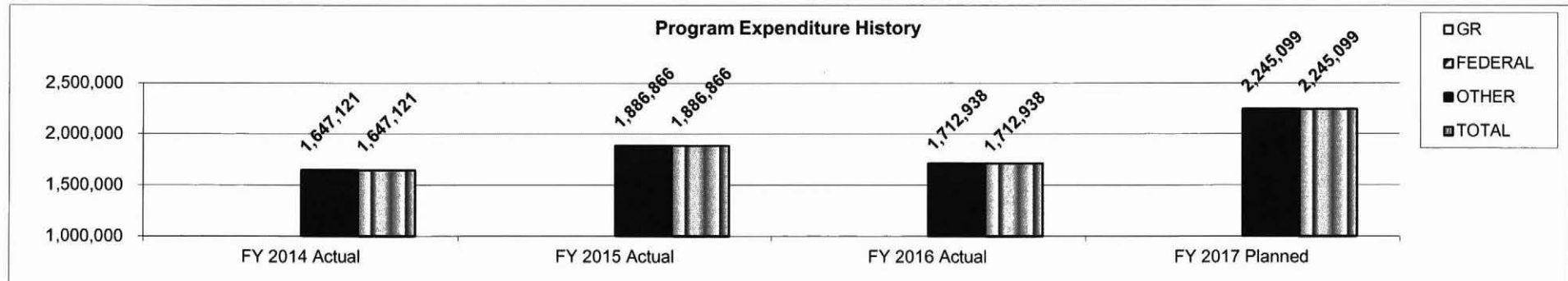
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Professional Registration Fee Fund (0689)



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

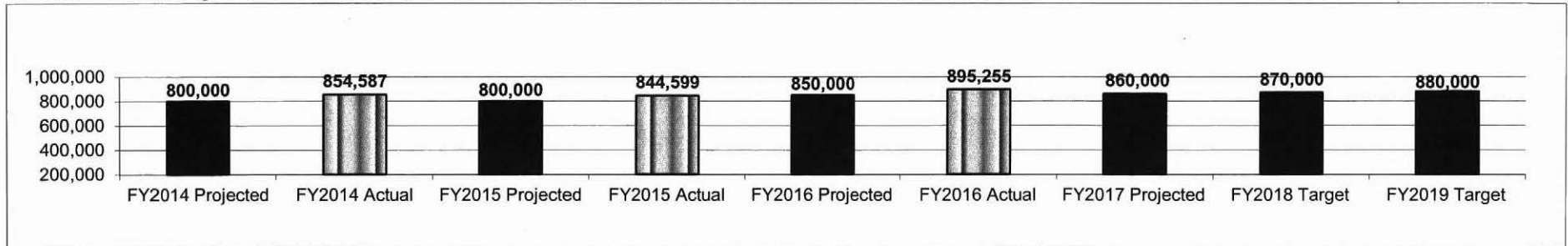
HB Section(s): 7.465

Professional Registration Administration

Program is found in the following core budget(s): Professional Registration Administration

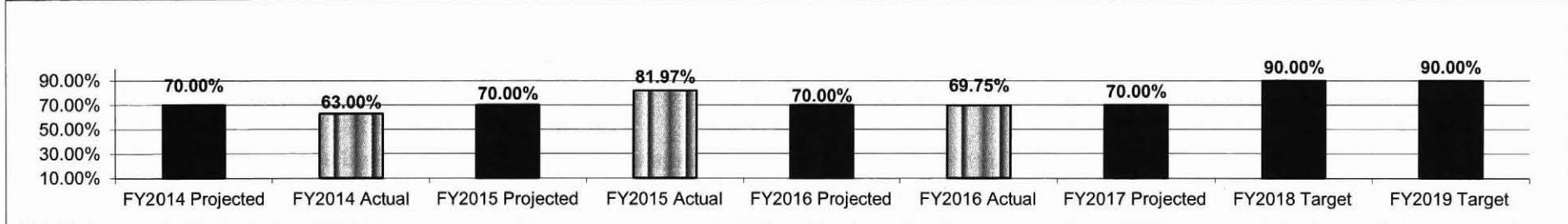
**7a. Provide an effectiveness measure.**

Increase the average number of monthly division webpage visits by adding more information for professionals.



**7b. Provide an efficiency measure.**

Percent of renewals processed online.



**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |         | FY2015    |         | FY2016    |         | FY2017    | FY2018  | FY2019  |
|------------------------|-----------|---------|-----------|---------|-----------|---------|-----------|---------|---------|
|                        | Projected | Actual  | Projected | Actual  | Projected | Actual  | Projected | Target  | Target  |
| Licensed Professionals | 434,597   | 448,497 | 434,597   | 455,693 | 438,156   | 467,271 | 448,497   | 455,693 | 501,262 |
| Board Members          | 244       | 239     | 244       | 239     | 239       | 239     | 239       | 239     | 239     |
| Division Employees     | 222       | 222     | 222       | 222     | 222       | 224     | 222       | 222     | 224     |
| Renewals Processed     | 191,460   | 197,733 | 227,280   | 235,945 | 191,460   | 202,288 | 197,733   | 235,945 | 259,540 |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.465

**Missouri Acupuncturist Advisory Committee**

**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Missouri Acupuncturist Advisory Committee was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating acupuncturists in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 324.475-324.635 RSMo.

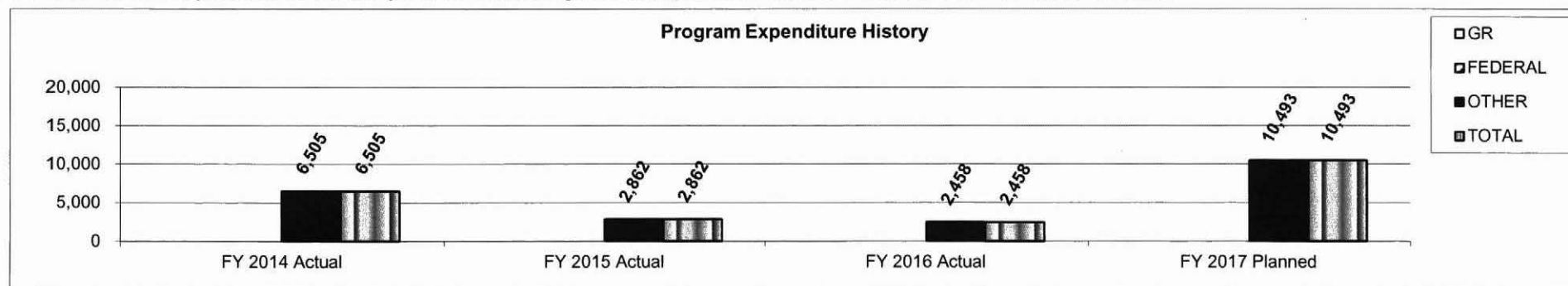
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Acupuncturist Fund (0882)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

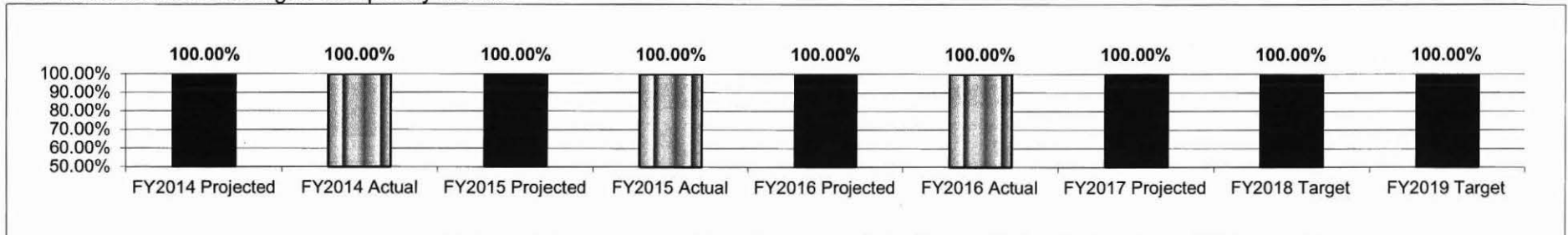
HB Section(s): 7.465

Missouri Acupuncturist Advisory Committee

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 15        | 10     | 15        | 14     | 15        | 11     | 10        | 12     | 7      |
| Licensed Professionals | 130       | 127    | 130       | 140    | 130       | 137    | 120       | 110    | 105    |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Office of Athlete Agents

Program is found in the following core budget(s): Professional Registration Administration

**1. What does this program do?**

The Uniform Athlete Agents Act was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating athlete agents in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 436.218-436.272 RSMo.

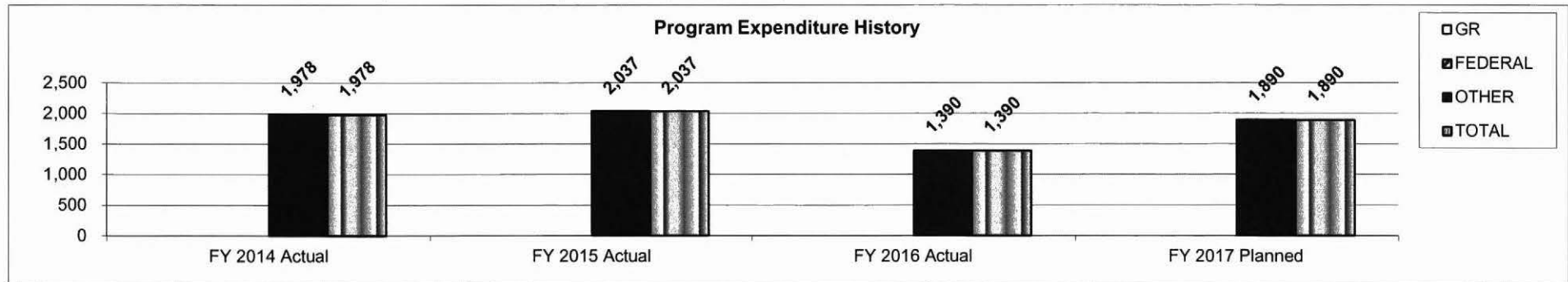
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Athlete Agent Fund (0774)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

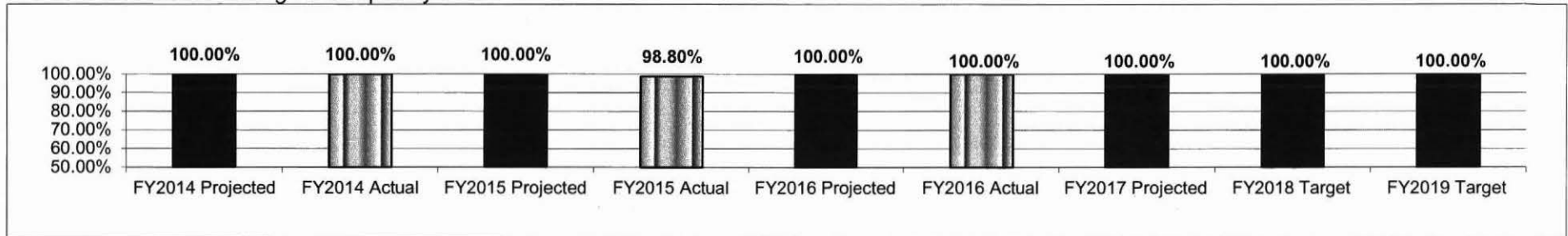
HB Section(s): 7.465

Office of Athlete Agents

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 15        | 32     | 15        | 22     | 15        | 16     | 36        | 20     | 12     |
| Licensed Professionals | 80        | 64     | 80        | 83     | 80        | 52     | 70        | 70     | 60     |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Office of Athletics

Program is found in the following core budget(s): Professional Registration Administration

### 1. What does this program do?

The Office of Athletics was established to protect the interests of the citizens of the State of Missouri by licensing and regulating contestants, seconds, promoters, referees, judges, announcers, timekeepers, and physicians involved in professional boxing, professional wrestling, professional kickboxing or professional full-contact karate contests in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 317.001-317.021 RSMo.

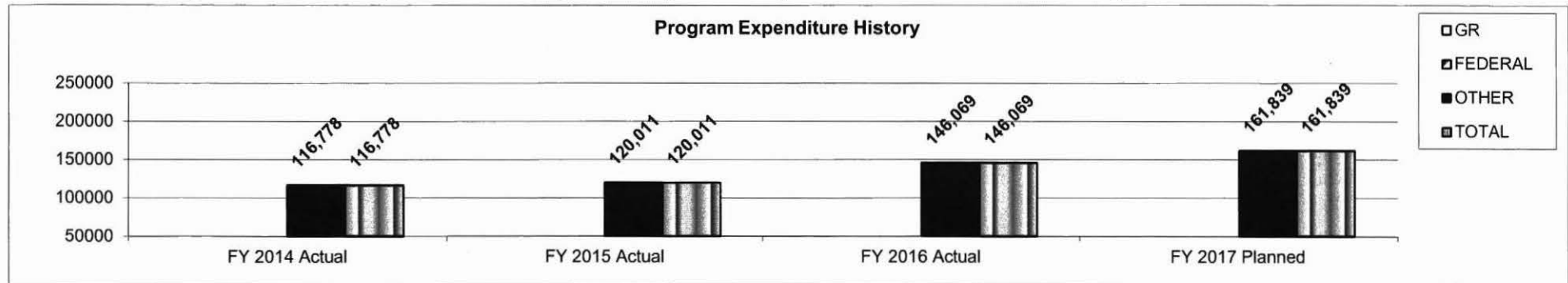
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Office of Athletics (0693)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

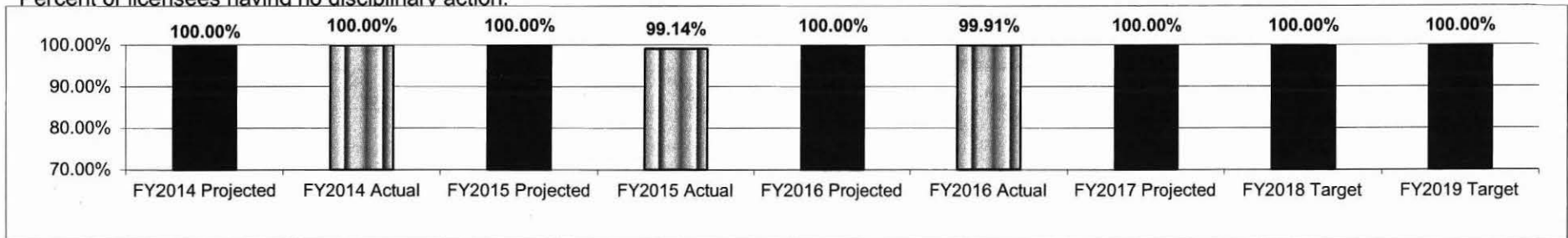
HB Section(s): 7.465

Office of Athletics

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 815       | 615    | 815       | 667    | 815       | 616    | 969       | 640    | 600    |
| Licensed Professionals | 2,500     | 2,384  | 2,500     | 1,620  | 2,500     | 2,298  | 2,500     | 2,500  | 2,500  |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.480

State Board of Chiropractic Examiners

Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

### FY 2017 PLANNED

|         | Chiropractic | PR Admin | TOTAL   |
|---------|--------------|----------|---------|
| GR      | 0            | 0        | 0       |
| FEDERAL | 0            | 0        | 0       |
| OTHER   | 131,820      | 78,520   | 210,340 |
| TOTAL   | 131,820      | 78,520   | 210,340 |

#### 1. What does this program do?

The State Board of Chiropractic Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating chiropractors in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.010-331.100 RSMo.

#### 3. Are there federal matching requirements? If yes, please explain.

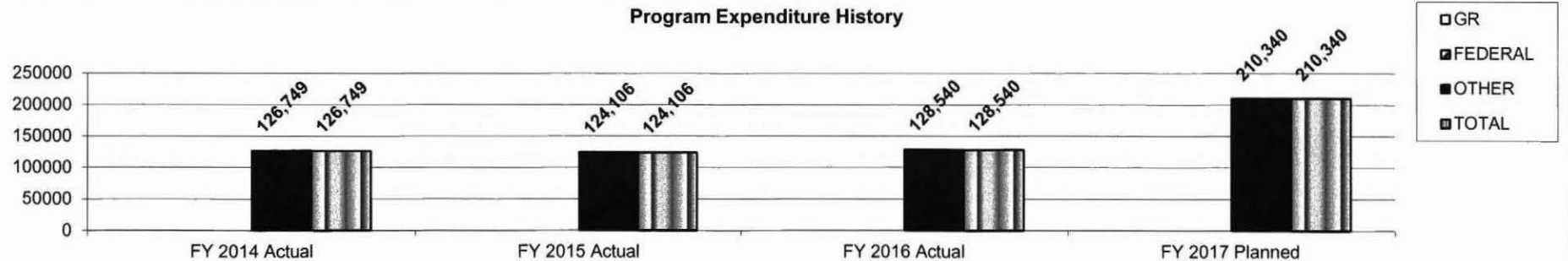
No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History





## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.480

State Board of Chiropractic Examiners

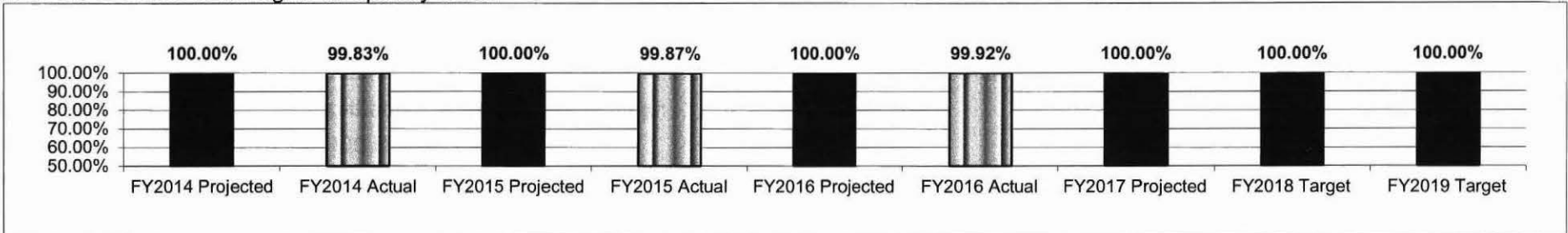
Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

### 6. What are the sources of the "Other " funds?

State Board of Chiropractic Examiners Fund (0630)

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 120       | 153    | 120       | 168    | 115       | 163    | 120       | 162    | 140    |
| Licensed Professionals | 2,200     | 2,393  | 2,200     | 2,285  | 2,200     | 2,448  | 2,200     | 2,150  | 2,100  |

### 7d. Provide a customer satisfaction measure, if available.

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.485

Board of Cosmetology and Barber Examiners

Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

### FY 2017 PLANNED

|              | Cosmetology Barber | PR Admin       | TOTAL          |
|--------------|--------------------|----------------|----------------|
| GR           | 0                  | 0              | 0              |
| FEDERAL      | 0                  | 0              | 0              |
| OTHER        | 273,899            | 720,966        | 994,865        |
| <b>TOTAL</b> | <b>273,899</b>     | <b>720,966</b> | <b>994,865</b> |

#### 1. What does this program do?

The Board of Cosmetology and Barber Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating cosmetologists, manicurists, estheticians, cosmetology salons, cosmetology schools, barbers, barber shops and barber schools in the state of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 328.010-328.160, 329.010-329.265 RSMo.

#### 3. Are there federal matching requirements? If yes, please explain.

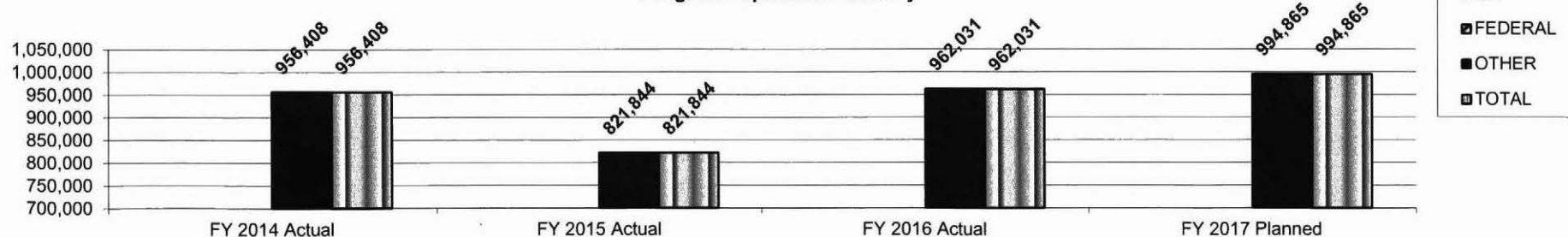
No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.485

Board of Cosmetology and Barber Examiners

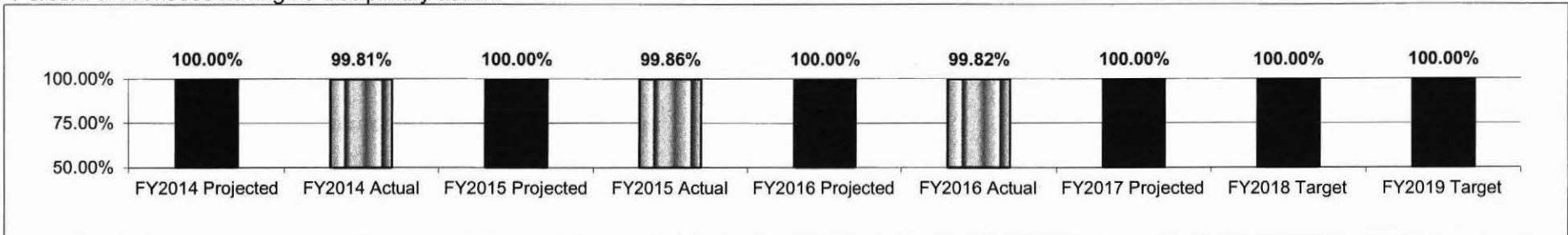
Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

### 6. What are the sources of the "Other " funds?

Board of Cosmetology and Barber Examiners (0785)

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 11,500    | 9,990  | 11,500    | 8,915  | 11,500    | 8,637  | 11,553    | 16,632 | 10,991 |
| Licensed Professionals | 79,643    | 78,763 | 79,643    | 82,421 | 83,500    | 78,198 | 79,322    | 77,332 | 79,118 |

### 7d. Provide a customer satisfaction measure, if available.

None available.

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Committee for Dietitians**  
**Program is found in the following core budget(s): Professional Registration Administration**

**HB Section(s):** 7.465

**1. What does this program do?**

The State Committee of Dietitians was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating dietitians in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 324.200-324.228 RSMo.

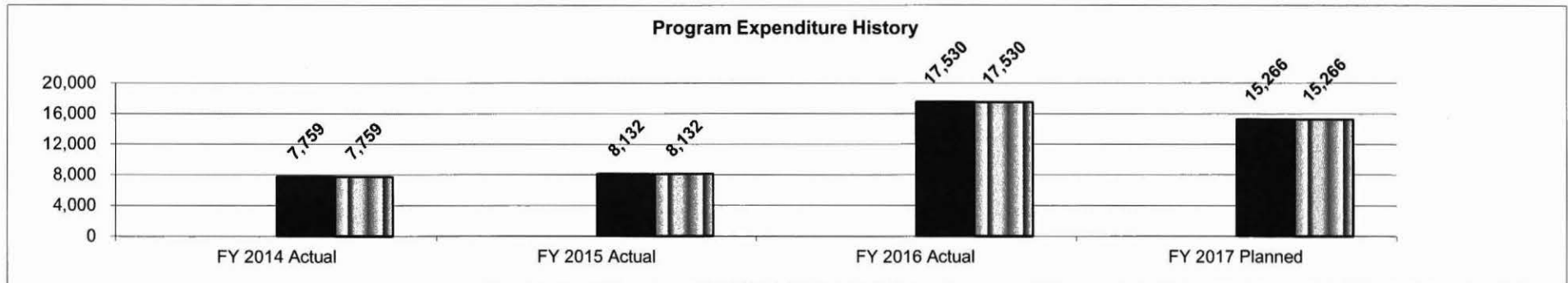
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Dietitian Fund (0857)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

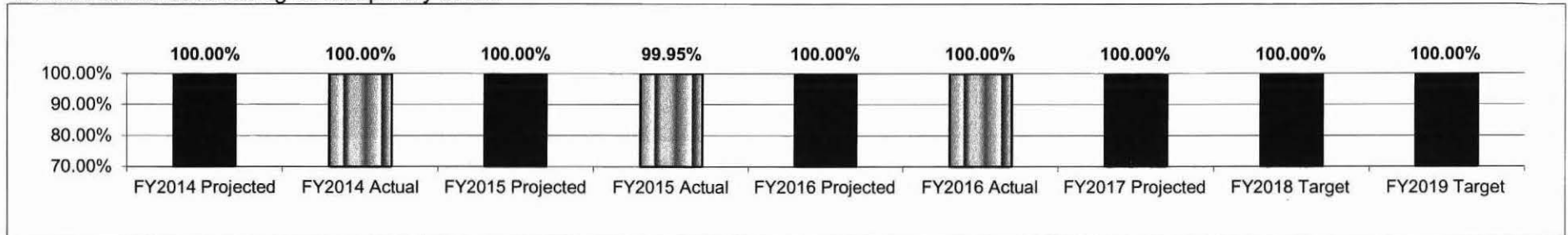
HB Section(s): 7.465

Committee for Dietitians

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 170       | 149    | 175       | 182    | 180       | 201    | 165       | 181    | 200    |
| Licensed Professionals | 1,750     | 1,838  | 1,800     | 2,035  | 2,100     | 1,961  | 1,865     | 1,835  | 2,050  |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.495

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

### FY 2017 PLANNED

|         | Emb & FDs | PR Admin | TOTAL   |
|---------|-----------|----------|---------|
| GR      | 0         | 0        | 0       |
| FEDERAL | 0         | 0        | 0       |
| OTHER   | 164,200   | 372,980  | 537,180 |
| TOTAL   | 164,200   | 372,980  | 537,180 |

#### 1. What does this program do?

The State Board of Embalmers and Funeral Directors was established to protect the interests of the citizens of the state of Missouri by licensing and regulating embalmers, funeral directors, funeral establishments, preneed sellers, preneed providers, and preneed agents in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.011-331.261 RSMo.

#### 3. Are there federal matching requirements? If yes, please explain.

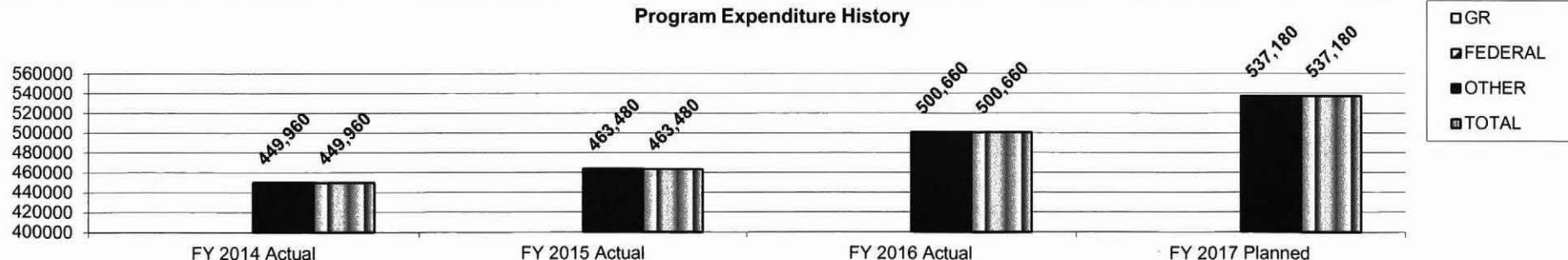
No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.495

State Board of Embalmers and Funeral Directors

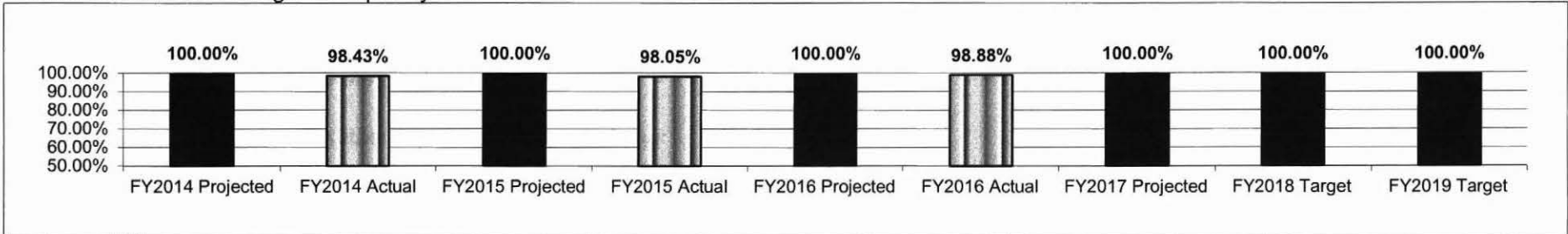
Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

### 6. What are the sources of the "Other " funds?

Board of Embalmers and Funeral Directors (0633)

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 400       | 406    | 400       | 390    | 400       | 410    | 425       | 328    | 425    |
| Licensed Professionals | 6,200     | 6,128  | 6,200     | 6,260  | 6,200     | 6,174  | 5,928     | 5,201  | 6,015  |

### 7d. Provide a customer satisfaction measure, if available.

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Office of Endowed Care Cemeteries

Program is found in the following core budget(s): Professional Registration Administration

**1. What does this program do?**

The Office of Endowed Care Cemeteries was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating endowed care cemeteries in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 214.270-214.516 RSMo.

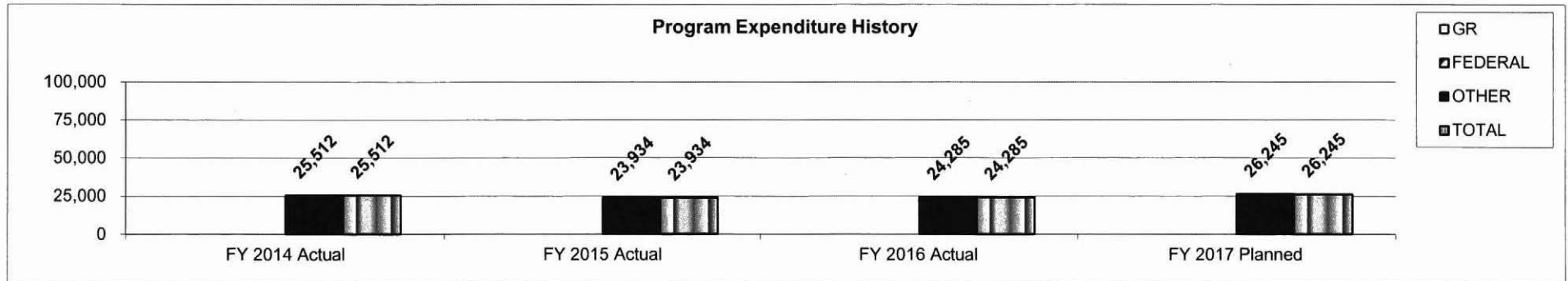
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Endowed Care Cemetery Audit Fund (0562)



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

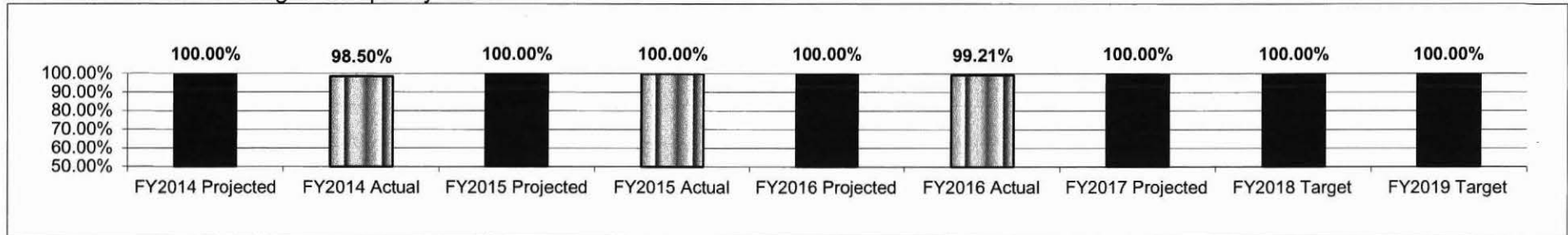
HB Section(s): 7.465

Office of Endowed Care Cemeteries

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 2         | 6      | 2         | 1      | 3         | 8      | 4         | 4      | 3      |
| Licensed Professionals | 133       | 133    | 135       | 129    | 135       | 127    | 135       | 134    | 135    |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.465

**Board of Geologist Registration**

**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Missouri Board of Geologists Registration was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating geologists in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 256.010-256.453 RSMo.

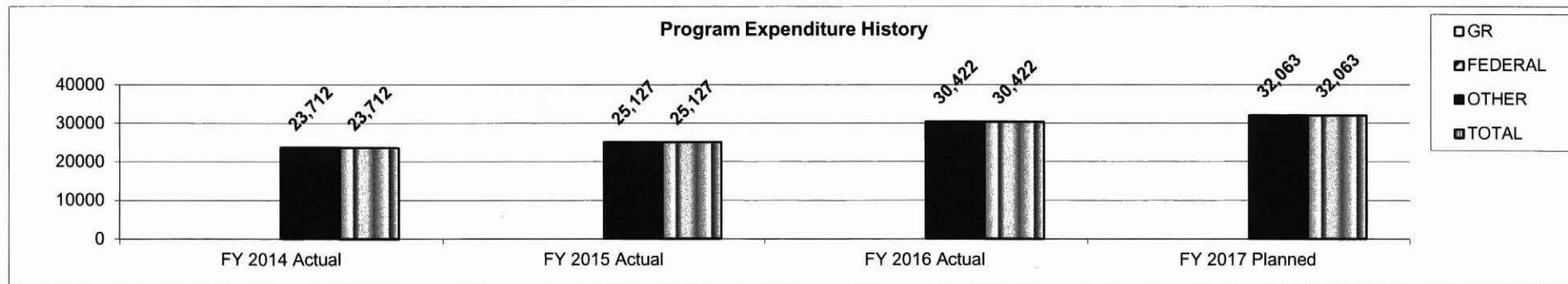
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

The Board of Geologists Registration Fund (0263)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

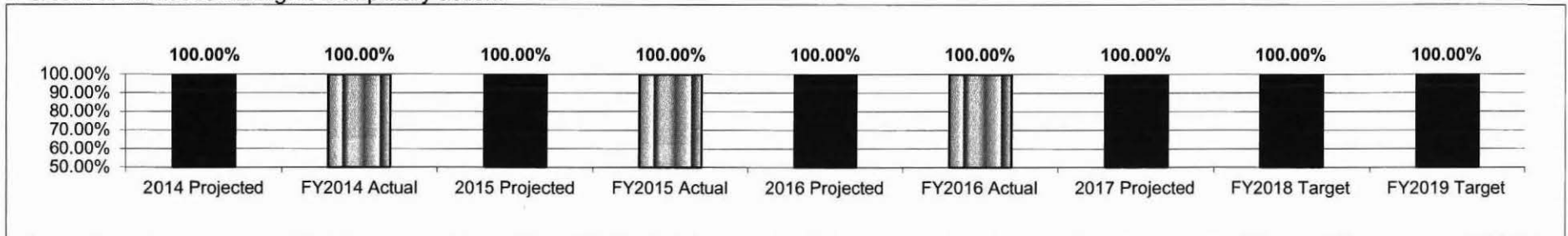
HB Section(s): 7.465

Board of Geologist Registration

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 25        | 25     | 25        | 34     | 30        | 31     | 30        | 30     | 25     |
| Licensed Professionals | 850       | 892    | 850       | 853    | 860       | 891    | 895       | 895    | 855    |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.465

**Board of Hearing Instrument Specialists**

**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Board of Examiners for Hearing Instrument Specialists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating hearing instrument specialists in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 346.007-346.250 RSMo.

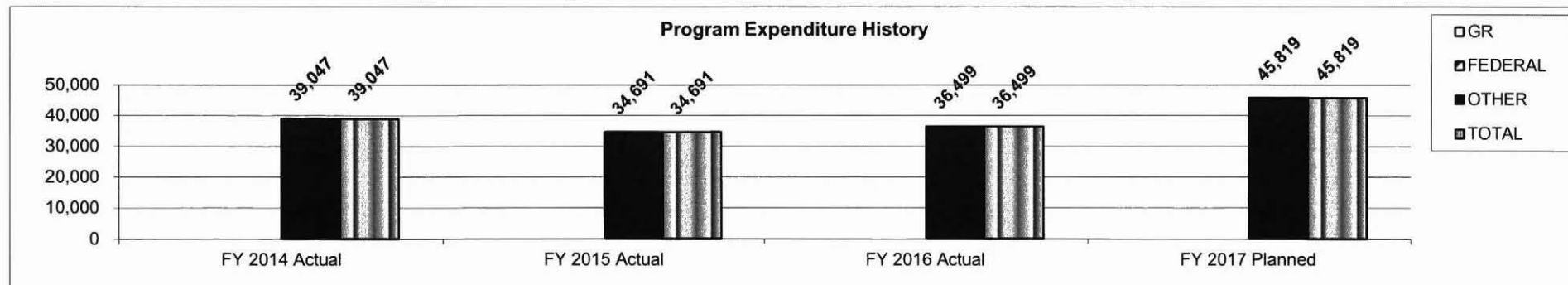
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Hearing Instrument Specialists Fund (0247)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

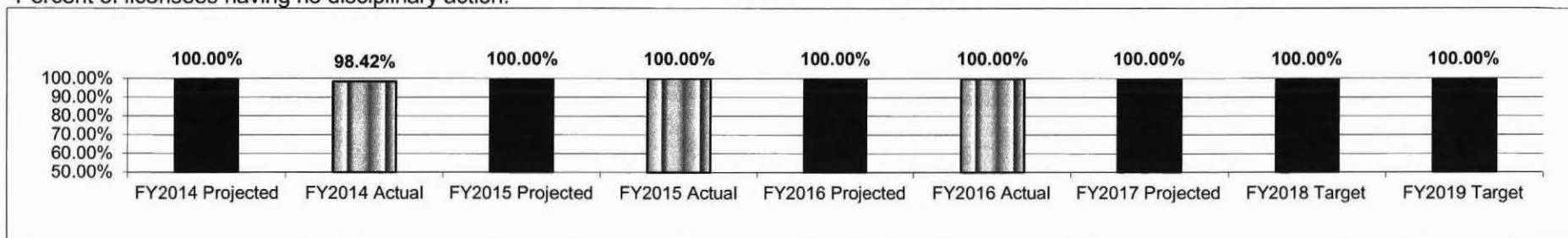
HB Section(s): 7.465

Board of Hearing Instrument Specialists

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 75        | 44     | 75        | 73     | 75        | 50     | 33        | 48     | 49     |
| Licensed Professionals | 285       | 259    | 285       | 294    | 285       | 283    | 250       | 279    | 266    |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Interior Design Council

Program is found in the following core budget(s): Professional Registration Administration

**1. What does this program do?**

The Interior Design Council was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating interior designers in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 324.400-324.439 RSMo.

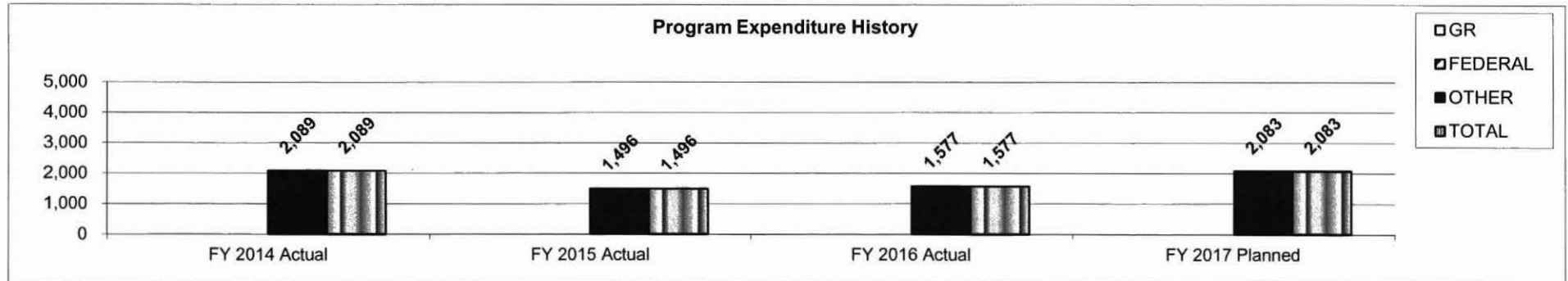
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Interior Design Council (0877)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

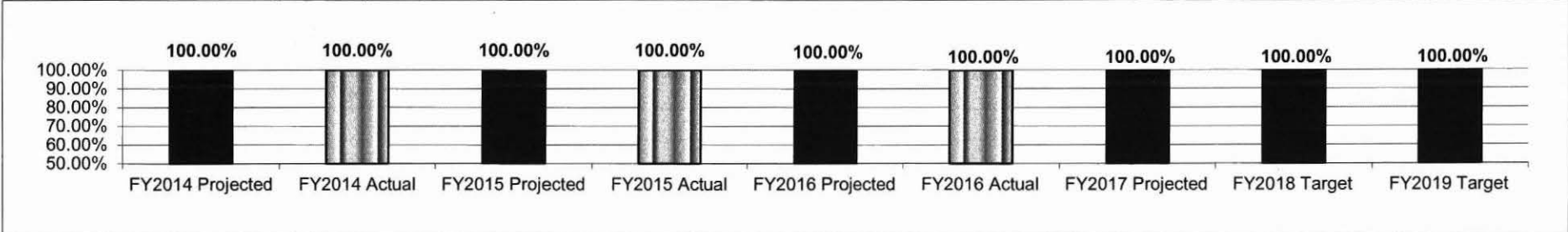
HB Section(s): 7.465

Interior Design Council

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 9         | 2      | 9         | 8      | 9         | 7      | 2         | 7      | 7      |
| Licensed Professionals | 93        | 81     | 93        | 78     | 93        | 84     | 83        | 72     | 77     |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

State Committee of Interpreters

Program is found in the following core budget(s): Professional Registration Administration

### 1. What does this program do?

The State Committee of Interpreters was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating interpreters in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 209.319-209.339 RSMo.

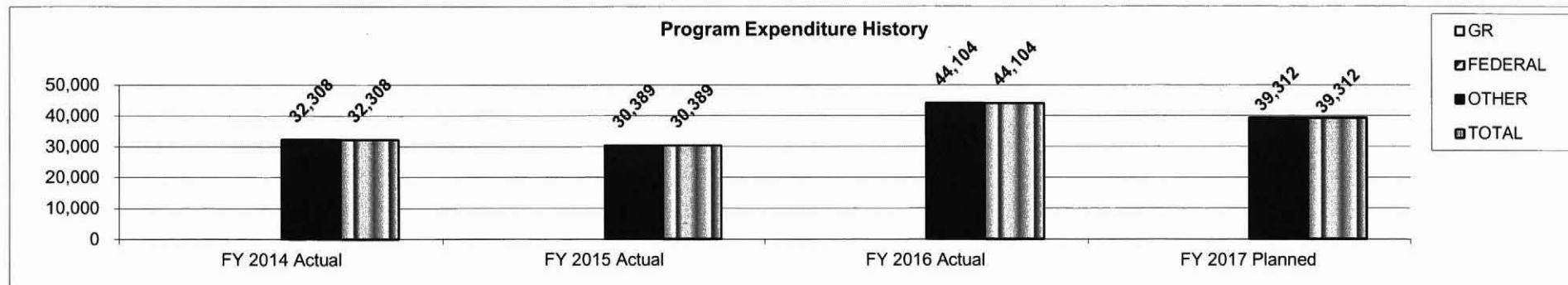
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

State Committee of Interpreters Fund (0256)



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

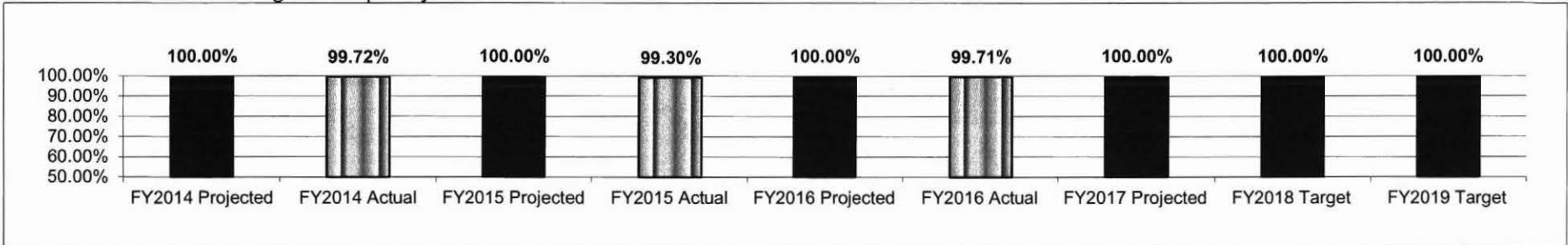
HB Section(s): 7.465

State Committee of Interpreters

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 75        | 90     | 75        | 51     | 80        | 42     | 85        | 65     | 50     |
| Licensed Professionals | 754       | 722    | 774       | 719    | 800       | 678    | 730       | 691    | 680    |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration  
 State Committee for Marital & Family Therapists  
 Program is found in the following core budget(s): Professional Registration Administration

HB Section(s): 7.465

**1. What does this program do?**

The State Committee for Marital and Family Therapists was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating marital and family therapists in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 337.700-337.750 RSMo.

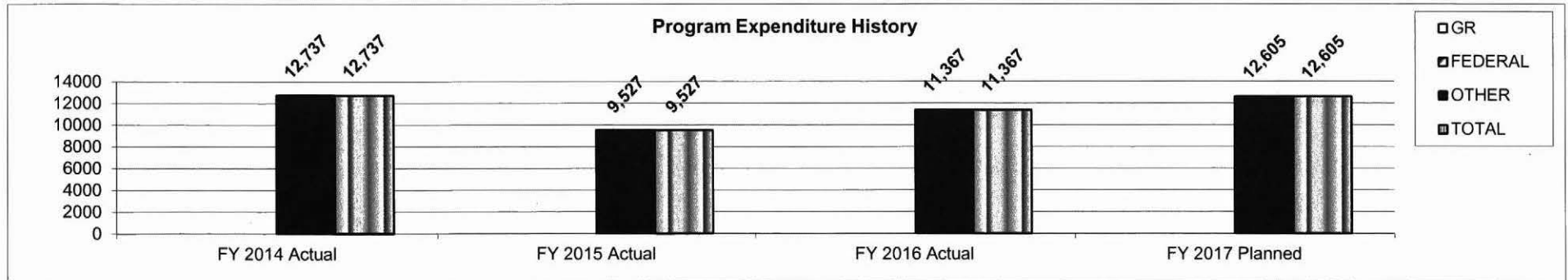
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Marital and Family Therapists Fund (0820)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

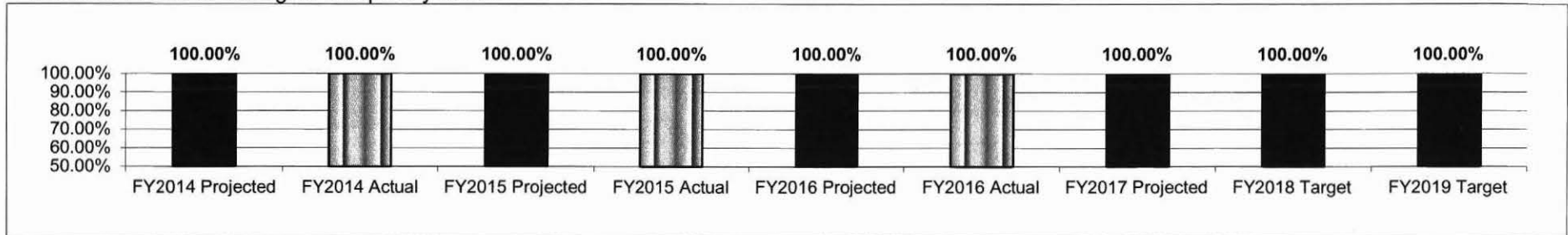
HB Section(s): 7.465

State Committee for Marital & Family Therapists

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 40        | 67     | 40        | 37     | 45        | 66     | 60        | 35     | 50     |
| Licensed Professionals | 225       | 261    | 225       | 285    | 250       | 294    | 200       | 210    | 215    |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Board of Occupational Therapy

Program is found in the following core budget(s): Professional Registration Administration

### 1. What does this program do?

The Missouri Board of Occupational Therapy was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating occupational therapists and occupational therapy assistants in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.050-324.089 RSMo.

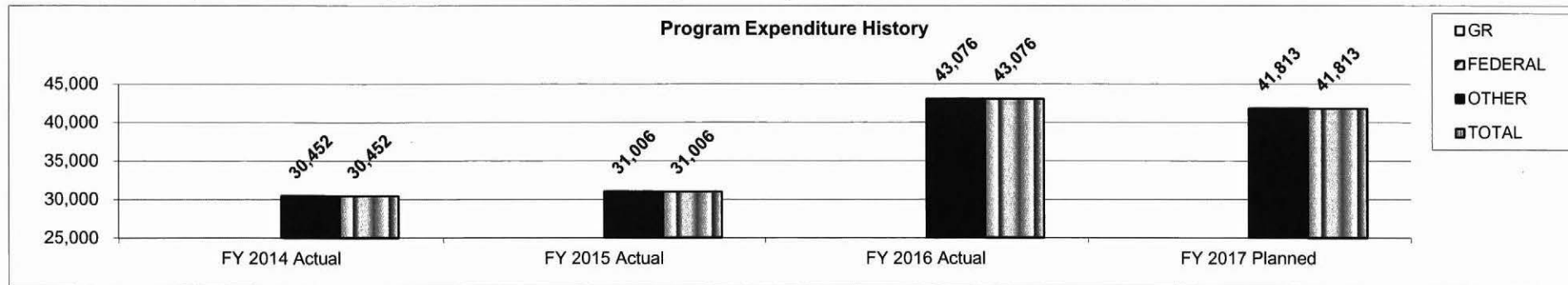
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Missouri Board of Occupational Therapy Fund (0845)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

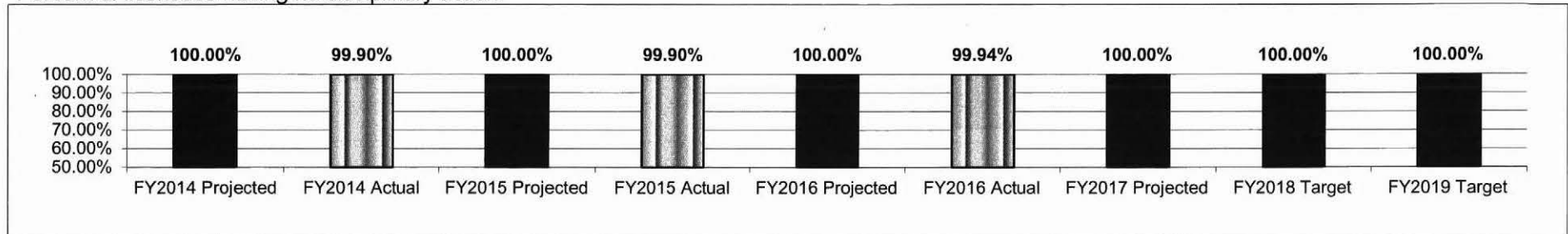
HB Section(s): 7.465

Board of Occupational Therapy

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 531       | 597    | 531       | 618    | 630       | 652    | 665       | 615    | 615    |
| Licensed Professionals | 4,500     | 4,749  | 4,700     | 5,211  | 4,700     | 5,207  | 4,800     | 5,112  | 5,300  |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.510

State Board of Optometry

Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

### FY 2017 PLANNED

|         | Optometry | PR Admin | TOTAL  |
|---------|-----------|----------|--------|
| GR      | 0         | 0        | 0      |
| FEDERAL | 0         | 0        | 0      |
| OTHER   | 34,726    | 58,624   | 93,350 |
| TOTAL   | 34,726    | 58,624   | 93,350 |

#### 1. What does this program do?

The State Board of Optometry was established to protect the interests of the citizens of the State of Missouri by licensing and regulating optometrists in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 336.010-336.225 RSMo.

#### 3. Are there federal matching requirements? If yes, please explain.

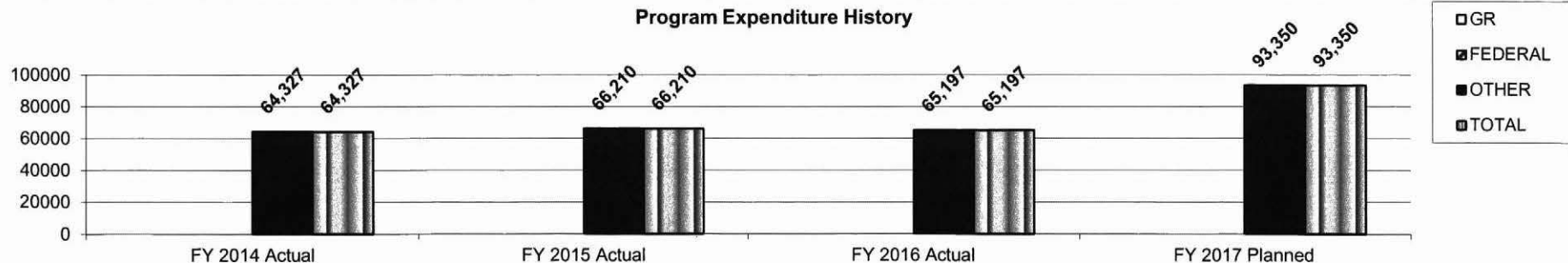
No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.510

State Board of Optometry

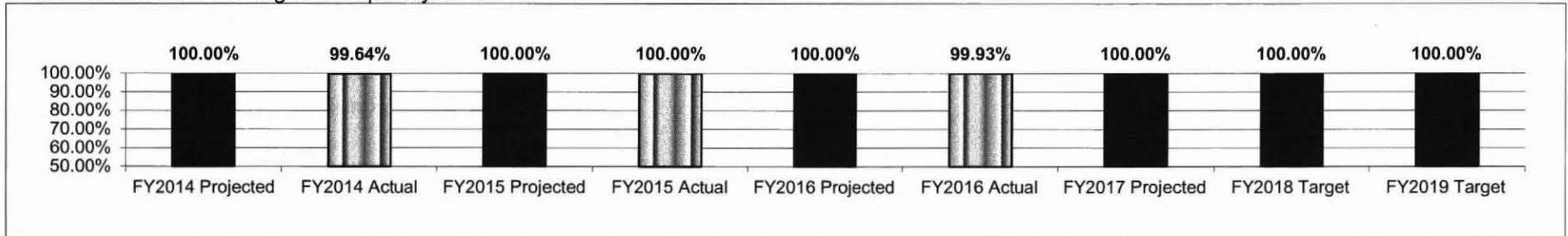
Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

### 6. What are the sources of the "Other " funds?

State Board of Optometry (0636)

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 70        | 56     | 70        | 54     | 70        | 54     | 55        | 71     | 55     |
| Licensed Professionals | 1,350     | 1,370  | 1,350     | 1,321  | 1,350     | 1,387  | 1,365     | 1,281  | 1,300  |

### 7d. Provide a customer satisfaction measure, if available.

None available.

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s): 7.465 / 7.520**

**State Board of Podiatric Medicine**

**Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration**

### FY 2017 PLANNED

|              | Podiatry      | PR Admin      | TOTAL         |
|--------------|---------------|---------------|---------------|
| GR           | 0             | 0             | 0             |
| FEDERAL      | 0             | 0             | 0             |
| OTHER        | 13,734        | 28,710        | 42,444        |
| <b>TOTAL</b> | <b>13,734</b> | <b>28,710</b> | <b>42,444</b> |

#### 1. What does this program do?

The State Board of Podiatric Medicine was established to protect the interests of the citizens of the State of Missouri by licensing and regulating podiatrists in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 330.010-330.210 RSMo.

#### 3. Are there federal matching requirements? If yes, please explain.

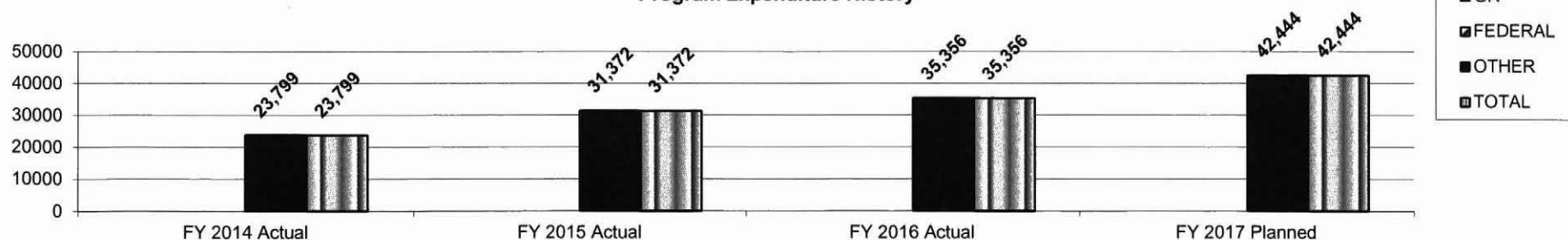
No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History





## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.520

State Board of Podiatric Medicine

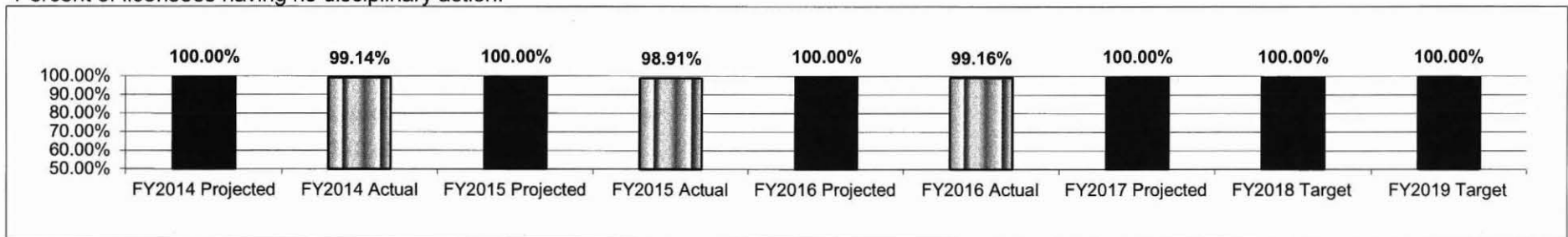
Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

### 6. What are the sources of the "Other " funds?

State Board of Podiatric Medicine (0629)

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 40        | 36     | 40        | 48     | 40        | 37     | 40        | 63     | 28     |
| Licensed Professionals | 375       | 349    | 375       | 367    | 375       | 357    | 343       | 315    | 343    |

### 7d. Provide a customer satisfaction measure, if available.

None available.

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.465

**Board of Private Investigator and Private Fire Investigator Examiners**

**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Board of Private Investigator and Private Fire Investigator Examiners was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating private investigators, private investigator businesses, private fire investigators, and private fire investigator businesses in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 324.1100-324.1148 RSMo.

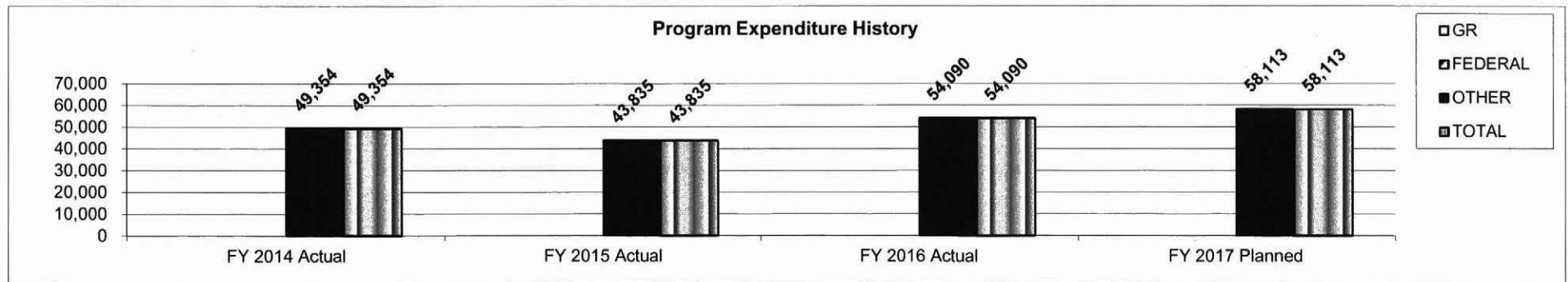
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Board of Private Investigator and Private Fire Investigator Examiners Fund (0802)

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

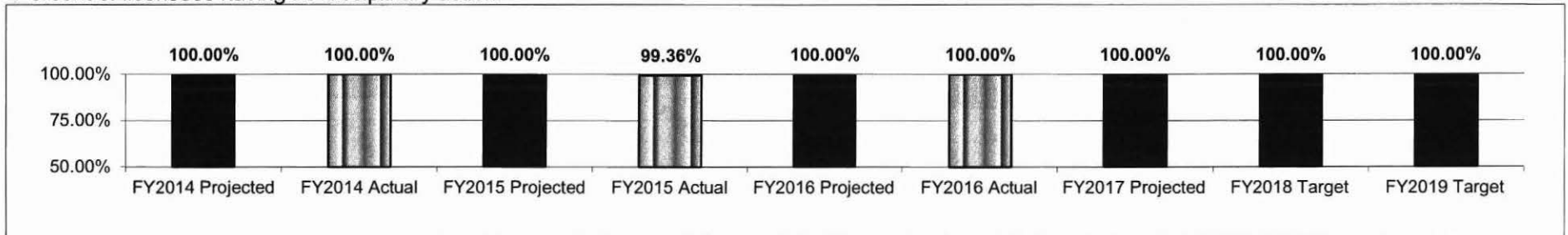
**HB Section(s):** 7.465

**Board of Private Investigator and Private Fire Investigator Examiners**

**Program is found in the following core budget(s): Professional Registration Administration**

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 200       | 295    | 200       | 300    | 200       | 237    | 200       | 282    | 240    |
| Licensed Professionals | 800       | 860    | 800       | 931    | 850       | 881    | 800       | 930    | 765    |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Committee for Professional Counselors

Program is found in the following core budget(s): Professional Registration Administration

### 1. What does this program do?

The Committee for Professional Counselors was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating professional counselors in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.050-337.540 RSMo.

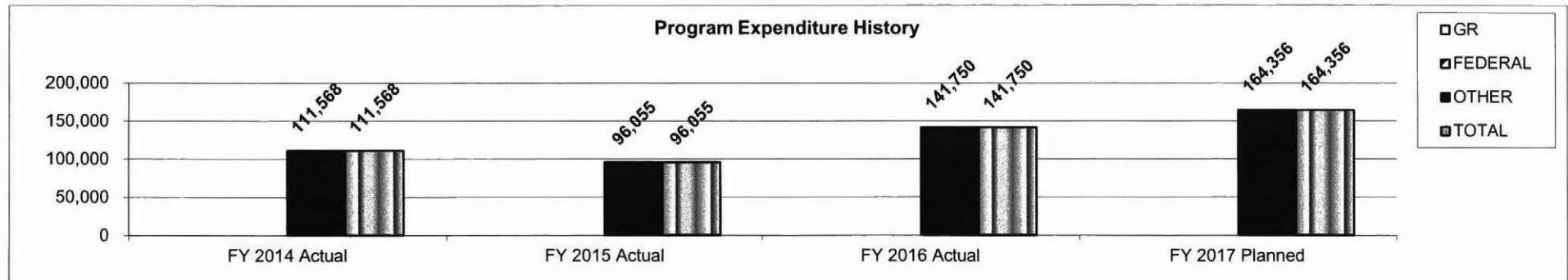
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Committee for Professional Counselors Fund (0672)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

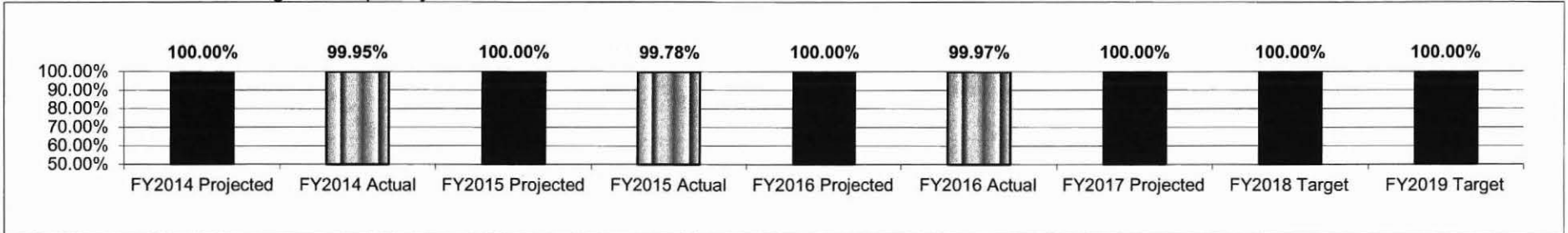
HB Section(s): 7.465

Committee for Professional Counselors

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 850       | 803    | 850       | 864    | 850       | 847    | 795       | 850    | 825    |
| Licensed Professionals | 5,300     | 5,451  | 5,300     | 5,864  | 5,300     | 6,026  | 4,400     | 4,515  | 5,700  |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.465

**State Committee of Psychologists**

**Program is found in the following core budget(s): Professional Registration Administration**

### 1. What does this program do?

The State Committee of Psychologists and the Behavioral Analyst Advisory Board were established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating psychologists and behavioral analysts in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.010-337.093 and 337.300-337.345 RSMo.

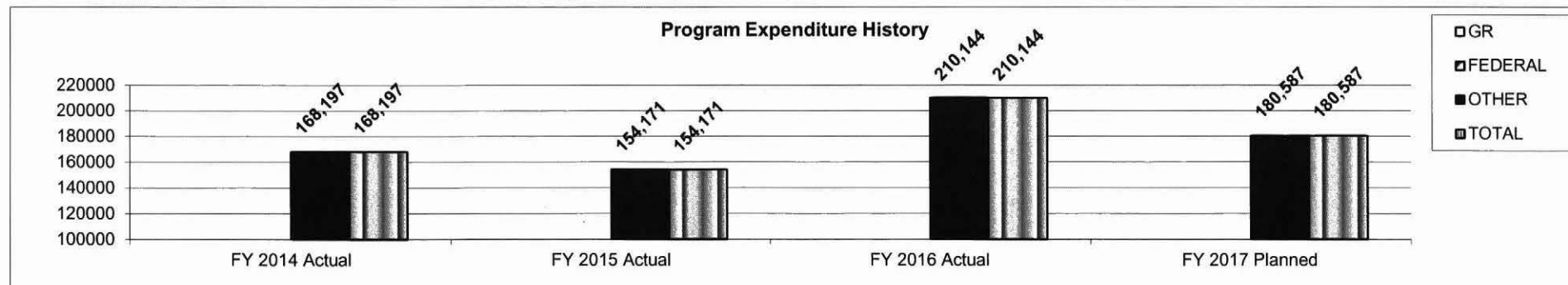
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

State Committee of Psychologists' Fund (0580)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

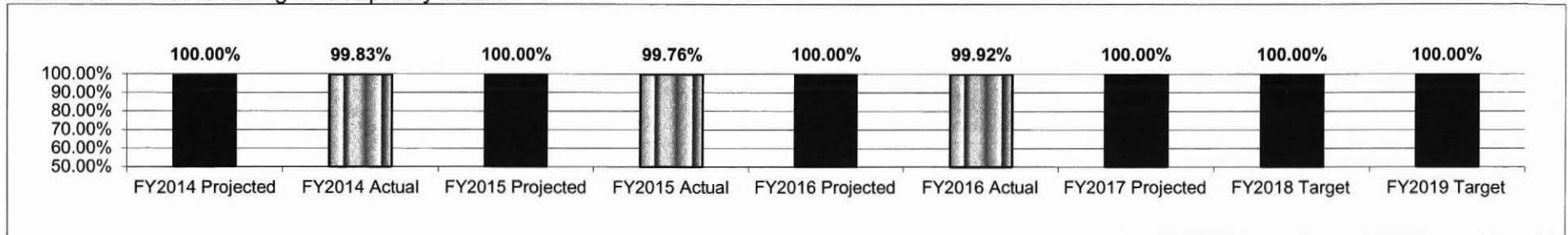
HB Section(s): 7.465

State Committee of Psychologists

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 150       | 98     | 150       | 103    | 140       | 192    | 90        | 90     | 190    |
| Licensed Professionals | 2,365     | 2,324  | 2,450     | 2,490  | 2,450     | 2,510  | 2,085     | 2,462  | 2,300  |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Real Estate Appraisers Commission**  
**Program is found in the following core budget(s): Professional Registration Administration**

**HB Section(s):** 7.465

**1. What does this program do?**

The Missouri Real Estate Appraisers Commission was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating real estate appraisers and appraisal management companies in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 339.500-339.549 RSMo.

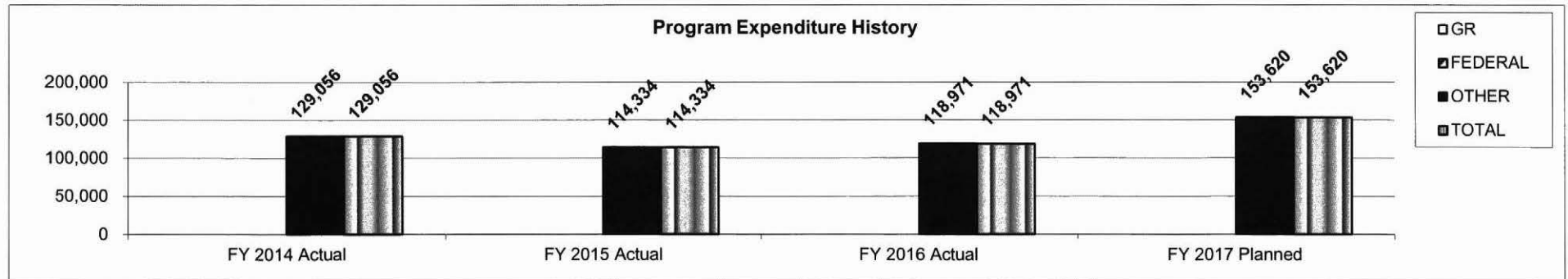
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

Yes. Title XI of the Financial Institutions Reform, Recovery and Enforcement Act of 1989 requires all real estate appraisers to be certified to perform appraisals for federal transactions.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Missouri Real Estate Appraisers Fund (0561)



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

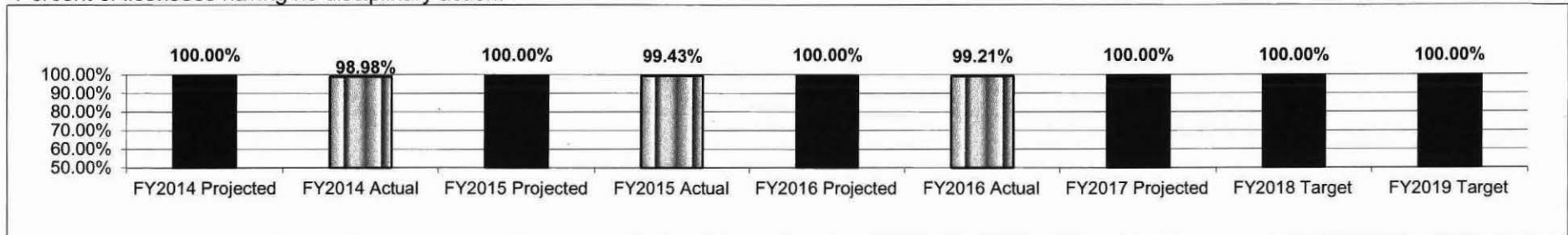
HB Section(s): 7.465

Real Estate Appraisers Commission

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 400       | 562    | 400       | 411    | 400       | 397    | 335       | 415    | 390    |
| Licensed Professionals | 2,500     | 2,938  | 2,500     | 2,621  | 2,800     | 2,661  | 2,800     | 2,469  | 2,300  |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Board for Respiratory Care

Program is found in the following core budget(s): Professional Registration Administration

**1. What does this program do?**

The Missouri Board for Respiratory Care was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating respiratory therapists in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 334.800-334.930 RSMo.

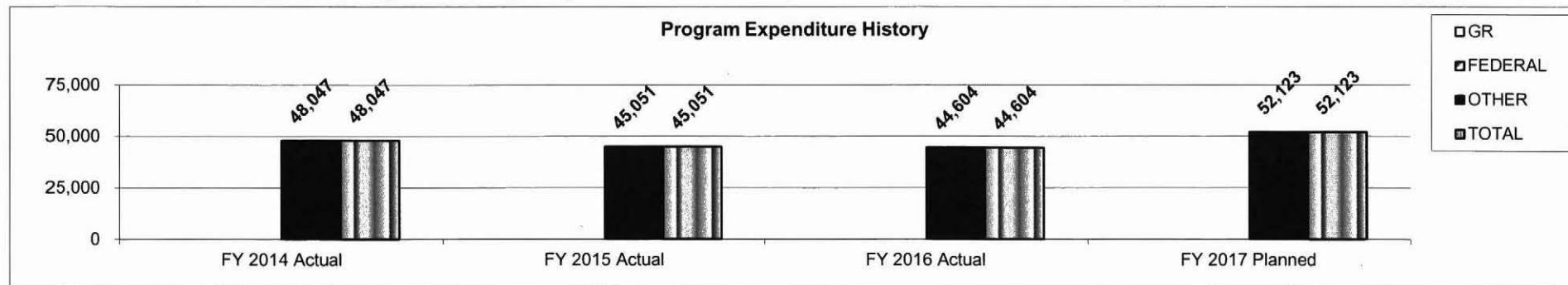
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Respiratory Care Practitioners Fund (0833)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

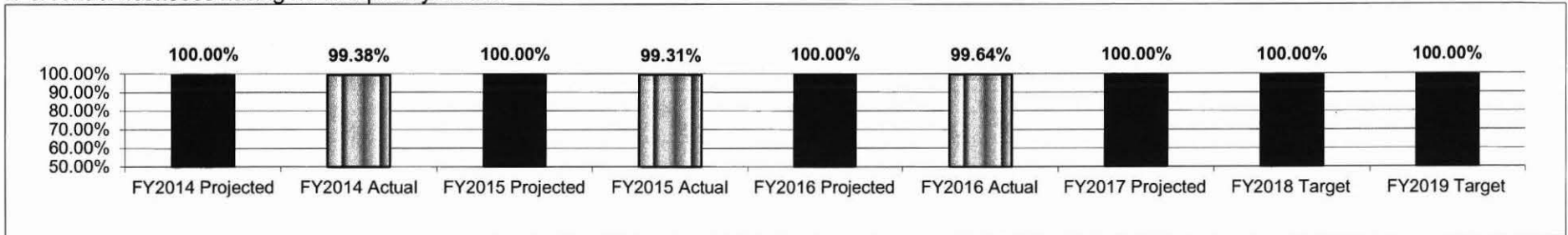
HB Section(s): 7.465

Board for Respiratory Care

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 400       | 359    | 350       | 356    | 300       | 333    | 346       | 336    | 366    |
| Licensed Professionals | 4,650     | 4,650  | 4,800     | 4,477  | 4,800     | 4,758  | 4,200     | 4,159  | 4,200  |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

State Committee for Social Workers

Program is found in the following core budget(s): Professional Registration Administration

### 1. What does this program do?

The State Committee for Social Workers was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating social workers in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 337.600-337.689 RSMo.

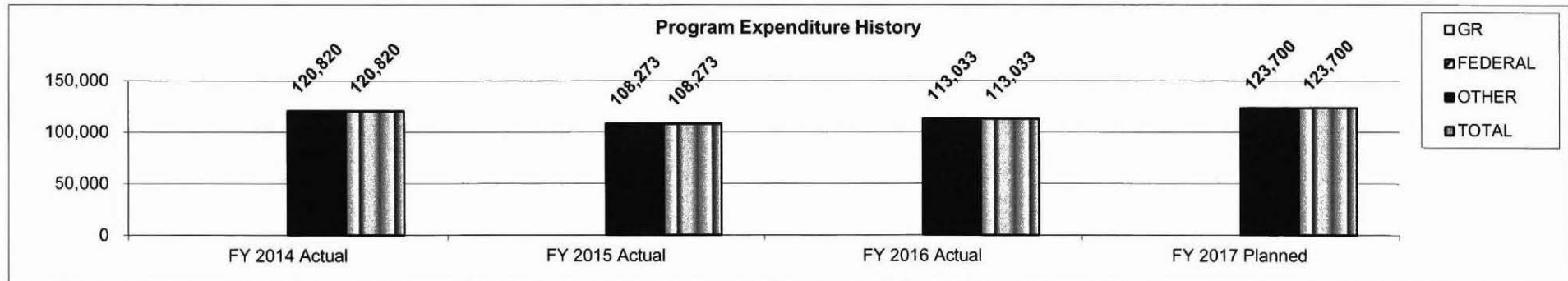
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Clinical Social Workers Fund (0574)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

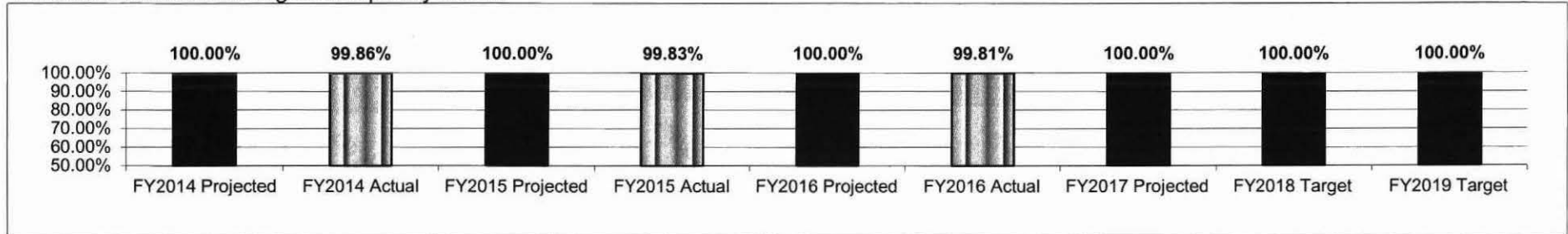
HB Section(s): 7.465

State Committee for Social Workers

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 850       | 757    | 860       | 885    | 860       | 1,183  | 725       | 910    | 1,000  |
| Licensed Professionals | 7,000     | 7,356  | 7,000     | 7,828  | 7,000     | 8,245  | 7,150     | 6,514  | 7,000  |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.465

**Office of Tattoo, Body Piercing and Branding**

**Program is found in the following core budget(s): Professional Registration Administration**

**1. What does this program do?**

The Office of Tattoo, Body Piercing and Branding was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating tattoo artists, body piercers, branding, and tattoo, body piercing and branding businesses in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 324.520-324.524 RSMo.

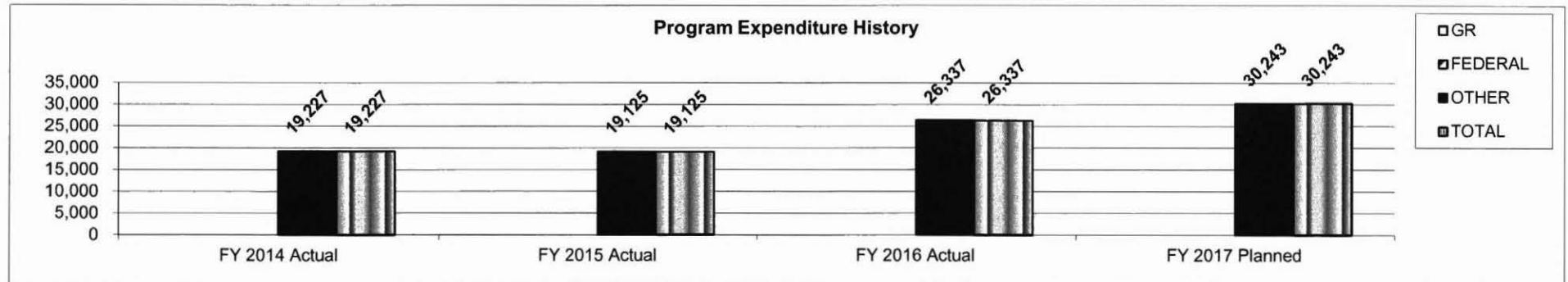
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Tattoo Fund (0883)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

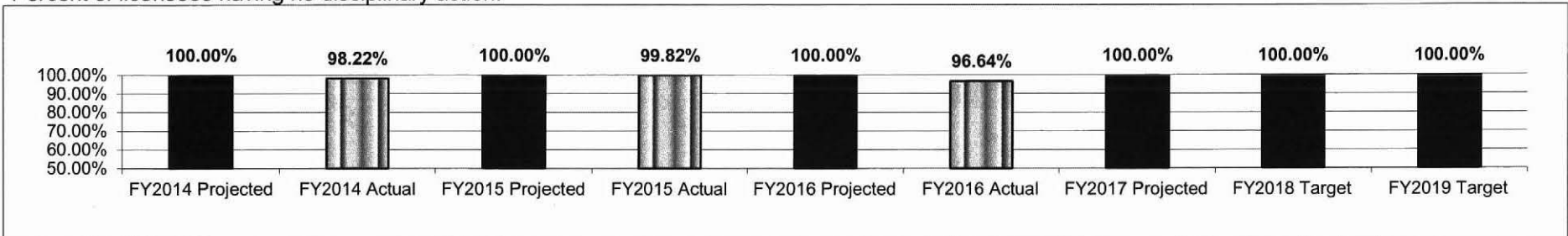
HB Section(s): 7.465

Office of Tattoo, Body Piercing and Branding

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 250       | 221    | 250       | 248    | 250       | 247    | 270       | 230    | 489    |
| Licensed Professionals | 1,600     | 1,463  | 1,500     | 1,649  | 1,300     | 1,549  | 1,300     | 1,365  | 1,400  |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465

Board of Therapeutic Massage

Program is found in the following core budget(s): Professional Registration Administration

**1. What does this program do?**

The Board of Therapeutic Massage was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating massage therapists and massage therapy businesses in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 436.218-436.272 RSMo.

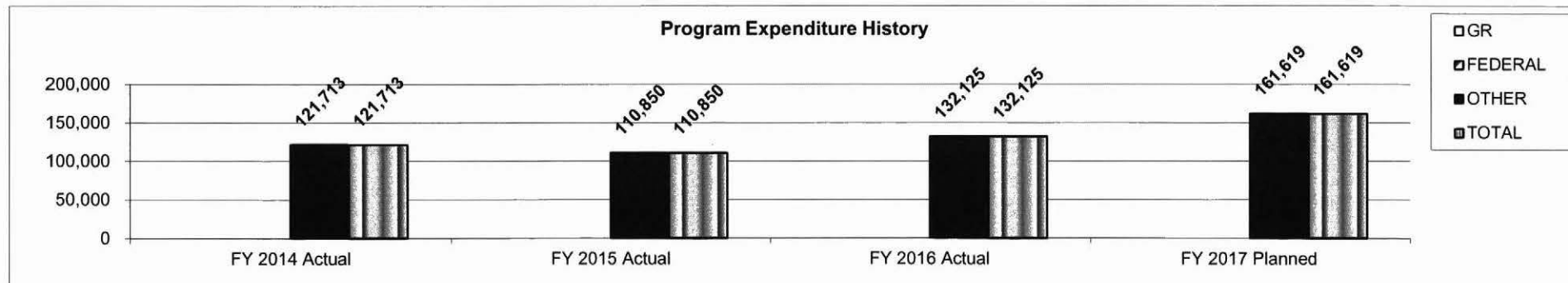
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Massage Therapy Fund (0884)



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

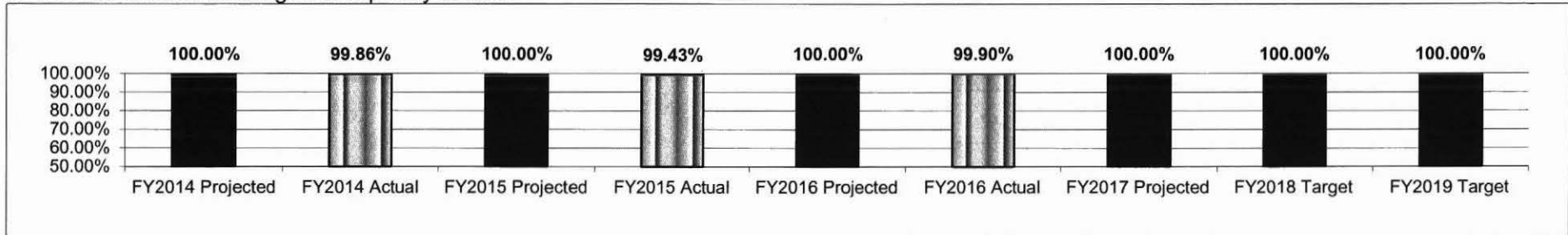
HB Section(s): 7.465

Board of Therapeutic Massage

Program is found in the following core budget(s): Professional Registration Administration

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 1,250     | 1,268  | 1,250     | 1,182  | 1,250     | 1,221  | 1,250     | 1,150  | 1,200  |
| Licensed Professionals | 6,000     | 6,913  | 6,000     | 6,360  | 6,000     | 6,990  | 6,000     | 4,895  | 5,275  |

**7d. Provide a customer satisfaction measure, if available.**

None available.

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.530

Missouri Veterinary Medical Board

Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

### FY 2017 PLANNED

|              | Veterinary     | PR Admin      | TOTAL          |
|--------------|----------------|---------------|----------------|
| GR           | 0              | 0             | 0              |
| FEDERAL      | 0              | 0             | 0              |
| OTHER        | 107,975        | 93,737        | 201,712        |
| <b>TOTAL</b> | <b>107,975</b> | <b>93,737</b> | <b>201,712</b> |

#### 1. What does this program do?

The Missouri Veterinary Medical Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating veterinarians and veterinary technicians in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 340.200-340.350 RSMo.

#### 3. Are there federal matching requirements? If yes, please explain.

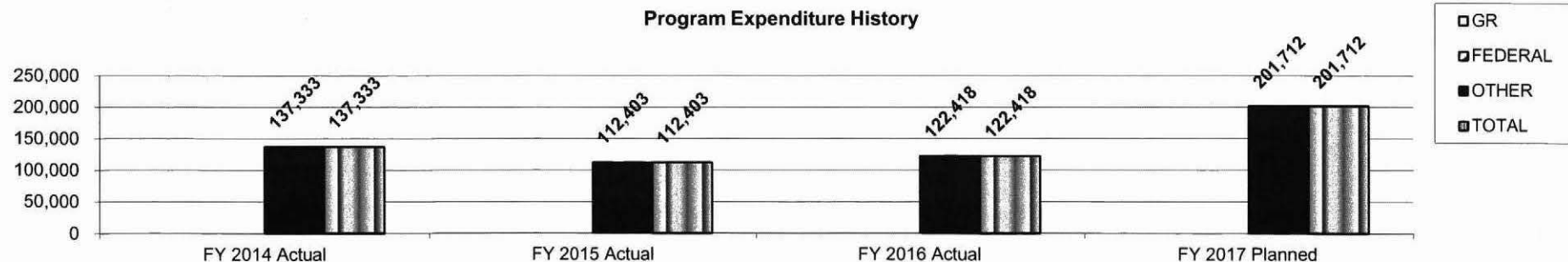
No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.530

Missouri Veterinary Medical Board

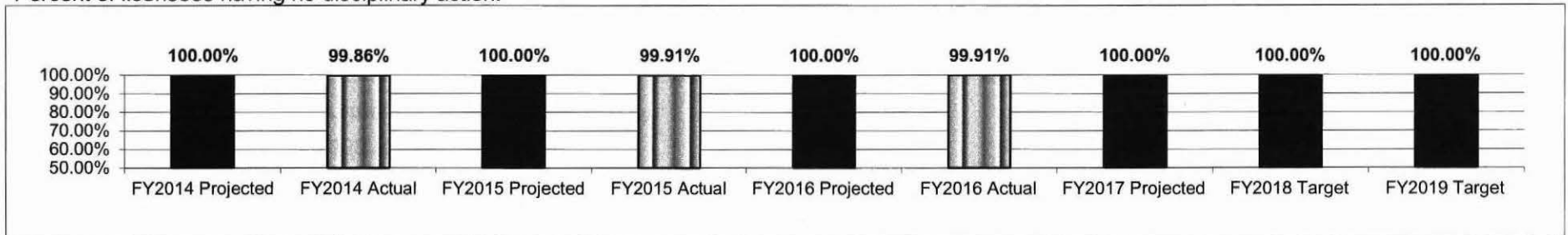
Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

### 6. What are the sources of the "Other " funds?

Veterinary Medical Board Fund (0639)

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 433       | 524    | 433       | 537    | 594       | 584    | 526       | 694    | 500    |
| Licensed Professionals | 4,911     | 5,164  | 4,911     | 5,341  | 5,054     | 5,521  | 5,200     | 5,549  | 5,540  |

### 7d. Provide a customer satisfaction measure, if available.

None available.



# CORE DECISION ITEM

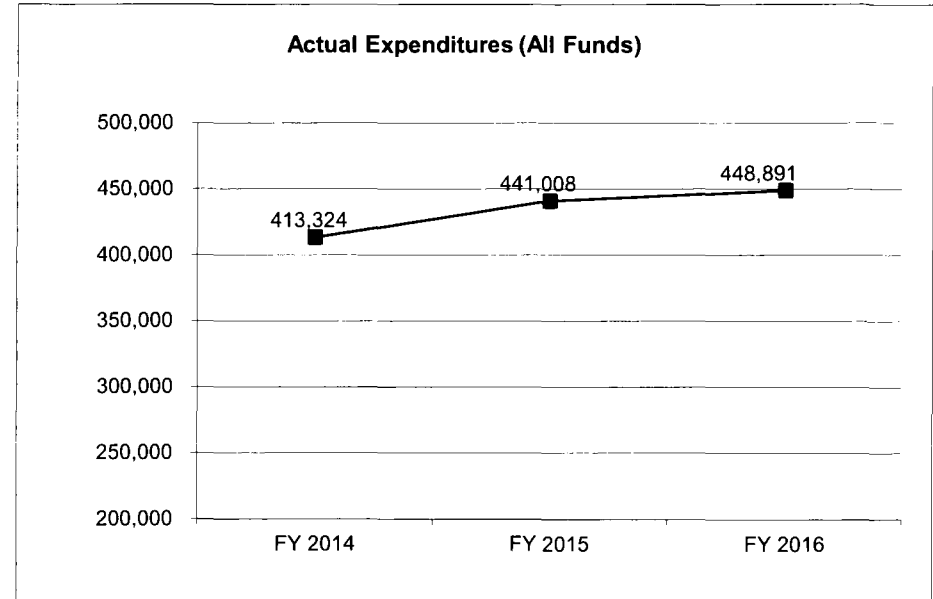
|   |                               |                |                |                |                                  |  |             |                |                |                |          |
|---|-------------------------------|----------------|----------------|----------------|----------------------------------|--|-------------|----------------|----------------|----------------|----------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>  |                               |                |                |                | <b>Budget Unit</b> <u>42650C</u> |  |             |                |                |                |          |
| <b>Professional Registration</b>  |                               |                |                |                |                                  |  |             |                |                |                |          |
| <b>Core - State Board of Accountancy</b>  |                               |                |                |                | <b>HB Section</b> <u>7.470</u>   |  |             |                |                |                |          |
| <b>1. CORE FINANCIAL SUMMARY</b>  |                               |                |                |                |                                  |  |             |                |                |                |          |
|   | <b>FY 2018 Budget Request</b> |                |                |                |                                  | <b>FY 2018 Governor's Recommendation</b>   |             |                |                |                |          |
|   | <b>GR</b>                     | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>E</b>                         |  | <b>GR</b>   | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>E</b> |
| <b>PS</b>   | 0                             | 0              | 295,268        | 295,268        |                                  | <b>PS</b>  | 0           | 0              | 295,268        | 295,268        |          |
| <b>EE</b>   | 0                             | 0              | 171,991        | 171,991        |                                  | <b>EE</b>  | 0           | 0              | 171,991        | 171,991        |          |
| <b>PSD</b>  | 0                             | 0              | 0              | 0              |                                  | <b>PSD</b>   | 0           | 0              | 0              | 0              |          |
| <b>TRF</b>  | 0                             | 0              | 0              | 0              |                                  | <b>TRF</b>   | 0           | 0              | 0              | 0              |          |
| <b>Total</b>  | <u>0</u>                      | <u>0</u>       | <u>467,259</u> | <u>467,259</u> |                                  | <b>Total</b>   | <u>0</u>    | <u>0</u>       | <u>467,259</u> | <u>467,259</u> |          |
| <b>FTE</b>  | <b>0.00</b>                   | <b>0.00</b>    | <b>7.00</b>    | <b>7.00</b>    |                                  | <b>FTE</b>   | <b>0.00</b> | <b>0.00</b>    | <b>7.00</b>    | <b>7.00</b>    |          |
| <b>Est. Fringe</b>  | <u>0</u>                      | <u>0</u>       | <u>152,764</u> | <u>152,764</u> |                                  | <b>Est. Fringe</b>   | <u>0</u>    | <u>0</u>       | <u>152,764</u> | <u>152,764</u> |          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |                               |                |                |                |                                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |             |                |                |                |          |
| Other Funds:     State Board of Accountancy Fund (0627)   |                               |                |                |                |                                  | Other Funds: State Board of Accountancy Fund (0627)  |             |                |                |                |          |
| <b>2. CORE DESCRIPTION</b>  |                               |                |                |                |                                  |  |             |                |                |                |          |
| <p>The Missouri State Board of Accountancy was created in 1909 by Senate Bill 112 and passed by the 44th General Assembly. The Board is a state agency and the members are appointed by the Governor and confirmed by the Senate. The Board is a fee funded agency, supported entirely by CPA candidates' and licensees' fees. The Public Accountancy Act is the set of statutes that govern the ability of the Board to regulate certified public accountants, public accountants, limited liability companies, partnerships, and professional corporations in Missouri.</p> |                               |                |                |                |                                  |  |             |                |                |                |          |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |                               |                |                |                |                                  |  |             |                |                |                |          |
| State Board of Accountancy  |                               |                |                |                |                                  |  |             |                |                |                |          |

# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42650C |
| Professional Registration   |             |        |
| Core - State Board of Accountancy   | HB Section  | 7.470  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 456,848           | 459,913           | 461,468           | 467,259                |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 456,848           | 459,913           | 461,468           | 467,259                |
| Actual Expenditures (All Funds) | 413,324           | 441,008           | 448,891           | N/A                    |
| Unexpended (All Funds)          | 43,524            | 18,905            | 12,577            | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 43,524            | 18,905            | 12,577            | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

**CORE RECONCILIATION DETAIL**

**DIFP  
STATE BOARD OF ACCOUNTANCY**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|----------------|----------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                |                |                |                    |
|                                    | PS                      | 7.00        | 0         | 0              | 295,268        | 295,268        |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 171,991        | 171,991        |                    |
|                                    | <b>Total</b>            | <b>7.00</b> | <b>0</b>  | <b>0</b>       | <b>467,259</b> | <b>467,259</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                |                |                |                    |
|                                    | PS                      | 7.00        | 0         | 0              | 295,268        | 295,268        |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 171,991        | 171,991        |                    |
|                                    | <b>Total</b>            | <b>7.00</b> | <b>0</b>  | <b>0</b>       | <b>467,259</b> | <b>467,259</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                |                |                |                    |
|                                    | PS                      | 7.00        | 0         | 0              | 295,268        | 295,268        |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 171,991        | 171,991        |                    |
|                                    | <b>Total</b>            | <b>7.00</b> | <b>0</b>  | <b>0</b>       | <b>467,259</b> | <b>467,259</b> |                    |

## DIFP

## DECISION ITEM SUMMARY

| Budget Unit                                       |                  |             |                  |             |                  |             |                  |             |  |
|---|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|--|
| Decision Item                                     | FY 2016          | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |  |
| Budget Object Summary                             | ACTUAL           | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |  |
| Fund  | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |  |
| <b>STATE BOARD OF ACCOUNTANCY</b>                 |                  |             |                  |             |                  |             |                  |             |  |
| <b>CORE</b>                                       |                  |             |                  |             |                  |             |                  |             |  |
| PERSONAL SERVICES                                 |                  |             |                  |             |                  |             |                  |             |  |
| BOARD OF ACCOUNTANCY                              | 276,965          | 7.78        | 295,268          | 7.00        | 295,268          | 7.00        | 295,268          | 7.00        |  |
| TOTAL - PS  | 276,965          | 7.78        | 295,268          | 7.00        | 295,268          | 7.00        | 295,268          | 7.00        |  |
| EXPENSE & EQUIPMENT                               |                  |             |                  |             |                  |             |                  |             |  |
| BOARD OF ACCOUNTANCY                              | 171,926          | 0.00        | 171,991          | 0.00        | 171,991          | 0.00        | 171,991          | 0.00        |  |
| TOTAL - EE  | 171,926          | 0.00        | 171,991          | 0.00        | 171,991          | 0.00        | 171,991          | 0.00        |  |
| <b>TOTAL</b>                                      | <b>448,891</b>   | <b>7.78</b> | <b>467,259</b>   | <b>7.00</b> | <b>467,259</b>   | <b>7.00</b> | <b>467,259</b>   | <b>7.00</b> |  |
| <b>Board of Acct - E&amp;E Increase - 1375003</b> |                  |             |                  |             |                  |             |                  |             |  |
| EXPENSE & EQUIPMENT                               |                  |             |                  |             |                  |             |                  |             |  |
| BOARD OF ACCOUNTANCY                              | 0                | 0.00        | 0                | 0.00        | 0                | 0.00        | 75,000           | 0.00        |  |
| TOTAL - EE  | 0                | 0.00        | 0                | 0.00        | 0                | 0.00        | 75,000           | 0.00        |  |
| <b>TOTAL</b>                                      | <b>0</b>         | <b>0.00</b> | <b>0</b>         | <b>0.00</b> | <b>0</b>         | <b>0.00</b> | <b>75,000</b>    | <b>0.00</b> |  |
| <b>GRAND TOTAL</b>                                | <b>\$448,891</b> | <b>7.78</b> | <b>\$467,259</b> | <b>7.00</b> | <b>\$467,259</b> | <b>7.00</b> | <b>\$542,259</b> | <b>7.00</b> |  |

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## FLEXIBILITY REQUEST FORM

|  |  |
|--|--|
| <b>BUDGET UNIT NUMBER:</b> 42650C<br><b>BUDGET UNIT NAME:</b> State Board of Accountancy<br><b>HOUSE BILL SECTION:</b> 7.470 | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><b>DIVISION:</b> Professional Registration |
|--|--|

**1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.**

| DEPARTMENT REQUEST        | GOVERNOR'S RECOMMENDATION   |
|---------------------------|---|
| No flexibility requested. | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |

**2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.**

| PRIOR YEAR<br>ACTUAL AMOUNT OF FLEXIBILITY USED                    | CURRENT YEAR<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED     | BUDGET REQUEST<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED |
|--|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. | The department will use flexibility only if necessary.                 |

**3. Please explain how flexibility was used in the prior and/or current years.**

| PRIOR YEAR<br>EXPLAIN ACTUAL USE                                   | CURRENT YEAR<br>EXPLAIN PLANNED USE                                      |
|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. |

DIFP

DECISION ITEM DETAIL

| Budget Unit                       | FY 2016          | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
|-----------------------------------|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                     | ACTUAL           | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class               | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>STATE BOARD OF ACCOUNTANCY</b> |                  |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                       |                  |             |                  |             |                  |             |                  |             |
| SR OFFICE SUPPORT ASSISTANT       | 21,071           | 0.80        | 27,225           | 1.00        | 27,225           | 1.00        | 27,225           | 1.00        |
| SENIOR AUDITOR                    | 44,304           | 1.00        | 50,695           | 1.00        | 46,695           | 1.00        | 46,695           | 1.00        |
| PROCESSING TECHNICIAN I           | 22,440           | 0.95        | 25,532           | 1.00        | 25,532           | 1.00        | 25,532           | 1.00        |
| PROCESSING TECHNICIAN II          | 55,891           | 2.10        | 54,151           | 2.00        | 55,151           | 2.00        | 55,151           | 2.00        |
| PROCESSING TECHNICIAN SUPV        | 33,180           | 1.00        | 34,961           | 1.00        | 34,961           | 1.00        | 34,961           | 1.00        |
| BOARD MEMBER                      | 5,670            | 0.31        | 7,989            | 0.00        | 7,989            | 0.00        | 7,989            | 0.00        |
| CLERK                             | 23,212           | 0.62        | 20,752           | 0.00        | 23,752           | 0.00        | 23,752           | 0.00        |
| PRINCIPAL ASST BOARD/COMMISSON    | 71,197           | 1.00        | 73,963           | 1.00        | 73,963           | 1.00        | 73,963           | 1.00        |
| <b>TOTAL - PS</b>                 | <b>276,965</b>   | <b>7.78</b> | <b>295,268</b>   | <b>7.00</b> | <b>295,268</b>   | <b>7.00</b> | <b>295,268</b>   | <b>7.00</b> |
| TRAVEL, IN-STATE                  | 8,184            | 0.00        | 13,200           | 0.00        | 13,200           | 0.00        | 13,200           | 0.00        |
| TRAVEL, OUT-OF-STATE              | 4,699            | 0.00        | 1,719            | 0.00        | 1,719            | 0.00        | 1,719            | 0.00        |
| SUPPLIES                          | 21,260           | 0.00        | 21,375           | 0.00        | 21,375           | 0.00        | 21,375           | 0.00        |
| PROFESSIONAL DEVELOPMENT          | 8,587            | 0.00        | 8,550            | 0.00        | 8,550            | 0.00        | 8,550            | 0.00        |
| COMMUNICATION SERV & SUPP         | 3,056            | 0.00        | 5,000            | 0.00        | 5,000            | 0.00        | 5,000            | 0.00        |
| PROFESSIONAL SERVICES             | 117,983          | 0.00        | 109,907          | 0.00        | 109,907          | 0.00        | 109,907          | 0.00        |
| M&R SERVICES                      | 702              | 0.00        | 3,000            | 0.00        | 3,000            | 0.00        | 3,000            | 0.00        |
| OFFICE EQUIPMENT                  | 1,917            | 0.00        | 3,000            | 0.00        | 3,000            | 0.00        | 3,000            | 0.00        |
| BUILDING LEASE PAYMENTS           | 996              | 0.00        | 620              | 0.00        | 620              | 0.00        | 620              | 0.00        |
| EQUIPMENT RENTALS & LEASES        | 0                | 0.00        | 620              | 0.00        | 620              | 0.00        | 620              | 0.00        |
| MISCELLANEOUS EXPENSES            | 4,542            | 0.00        | 5,000            | 0.00        | 5,000            | 0.00        | 5,000            | 0.00        |
| <b>TOTAL - EE</b>                 | <b>171,926</b>   | <b>0.00</b> | <b>171,991</b>   | <b>0.00</b> | <b>171,991</b>   | <b>0.00</b> | <b>171,991</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                | <b>\$448,891</b> | <b>7.78</b> | <b>\$467,259</b> | <b>7.00</b> | <b>\$467,259</b> | <b>7.00</b> | <b>\$467,259</b> | <b>7.00</b> |
| <b>GENERAL REVENUE</b>            | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>              | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>OTHER FUNDS</b>                | <b>\$448,891</b> | <b>7.78</b> | <b>\$467,259</b> | <b>7.00</b> | <b>\$467,259</b> | <b>7.00</b> | <b>\$467,259</b> | <b>7.00</b> |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.470

State Board of Accountancy

Program is found in the following core budget(s): State Board of Accountancy

**1. What does this program do?**

The Board of Accountancy was established to protect the interests of the citizens of the State of Missouri by licensing and regulating certified public accountants, public accountants, limited liability companies, partnerships and professional corporations in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 326.250-326.331 RSMo.

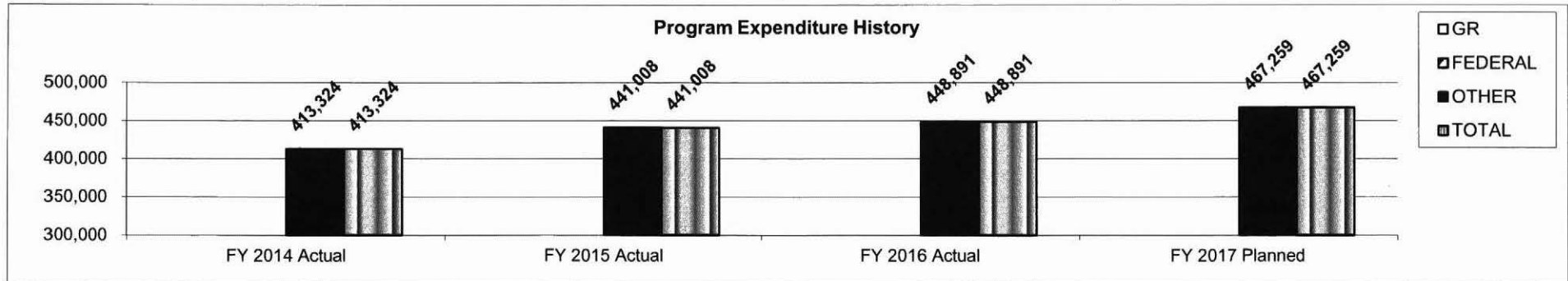
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

State Board of Accountancy Fund (0627)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

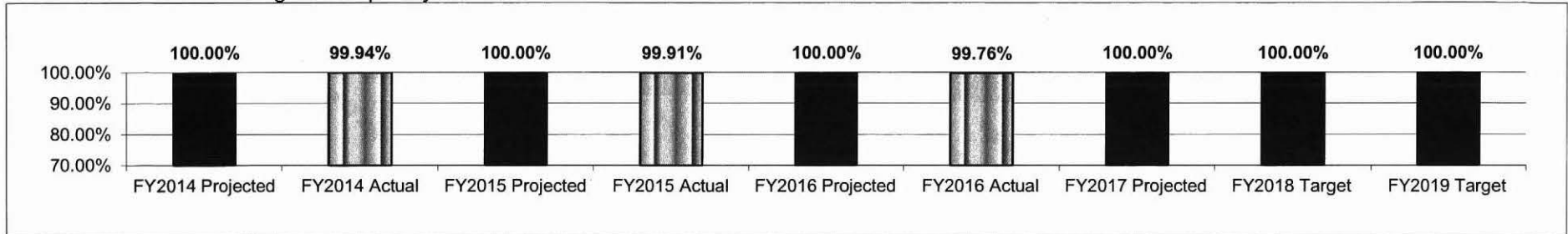
HB Section(s): 7.470

State Board of Accountancy

Program is found in the following core budget(s): State Board of Accountancy

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 800       | 844    | 750       | 1,075  | 750       | 888    | 844       | 763    | 660    |
| Licensed Professionals | 20,850    | 21,375 | 20,800    | 22,017 | 22,305    | 22,154 | 19,441    | 21,832 | 22,231 |

**7d. Provide a customer satisfaction measure, if available.**

None available.

Expense and Equipment  
Increase

**NEW DECISION ITEM**  
**RANK: 7 OF 7**

|  |                                     |
|--|-------------------------------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit 42650C</b>           |
| <b>Division of Professional Registration</b>   |                                     |
| <b>State Board of Accountancy - Expense &amp; Equipment Increase</b>                 | <b>DI# 1375003 House Bill 7.470</b> |

**1. AMOUNT OF REQUEST**

| FY 2018 Budget Request |          |          |          |          | FY 2018 Governor's Recommendation |          |          |               |               |
|------------------------|----------|----------|----------|----------|-----------------------------------|----------|----------|---------------|---------------|
|                        | GR       | Federal  | Other    | Total    |                                   | GR       | Federal  | Other         | Total         |
| <b>PS</b>              | 0        | 0        | 0        | 0        | <b>PS</b>                         | 0        | 0        | 0             | 0             |
| <b>EE</b>              | 0        | 0        | 0        | 0        | <b>EE</b>                         | 0        | 0        | 75,000        | 75,000        |
| <b>PSD</b>             | 0        | 0        | 0        | 0        | <b>PSD</b>                        | 0        | 0        | 0             | 0             |
| <b>TRF</b>             | 0        | 0        | 0        | 0        | <b>TRF</b>                        | 0        | 0        | 0             | 0             |
| <b>Total</b>           | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <b>Total</b>                      | <u>0</u> | <u>0</u> | <u>75,000</u> | <u>75,000</u> |
| <b>FTE</b>             | 0.00     | 0.00     | 0.00     | 0.00     | <b>FTE</b>                        | 0.00     | 0.00     | 0.00          | 0.00          |

|                    |   |   |   |   |  |                    |   |   |   |   |
|--------------------|---|---|---|---|--|--------------------|---|---|---|---|
| <b>Est. Fringe</b> | 0 | 0 | 0 | 0 |  | <b>Est. Fringe</b> | 0 | 0 | 0 | 0 |
|--------------------|---|---|---|---|--|--------------------|---|---|---|---|

*Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.*

Other Funds:

Other Funds: State Board of Accountancy Fund (0627)

**2. THIS REQUEST CAN BE CATEGORIZED AS:**

|  |   |  |
|--|---|--|
| <input type="checkbox"/> New Legislation | <input type="checkbox"/> New Program  | <input type="checkbox"/> Fund Switch           |
| <input type="checkbox"/> Federal Mandate | <input type="checkbox"/> Program Expansion                                  | <input type="checkbox"/> Cost to Continue      |
| <input type="checkbox"/> GR Pick-Up      | <input type="checkbox"/> Space Request                                      | <input type="checkbox"/> Equipment Replacement |
| <input type="checkbox"/> Pay Plan        | <input checked="" type="checkbox"/> Other: Increase Appropriation Authority |  |

**3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.**

The individuals licensed by the board has increased by 1,944 from June 30, 2009 to June 30, 2016. The increased number of licensees has increased the boards regulatory cost such as mailing, investigation, and enforcement expenditures. Based on FY2017 expected expenditures, investigations, and enforcements the board is projecting needing an estimated increase to the Expense and Equipment (E&E) appropriation of \$75,000 for FY2018.

NEW DECISION ITEM  
RANK: 7 OF 7

Department of Insurance, Financial Institutions and Professional Registration Budget Unit 42650C  
Division of Professional Registration  
State Board of Accountancy - Expense & Equipment Increase DI# 1375003 House Bill 7.470

**4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)**

The State Board of Accountancy is requesting an increase to the E&E appropriation of \$75,000 for estimated expenditures, investigations, and enforcements in FY2018.

**5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.**

| Budget Object Class/Job Class | Dept Req<br>GR<br>DOLLARS | Dept Req<br>GR<br>FTE | Dept Req<br>FED<br>DOLLARS | Dept Req<br>FED<br>FTE | Dept Req<br>OTHER<br>DOLLARS | Dept Req<br>OTHER<br>FTE | Dept Req<br>TOTAL<br>DOLLARS | Dept Req<br>TOTAL<br>FTE | Dept Req<br>One-Time<br>DOLLARS |
|-------------------------------|---------------------------|-----------------------|----------------------------|------------------------|------------------------------|--------------------------|------------------------------|--------------------------|---------------------------------|
|                               | 0                         |                       |                            |                        |                              |                          | 0                            | 0.0                      |                                 |
|                               |                           |                       |                            |                        |                              |                          | 0                            | 0.0                      |                                 |
| Total PS                      | 0                         | 0.0                   | 0                          | 0.0                    | 0                            | 0.0                      | 0                            | 0.0                      | 0                               |
| E&E                           |                           |                       |                            |                        |                              |                          | 0                            |                          |                                 |
|                               |                           |                       |                            |                        |                              |                          | 0                            |                          |                                 |
|                               |                           |                       |                            |                        |                              |                          | 0                            |                          |                                 |
| Total EE                      | 0                         |                       | 0                          |                        | 0                            |                          | 0                            |                          | 0                               |
| Program Distributions         |                           |                       |                            |                        |                              |                          | 0                            |                          |                                 |
| Total PSD                     | 0                         |                       | 0                          |                        | 0                            |                          | 0                            |                          | 0                               |
| Transfers                     |                           |                       |                            |                        |                              |                          |                              |                          |                                 |
| Total TRF                     | 0                         |                       | 0                          |                        | 0                            |                          | 0                            |                          | 0                               |
| Grand Total                   | 0                         | 0.0                   | 0                          | 0.0                    | 0                            | 0.0                      | 0                            | 0.0                      | 0                               |

NEW DECISION ITEM  
RANK: 7 OF 7

| Department of Insurance, Financial Institutions and Professional Registration |                          |                      |                           |                       |                             |                         |                             |                              |                                |
|---|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|------------------------------|--------------------------------|
| Division of Professional Registration   |                          |                      |                           |                       |                             |                         |                             | Budget Unit 42650C           |                                |
| State Board of Accountancy - Expense & Equipment Increase                     |                          |                      |                           |                       |                             |                         |                             | DI# 1375003 House Bill 7.470 |                                |
| Budget Object Class/Job Class   | Gov Rec<br>GR<br>DOLLARS | Gov Rec<br>GR<br>FTE | Gov Rec<br>FED<br>DOLLARS | Gov Rec<br>FED<br>FTE | Gov Rec<br>OTHER<br>DOLLARS | Gov Rec<br>OTHER<br>FTE | Gov Rec<br>TOTAL<br>DOLLARS | Gov Rec<br>TOTAL<br>FTE      | Gov Rec<br>One-Time<br>DOLLARS |
|   |                          |                      |                           |                       |                             |                         | 0                           | 0.0                          |                                |
|   |                          |                      |                           |                       |                             |                         | 0                           | 0.0                          |                                |
| <b>Total PS</b>   | <u>0</u>                 | <u>0.0</u>           | <u>0</u>                  | <u>0.0</u>            | <u>0</u>                    | <u>0.0</u>              | <u>0</u>                    | <u>0.0</u>                   | <u>0</u>                       |
| 190 / Supplies  |                          |                      |                           |                       | 11,250                      |                         | 11,250                      |                              |                                |
| 320 / Professional Services   |                          |                      |                           |                       | 63,750                      |                         | 63,750                      |                              |                                |
|   |                          |                      |                           |                       |                             |                         | 0                           |                              |                                |
|   |                          |                      |                           |                       |                             |                         | 0                           |                              |                                |
| <b>Total EE</b>   | <u>0</u>                 |                      | <u>0</u>                  |                       | <u>75,000</u>               |                         | <u>75,000</u>               |                              | <u>0</u>                       |
| Program Distributions   |                          |                      |                           |                       |                             |                         | 0                           |                              |                                |
| <b>Total PSD</b>  | <u>0</u>                 |                      | <u>0</u>                  |                       | <u>0</u>                    |                         | <u>0</u>                    |                              | <u>0</u>                       |
| Transfers   |                          |                      |                           |                       |                             |                         |                             |                              |                                |
| <b>Total TRF</b>  | <u>0</u>                 |                      | <u>0</u>                  |                       | <u>0</u>                    |                         | <u>0</u>                    |                              | <u>0</u>                       |
| <b>Grand Total</b>  | <u>0</u>                 | <u>0.0</u>           | <u>0</u>                  | <u>0.0</u>            | <u>75,000</u>               | <u>0.0</u>              | <u>75,000</u>               | <u>0.0</u>                   | <u>0</u>                       |



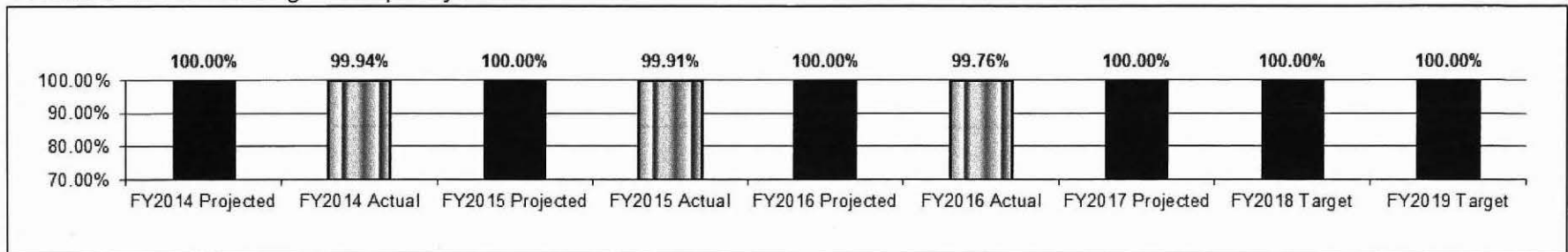
NEW DECISION ITEM  
RANK: 7 OF 7

Department of Insurance, Financial Institutions and Professional Registration      Budget Unit 42650C  
Division of Professional Registration  
State Board of Accountancy - Expense & Equipment Increase      DI# 1375003 House Bill 7.470

**6. PERFORMANCE MEASURES (If new decision item has an associated core, separately identify projected performance with & without additional funding.)**

**6a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**6b. Provide an efficiency measure.**

None available.

**6c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 800       | 844    | 750       | 1,075  | 750       | 888    | 844       | 763    | 660    |
| Licensed Professionals | 20,850    | 21,375 | 20,800    | 22,017 | 22,305    | 22,154 | 19,441    | 21,832 | 22,231 |

**6d. Provide a customer satisfaction measure, if available.**

None available.

**7. STRATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:**

None available.

**DIFP****DECISION ITEM DETAIL**

| Budget Unit                                       | FY 2016 | FY 2016 | FY 2017 | FY 2017 | FY 2018  | FY 2018  | FY 2018  | FY 2018 |
|---|---------|---------|---------|---------|----------|----------|----------|---------|
| Decision Item                                     | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC  | GOV REC |
| Budget Object Class                               | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR   | FTE     |
| <b>STATE BOARD OF ACCOUNTANCY</b>                 |         |         |         |         |          |          |          |         |
| <b>Board of Acct - E&amp;E Increase - 1375003</b> |         |         |         |         |          |          |          |         |
| SUPPLIES  | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 11,250   | 0.00    |
| PROFESSIONAL SERVICES                             | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 63,750   | 0.00    |
| TOTAL - EE  | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 75,000   | 0.00    |
| GRAND TOTAL                                       | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$75,000 | 0.00    |
|   |         |         |         |         |          |          |          |         |
| GENERAL REVENUE                                   | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0      | 0.00    |
| FEDERAL FUNDS                                     | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0      | 0.00    |
| OTHER FUNDS                                       | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$75,000 | 0.00    |

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# CORE DECISION ITEM

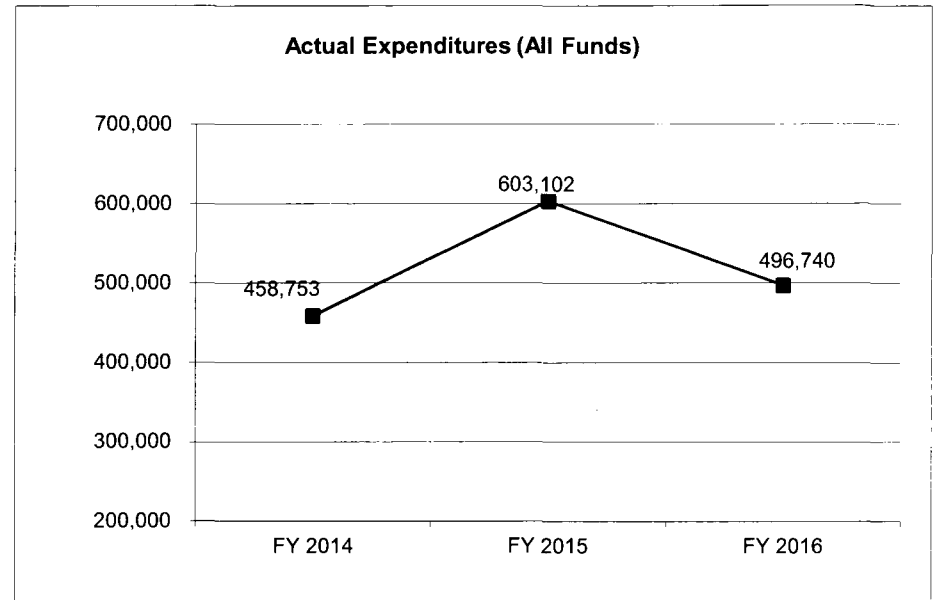
| Department of Insurance, Financial Institutions and Professional Registration  |          |          |                |                | Budget Unit <u>42660C</u> |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
|--|----------|----------|----------------|----------------|---------------------------|--|--|--|--|--------------------|----|---------|---------|---------|--------------------|----|---|---------|---------|---------|--|----|---|---|---------|---------|--|-----|---|---|---|---|--|-----|---|---|---|---|--|--------------|----------|----------|----------------|----------------|--|--|----|---------|-------|-------|---|----|---|---|---------|---------|--|----|---|---|---------|---------|--|-----|---|---|---|---|--|-----|---|---|---|---|--|--------------|----------|----------|----------------|----------------|--|
| Professional Registration  |          |          |                |                | HB Section <u>7.475</u>   |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| Core - Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects   |          |          |                |                |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <b>1. CORE FINANCIAL SUMMARY</b>   |          |          |                |                |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p style="text-align: center; margin: 0;"><b>FY 2018 Budget Request</b></p> <table border="1" style="width: 100%; border-collapse: collapse; margin: 0;"> <thead> <tr> <th style="width: 10%;"></th> <th style="width: 15%;">GR</th> <th style="width: 15%;">Federal</th> <th style="width: 15%;">Other</th> <th style="width: 15%;">Total</th> <th style="width: 10%;">E</th> </tr> </thead> <tbody> <tr> <td>PS</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">398,599</td> <td style="text-align: right;">398,599</td> <td></td> </tr> <tr> <td>EE</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">301,397</td> <td style="text-align: right;">301,397</td> <td></td> </tr> <tr> <td>PSD</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td></td> </tr> <tr> <td>TRF</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td></td> </tr> <tr> <td><b>Total</b></td> <td style="text-align: right;"><b>0</b></td> <td style="text-align: right;"><b>0</b></td> <td style="text-align: right;"><b>699,996</b></td> <td style="text-align: right;"><b>699,996</b></td> <td></td> </tr> </tbody> </table> </div> <div style="width: 48%;"> <p style="text-align: center; margin: 0;"><b>FY 2018 Governor's Recommendation</b></p> <table border="1" style="width: 100%; border-collapse: collapse; margin: 0;"> <thead> <tr> <th style="width: 10%;"></th> <th style="width: 15%;">GR</th> <th style="width: 15%;">Federal</th> <th style="width: 15%;">Other</th> <th style="width: 15%;">Total</th> <th style="width: 10%;">E</th> </tr> </thead> <tbody> <tr> <td>PS</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">398,599</td> <td style="text-align: right;">398,599</td> <td></td> </tr> <tr> <td>EE</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">301,397</td> <td style="text-align: right;">301,397</td> <td></td> </tr> <tr> <td>PSD</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td></td> </tr> <tr> <td>TRF</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td style="text-align: right;">0</td> <td></td> </tr> <tr> <td><b>Total</b></td> <td style="text-align: right;"><b>0</b></td> <td style="text-align: right;"><b>0</b></td> <td style="text-align: right;"><b>699,996</b></td> <td style="text-align: right;"><b>699,996</b></td> <td></td> </tr> </tbody> </table> </div> </div> |          |          |                |                |                           |  |  |  |  |                    | GR | Federal | Other   | Total   | E                  | PS | 0 | 0       | 398,599 | 398,599 |  | EE | 0 | 0 | 301,397 | 301,397 |  | PSD | 0 | 0 | 0 | 0 |  | TRF | 0 | 0 | 0 | 0 |  | <b>Total</b> | <b>0</b> | <b>0</b> | <b>699,996</b> | <b>699,996</b> |  |  | GR | Federal | Other | Total | E | PS | 0 | 0 | 398,599 | 398,599 |  | EE | 0 | 0 | 301,397 | 301,397 |  | PSD | 0 | 0 | 0 | 0 |  | TRF | 0 | 0 | 0 | 0 |  | <b>Total</b> | <b>0</b> | <b>0</b> | <b>699,996</b> | <b>699,996</b> |  |
|  | GR       | Federal  | Other          | Total          | E                         |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| PS   | 0        | 0        | 398,599        | 398,599        |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| EE   | 0        | 0        | 301,397        | 301,397        |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| PSD  | 0        | 0        | 0              | 0              |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| TRF  | 0        | 0        | 0              | 0              |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <b>Total</b>   | <b>0</b> | <b>0</b> | <b>699,996</b> | <b>699,996</b> |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
|  | GR       | Federal  | Other          | Total          | E                         |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| PS   | 0        | 0        | 398,599        | 398,599        |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| EE   | 0        | 0        | 301,397        | 301,397        |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| PSD  | 0        | 0        | 0              | 0              |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| TRF  | 0        | 0        | 0              | 0              |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <b>Total</b>   | <b>0</b> | <b>0</b> | <b>699,996</b> | <b>699,996</b> |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p style="margin: 0;"><b>FTE</b>                      <b>0.00</b>            <b>0.00</b>            <b>10.00</b>            <b>10.00</b></p> </div> <div style="width: 48%;"> <p style="margin: 0;"><b>FTE</b>                      <b>0.00</b>            <b>0.00</b>            <b>10.00</b>            <b>10.00</b></p> </div> </div>  |          |          |                |                |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%;"><b>Est. Fringe</b></td> <td style="width: 15%; text-align: right;">0</td> <td style="width: 15%; text-align: right;">0</td> <td style="width: 15%; text-align: right;">211,898</td> <td style="width: 15%; text-align: right;">211,898</td> </tr> </table> <p style="font-size: small; margin: 0;">Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</p> </div> <div style="width: 48%;"> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%;"><b>Est. Fringe</b></td> <td style="width: 15%; text-align: right;">0</td> <td style="width: 15%; text-align: right;">0</td> <td style="width: 15%; text-align: right;">211,898</td> <td style="width: 15%; text-align: right;">211,898</td> </tr> </table> <p style="font-size: small; margin: 0;">Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</p> </div> </div>  |          |          |                |                |                           |  |  |  |  | <b>Est. Fringe</b> | 0  | 0       | 211,898 | 211,898 | <b>Est. Fringe</b> | 0  | 0 | 211,898 | 211,898 |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <b>Est. Fringe</b>   | 0        | 0        | 211,898        | 211,898        |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <b>Est. Fringe</b>   | 0        | 0        | 211,898        | 211,898        |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p style="margin: 0;">Other Funds:    State Board for Architects, Prof. Engineers, Prof. Land Surveyors &amp; Landscape Architects Fund (0678)</p> </div> <div style="width: 48%;"> <p style="margin: 0;">Other Funds: State Board for Architects, Prof. Engineers, Prof. Land Surveyors &amp; Landscape Architects Fund (0678)</p> </div> </div>   |          |          |                |                |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <b>2. CORE DESCRIPTION</b>   |          |          |                |                |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <p>The core program request is necessary to ensure the continued high quality of service provided by architects, professional engineers, professional land surveyors and landscape architects licensed in Missouri.</p>  |          |          |                |                |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |          |          |                |                |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |
| <p>Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects</p>   |          |          |                |                |                           |  |  |  |  |                    |    |         |         |         |                    |    |   |         |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |  |    |         |       |       |   |    |   |   |         |         |  |    |   |   |         |         |  |     |   |   |   |   |  |     |   |   |   |   |  |              |          |          |                |                |  |

# CORE DECISION ITEM

|  |             |        |
|--|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration                                      | Budget Unit | 42660C |
| Professional Registration  | HB Section  | 7.475  |
| Core - Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects |             |        |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 685,812           | 690,085           | 692,179           | 699,996                |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 685,812           | 690,085           | 692,179           | 699,996                |
| Actual Expenditures (All Funds) | 458,753           | 603,102           | 496,740           | N/A                    |
| Unexpended (All Funds)          | 227,059           | 86,983            | 195,439           | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 227,059           | 86,983            | 195,439           | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

**CORE RECONCILIATION DETAIL**

**DIFP  
ARCHITECTS, P.E. & LAND SURV.**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>   | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>Explanation</b> |
|------------------------------------|-------------------------|--------------|-----------|----------------|----------------|----------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |              |           |                |                |                |                    |
|                                    | PS                      | 10.00        | 0         | 0              | 398,599        | 398,599        |                    |
|                                    | EE                      | 0.00         | 0         | 0              | 301,397        | 301,397        |                    |
|                                    | <b>Total</b>            | <b>10.00</b> | <b>0</b>  | <b>0</b>       | <b>699,996</b> | <b>699,996</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |              |           |                |                |                |                    |
|                                    | PS                      | 10.00        | 0         | 0              | 398,599        | 398,599        |                    |
|                                    | EE                      | 0.00         | 0         | 0              | 301,397        | 301,397        |                    |
|                                    | <b>Total</b>            | <b>10.00</b> | <b>0</b>  | <b>0</b>       | <b>699,996</b> | <b>699,996</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |              |           |                |                |                |                    |
|                                    | PS                      | 10.00        | 0         | 0              | 398,599        | 398,599        |                    |
|                                    | EE                      | 0.00         | 0         | 0              | 301,397        | 301,397        |                    |
|                                    | <b>Total</b>            | <b>10.00</b> | <b>0</b>  | <b>0</b>       | <b>699,996</b> | <b>699,996</b> |                    |

**DIFP**

**DECISION ITEM SUMMARY**

| <b>Budget Unit</b>                       |                  |                |                  |                |                  |                 |                  |                |
|--|------------------|----------------|------------------|----------------|------------------|-----------------|------------------|----------------|
| <b>Decision Item</b>                     | <b>FY 2016</b>   | <b>FY 2016</b> | <b>FY 2017</b>   | <b>FY 2017</b> | <b>FY 2018</b>   | <b>FY 2018</b>  | <b>FY 2018</b>   | <b>FY 2018</b> |
| <b>Budget Object Summary</b>             | <b>ACTUAL</b>    | <b>ACTUAL</b>  | <b>BUDGET</b>    | <b>BUDGET</b>  | <b>DEPT REQ</b>  | <b>DEPT REQ</b> | <b>GOV REC</b>   | <b>GOV REC</b> |
| <b>Fund</b>                              | <b>DOLLAR</b>    | <b>FTE</b>     | <b>DOLLAR</b>    | <b>FTE</b>     | <b>DOLLAR</b>    | <b>FTE</b>      | <b>DOLLAR</b>    | <b>FTE</b>     |
| <b>ARCHITECTS, P.E. &amp; LAND SURV.</b> |                  |                |                  |                |                  |                 |                  |                |
| <b>CORE</b>                              |                  |                |                  |                |                  |                 |                  |                |
| PERSONAL SERVICES                        |                  |                |                  |                |                  |                 |                  |                |
| BRD OF ARCH,ENG,LND SUR,LND AR           | 303,322          | 9.02           | 398,599          | 10.00          | 398,599          | 10.00           | 398,599          | 10.00          |
| TOTAL - PS                               | 303,322          | 9.02           | 398,599          | 10.00          | 398,599          | 10.00           | 398,599          | 10.00          |
| EXPENSE & EQUIPMENT                      |                  |                |                  |                |                  |                 |                  |                |
| BRD OF ARCH,ENG,LND SUR,LND AR           | 193,418          | 0.00           | 301,397          | 0.00           | 301,397          | 0.00            | 301,397          | 0.00           |
| TOTAL - EE                               | 193,418          | 0.00           | 301,397          | 0.00           | 301,397          | 0.00            | 301,397          | 0.00           |
| <b>TOTAL</b>                             | <b>496,740</b>   | <b>9.02</b>    | <b>699,996</b>   | <b>10.00</b>   | <b>699,996</b>   | <b>10.00</b>    | <b>699,996</b>   | <b>10.00</b>   |
| <b>GRAND TOTAL</b>                       | <b>\$496,740</b> | <b>9.02</b>    | <b>\$699,996</b> | <b>10.00</b>   | <b>\$699,996</b> | <b>10.00</b>    | <b>\$699,996</b> | <b>10.00</b>   |

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im\_disummary



## FLEXIBILITY REQUEST FORM

|   |  |
|---|--|
| <b>BUDGET UNIT NUMBER:</b> 42660C<br><b>BUDGET UNIT NAME:</b> Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects<br><b>HOUSE BILL SECTION:</b> 7.475 | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><br><b>DIVISION:</b> Professional Registration |
|---|--|

**1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.**

| DEPARTMENT REQUEST        | GOVERNOR'S RECOMMENDATION   |
|---------------------------|---|
| No flexibility requested. | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |

**2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.**

| PRIOR YEAR<br>ACTUAL AMOUNT OF FLEXIBILITY USED                    | CURRENT YEAR<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED     | BUDGET REQUEST<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED |
|--|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. | The department will use flexibility only if necessary.                 |

**3. Please explain how flexibility was used in the prior and/or current years.**

| PRIOR YEAR<br>EXPLAIN ACTUAL USE                                   | CURRENT YEAR<br>EXPLAIN PLANNED USE                                      |
|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. |

## DIFP

## DECISION ITEM DETAIL

| Budget Unit                              | FY 2016          | FY 2016     | FY 2017          | FY 2017      | FY 2018          | FY 2018      | FY 2018          | FY 2018      |
|--|------------------|-------------|------------------|--------------|------------------|--------------|------------------|--------------|
| Decision Item                            | ACTUAL           | ACTUAL      | BUDGET           | BUDGET       | DEPT REQ         | DEPT REQ     | GOV REC          | GOV REC      |
| Budget Object Class                      | DOLLAR           | FTE         | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          |
| <b>ARCHITECTS, P.E. &amp; LAND SURV.</b> |                  |             |                  |              |                  |              |                  |              |
| <b>CORE</b>                              |                  |             |                  |              |                  |              |                  |              |
| INVESTIGATOR II                          | 40,320           | 1.02        | 40,645           | 1.00         | 40,645           | 1.00         | 40,645           | 1.00         |
| PROCESSING TECHNICIAN I                  | 10,352           | 0.45        | 54,882           | 2.00         | 40,000           | 2.00         | 40,000           | 2.00         |
| PROCESSING TECHNICIAN II                 | 89,406           | 3.20        | 124,118          | 4.00         | 124,118          | 4.00         | 124,118          | 4.00         |
| PROCESSING TECHNICIAN III                | 32,052           | 1.00        | 35,014           | 1.00         | 35,014           | 1.00         | 35,014           | 1.00         |
| PROCESSING TECHNICIAN SUPV               | 35,554           | 1.02        | 38,256           | 1.00         | 38,256           | 1.00         | 38,256           | 1.00         |
| BOARD MEMBER                             | 17,092           | 0.88        | 30,071           | 0.00         | 30,071           | 0.00         | 30,071           | 0.00         |
| CLERK                                    | 11,404           | 0.45        | 0                | 0.00         | 14,882           | 0.00         | 14,882           | 0.00         |
| PRINCIPAL ASST BOARD/COMMISSON           | 67,142           | 1.00        | 75,613           | 1.00         | 75,613           | 1.00         | 75,613           | 1.00         |
| <b>TOTAL - PS</b>                        | <b>303,322</b>   | <b>9.02</b> | <b>398,599</b>   | <b>10.00</b> | <b>398,599</b>   | <b>10.00</b> | <b>398,599</b>   | <b>10.00</b> |
| TRAVEL, IN-STATE                         | 24,689           | 0.00        | 15,069           | 0.00         | 25,069           | 0.00         | 25,069           | 0.00         |
| TRAVEL, OUT-OF-STATE                     | 4,459            | 0.00        | 4,450            | 0.00         | 4,450            | 0.00         | 4,450            | 0.00         |
| FUEL & UTILITIES                         | 0                | 0.00        | 100              | 0.00         | 100              | 0.00         | 100              | 0.00         |
| SUPPLIES                                 | 33,488           | 0.00        | 49,400           | 0.00         | 39,400           | 0.00         | 39,400           | 0.00         |
| PROFESSIONAL DEVELOPMENT                 | 39,179           | 0.00        | 40,707           | 0.00         | 40,707           | 0.00         | 40,707           | 0.00         |
| COMMUNICATION SERV & SUPP                | 3,864            | 0.00        | 6,984            | 0.00         | 6,984            | 0.00         | 6,984            | 0.00         |
| PROFESSIONAL SERVICES                    | 72,535           | 0.00        | 147,886          | 0.00         | 147,886          | 0.00         | 147,886          | 0.00         |
| HOUSEKEEPING & JANITORIAL SERV           | 0                | 0.00        | 100              | 0.00         | 100              | 0.00         | 100              | 0.00         |
| M&R SERVICES                             | 1,614            | 0.00        | 5,608            | 0.00         | 5,608            | 0.00         | 5,608            | 0.00         |
| OFFICE EQUIPMENT                         | 487              | 0.00        | 5,419            | 0.00         | 5,419            | 0.00         | 5,419            | 0.00         |
| OTHER EQUIPMENT                          | 0                | 0.00        | 100              | 0.00         | 100              | 0.00         | 100              | 0.00         |
| PROPERTY & IMPROVEMENTS                  | 0                | 0.00        | 4,000            | 0.00         | 4,000            | 0.00         | 4,000            | 0.00         |
| BUILDING LEASE PAYMENTS                  | 3,779            | 0.00        | 5,131            | 0.00         | 5,131            | 0.00         | 5,131            | 0.00         |
| EQUIPMENT RENTALS & LEASES               | 3,821            | 0.00        | 3,875            | 0.00         | 3,875            | 0.00         | 3,875            | 0.00         |
| MISCELLANEOUS EXPENSES                   | 5,503            | 0.00        | 12,568           | 0.00         | 12,568           | 0.00         | 12,568           | 0.00         |
| <b>TOTAL - EE</b>                        | <b>193,418</b>   | <b>0.00</b> | <b>301,397</b>   | <b>0.00</b>  | <b>301,397</b>   | <b>0.00</b>  | <b>301,397</b>   | <b>0.00</b>  |
| <b>GRAND TOTAL</b>                       | <b>\$496,740</b> | <b>9.02</b> | <b>\$699,996</b> | <b>10.00</b> | <b>\$699,996</b> | <b>10.00</b> | <b>\$699,996</b> | <b>10.00</b> |
| <b>GENERAL REVENUE</b>                   | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b>  | <b>\$0</b>       | <b>0.00</b>  | <b>\$0</b>       | <b>0.00</b>  |
| <b>FEDERAL FUNDS</b>                     | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b>  | <b>\$0</b>       | <b>0.00</b>  | <b>\$0</b>       | <b>0.00</b>  |
| <b>OTHER FUNDS</b>                       | <b>\$496,740</b> | <b>9.02</b> | <b>\$699,996</b> | <b>10.00</b> | <b>\$699,996</b> | <b>10.00</b> | <b>\$699,996</b> | <b>10.00</b> |

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.475

**Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects**

**Program is found in the following core budget(s):** Architects, Prof. Engineers, Prof. Land Surveyors and Landscape Architects

**1. What does this program do?**

The Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects was established by law to protect the interests of the citizens of the State of Missouri by licensing and regulating architects, professional engineers, professional land surveyors and landscape architects in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 327.011-327.635 RSMo.

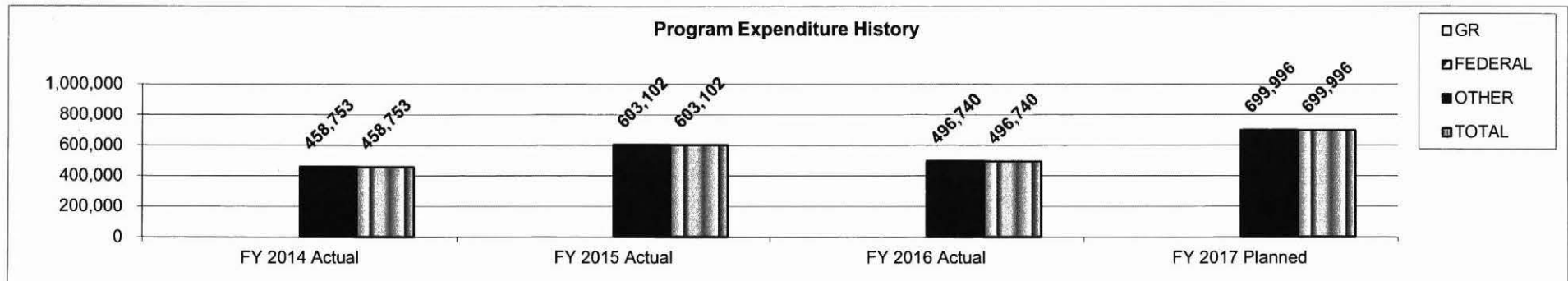
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

State Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects (0678)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

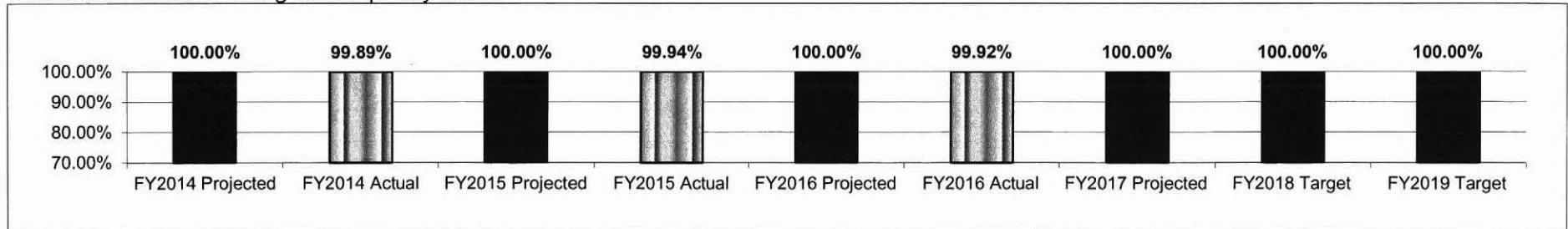
HB Section(s): 7.475

Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Landscape Architects

Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land Surveyors and Landscape Architects

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 1,260     | 1,075  | 1,260     | 1,269  | 1,279     | 1,369  | 1,519     | 1,912  | 1,339  |
| Licensed Professionals | 27,243    | 28,286 | 27,515    | 28,486 | 27,936    | 28,972 | 28,580    | 26,995 | 29,206 |

**7d. Provide a customer satisfaction measure, if available.**

None available.



# CORE DECISION ITEM

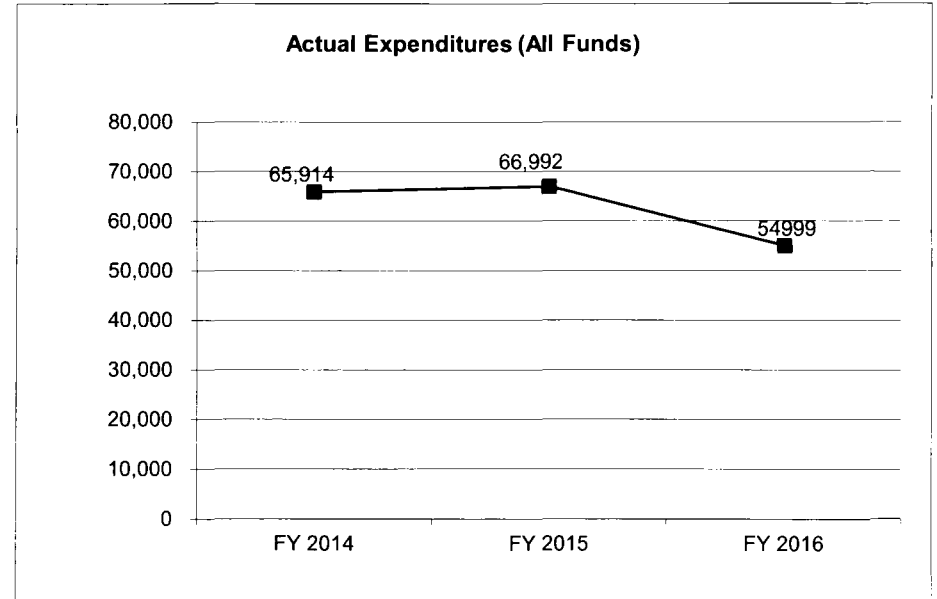
|  |                               |                |                |                |                                  |  |           |                |                |                |          |
|--|-------------------------------|----------------|----------------|----------------|----------------------------------|--|-----------|----------------|----------------|----------------|----------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>   |                               |                |                |                | <b>Budget Unit</b> <u>42680C</u> |  |           |                |                |                |          |
| <b>Professional Registration</b>   |                               |                |                |                |                                  |  |           |                |                |                |          |
| <b>Core - State Board of Chiropractic Examiners</b>  |                               |                |                |                | <b>HB Section</b> <u>7.480</u>   |  |           |                |                |                |          |
| <b>1. CORE FINANCIAL SUMMARY</b>   |                               |                |                |                |                                  |  |           |                |                |                |          |
|  | <b>FY 2018 Budget Request</b> |                |                |                |                                  | <b>FY 2018 Governor's Recommendation</b>   |           |                |                |                |          |
|  | <b>GR</b>                     | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>E</b>                         |  | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>E</b> |
| <b>PS</b>  | 0                             | 0              | 0              | 0              |                                  | <b>PS</b>  | 0         | 0              | 0              | 0              |          |
| <b>EE</b>  | 0                             | 0              | 131,820        | 131,820        |                                  | <b>EE</b>  | 0         | 0              | 131,820        | 131,820        |          |
| <b>PSD</b>   | 0                             | 0              | 0              | 0              |                                  | <b>PSD</b>   | 0         | 0              | 0              | 0              |          |
| <b>TRF</b>   | 0                             | 0              | 0              | 0              |                                  | <b>TRF</b>   | 0         | 0              | 0              | 0              |          |
| <b>Total</b>   | <u>0</u>                      | <u>0</u>       | <u>131,820</u> | <u>131,820</u> |                                  | <b>Total</b>   | <u>0</u>  | <u>0</u>       | <u>131,820</u> | <u>131,820</u> |          |
| <b>FTE</b>   | 0.00                          | 0.00           | 0.00           | 0.00           |                                  | <b>FTE</b>   | 0.00      | 0.00           | 0.00           | 0.00           |          |
| <b>Est. Fringe</b>   | 0                             | 0              | 0              | 0              |                                  | <b>Est. Fringe</b>   | 0         | 0              | 0              | 0              |          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |                               |                |                |                |                                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |           |                |                |                |          |
| Other Funds:      State Board of Chiropractic Examiners Fund (0630)  |                               |                |                |                |                                  | Other Funds: State Board of Chiropractic Examiners Fund (0630)   |           |                |                |                |          |
| <b>2. CORE DESCRIPTION</b>   |                               |                |                |                |                                  |  |           |                |                |                |          |
| The core program request is necessary to ensure the continued high quality of service provided by chiropractors licensed in Missouri.  |                               |                |                |                |                                  |  |           |                |                |                |          |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |                               |                |                |                |                                  |  |           |                |                |                |          |
| State Board of Chiropractic Examiners  |                               |                |                |                |                                  |  |           |                |                |                |          |

# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42680C |
| Professional Registration   |             |        |
| Core - State Board of Chiropractic Examiners                                  | HB Section  | 7.480  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 131,820           | 131,820           | 131,820           | 131,820                |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 131,820           | 131,820           | 131,820           | 131,820                |
| Actual Expenditures (All Funds) | 65,914            | 66,992            | 54,999            | N/A                    |
| Unexpended (All Funds)          | 65,906            | 64,828            | 76,821            | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 65,906            | 64,828            | 76,821            | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

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**CORE RECONCILIATION DETAIL**

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DIFP  
BD OF CHIROPRACTIC EXAMINERS

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**5. CORE RECONCILIATION DETAIL**

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|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|----------------|----------------|--------------------|
| <b>TAFP AFTER VETOES</b>           | EE                      | 0.00        | 0         | 0              | 131,820        | 131,820        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>131,820</b> | <b>131,820</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     | EE                      | 0.00        | 0         | 0              | 131,820        | 131,820        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>131,820</b> | <b>131,820</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> | EE                      | 0.00        | 0         | 0              | 131,820        | 131,820        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>131,820</b> | <b>131,820</b> |                    |



**DIFP****DECISION ITEM SUMMARY**

| Budget Unit                         |                 |             |                  |             |                  |             |                  |             |
|-------------------------------------|-----------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                       | FY 2016         | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
| Budget Object Summary               | ACTUAL          | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Fund                                | DOLLAR          | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>BD OF CHIROPRACTIC EXAMINERS</b> |                 |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                         |                 |             |                  |             |                  |             |                  |             |
| EXPENSE & EQUIPMENT                 |                 |             |                  |             |                  |             |                  |             |
| BOARD OF CHIROPRACTIC EXAMINER      | 54,999          | 0.00        | 131,820          | 0.00        | 131,820          | 0.00        | 131,820          | 0.00        |
| TOTAL - EE                          | 54,999          | 0.00        | 131,820          | 0.00        | 131,820          | 0.00        | 131,820          | 0.00        |
| <b>TOTAL</b>                        | <b>54,999</b>   | <b>0.00</b> | <b>131,820</b>   | <b>0.00</b> | <b>131,820</b>   | <b>0.00</b> | <b>131,820</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                  | <b>\$54,999</b> | <b>0.00</b> | <b>\$131,820</b> | <b>0.00</b> | <b>\$131,820</b> | <b>0.00</b> | <b>\$131,820</b> | <b>0.00</b> |

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**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                         | FY 2016         | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
|-------------------------------------|-----------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                       | ACTUAL          | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class                 | DOLLAR          | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>BD OF CHIROPRACTIC EXAMINERS</b> |                 |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                         |                 |             |                  |             |                  |             |                  |             |
| TRAVEL, IN-STATE                    | 3,197           | 0.00        | 5,048            | 0.00        | 5,048            | 0.00        | 5,048            | 0.00        |
| TRAVEL, OUT-OF-STATE                | 6,034           | 0.00        | 0                | 0.00        | 7,000            | 0.00        | 7,000            | 0.00        |
| FUEL & UTILITIES                    | 0               | 0.00        | 60               | 0.00        | 60               | 0.00        | 60               | 0.00        |
| SUPPLIES                            | 5,457           | 0.00        | 10,030           | 0.00        | 8,030            | 0.00        | 8,030            | 0.00        |
| PROFESSIONAL DEVELOPMENT            | 4,180           | 0.00        | 4,980            | 0.00        | 6,980            | 0.00        | 6,980            | 0.00        |
| COMMUNICATION SERV & SUPP           | 1,260           | 0.00        | 2,000            | 0.00        | 4,000            | 0.00        | 4,000            | 0.00        |
| PROFESSIONAL SERVICES               | 32,441          | 0.00        | 96,000           | 0.00        | 87,000           | 0.00        | 87,000           | 0.00        |
| M&R SERVICES                        | 941             | 0.00        | 4,502            | 0.00        | 4,502            | 0.00        | 4,502            | 0.00        |
| OFFICE EQUIPMENT                    | 840             | 0.00        | 4,600            | 0.00        | 4,600            | 0.00        | 4,600            | 0.00        |
| OTHER EQUIPMENT                     | 0               | 0.00        | 2,000            | 0.00        | 2,000            | 0.00        | 2,000            | 0.00        |
| BUILDING LEASE PAYMENTS             | 64              | 0.00        | 600              | 0.00        | 600              | 0.00        | 600              | 0.00        |
| MISCELLANEOUS EXPENSES              | 585             | 0.00        | 2,000            | 0.00        | 2,000            | 0.00        | 2,000            | 0.00        |
| <b>TOTAL - EE</b>                   | <b>54,999</b>   | <b>0.00</b> | <b>131,820</b>   | <b>0.00</b> | <b>131,820</b>   | <b>0.00</b> | <b>131,820</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                  | <b>\$54,999</b> | <b>0.00</b> | <b>\$131,820</b> | <b>0.00</b> | <b>\$131,820</b> | <b>0.00</b> | <b>\$131,820</b> | <b>0.00</b> |
| <b>GENERAL REVENUE</b>              | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>                | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>OTHER FUNDS</b>                  | <b>\$54,999</b> | <b>0.00</b> | <b>\$131,820</b> | <b>0.00</b> | <b>\$131,820</b> | <b>0.00</b> | <b>\$131,820</b> | <b>0.00</b> |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.480

State Board of Chiropractic Examiners

Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

| FY 2017 PLANNED |              |          |         |
|-----------------|--------------|----------|---------|
|                 | Chiropractic | PR Admin | TOTAL   |
| GR              | 0            | 0        | 0       |
| FEDERAL         | 0            | 0        | 0       |
| OTHER           | 131,820      | 78,520   | 210,340 |
| TOTAL           | 131,820      | 78,520   | 210,340 |

### 1. What does this program do?

The State Board of Chiropractic Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating chiropractors in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.010-331.100 RSMo.

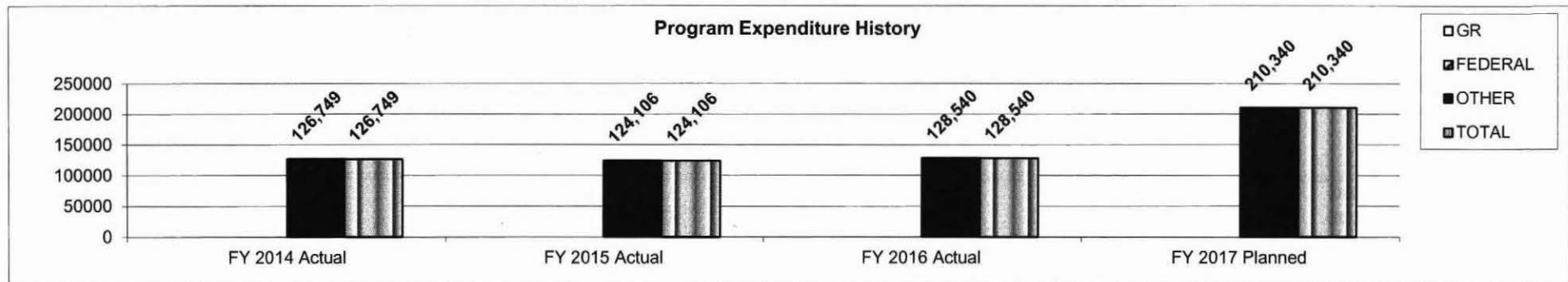
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.480

State Board of Chiropractic Examiners

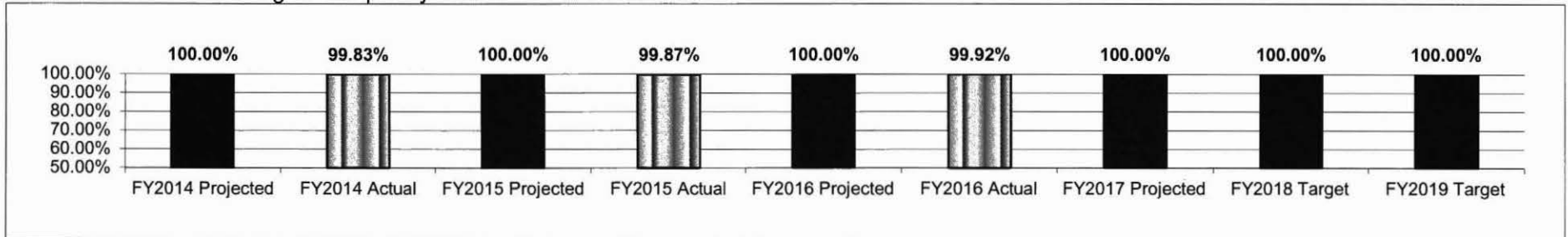
Program is found in the following core budget(s): State Board of Chiropractic Examiners, Professional Registration Administration

**6. What are the sources of the "Other " funds?**

State Board of Chiropractic Examiners Fund (0630)

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 120       | 153    | 120       | 168    | 115       | 163    | 120       | 162    | 140    |
| Licensed Professionals | 2,200     | 2,393  | 2,200     | 2,285  | 2,200     | 2,448  | 2,200     | 2,150  | 2,100  |

**7d. Provide a customer satisfaction measure, if available.**

None available.

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# CORE DECISION ITEM

|  |  |  |  |  |                                  |  |  |  |  |
|--|--|--|--|--|----------------------------------|--|--|--|--|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> |  |  |  |  | <b>Budget Unit</b> <u>42695C</u> |  |  |  |  |
| <b>Professional Registration</b>   |  |  |  |  |                                  |  |  |  |  |
| <b>Core - State Board of Cosmetology and Barber Examiners</b>                        |  |  |  |  | <b>HB Section</b> <u>7.485</u>   |  |  |  |  |

|                                  |           |                |                |                |  |              |           |                |                |                |          |
|----------------------------------|-----------|----------------|----------------|----------------|--|--------------|-----------|----------------|----------------|----------------|----------|
| <b>1. CORE FINANCIAL SUMMARY</b> |           |                |                |                |  |              |           |                |                |                |          |
| <b>FY 2018 Budget Request</b>    |           |                |                |                | <b>FY 2018 Governor's Recommendation</b> |              |           |                |                |                |          |
|                                  | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>E</b>                                 |              | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>E</b> |
| <b>PS</b>                        | 0         | 0              | 0              | 0              |  | <b>PS</b>    | 0         | 0              | 0              | 0              |          |
| <b>EE</b>                        | 0         | 0              | 273,899        | 273,899        |  | <b>EE</b>    | 0         | 0              | 273,899        | 273,899        |          |
| <b>PSD</b>                       | 0         | 0              | 0              | 0              |  | <b>PSD</b>   | 0         | 0              | 0              | 0              |          |
| <b>TRF</b>                       | 0         | 0              | 0              | 0              |  | <b>TRF</b>   | 0         | 0              | 0              | 0              |          |
| <b>Total</b>                     | <u>0</u>  | <u>0</u>       | <u>273,899</u> | <u>273,899</u> |  | <b>Total</b> | <u>0</u>  | <u>0</u>       | <u>273,899</u> | <u>273,899</u> |          |
| <b>FTE</b>                       | 0.00      | 0.00           | 0.00           | 0.00           |  | <b>FTE</b>   | 0.00      | 0.00           | 0.00           | 0.00           |          |

|  |   |   |   |   |
|--|---|---|---|---|
| <b>Est. Fringe</b>   | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |   |   |   |   |

|              |   |
|--------------|---|
| Other Funds: | Board of Cosmetology and Barber Examiners Fund (0785) |
|--------------|---|

|  |   |   |   |   |
|--|---|---|---|---|
| <b>Est. Fringe</b>   | 0 | 0 | 0 | 0 |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |   |   |   |   |

|              |   |
|--------------|---|
| Other Funds: | Board of Cosmetology and Barber Examiners Fund (0785) |
|--------------|---|

|   |  |
|---|--|
| <b>2. CORE DESCRIPTION</b>  |  |
| <p>The core program request is necessary to ensure the continued high quality of service provided by cosmetologists, manicurists, estheticians, cosmetology salons, manicuring schools, esthetians, barbers, and barber schools or colleges licensed in Missouri.</p> |  |

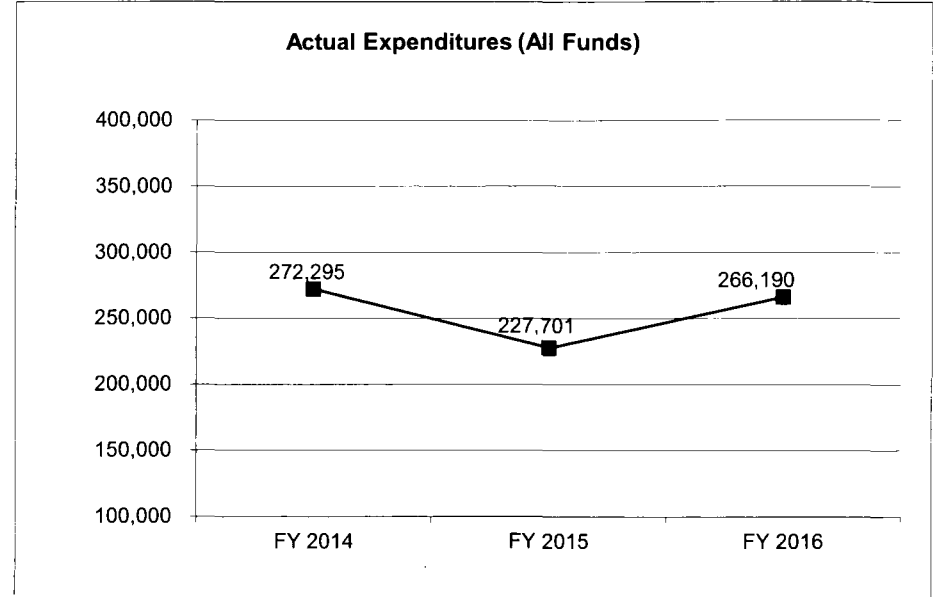
|   |  |
|---|--|
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b> |  |
| <p>State Board of Cosmetology and Barber Examiners</p>                  |  |

# **CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>42695C</b> |
| <b>Professional Registration</b>   |                    |               |
| <b>Core - State Board of Cosmetology and Barber Examiners</b>                        | <b>HB Section</b>  | <b>7.485</b>  |

## **4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 273,899                   | 273,899                   | 273,899                   | 273,899                        |
| Less Reverted (All Funds)       |                           | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 273,899                   | 273,899                   | 273,899                   | 273,899                        |
| Actual Expenditures (All Funds) | 272,295                   | 227,701                   | 266,190                   | N/A                            |
| Unexpended (All Funds)          | 1,604                     | 46,198                    | 7,709                     | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 1,604                     | 46,198                    | 7,709                     | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## **NOTES:**

- (1) Unexpended amount is due to less than anticipated expenditures.
- (2) Unexpended amount is due to less than anticipated expenditures.
- (3) Unexpended amount is due to less than anticipated expenditures.



**CORE RECONCILIATION DETAIL**

**DIFP**  
**BD COSMETOLOGY & BARBERS**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|----------------|----------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                |                |                |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 273,899        | 273,899        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>273,899</b> | <b>273,899</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                |                |                |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 273,899        | 273,899        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>273,899</b> | <b>273,899</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                |                |                |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 273,899        | 273,899        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>273,899</b> | <b>273,899</b> |                    |

**DIFP**

**DECISION ITEM SUMMARY**

| Budget Unit                         |                  |             |                  |             |                  |             |                  |             |
|-------------------------------------|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                       | FY 2016          | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
| Budget Object Summary               | ACTUAL           | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Fund                                | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>BD COSMETOLOGY &amp; BARBERS</b> |                  |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                         |                  |             |                  |             |                  |             |                  |             |
| EXPENSE & EQUIPMENT                 |                  |             |                  |             |                  |             |                  |             |
| BRD OF COSMETOLOGY & BARBER EX      | 266,190          | 0.00        | 273,899          | 0.00        | 273,899          | 0.00        | 273,899          | 0.00        |
| TOTAL - EE                          | 266,190          | 0.00        | 273,899          | 0.00        | 273,899          | 0.00        | 273,899          | 0.00        |
| <b>TOTAL</b>                        | <b>266,190</b>   | <b>0.00</b> | <b>273,899</b>   | <b>0.00</b> | <b>273,899</b>   | <b>0.00</b> | <b>273,899</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                  | <b>\$266,190</b> | <b>0.00</b> | <b>\$273,899</b> | <b>0.00</b> | <b>\$273,899</b> | <b>0.00</b> | <b>\$273,899</b> | <b>0.00</b> |

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**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                         | FY 2016          | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
|-------------------------------------|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                       | ACTUAL           | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class                 | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>BD COSMETOLOGY &amp; BARBERS</b> |                  |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                         |                  |             |                  |             |                  |             |                  |             |
| TRAVEL, IN-STATE                    | 11,158           | 0.00        | 10,205           | 0.00        | 10,205           | 0.00        | 10,205           | 0.00        |
| TRAVEL, OUT-OF-STATE                | 3,725            | 0.00        | 3,335            | 0.00        | 3,335            | 0.00        | 3,335            | 0.00        |
| SUPPLIES                            | 109,178          | 0.00        | 70,898           | 0.00        | 70,898           | 0.00        | 70,898           | 0.00        |
| PROFESSIONAL DEVELOPMENT            | 2,171            | 0.00        | 4,761            | 0.00        | 4,761            | 0.00        | 4,761            | 0.00        |
| COMMUNICATION SERV & SUPP           | 24,290           | 0.00        | 27,000           | 0.00        | 27,000           | 0.00        | 27,000           | 0.00        |
| PROFESSIONAL SERVICES               | 97,531           | 0.00        | 90,000           | 0.00        | 90,000           | 0.00        | 90,000           | 0.00        |
| M&R SERVICES                        | 8,470            | 0.00        | 13,000           | 0.00        | 13,000           | 0.00        | 13,000           | 0.00        |
| MOTORIZED EQUIPMENT                 | 0                | 0.00        | 45,000           | 0.00        | 45,000           | 0.00        | 45,000           | 0.00        |
| OFFICE EQUIPMENT                    | 3,429            | 0.00        | 200              | 0.00        | 200              | 0.00        | 200              | 0.00        |
| OTHER EQUIPMENT                     | 2,341            | 0.00        | 0                | 0.00        | 0                | 0.00        | 0                | 0.00        |
| BUILDING LEASE PAYMENTS             | 0                | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        |
| EQUIPMENT RENTALS & LEASES          | 0                | 0.00        | 50               | 0.00        | 50               | 0.00        | 50               | 0.00        |
| MISCELLANEOUS EXPENSES              | 3,897            | 0.00        | 8,450            | 0.00        | 8,450            | 0.00        | 8,450            | 0.00        |
| <b>TOTAL - EE</b>                   | <b>266,190</b>   | <b>0.00</b> | <b>273,899</b>   | <b>0.00</b> | <b>273,899</b>   | <b>0.00</b> | <b>273,899</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                  | <b>\$266,190</b> | <b>0.00</b> | <b>\$273,899</b> | <b>0.00</b> | <b>\$273,899</b> | <b>0.00</b> | <b>\$273,899</b> | <b>0.00</b> |
| <b>GENERAL REVENUE</b>              | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>                | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>OTHER FUNDS</b>                  | <b>\$266,190</b> | <b>0.00</b> | <b>\$273,899</b> | <b>0.00</b> | <b>\$273,899</b> | <b>0.00</b> | <b>\$273,899</b> | <b>0.00</b> |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.485

Board of Cosmetology and Barber Examiners

Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

### FY 2017 PLANNED

|              | Cosmetology Barber | PR Admin       | TOTAL          |
|--------------|--------------------|----------------|----------------|
| GR           | 0                  | 0              | 0              |
| FEDERAL      | 0                  | 0              | 0              |
| OTHER        | 273,899            | 720,966        | 994,865        |
| <b>TOTAL</b> | <b>273,899</b>     | <b>720,966</b> | <b>994,865</b> |

#### 1. What does this program do?

The Board of Cosmetology and Barber Examiners was established to protect the interests of the citizens of the State of Missouri by licensing and regulating cosmetologists, manicurists, estheticians, cosmetology salons, cosmetology schools, barbers, barber shops and barber schools in the state of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 328.010-328.160, 329.010-329.265 RSMo.

#### 3. Are there federal matching requirements? If yes, please explain.

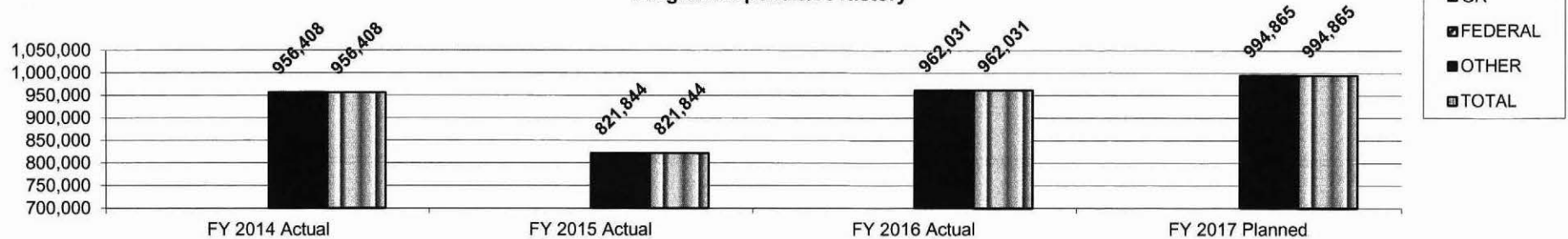
No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.485

Board of Cosmetology and Barber Examiners

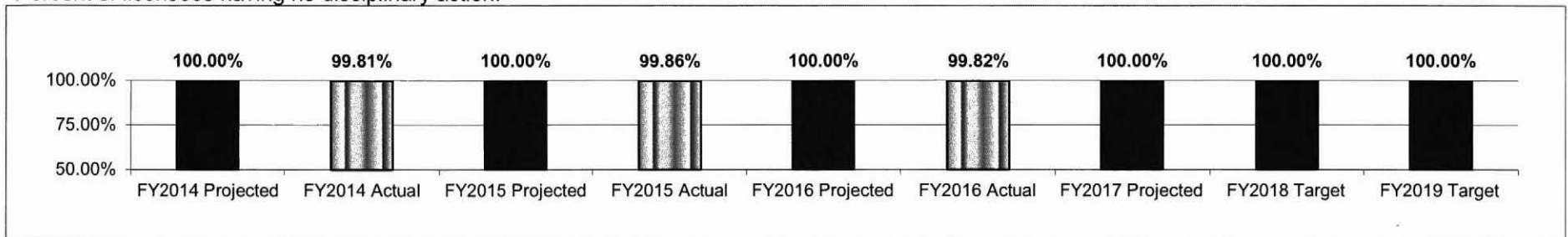
Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

### 6. What are the sources of the "Other " funds?

Board of Cosmetology and Barber Examiners (0785)

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 11,500    | 9,990  | 11,500    | 8,915  | 11,500    | 8,637  | 11,553    | 16,632 | 10,991 |
| Licensed Professionals | 79,643    | 78,763 | 79,643    | 82,421 | 83,500    | 78,198 | 79,322    | 77,332 | 79,118 |

### 7d. Provide a customer satisfaction measure, if available.

None available.

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# CORE DECISION ITEM

|  |             |             |                |                |                                   |  |             |             |                |                |   |
|--|-------------|-------------|----------------|----------------|-----------------------------------|--|-------------|-------------|----------------|----------------|---|
| Department of Insurance, Financial Institutions and Professional Registration  |             |             |                |                | Budget Unit <u>42710C</u>         |  |             |             |                |                |   |
| Professional Registration  |             |             |                |                |                                   |  |             |             |                |                |   |
| Core - Missouri Dental Board   |             |             |                |                | HB Section <u>7.490</u>           |  |             |             |                |                |   |
| <b>1. CORE FINANCIAL SUMMARY</b>   |             |             |                |                |                                   |  |             |             |                |                |   |
| FY 2018 Budget Request   |             |             |                |                | FY 2018 Governor's Recommendation |  |             |             |                |                |   |
|  | GR          | Federal     | Other          | Total          | E                                 |  | GR          | Federal     | Other          | Total          | E |
| PS   | 0           | 0           | 394,642        | 394,642        |                                   | PS   | 0           | 0           | 394,642        | 394,642        |   |
| EE   | 0           | 0           | 237,475        | 237,475        |                                   | EE   | 0           | 0           | 237,475        | 237,475        |   |
| PSD  | 0           | 0           | 0              | 0              |                                   | PSD  | 0           | 0           | 0              | 0              |   |
| TRF  | 0           | 0           | 0              | 0              |                                   | TRF  | 0           | 0           | 0              | 0              |   |
| <b>Total</b>   | <b>0</b>    | <b>0</b>    | <b>632,117</b> | <b>632,117</b> |                                   | <b>Total</b>   | <b>0</b>    | <b>0</b>    | <b>632,117</b> | <b>632,117</b> |   |
| <b>FTE</b>   | <b>0.00</b> | <b>0.00</b> | <b>8.50</b>    | <b>8.50</b>    |                                   | <b>FTE</b>   | <b>0.00</b> | <b>0.00</b> | <b>8.50</b>    | <b>8.50</b>    |   |
| <b>Est. Fringe</b>   | <b>0</b>    | <b>0</b>    | <b>195,355</b> | <b>195,355</b> |                                   | <b>Est. Fringe</b>   | <b>0</b>    | <b>0</b>    | <b>195,355</b> | <b>195,355</b> |   |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>                 |             |             |                |                |                                   | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |             |             |                |                |   |
| Other Funds: Dental Board Fund (0677)  |             |             |                |                |                                   | Other Funds: Dental Board Fund (0677)  |             |             |                |                |   |
| <b>2. CORE DESCRIPTION</b>   |             |             |                |                |                                   |  |             |             |                |                |   |
| The core program request is necessary to ensure the continued high quality of service provided by dentists and dental hygienists licensed in Missouri. |             |             |                |                |                                   |  |             |             |                |                |   |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |             |             |                |                |                                   |  |             |             |                |                |   |
| Missouri Dental Board  |             |             |                |                |                                   |  |             |             |                |                |   |

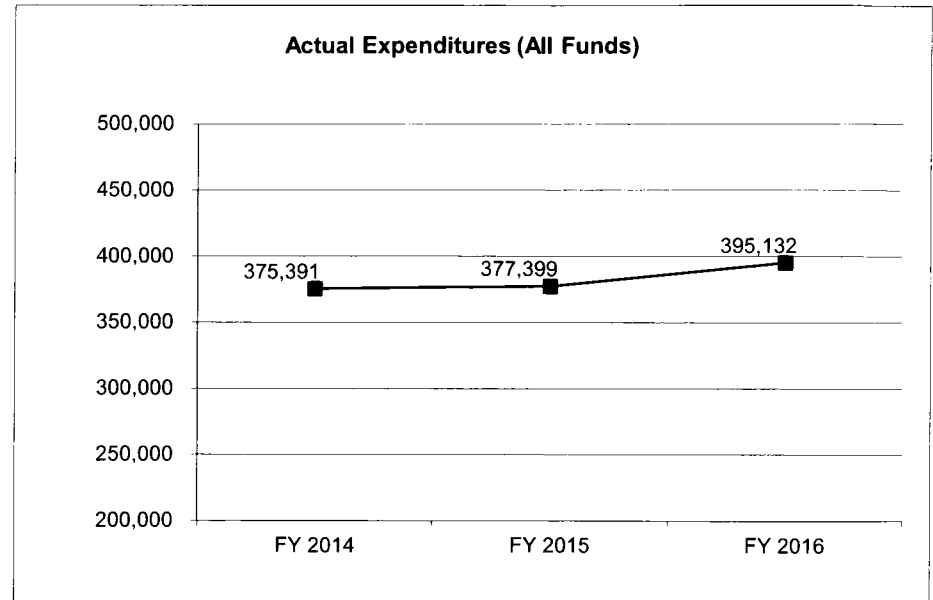


# **CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>42710C</b> |
| <b>Professional Registration</b>   |                    |               |
| <b>Core - Missouri Dental Board</b>  | <b>HB Section</b>  | <b>7.490</b>  |

## **4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 618,428                   | 622,307                   | 624,380                   | 632,117                        |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 618,428                   | 622,307                   | 624,380                   | 632,117                        |
| Actual Expenditures (All Funds) | 375,391                   | 377,399                   | 395,132                   | N/A                            |
| Unexpended (All Funds)          | 243,037                   | 244,908                   | 229,248                   | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 243,037                   | 244,908                   | 229,248                   | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## **NOTES:**

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

**CORE RECONCILIATION DETAIL**

**DIFP  
MISSOURI DENTAL BOARD**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|----------------|----------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                |                |                |                    |
|                                    | PS                      | 8.50        | 0         | 0              | 394,642        | 394,642        |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 237,475        | 237,475        |                    |
|                                    | <b>Total</b>            | <b>8.50</b> | <b>0</b>  | <b>0</b>       | <b>632,117</b> | <b>632,117</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                |                |                |                    |
|                                    | PS                      | 8.50        | 0         | 0              | 394,642        | 394,642        |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 237,475        | 237,475        |                    |
|                                    | <b>Total</b>            | <b>8.50</b> | <b>0</b>  | <b>0</b>       | <b>632,117</b> | <b>632,117</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                |                |                |                    |
|                                    | PS                      | 8.50        | 0         | 0              | 394,642        | 394,642        |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 237,475        | 237,475        |                    |
|                                    | <b>Total</b>            | <b>8.50</b> | <b>0</b>  | <b>0</b>       | <b>632,117</b> | <b>632,117</b> |                    |

**DIFP****DECISION ITEM SUMMARY**

| <b>Budget Unit</b>           |                  |                |                  |                |                  |                 |                  |                |
|------------------------------|------------------|----------------|------------------|----------------|------------------|-----------------|------------------|----------------|
| <b>Decision Item</b>         | <b>FY 2016</b>   | <b>FY 2016</b> | <b>FY 2017</b>   | <b>FY 2017</b> | <b>FY 2018</b>   | <b>FY 2018</b>  | <b>FY 2018</b>   | <b>FY 2018</b> |
| <b>Budget Object Summary</b> | <b>ACTUAL</b>    | <b>ACTUAL</b>  | <b>BUDGET</b>    | <b>BUDGET</b>  | <b>DEPT REQ</b>  | <b>DEPT REQ</b> | <b>GOV REC</b>   | <b>GOV REC</b> |
| <b>Fund</b>                  | <b>DOLLAR</b>    | <b>FTE</b>     | <b>DOLLAR</b>    | <b>FTE</b>     | <b>DOLLAR</b>    | <b>FTE</b>      | <b>DOLLAR</b>    | <b>FTE</b>     |
| <b>MISSOURI DENTAL BOARD</b> |                  |                |                  |                |                  |                 |                  |                |
| <b>CORE</b>                  |                  |                |                  |                |                  |                 |                  |                |
| PERSONAL SERVICES            |                  |                |                  |                |                  |                 |                  |                |
| DENTAL BOARD FUND            | 288,255          | 8.54           | 394,642          | 8.50           | 394,642          | 8.50            | 394,642          | 8.50           |
| TOTAL - PS                   | 288,255          | 8.54           | 394,642          | 8.50           | 394,642          | 8.50            | 394,642          | 8.50           |
| EXPENSE & EQUIPMENT          |                  |                |                  |                |                  |                 |                  |                |
| DENTAL BOARD FUND            | 106,877          | 0.00           | 237,475          | 0.00           | 237,475          | 0.00            | 237,475          | 0.00           |
| TOTAL - EE                   | 106,877          | 0.00           | 237,475          | 0.00           | 237,475          | 0.00            | 237,475          | 0.00           |
| <b>TOTAL</b>                 | <b>395,132</b>   | <b>8.54</b>    | <b>632,117</b>   | <b>8.50</b>    | <b>632,117</b>   | <b>8.50</b>     | <b>632,117</b>   | <b>8.50</b>    |
| <b>GRAND TOTAL</b>           | <b>\$395,132</b> | <b>8.54</b>    | <b>\$632,117</b> | <b>8.50</b>    | <b>\$632,117</b> | <b>8.50</b>     | <b>\$632,117</b> | <b>8.50</b>    |

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## FLEXIBILITY REQUEST FORM

|   |  |
|---|--|
| <b>BUDGET UNIT NUMBER:</b> 42710C<br><b>BUDGET UNIT NAME:</b> Missouri Dental Board<br><b>HOUSE BILL SECTION:</b> 7.490 | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><b>DIVISION:</b> Professional Registration |
|---|--|

**1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.**

| DEPARTMENT REQUEST        | GOVERNOR'S RECOMMENDATION   |
|---------------------------|---|
| No flexibility requested. | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |

**2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.**

| PRIOR YEAR<br>ACTUAL AMOUNT OF FLEXIBILITY USED                    | CURRENT YEAR<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED     | BUDGET REQUEST<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED |
|--|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. | The department will use flexibility only if necessary.                 |

**3. Please explain how flexibility was used in the prior and/or current years.**

| PRIOR YEAR<br>EXPLAIN ACTUAL USE                                   | CURRENT YEAR<br>EXPLAIN PLANNED USE                                      |
|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. |

**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                    | FY 2016          | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
|--------------------------------|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                  | ACTUAL           | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class            | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>MISSOURI DENTAL BOARD</b>   |                  |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                    |                  |             |                  |             |                  |             |                  |             |
| ACCOUNTANT I                   | 31,181           | 1.00        | 32,138           | 1.00        | 32,638           | 1.00        | 32,638           | 1.00        |
| INVESTIGATOR I                 | 33,197           | 0.95        | 38,059           | 1.00        | 38,059           | 1.00        | 38,059           | 1.00        |
| INVESTIGATOR II                | 73,594           | 1.96        | 96,944           | 2.00        | 96,944           | 2.00        | 96,944           | 2.00        |
| INVESTIGATOR III               | 3,136            | 0.07        | 0                | 0.00        | 0                | 0.00        | 0                | 0.00        |
| PROCESSING TECHNICIAN I        | 25,320           | 0.95        | 28,172           | 1.00        | 28,172           | 1.00        | 28,172           | 1.00        |
| PROCESSING TECHNICIAN II       | 36,509           | 1.37        | 44,699           | 1.50        | 44,699           | 1.50        | 44,699           | 1.50        |
| PROCESSING TECHNICIAN SUPV     | 24,885           | 0.75        | 39,429           | 1.00        | 39,429           | 1.00        | 39,429           | 1.00        |
| BOARD MEMBER                   | 6,345            | 0.49        | 38,895           | 0.00        | 36,195           | 0.00        | 36,195           | 0.00        |
| CLERK                          | 5,802            | 0.25        | 3,677            | 0.00        | 5,877            | 0.00        | 5,877            | 0.00        |
| PRINCIPAL ASST BOARD/COMMISSON | 48,286           | 0.75        | 72,629           | 1.00        | 72,629           | 1.00        | 72,629           | 1.00        |
| <b>TOTAL - PS</b>              | <b>288,255</b>   | <b>8.54</b> | <b>394,642</b>   | <b>8.50</b> | <b>394,642</b>   | <b>8.50</b> | <b>394,642</b>   | <b>8.50</b> |
| TRAVEL, IN-STATE               | 8,151            | 0.00        | 10,963           | 0.00        | 10,963           | 0.00        | 10,963           | 0.00        |
| TRAVEL, OUT-OF-STATE           | 3,715            | 0.00        | 2,500            | 0.00        | 3,500            | 0.00        | 3,500            | 0.00        |
| SUPPLIES                       | 9,588            | 0.00        | 19,000           | 0.00        | 19,000           | 0.00        | 19,000           | 0.00        |
| PROFESSIONAL DEVELOPMENT       | 3,766            | 0.00        | 10,500           | 0.00        | 10,500           | 0.00        | 10,500           | 0.00        |
| COMMUNICATION SERV & SUPP      | 2,057            | 0.00        | 6,000            | 0.00        | 6,000            | 0.00        | 6,000            | 0.00        |
| PROFESSIONAL SERVICES          | 75,193           | 0.00        | 171,362          | 0.00        | 170,362          | 0.00        | 170,362          | 0.00        |
| M&R SERVICES                   | 527              | 0.00        | 3,500            | 0.00        | 3,500            | 0.00        | 3,500            | 0.00        |
| OFFICE EQUIPMENT               | 583              | 0.00        | 1,300            | 0.00        | 1,300            | 0.00        | 1,300            | 0.00        |
| OTHER EQUIPMENT                | 0                | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        |
| BUILDING LEASE PAYMENTS        | 1,764            | 0.00        | 4,000            | 0.00        | 4,000            | 0.00        | 4,000            | 0.00        |
| EQUIPMENT RENTALS & LEASES     | 40               | 0.00        | 350              | 0.00        | 350              | 0.00        | 350              | 0.00        |
| MISCELLANEOUS EXPENSES         | 1,493            | 0.00        | 7,000            | 0.00        | 7,000            | 0.00        | 7,000            | 0.00        |
| <b>TOTAL - EE</b>              | <b>106,877</b>   | <b>0.00</b> | <b>237,475</b>   | <b>0.00</b> | <b>237,475</b>   | <b>0.00</b> | <b>237,475</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>             | <b>\$395,132</b> | <b>8.54</b> | <b>\$632,117</b> | <b>8.50</b> | <b>\$632,117</b> | <b>8.50</b> | <b>\$632,117</b> | <b>8.50</b> |
| <b>GENERAL REVENUE</b>         | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>           | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>OTHER FUNDS</b>             | <b>\$395,132</b> | <b>8.54</b> | <b>\$632,117</b> | <b>8.50</b> | <b>\$632,117</b> | <b>8.50</b> | <b>\$632,117</b> | <b>8.50</b> |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.490

Missouri Dental Board

Program is found in the following core budget(s): Missouri Dental Board

**1. What does this program do?**

The Missouri Dental Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating dentists, dental specialists and dental hygienists in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapters 332.011-332.364 RSMo.

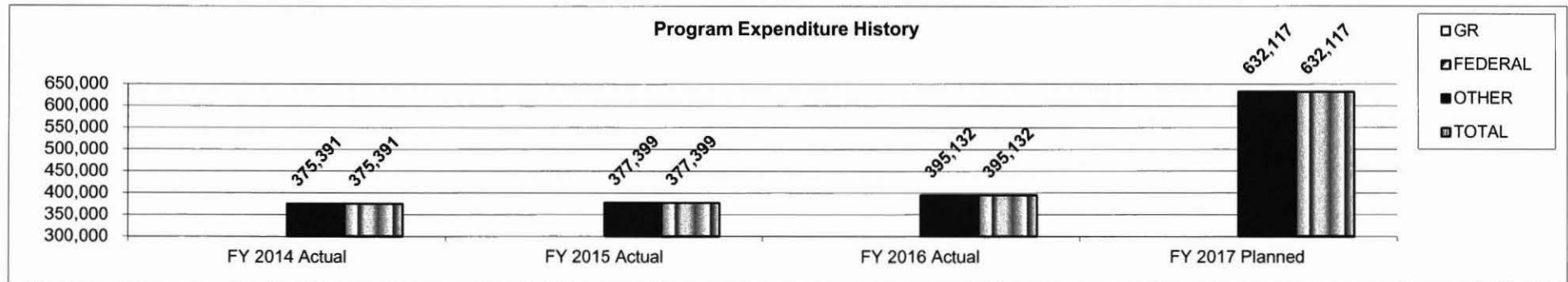
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Dental Board Fund (0677)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

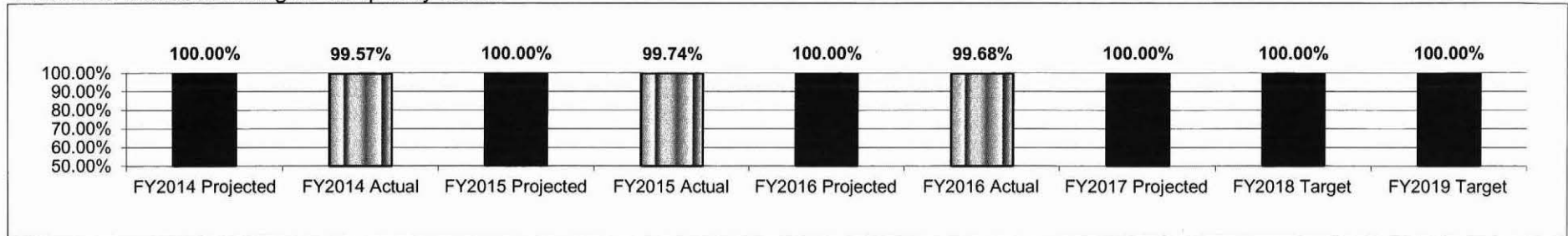
HB Section(s): 7.490

Missouri Dental Board

Program is found in the following core budget(s): Missouri Dental Board

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 2,100     | 2,548  | 2,100     | 2,122  | 2,100     | 1,775  | 2,300     | 1,849  | 1,900  |
| Licensed Professionals | 10,500    | 12,894 | 10,750    | 14,036 | 11,100    | 15,658 | 11,700    | 7,949  | 15,700 |

**7d. Provide a customer satisfaction measure, if available.**

None available.





# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42720C |
| Professional Registration   |             |        |
| Core - State Board of Embalmers and Funeral Directors                         | HB Section  | 7.495  |

## 1. CORE FINANCIAL SUMMARY

| FY 2018 Budget Request  |      |         |         |         |
|---|------|---------|---------|---------|
|   | GR   | Federal | Other   | Total   |
| PS  | 0    | 0       | 0       | 0       |
| EE  | 0    | 0       | 164,200 | 164,200 |
| PSD   | 0    | 0       | 0       | 0       |
| TRF   | 0    | 0       | 0       | 0       |
| Total   | 0    | 0       | 164,200 | 164,200 |
| FTE   | 0.00 | 0.00    | 0.00    | 0.00    |
| Est. Fringe   | 0    | 0       | 0       | 0       |
| Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. |      |         |         |         |
| Other Funds: Board of Embalmers & Funeral Directors Fund (0633)   |      |         |         |         |

| FY 2018 Governor's Recommendation   |      |         |         |         |
|---|------|---------|---------|---------|
|   | GR   | Federal | Other   | Total   |
| PS  | 0    | 0       | 0       | 0       |
| EE  | 0    | 0       | 164,200 | 164,200 |
| PSD   | 0    | 0       | 0       | 0       |
| TRF   | 0    | 0       | 0       | 0       |
| Total   | 0    | 0       | 164,200 | 164,200 |
| FTE   | 0.00 | 0.00    | 0.00    | 0.00    |
| Est. Fringe   | 0    | 0       | 0       | 0       |
| Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. |      |         |         |         |
| Other Funds: Board of Embalmers & Funeral Directors Fund (0633)   |      |         |         |         |

## 2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by embalmers, funeral directors, funeral establishment, preneed providers, preneed sellers, and preneed agents licensed in Missouri.

## 3. PROGRAM LISTING (list programs included in this core funding)

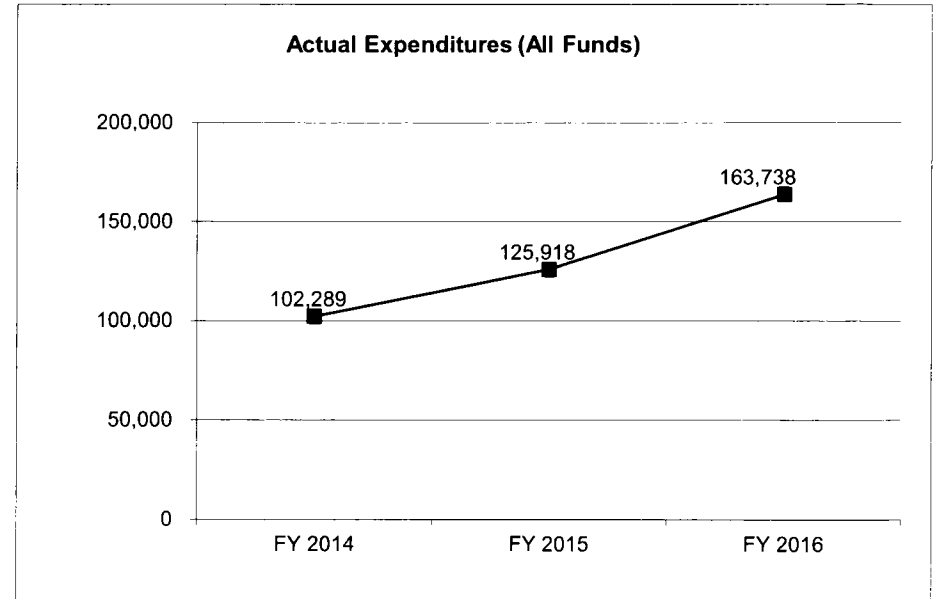
State Board of Embalmers and Funeral Directors

# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42720C |
| Professional Registration   |             |        |
| Core - State Board of Embalmers and Funeral Directors                         | HB Section  | 7.495  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 164,200           | 164,200           | 164,200           | 164,200                |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 164,200           | 164,200           | 164,200           | 164,200                |
| Actual Expenditures (All Funds) | 102,289           | 125,918           | 163,738           | N/A                    |
| Unexpended (All Funds)          | 61,911            | 38,282            | 462               | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 61,911            | 38,282            | 462               | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

**CORE RECONCILIATION DETAIL**

**DIFP**  
**BD OF EMBALMERS & FUNERAL DIR**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|----------------|----------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                |                |                |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 164,200        | 164,200        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>164,200</b> | <b>164,200</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                |                |                |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 164,200        | 164,200        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>164,200</b> | <b>164,200</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                |                |                |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 164,200        | 164,200        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>164,200</b> | <b>164,200</b> |                    |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit                              |                  |             |                  |             |                  |             |                  |             |
|--|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                            | FY 2016          | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
| Budget Object Summary                    | ACTUAL           | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Fund                                     | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>BD OF EMBALMERS &amp; FUNERAL DIR</b> |                  |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                              |                  |             |                  |             |                  |             |                  |             |
| EXPENSE & EQUIPMENT                      |                  |             |                  |             |                  |             |                  |             |
| BOARD OF EMBALM & FUN DIR                | 163,738          | 0.00        | 164,200          | 0.00        | 164,200          | 0.00        | 164,200          | 0.00        |
| TOTAL - EE                               | 163,738          | 0.00        | 164,200          | 0.00        | 164,200          | 0.00        | 164,200          | 0.00        |
| <b>TOTAL</b>                             | <b>163,738</b>   | <b>0.00</b> | <b>164,200</b>   | <b>0.00</b> | <b>164,200</b>   | <b>0.00</b> | <b>164,200</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                       | <b>\$163,738</b> | <b>0.00</b> | <b>\$164,200</b> | <b>0.00</b> | <b>\$164,200</b> | <b>0.00</b> | <b>\$164,200</b> | <b>0.00</b> |

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**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                              | FY 2016          | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
|--|------------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                            | ACTUAL           | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class                      | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>BD OF EMBALMERS &amp; FUNERAL DIR</b> |                  |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                              |                  |             |                  |             |                  |             |                  |             |
| TRAVEL, IN-STATE                         | 55,211           | 0.00        | 24,320           | 0.00        | 24,320           | 0.00        | 24,320           | 0.00        |
| TRAVEL, OUT-OF-STATE                     | 7,395            | 0.00        | 2,349            | 0.00        | 2,349            | 0.00        | 2,349            | 0.00        |
| SUPPLIES                                 | 19,308           | 0.00        | 28,500           | 0.00        | 28,500           | 0.00        | 28,500           | 0.00        |
| PROFESSIONAL DEVELOPMENT                 | 3,304            | 0.00        | 14,250           | 0.00        | 14,250           | 0.00        | 14,250           | 0.00        |
| COMMUNICATION SERV & SUPP                | 6,332            | 0.00        | 9,500            | 0.00        | 9,500            | 0.00        | 9,500            | 0.00        |
| PROFESSIONAL SERVICES                    | 59,117           | 0.00        | 73,731           | 0.00        | 73,731           | 0.00        | 73,731           | 0.00        |
| M&R SERVICES                             | 5,772            | 0.00        | 2,000            | 0.00        | 2,000            | 0.00        | 2,000            | 0.00        |
| OFFICE EQUIPMENT                         | 819              | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        |
| OTHER EQUIPMENT                          | 1,596            | 0.00        | 0                | 0.00        | 0                | 0.00        | 0                | 0.00        |
| BUILDING LEASE PAYMENTS                  | 958              | 0.00        | 1,550            | 0.00        | 1,550            | 0.00        | 1,550            | 0.00        |
| EQUIPMENT RENTALS & LEASES               | 233              | 0.00        | 500              | 0.00        | 500              | 0.00        | 500              | 0.00        |
| MISCELLANEOUS EXPENSES                   | 3,693            | 0.00        | 6,500            | 0.00        | 6,500            | 0.00        | 6,500            | 0.00        |
| <b>TOTAL - EE</b>                        | <b>163,738</b>   | <b>0.00</b> | <b>164,200</b>   | <b>0.00</b> | <b>164,200</b>   | <b>0.00</b> | <b>164,200</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                       | <b>\$163,738</b> | <b>0.00</b> | <b>\$164,200</b> | <b>0.00</b> | <b>\$164,200</b> | <b>0.00</b> | <b>\$164,200</b> | <b>0.00</b> |
| <b>GENERAL REVENUE</b>                   | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>                     | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>OTHER FUNDS</b>                       | <b>\$163,738</b> | <b>0.00</b> | <b>\$164,200</b> | <b>0.00</b> | <b>\$164,200</b> | <b>0.00</b> | <b>\$164,200</b> | <b>0.00</b> |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.495

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration

### FY 2017 PLANNED

|         | Emb & FDs | PR Admin | TOTAL   |
|---------|-----------|----------|---------|
| GR      | 0         | 0        | 0       |
| FEDERAL | 0         | 0        | 0       |
| OTHER   | 164,200   | 372,980  | 537,180 |
| TOTAL   | 164,200   | 372,980  | 537,180 |

#### 1. What does this program do?

The State Board of Embalmers and Funeral Directors was established to protect the interests of the citizens of the state of Missouri by licensing and regulating embalmers, funeral directors, funeral establishments, preneed sellers, preneed providers, and preneed agents in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 331.011-331.261 RSMo.

#### 3. Are there federal matching requirements? If yes, please explain.

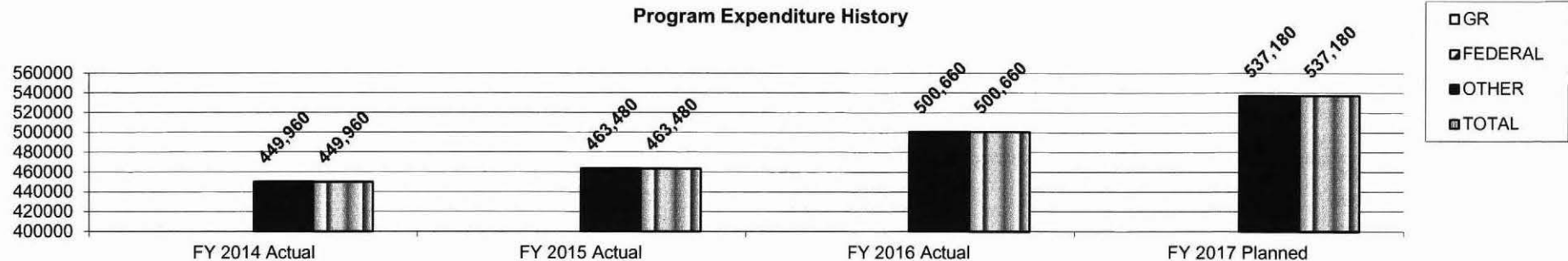
No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s): 7.465 / 7.495**

**State Board of Embalmers and Funeral Directors**

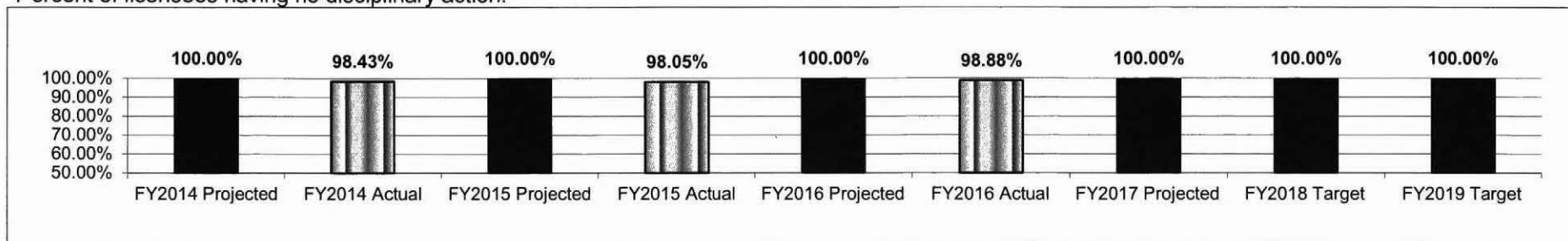
**Program is found in the following core budget(s): State Board of Embalmers and Funeral Directors, Professional Registration Administration**

**6. What are the sources of the "Other " funds?**

Board of Embalmers and Funeral Directors (0633)

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 400       | 406    | 400       | 390    | 400       | 410    | 425       | 328    | 425    |
| Licensed Professionals | 6,200     | 6,128  | 6,200     | 6,260  | 6,200     | 6,174  | 5,928     | 5,201  | 6,015  |

**7d. Provide a customer satisfaction measure, if available.**

None available.

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# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42730C |
| Professional Registration   |             |        |
| Core - State Board of Registration for the Healing Arts                       | HB Section  | 7.500  |

## 1. CORE FINANCIAL SUMMARY

| FY 2018 Budget Request |      |         |           |           | FY 2018 Governor's Recommendation |       |      |         |           |           |   |
|------------------------|------|---------|-----------|-----------|-----------------------------------|-------|------|---------|-----------|-----------|---|
|                        | GR   | Federal | Other     | Total     | E                                 |       | GR   | Federal | Other     | Total     | E |
| PS                     | 0    | 0       | 1,903,234 | 1,903,234 |                                   | PS    | 0    | 0       | 1,903,234 | 1,903,234 |   |
| EE                     | 0    | 0       | 753,115   | 753,115   |                                   | EE    | 0    | 0       | 753,115   | 753,115   |   |
| PSD                    | 0    | 0       | 0         | 0         |                                   | PSD   | 0    | 0       | 0         | 0         |   |
| TRF                    | 0    | 0       | 0         | 0         |                                   | TRF   | 0    | 0       | 0         | 0         |   |
| Total                  | 0    | 0       | 2,656,349 | 2,656,349 |                                   | Total | 0    | 0       | 2,656,349 | 2,656,349 |   |
| FTE                    | 0.00 | 0.00    | 45.00     | 45.00     |                                   | FTE   | 0.00 | 0.00    | 45.00     | 45.00     |   |

|                    |   |   |         |         |
|--------------------|---|---|---------|---------|
| <b>Est. Fringe</b> | 0 | 0 | 983,443 | 983,443 |
|--------------------|---|---|---------|---------|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Board of Registration for the Healing Arts Fund (0634)

|                    |   |   |         |         |
|--------------------|---|---|---------|---------|
| <b>Est. Fringe</b> | 0 | 0 | 983,443 | 983,443 |
|--------------------|---|---|---------|---------|

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Board of Registration for the Healing Arts Fund (0634)

## 2. CORE DESCRIPTION

The core program request is necessary to ensure the continued high quality of service provided by physicians, physician assistants, physical therapists, physical therapist assistants, athletic trainers, speech language pathologists, speech language pathology assistants, speech language pathology aides, audiology aides, perfusionists, audiologists and anesthesiology assistants licensed in Missouri.

## 3. PROGRAM LISTING (list programs included in this core funding)

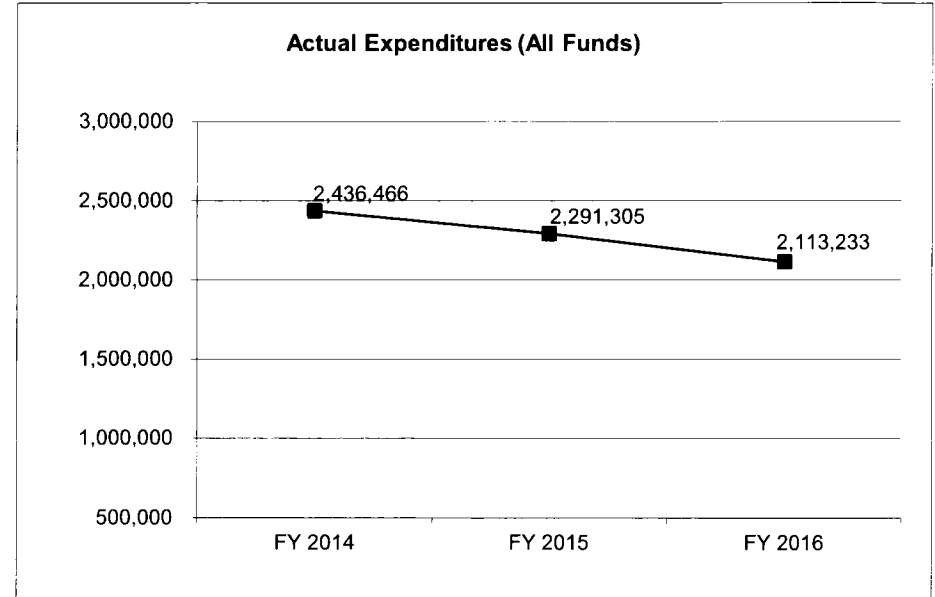
State Board of Registration for the Healing Arts

**CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>42730C</b> |
| <b>Professional Registration</b>   |                    |               |
| <b>Core - State Board of Registration for the Healing Arts</b>                       | <b>HB Section</b>  | <b>7.500</b>  |

**4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 2,589,310                 | 2,609,027                 | 2,619,032                 | 2,656,349                      |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 2,589,310                 | 2,609,027                 | 2,619,032                 | 2,656,349                      |
| Actual Expenditures (All Funds) | 2,436,466                 | 2,291,305                 | 2,113,233                 | N/A                            |
| Unexpended (All Funds)          | 152,844                   | 317,722                   | 505,799                   | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 152,844                   | 317,722                   | 505,799                   | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

**NOTES:**

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

**CORE RECONCILIATION DETAIL**

**DIFP  
BD OF REG FOR THE HEALING ART**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>   | <b>GR</b> | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>Explanation</b> |
|------------------------------------|-------------------------|--------------|-----------|----------------|------------------|------------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |              |           |                |                  |                  |                    |
|                                    | PS                      | 45.00        | 0         | 0              | 1,903,234        | 1,903,234        |                    |
|                                    | EE                      | 0.00         | 0         | 0              | 753,115          | 753,115          |                    |
|                                    | <b>Total</b>            | <b>45.00</b> | <b>0</b>  | <b>0</b>       | <b>2,656,349</b> | <b>2,656,349</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |              |           |                |                  |                  |                    |
|                                    | PS                      | 45.00        | 0         | 0              | 1,903,234        | 1,903,234        |                    |
|                                    | EE                      | 0.00         | 0         | 0              | 753,115          | 753,115          |                    |
|                                    | <b>Total</b>            | <b>45.00</b> | <b>0</b>  | <b>0</b>       | <b>2,656,349</b> | <b>2,656,349</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |              |           |                |                  |                  |                    |
|                                    | PS                      | 45.00        | 0         | 0              | 1,903,234        | 1,903,234        |                    |
|                                    | EE                      | 0.00         | 0         | 0              | 753,115          | 753,115          |                    |
|                                    | <b>Total</b>            | <b>45.00</b> | <b>0</b>  | <b>0</b>       | <b>2,656,349</b> | <b>2,656,349</b> |                    |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit                          |                    |              |                    |              |                    |              |                    |              |              |
|--------------------------------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------|
| Decision Item                        | FY 2016            | FY 2016      | FY 2017            | FY 2017      | FY 2018            | FY 2018      | FY 2018            | FY 2018      | FY 2018      |
| Budget Object Summary                | ACTUAL             | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      | GOV REC      |
| Fund                                 | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | FTE          |
| <b>BD OF REG FOR THE HEALING ART</b> |                    |              |                    |              |                    |              |                    |              |              |
| <b>CORE</b>                          |                    |              |                    |              |                    |              |                    |              |              |
| PERSONAL SERVICES                    |                    |              |                    |              |                    |              |                    |              |              |
| BOARD OF REG FOR HEALING ARTS        | 1,670,244          | 41.93        | 1,903,234          | 45.00        | 1,903,234          | 45.00        | 1,903,234          | 45.00        | 45.00        |
| TOTAL - PS                           | 1,670,244          | 41.93        | 1,903,234          | 45.00        | 1,903,234          | 45.00        | 1,903,234          | 45.00        | 45.00        |
| EXPENSE & EQUIPMENT                  |                    |              |                    |              |                    |              |                    |              |              |
| BOARD OF REG FOR HEALING ARTS        | 442,989            | 0.00         | 753,115            | 0.00         | 753,115            | 0.00         | 753,115            | 0.00         | 0.00         |
| TOTAL - EE                           | 442,989            | 0.00         | 753,115            | 0.00         | 753,115            | 0.00         | 753,115            | 0.00         | 0.00         |
| <b>TOTAL</b>                         | <b>2,113,233</b>   | <b>41.93</b> | <b>2,656,349</b>   | <b>45.00</b> | <b>2,656,349</b>   | <b>45.00</b> | <b>2,656,349</b>   | <b>45.00</b> | <b>45.00</b> |
| <b>GRAND TOTAL</b>                   | <b>\$2,113,233</b> | <b>41.93</b> | <b>\$2,656,349</b> | <b>45.00</b> | <b>\$2,656,349</b> | <b>45.00</b> | <b>\$2,656,349</b> | <b>45.00</b> | <b>45.00</b> |

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## FLEXIBILITY REQUEST FORM

|  |  |
|--|--|
| <b>BUDGET UNIT NUMBER:</b> 42730C<br><b>BUDGET UNIT NAME:</b> State Board of Registration for the Healing Arts<br><b>HOUSE BILL SECTION:</b> 7.500 | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><b>DIVISION:</b> Professional Registration |
|--|--|

**1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.**

| DEPARTMENT REQUEST        | GOVERNOR'S RECOMMENDATION   |
|---------------------------|---|
| No flexibility requested. | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |

**2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.**

| PRIOR YEAR<br>ACTUAL AMOUNT OF FLEXIBILITY USED                    | CURRENT YEAR<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED     | BUDGET REQUEST<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED |
|--|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. | The department will use flexibility only if necessary.                 |

**3. Please explain how flexibility was used in the prior and/or current years.**

| PRIOR YEAR<br>EXPLAIN ACTUAL USE                                   | CURRENT YEAR<br>EXPLAIN PLANNED USE                                      |
|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. |

**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                          | FY 2016          | FY 2016      | FY 2017          | FY 2017      | FY 2018          | FY 2018      | FY 2018          | FY 2018      |
|--------------------------------------|------------------|--------------|------------------|--------------|------------------|--------------|------------------|--------------|
| Decision Item                        | ACTUAL           | ACTUAL       | BUDGET           | BUDGET       | DEPT REQ         | DEPT REQ     | GOV REC          | GOV REC      |
| Budget Object Class                  | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          |
| <b>BD OF REG FOR THE HEALING ART</b> |                  |              |                  |              |                  |              |                  |              |
| <b>CORE</b>                          |                  |              |                  |              |                  |              |                  |              |
| OFFICE SUPPORT ASST (STENO)          | 3,570            | 0.13         | 0                | 0.00         | 0                | 0.00         | 0                | 0.00         |
| SR OFC SUPPORT ASST (STENO)          | 29,412           | 1.00         | 30,816           | 1.00         | 30,816           | 1.00         | 30,816           | 1.00         |
| SR OFFICE SUPPORT ASSISTANT          | 23,940           | 0.83         | 30,600           | 1.00         | 56,600           | 2.00         | 56,600           | 2.00         |
| INFORMATION SUPPORT COOR             | 29,004           | 1.00         | 29,857           | 1.00         | 29,857           | 1.00         | 29,857           | 1.00         |
| EXECUTIVE I                          | 35,661           | 1.04         | 38,088           | 1.00         | 35,088           | 1.00         | 35,088           | 1.00         |
| MEDICAL CNSLT                        | 119,976          | 1.00         | 178,754          | 1.50         | 178,754          | 1.50         | 178,754          | 1.50         |
| MEDICAL DIR                          | 127,080          | 1.00         | 130,063          | 1.00         | 130,063          | 1.00         | 130,063          | 1.00         |
| INVESTIGATOR II                      | 551,949          | 14.53        | 608,769          | 15.50        | 578,769          | 15.00        | 578,769          | 15.00        |
| PROF REG ADMSTV COOR                 | 41,172           | 1.00         | 42,495           | 1.00         | 42,495           | 1.00         | 42,495           | 1.00         |
| INVESTIGATION MGR B1                 | 45,842           | 0.85         | 55,889           | 1.00         | 55,889           | 1.00         | 55,889           | 1.00         |
| PROCESSING TECHNICIAN I              | 129,222          | 5.38         | 155,163          | 7.00         | 177,163          | 7.00         | 177,163          | 7.00         |
| PROCESSING TECHNICIAN II             | 130,861          | 4.88         | 166,108          | 6.00         | 150,108          | 5.50         | 150,108          | 5.50         |
| PROCESSING TECHNICIAN III            | 59,952           | 2.00         | 61,200           | 2.00         | 61,200           | 2.00         | 61,200           | 2.00         |
| PROCESSING TECHNICIAN SUPV           | 33,180           | 1.00         | 42,378           | 1.00         | 34,378           | 1.00         | 34,378           | 1.00         |
| PARALEGAL                            | 36,251           | 1.17         | 63,757           | 2.00         | 63,757           | 2.00         | 63,757           | 2.00         |
| LEGAL COUNSEL                        | 98,900           | 1.75         | 117,783          | 2.00         | 117,783          | 2.00         | 117,783          | 2.00         |
| BOARD MEMBER                         | 5,856            | 0.45         | 9,162            | 0.00         | 9,162            | 0.00         | 9,162            | 0.00         |
| CLERK                                | 92,150           | 1.92         | 63,966           | 0.00         | 72,966           | 0.00         | 72,966           | 0.00         |
| PRINCIPAL ASST BOARD/COMMISSON       | 76,266           | 1.00         | 78,386           | 1.00         | 78,386           | 1.00         | 78,386           | 1.00         |
| <b>TOTAL - PS</b>                    | <b>1,670,244</b> | <b>41.93</b> | <b>1,903,234</b> | <b>45.00</b> | <b>1,903,234</b> | <b>45.00</b> | <b>1,903,234</b> | <b>45.00</b> |
| TRAVEL, IN-STATE                     | 22,516           | 0.00         | 20,000           | 0.00         | 20,000           | 0.00         | 20,000           | 0.00         |
| TRAVEL, OUT-OF-STATE                 | 18,510           | 0.00         | 10,000           | 0.00         | 10,000           | 0.00         | 10,000           | 0.00         |
| SUPPLIES                             | 87,152           | 0.00         | 95,500           | 0.00         | 95,500           | 0.00         | 95,500           | 0.00         |
| PROFESSIONAL DEVELOPMENT             | 14,099           | 0.00         | 8,787            | 0.00         | 8,787            | 0.00         | 8,787            | 0.00         |
| COMMUNICATION SERV & SUPP            | 54,514           | 0.00         | 39,324           | 0.00         | 39,324           | 0.00         | 39,324           | 0.00         |
| PROFESSIONAL SERVICES                | 169,567          | 0.00         | 525,404          | 0.00         | 525,404          | 0.00         | 525,404          | 0.00         |
| M&R SERVICES                         | 15,940           | 0.00         | 16,000           | 0.00         | 16,000           | 0.00         | 16,000           | 0.00         |
| MOTORIZED EQUIPMENT                  | 10,914           | 0.00         | 22,000           | 0.00         | 22,000           | 0.00         | 22,000           | 0.00         |
| OFFICE EQUIPMENT                     | 13,314           | 0.00         | 1,000            | 0.00         | 1,000            | 0.00         | 1,000            | 0.00         |
| OTHER EQUIPMENT                      | 5,972            | 0.00         | 0                | 0.00         | 0                | 0.00         | 0                | 0.00         |
| PROPERTY & IMPROVEMENTS              | 7,232            | 0.00         | 0                | 0.00         | 0                | 0.00         | 0                | 0.00         |
| BUILDING LEASE PAYMENTS              | 7,560            | 0.00         | 3,500            | 0.00         | 3,500            | 0.00         | 3,500            | 0.00         |

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**DIFP****DECISION ITEM DETAIL**

| Budget Unit                          | FY 2016            | FY 2016      | FY 2017            | FY 2017      | FY 2018            | FY 2018      | FY 2018            | FY 2018      |
|--------------------------------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|
| Decision Item                        | ACTUAL             | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |
| Budget Object Class                  | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |
| <b>BD OF REG FOR THE HEALING ART</b> |                    |              |                    |              |                    |              |                    |              |
| <b>CORE</b>                          |                    |              |                    |              |                    |              |                    |              |
| EQUIPMENT RENTALS & LEASES           | 1,335              | 0.00         | 1,600              | 0.00         | 1,600              | 0.00         | 1,600              | 0.00         |
| MISCELLANEOUS EXPENSES               | 14,364             | 0.00         | 10,000             | 0.00         | 10,000             | 0.00         | 10,000             | 0.00         |
| <b>TOTAL - EE</b>                    | <b>442,989</b>     | <b>0.00</b>  | <b>753,115</b>     | <b>0.00</b>  | <b>753,115</b>     | <b>0.00</b>  | <b>753,115</b>     | <b>0.00</b>  |
| <b>GRAND TOTAL</b>                   | <b>\$2,113,233</b> | <b>41.93</b> | <b>\$2,656,349</b> | <b>45.00</b> | <b>\$2,656,349</b> | <b>45.00</b> | <b>\$2,656,349</b> | <b>45.00</b> |
| <b>GENERAL REVENUE</b>               | <b>\$0</b>         | <b>0.00</b>  | <b>\$0</b>         | <b>0.00</b>  | <b>\$0</b>         | <b>0.00</b>  | <b>\$0</b>         | <b>0.00</b>  |
| <b>FEDERAL FUNDS</b>                 | <b>\$0</b>         | <b>0.00</b>  | <b>\$0</b>         | <b>0.00</b>  | <b>\$0</b>         | <b>0.00</b>  | <b>\$0</b>         | <b>0.00</b>  |
| <b>OTHER FUNDS</b>                   | <b>\$2,113,233</b> | <b>41.93</b> | <b>\$2,656,349</b> | <b>45.00</b> | <b>\$2,656,349</b> | <b>45.00</b> | <b>\$2,656,349</b> | <b>45.00</b> |



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.500

State Board of Registration for the Healing Arts

Program is found in the following core budget(s): State Board of Registration for the Healing Arts

### 1. What does this program do?

The State Board of Registration for the Healing Arts was established to protect the interests of the citizens of the State of Missouri by licensing and regulating physicians, physician assistants, physical therapists, physical therapist assistants, athletic trainers, speech language pathologists, speech language pathology assistants, speech language pathology aides, audiology aides, perfusionists, audiologists and anesthesiologist assistants in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 324.125-324.183, 334.002-334.749, and 345.010-345.080 RSMo.

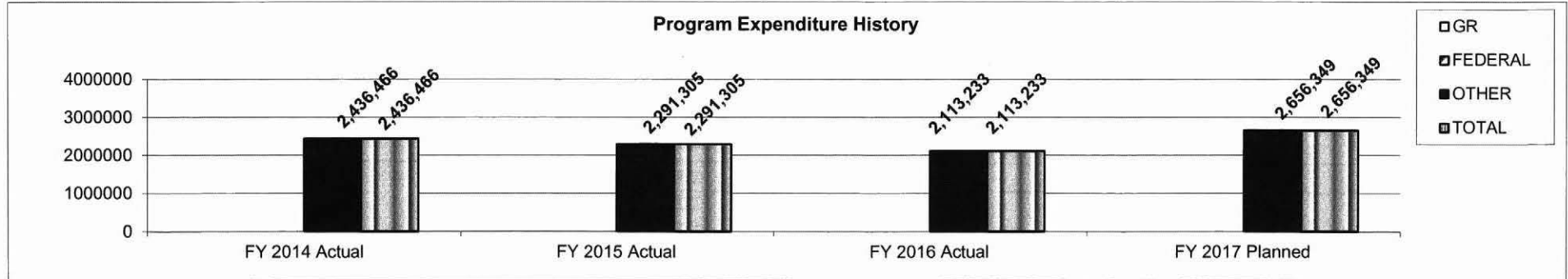
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Board of Registration for the Healing Arts (0634)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

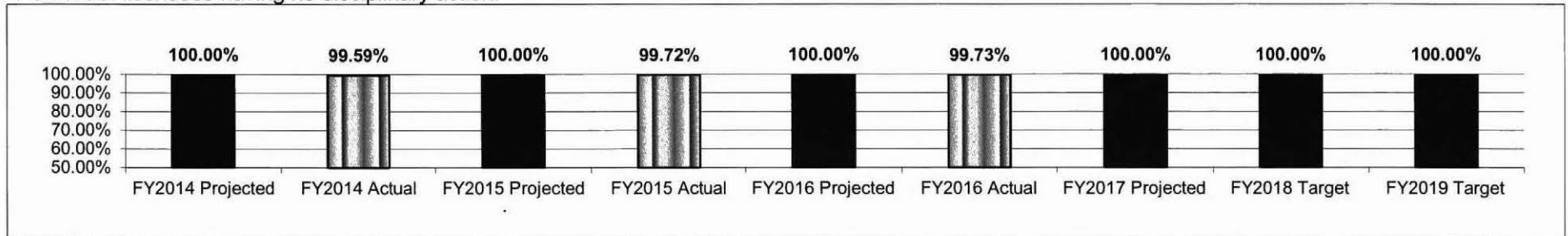
HB Section(s): 7.500

State Board of Registration for the Healing Arts

Program is found in the following core budget(s): State Board of Registration for the Healing Arts

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 4,130     | 4,294  | 4,130     | 4,255  | 4,300     | 4,523  | 4,128     | 4,166  | 4,620  |
| Licensed Professionals | 42,000    | 42,533 | 42,000    | 43,555 | 42,000    | 44,464 | 42,132    | 34,024 | 44,380 |

**7d. Provide a customer satisfaction measure, if available.**

None available.

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# CORE DECISION ITEM

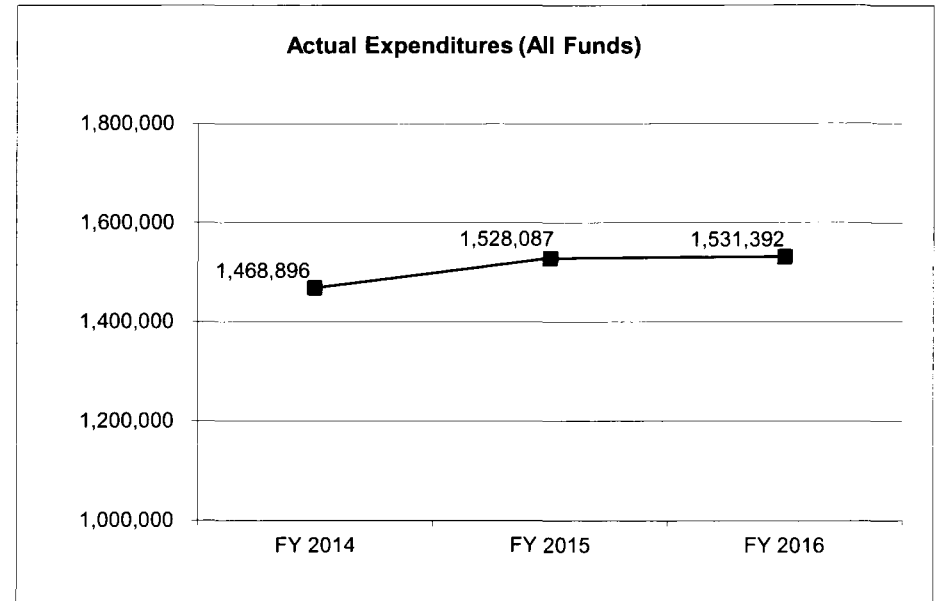
|   |             |                |                  |                  |  |  |             |                |                  |                  |          |
|---|-------------|----------------|------------------|------------------|--|--|-------------|----------------|------------------|------------------|----------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>  |             |                |                  |                  | <b>Budget Unit</b> <u>42740C</u>         |  |             |                |                  |                  |          |
| <b>Professional Registration</b>  |             |                |                  |                  |  |  |             |                |                  |                  |          |
| <b>Core - State Board of Nursing</b>  |             |                |                  |                  | <b>HB Section</b> <u>7.505</u>           |  |             |                |                  |                  |          |
| <b>1. CORE FINANCIAL SUMMARY</b>  |             |                |                  |                  |  |  |             |                |                  |                  |          |
| <b>FY 2018 Budget Request</b>   |             |                |                  |                  | <b>FY 2018 Governor's Recommendation</b> |  |             |                |                  |                  |          |
|   | <b>GR</b>   | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>E</b>                                 |  | <b>GR</b>   | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>E</b> |
| <b>PS</b>   | 0           | 0              | 1,268,471        | 1,268,471        |  | <b>PS</b>  | 0           | 0              | 1,268,471        | 1,268,471        |          |
| <b>EE</b>   | 0           | 0              | 577,518          | 577,518          |  | <b>EE</b>  | 0           | 0              | 577,518          | 577,518          |          |
| <b>PSD</b>  | 0           | 0              | 2,000,000        | 2,000,000        |  | <b>PSD</b>   | 0           | 0              | 2,000,000        | 2,000,000        |          |
| <b>TRF</b>  | 0           | 0              | 0                | 0                |  | <b>TRF</b>   | 0           | 0              | 0                | 0                |          |
| <b>Total</b>  | <u>0</u>    | <u>0</u>       | <u>3,845,989</u> | <u>3,845,989</u> |  | <b>Total</b>   | <u>0</u>    | <u>0</u>       | <u>3,845,989</u> | <u>3,845,989</u> |          |
| <b>FTE</b>  | <b>0.00</b> | <b>0.00</b>    | <b>28.00</b>     | <b>28.00</b>     |  | <b>FTE</b>   | <b>0.00</b> | <b>0.00</b>    | <b>28.00</b>     | <b>28.00</b>     |          |
| <b>Est. Fringe</b>  | 0           | 0              | 634,917          | 634,917          |  | <b>Est. Fringe</b>   | 0           | 0              | 634,917          | 634,917          |          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |             |                |                  |                  |  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |             |                |                  |                  |          |
| Other Funds: State Board of Nursing Fund (0635)   |             |                |                  |                  |  | Other Funds: State Board of Nursing Fund (0635)  |             |                |                  |                  |          |
| <b>2. CORE DESCRIPTION</b>  |             |                |                  |                  |  |  |             |                |                  |                  |          |
| <p>The core program request is necessary to ensure the continued high quality of service provided by registered professional nurses and practical nurses licensed in Missouri.</p> <p>The Nursing Education Incentive Program was established in 2011 in HB's 223 and 231 to fund the "Caring for Missouri Fund" that will provide grants for institutions of higher educations that offer a program of nursing that meets a predetermined category and area of need as determined by the Board of Nursing and the Department of Higher Education. House Bill 1816 in 2016 changed the administrative agency responsible for implementation of the program from the Department of Higher Education HB 2003 section 3.150 to the State Board of Nursing.</p> |             |                |                  |                  |  |  |             |                |                  |                  |          |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |             |                |                  |                  |  |  |             |                |                  |                  |          |
| State Board of Nursing  |             |                |                  |                  |  |  |             |                |                  |                  |          |

# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42740C |
| Professional Registration   |             |        |
| Core - State Board of Nursing   | HB Section  | 7.505  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 1,795,184         | 1,812,001         | 1,821,119         | 1,845,989              |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 1,795,184         | 1,812,001         | 1,821,119         | 1,845,989              |
| Actual Expenditures (All Funds) | 1,468,896         | 1,528,087         | 1,531,392         | N/A                    |
| Unexpended (All Funds)          | 326,288           | 283,914           | 289,727           | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 326,288           | 283,914           | 289,727           | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

**CORE RECONCILIATION DETAIL**

**DIFP  
BOARD OF NURSING**

**5. CORE RECONCILIATION DETAIL**

|                                    |          | <b>Budget<br/>Class</b> | <b>FTE</b>   | <b>GR</b> | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>Explanation</b>                               |
|------------------------------------|----------|-------------------------|--------------|-----------|----------------|------------------|------------------|--|
| <b>TAFP AFTER VETOES</b>           |          |                         |              |           |                |                  |                  |  |
|                                    |          | PS                      | 28.00        | 0         | 0              | 1,268,471        | 1,268,471        |  |
|                                    |          | EE                      | 0.00         | 0         | 0              | 577,518          | 577,518          |  |
|                                    |          | <b>Total</b>            | <b>28.00</b> | <b>0</b>  | <b>0</b>       | <b>1,845,989</b> | <b>1,845,989</b> |  |
| <b>DEPARTMENT CORE ADJUSTMENTS</b> |          |                         |              |           |                |                  |                  |  |
| Transfer In                        | 809 2938 | PD                      | 0.00         | 0         | 0              | 2,000,000        | 2,000,000        | Transfer from HB 3 to HB 7 per HB 1816 from 2016 |
| <b>NET DEPARTMENT CHANGES</b>      |          |                         | <b>0.00</b>  | <b>0</b>  | <b>0</b>       | <b>2,000,000</b> | <b>2,000,000</b> |  |
| <b>DEPARTMENT CORE REQUEST</b>     |          |                         |              |           |                |                  |                  |  |
|                                    |          | PS                      | 28.00        | 0         | 0              | 1,268,471        | 1,268,471        |  |
|                                    |          | EE                      | 0.00         | 0         | 0              | 577,518          | 577,518          |  |
|                                    |          | PD                      | 0.00         | 0         | 0              | 2,000,000        | 2,000,000        |  |
|                                    |          | <b>Total</b>            | <b>28.00</b> | <b>0</b>  | <b>0</b>       | <b>3,845,989</b> | <b>3,845,989</b> |  |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |          |                         |              |           |                |                  |                  |  |
|                                    |          | PS                      | 28.00        | 0         | 0              | 1,268,471        | 1,268,471        |  |
|                                    |          | EE                      | 0.00         | 0         | 0              | 577,518          | 577,518          |  |
|                                    |          | PD                      | 0.00         | 0         | 0              | 2,000,000        | 2,000,000        |  |
|                                    |          | <b>Total</b>            | <b>28.00</b> | <b>0</b>  | <b>0</b>       | <b>3,845,989</b> | <b>3,845,989</b> |  |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit             |                    |              |                    |              |                    |              |                    |              |  |
|-------------------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--|
| Decision Item           | FY 2016            | FY 2016      | FY 2017            | FY 2017      | FY 2018            | FY 2018      | FY 2018            | FY 2018      |  |
| Budget Object Summary   | ACTUAL             | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |  |
| Fund                    | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |  |
| <b>BOARD OF NURSING</b> |                    |              |                    |              |                    |              |                    |              |  |
| <b>CORE</b>             |                    |              |                    |              |                    |              |                    |              |  |
| PERSONAL SERVICES       |                    |              |                    |              |                    |              |                    |              |  |
| BOARD OF NURSING        | 1,119,070          | 28.04        | 1,268,471          | 28.00        | 1,268,471          | 28.00        | 1,268,471          | 28.00        |  |
| TOTAL - PS              | 1,119,070          | 28.04        | 1,268,471          | 28.00        | 1,268,471          | 28.00        | 1,268,471          | 28.00        |  |
| EXPENSE & EQUIPMENT     |                    |              |                    |              |                    |              |                    |              |  |
| BOARD OF NURSING        | 412,322            | 0.00         | 577,518            | 0.00         | 577,518            | 0.00         | 577,518            | 0.00         |  |
| TOTAL - EE              | 412,322            | 0.00         | 577,518            | 0.00         | 577,518            | 0.00         | 577,518            | 0.00         |  |
| PROGRAM-SPECIFIC        |                    |              |                    |              |                    |              |                    |              |  |
| BOARD OF NURSING        | 0                  | 0.00         | 0                  | 0.00         | 2,000,000          | 0.00         | 2,000,000          | 0.00         |  |
| TOTAL - PD              | 0                  | 0.00         | 0                  | 0.00         | 2,000,000          | 0.00         | 2,000,000          | 0.00         |  |
| <b>TOTAL</b>            | <b>1,531,392</b>   | <b>28.04</b> | <b>1,845,989</b>   | <b>28.00</b> | <b>3,845,989</b>   | <b>28.00</b> | <b>3,845,989</b>   | <b>28.00</b> |  |
| <b>GRAND TOTAL</b>      | <b>\$1,531,392</b> | <b>28.04</b> | <b>\$1,845,989</b> | <b>28.00</b> | <b>\$3,845,989</b> | <b>28.00</b> | <b>\$3,845,989</b> | <b>28.00</b> |  |

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## FLEXIBILITY REQUEST FORM

|  |  |
|--|--|
| <b>BUDGET UNIT NUMBER:</b> 42740C<br><b>BUDGET UNIT NAME:</b> State Board of Nursing<br><b>HOUSE BILL SECTION:</b> 7.505 | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><br><b>DIVISION:</b> Professional Registration |
|--|--|

**1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.**

| DEPARTMENT REQUEST        | GOVERNOR'S RECOMMENDATION   |
|---------------------------|---|
| No flexibility requested. | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |

**2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.**

| PRIOR YEAR<br>ACTUAL AMOUNT OF FLEXIBILITY USED                    | CURRENT YEAR<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED     | BUDGET REQUEST<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED |
|--|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. | The department will use flexibility only if necessary.                 |

**3. Please explain how flexibility was used in the prior and/or current years.**

| PRIOR YEAR<br>EXPLAIN ACTUAL USE                                   | CURRENT YEAR<br>EXPLAIN PLANNED USE                                      |
|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. |

**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                    | FY 2016          | FY 2016      | FY 2017          | FY 2017      | FY 2018          | FY 2018      | FY 2018          | FY 2018      |
|--------------------------------|------------------|--------------|------------------|--------------|------------------|--------------|------------------|--------------|
| Decision Item                  | ACTUAL           | ACTUAL       | BUDGET           | BUDGET       | DEPT REQ         | DEPT REQ     | GOV REC          | GOV REC      |
| Budget Object Class            | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          |
| <b>BOARD OF NURSING</b>        |                  |              |                  |              |                  |              |                  |              |
| <b>CORE</b>                    |                  |              |                  |              |                  |              |                  |              |
| OFFICE SUPPORT ASSISTANT       | 23,160           | 1.00         | 24,239           | 1.00         | 24,239           | 1.00         | 24,239           | 1.00         |
| EXECUTIVE I                    | 32,628           | 1.00         | 37,293           | 1.00         | 37,293           | 1.00         | 37,293           | 1.00         |
| REGISTERED NURSE - CLIN OPERS  | 40,938           | 0.76         | 57,120           | 1.00         | 57,120           | 1.00         | 57,120           | 1.00         |
| INVESTIGATOR I                 | 31,512           | 1.00         | 34,141           | 1.00         | 34,141           | 1.00         | 34,141           | 1.00         |
| INVESTIGATOR II                | 132,614          | 3.46         | 159,681          | 4.00         | 159,681          | 4.00         | 159,681          | 4.00         |
| PROF REG ADMSTV COOR           | 41,940           | 1.00         | 43,074           | 1.00         | 43,074           | 1.00         | 43,074           | 1.00         |
| INVESTIGATION MGR B1           | 54,129           | 1.00         | 57,692           | 1.00         | 57,692           | 1.00         | 57,692           | 1.00         |
| REGISTERED NURSE MANAGER B1    | 200,177          | 3.00         | 205,299          | 3.00         | 205,299          | 3.00         | 205,299          | 3.00         |
| PROCESSING TECHNICIAN I        | 73,377           | 3.08         | 143,761          | 5.00         | 0                | 0.00         | 0                | 0.00         |
| PROCESSING TECHNICIAN II       | 32,136           | 1.21         | 0                | 0.00         | 143,761          | 5.00         | 143,761          | 5.00         |
| PROCESSING TECHNICIAN III      | 58,008           | 2.00         | 59,456           | 2.00         | 59,456           | 2.00         | 59,456           | 2.00         |
| PROCESSING TECHNICIAN SUPV     | 31,512           | 1.00         | 32,612           | 1.00         | 32,612           | 1.00         | 32,612           | 1.00         |
| PARALEGAL                      | 96,529           | 3.00         | 98,343           | 3.00         | 99,343           | 3.00         | 99,343           | 3.00         |
| LEGAL COUNSEL                  | 158,914          | 2.86         | 194,660          | 3.00         | 188,660          | 3.00         | 188,660          | 3.00         |
| BOARD MEMBER                   | 10,328           | 0.79         | 19,243           | 0.00         | 19,243           | 0.00         | 19,243           | 0.00         |
| CLERK                          | 20,379           | 0.88         | 17,997           | 0.00         | 22,997           | 0.00         | 22,997           | 0.00         |
| PRINCIPAL ASST BOARD/COMMISSON | 80,789           | 1.00         | 83,860           | 1.00         | 83,860           | 1.00         | 83,860           | 1.00         |
| <b>TOTAL - PS</b>              | <b>1,119,070</b> | <b>28.04</b> | <b>1,268,471</b> | <b>28.00</b> | <b>1,268,471</b> | <b>28.00</b> | <b>1,268,471</b> | <b>28.00</b> |
| TRAVEL, IN-STATE               | 17,406           | 0.00         | 18,438           | 0.00         | 20,000           | 0.00         | 20,000           | 0.00         |
| TRAVEL, OUT-OF-STATE           | 9,637            | 0.00         | 4,834            | 0.00         | 10,000           | 0.00         | 10,000           | 0.00         |
| SUPPLIES                       | 48,766           | 0.00         | 78,250           | 0.00         | 78,250           | 0.00         | 78,250           | 0.00         |
| PROFESSIONAL DEVELOPMENT       | 13,427           | 0.00         | 28,500           | 0.00         | 28,500           | 0.00         | 28,500           | 0.00         |
| COMMUNICATION SERV & SUPP      | 20,864           | 0.00         | 20,000           | 0.00         | 20,000           | 0.00         | 20,000           | 0.00         |
| PROFESSIONAL SERVICES          | 255,472          | 0.00         | 396,496          | 0.00         | 381,768          | 0.00         | 381,768          | 0.00         |
| M&R SERVICES                   | 2,688            | 0.00         | 5,000            | 0.00         | 5,000            | 0.00         | 5,000            | 0.00         |
| OFFICE EQUIPMENT               | 11,333           | 0.00         | 2,000            | 0.00         | 5,000            | 0.00         | 5,000            | 0.00         |
| OTHER EQUIPMENT                | 4,539            | 0.00         | 1,000            | 0.00         | 5,000            | 0.00         | 5,000            | 0.00         |
| PROPERTY & IMPROVEMENTS        | 7,313            | 0.00         | 0                | 0.00         | 0                | 0.00         | 0                | 0.00         |
| BUILDING LEASE PAYMENTS        | 6,776            | 0.00         | 10,000           | 0.00         | 10,000           | 0.00         | 10,000           | 0.00         |
| EQUIPMENT RENTALS & LEASES     | 3,210            | 0.00         | 3,000            | 0.00         | 4,000            | 0.00         | 4,000            | 0.00         |
| MISCELLANEOUS EXPENSES         | 10,891           | 0.00         | 10,000           | 0.00         | 10,000           | 0.00         | 10,000           | 0.00         |
| <b>TOTAL - EE</b>              | <b>412,322</b>   | <b>0.00</b>  | <b>577,518</b>   | <b>0.00</b>  | <b>577,518</b>   | <b>0.00</b>  | <b>577,518</b>   | <b>0.00</b>  |

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**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit             | FY 2016            | FY 2016      | FY 2017            | FY 2017      | FY 2018            | FY 2018      | FY 2018            | FY 2018      |
|-------------------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|
| Decision Item           | ACTUAL             | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |
| Budget Object Class     | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |
| <b>BOARD OF NURSING</b> |                    |              |                    |              |                    |              |                    |              |
| <b>CORE</b>             |                    |              |                    |              |                    |              |                    |              |
| PROGRAM DISTRIBUTIONS   | 0                  | 0.00         | 0                  | 0.00         | 2,000,000          | 0.00         | 2,000,000          | 0.00         |
| TOTAL - PD              | 0                  | 0.00         | 0                  | 0.00         | 2,000,000          | 0.00         | 2,000,000          | 0.00         |
| <b>GRAND TOTAL</b>      | <b>\$1,531,392</b> | <b>28.04</b> | <b>\$1,845,989</b> | <b>28.00</b> | <b>\$3,845,989</b> | <b>28.00</b> | <b>\$3,845,989</b> | <b>28.00</b> |
| GENERAL REVENUE         | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         |
| FEDERAL FUNDS           | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         |
| OTHER FUNDS             | \$1,531,392        | 28.04        | \$1,845,989        | 28.00        | \$3,845,989        | 28.00        | \$3,845,989        | 28.00        |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.505

State Board of Nursing

Program is found in the following core budget(s): State Board of Nursing

### 1. What does this program do?

The State Board of Nursing was established to protect the interests of the citizens of the State of Missouri by licensing and regulating registered professional nurses and licensed practical nurses in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 335.011-335.257 RSMo.

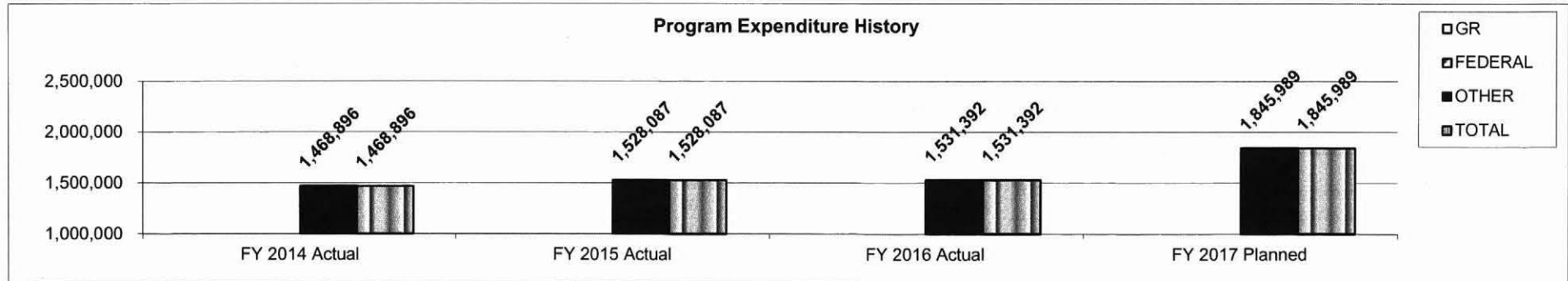
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

State Board of Nursing Fund (0635)

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

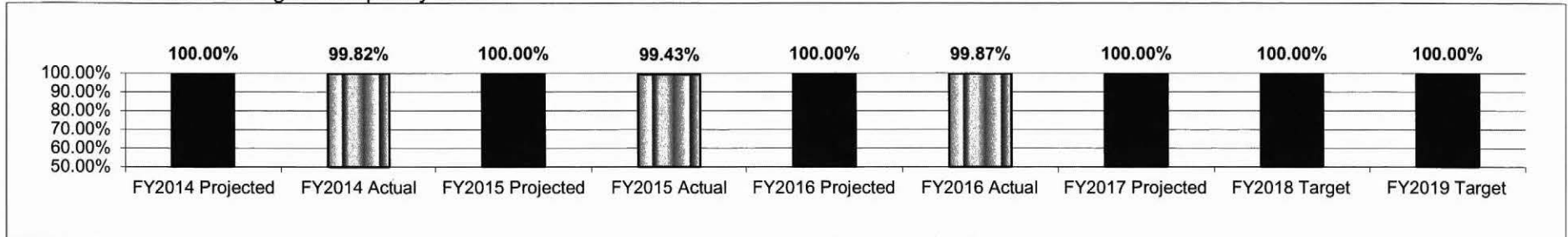
HB Section(s): 7.505

State Board of Nursing

Program is found in the following core budget(s): State Board of Nursing

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |         | FY2015    |         | FY2016    |         | FY2017    | FY2018  | FY2019  |
|------------------------|-----------|---------|-----------|---------|-----------|---------|-----------|---------|---------|
|                        | Projected | Actual  | Projected | Actual  | Projected | Actual  | Projected | Target  | Target  |
| Applications Received  | 8,500     | 9,047   | 8,500     | 9,729   | 8,700     | 9,667   | 8,500     | 10,800  | 9,000   |
| Licensed Professionals | 125,000   | 130,967 | 126,000   | 131,838 | 128,000   | 138,091 | 125,000   | 119,900 | 135,000 |

**7d. Provide a customer satisfaction measure, if available.**

None available.

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# CORE DECISION ITEM

|  |          |          |               |               |  |                    |          |          |               |               |   |
|--|----------|----------|---------------|---------------|--|--------------------|----------|----------|---------------|---------------|---|
| Department of Insurance, Financial Institutions and Professional Registration  |          |          |               |               | Budget Unit <u>42750C</u>  |                    |          |          |               |               |   |
| Professional Registration  |          |          |               |               | HB Section <u>7.510</u>  |                    |          |          |               |               |   |
| Core - State Board of Optometry  |          |          |               |               |  |                    |          |          |               |               |   |
| <b>1. CORE FINANCIAL SUMMARY</b>   |          |          |               |               |  |                    |          |          |               |               |   |
| FY 2018 Budget Request   |          |          |               |               | FY 2018 Governor's Recommendation  |                    |          |          |               |               |   |
|  | GR       | Federal  | Other         | Total         | E  |                    | GR       | Federal  | Other         | Total         | E |
| PS   | 0        | 0        | 0             | 0             |  | PS                 | 0        | 0        | 0             | 0             |   |
| EE   | 0        | 0        | 34,726        | 34,726        |  | EE                 | 0        | 0        | 34,726        | 34,726        |   |
| PSD  | 0        | 0        | 0             | 0             |  | PSD                | 0        | 0        | 0             | 0             |   |
| TRF  | 0        | 0        | 0             | 0             |  | TRF                | 0        | 0        | 0             | 0             |   |
| Total  | <u>0</u> | <u>0</u> | <u>34,726</u> | <u>34,726</u> |  | Total              | <u>0</u> | <u>0</u> | <u>34,726</u> | <u>34,726</u> |   |
| FTE  | 0.00     | 0.00     | 0.00          | 0.00          |  | FTE                | 0.00     | 0.00     | 0.00          | 0.00          |   |
| <b>Est. Fringe</b>   | <u>0</u> | <u>0</u> | <u>0</u>      | <u>0</u>      |  | <b>Est. Fringe</b> | <u>0</u> | <u>0</u> | <u>0</u>      | <u>0</u>      |   |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |          |          |               |               | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |                    |          |          |               |               |   |
| Other Funds: Optometry Fund (0636)   |          |          |               |               | Other Funds: Optometry Fund (0636)   |                    |          |          |               |               |   |
| <b>2. CORE DESCRIPTION</b>   |          |          |               |               |  |                    |          |          |               |               |   |
| The core program request is necessary to ensure the continued high quality of service provided by optometrists licensed in Missouri.   |          |          |               |               |  |                    |          |          |               |               |   |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |          |          |               |               |  |                    |          |          |               |               |   |
| State Board of Optometry   |          |          |               |               |  |                    |          |          |               |               |   |

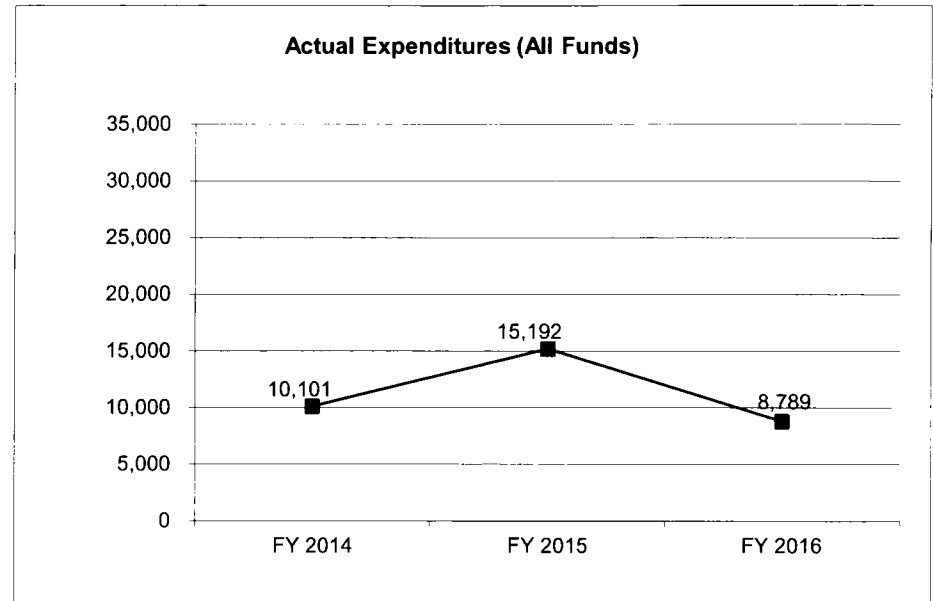


# **CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>42750C</b> |
| <b>Professional Registration</b>   |                    |               |
| <b>Core - State Board of Optometry</b>   | <b>HB Section</b>  | <b>7.510</b>  |

## **4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 34,726                    | 34,726                    | 34,726                    | 34,726                         |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 34,726                    | 34,726                    | 34,726                    | 34,726                         |
| Actual Expenditures (All Funds) | 10,101                    | 15,192                    | 8,789                     | N/A                            |
| Unexpended (All Funds)          | 24,625                    | 19,534                    | 25,937                    | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 24,625                    | 19,534                    | 25,937                    | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### **NOTES:**

- (1) Unexpended amount is due to less than anticipated expenditures.
- (2) Unexpended amount is due to less than anticipated expenditures.
- (3) Unexpended amount is due to less than anticipated expenditures.

**CORE RECONCILIATION DETAIL**

**DIFP  
BOARD OF OPTOMETRY**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>  | <b>Total</b>  | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|---------------|---------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                |               |               |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 34,726        | 34,726        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>34,726</b> | <b>34,726</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                |               |               |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 34,726        | 34,726        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>34,726</b> | <b>34,726</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                |               |               |                    |
|                                    | EE                      | 0.00        | 0         | 0              | 34,726        | 34,726        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>34,726</b> | <b>34,726</b> |                    |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit               |                |             |                 |             |                 |             |                 |             |
|---------------------------|----------------|-------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|
| Decision Item             | FY 2016        | FY 2016     | FY 2017         | FY 2017     | FY 2018         | FY 2018     | FY 2018         | FY 2018     |
| Budget Object Summary     | ACTUAL         | ACTUAL      | BUDGET          | BUDGET      | DEPT REQ        | DEPT REQ    | GOV REC         | GOV REC     |
| Fund                      | DOLLAR         | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         |
| <b>BOARD OF OPTOMETRY</b> |                |             |                 |             |                 |             |                 |             |
| <b>CORE</b>               |                |             |                 |             |                 |             |                 |             |
| EXPENSE & EQUIPMENT       |                |             |                 |             |                 |             |                 |             |
| BOARD OF OPTOMETRY        | 8,789          | 0.00        | 34,726          | 0.00        | 34,726          | 0.00        | 34,726          | 0.00        |
| TOTAL - EE                | 8,789          | 0.00        | 34,726          | 0.00        | 34,726          | 0.00        | 34,726          | 0.00        |
| <b>TOTAL</b>              | <b>8,789</b>   | <b>0.00</b> | <b>34,726</b>   | <b>0.00</b> | <b>34,726</b>   | <b>0.00</b> | <b>34,726</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>        | <b>\$8,789</b> | <b>0.00</b> | <b>\$34,726</b> | <b>0.00</b> | <b>\$34,726</b> | <b>0.00</b> | <b>\$34,726</b> | <b>0.00</b> |

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**DIFP**
**DECISION ITEM DETAIL**

| Budget Unit               | FY 2016        | FY 2016     | FY 2017         | FY 2017     | FY 2018         | FY 2018     | FY 2018         | FY 2018     |
|---------------------------|----------------|-------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|
| Decision Item             | ACTUAL         | ACTUAL      | BUDGET          | BUDGET      | DEPT REQ        | DEPT REQ    | GOV REC         | GOV REC     |
| Budget Object Class       | DOLLAR         | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         |
| <b>BOARD OF OPTOMETRY</b> |                |             |                 |             |                 |             |                 |             |
| <b>CORE</b>               |                |             |                 |             |                 |             |                 |             |
| TRAVEL, IN-STATE          | 1,912          | 0.00        | 2,550           | 0.00        | 2,550           | 0.00        | 2,550           | 0.00        |
| TRAVEL, OUT-OF-STATE      | 646            | 0.00        | 2,712           | 0.00        | 2,712           | 0.00        | 2,712           | 0.00        |
| SUPPLIES                  | 1,682          | 0.00        | 4,225           | 0.00        | 4,225           | 0.00        | 4,225           | 0.00        |
| PROFESSIONAL DEVELOPMENT  | 1,399          | 0.00        | 2,850           | 0.00        | 2,850           | 0.00        | 2,850           | 0.00        |
| COMMUNICATION SERV & SUPP | 601            | 0.00        | 800             | 0.00        | 800             | 0.00        | 800             | 0.00        |
| PROFESSIONAL SERVICES     | 630            | 0.00        | 17,500          | 0.00        | 17,500          | 0.00        | 17,500          | 0.00        |
| M&R SERVICES              | 175            | 0.00        | 800             | 0.00        | 800             | 0.00        | 800             | 0.00        |
| OFFICE EQUIPMENT          | 194            | 0.00        | 400             | 0.00        | 400             | 0.00        | 400             | 0.00        |
| BUILDING LEASE PAYMENTS   | 965            | 0.00        | 900             | 0.00        | 900             | 0.00        | 900             | 0.00        |
| MISCELLANEOUS EXPENSES    | 585            | 0.00        | 1,989           | 0.00        | 1,989           | 0.00        | 1,989           | 0.00        |
| <b>TOTAL - EE</b>         | <b>8,789</b>   | <b>0.00</b> | <b>34,726</b>   | <b>0.00</b> | <b>34,726</b>   | <b>0.00</b> | <b>34,726</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>        | <b>\$8,789</b> | <b>0.00</b> | <b>\$34,726</b> | <b>0.00</b> | <b>\$34,726</b> | <b>0.00</b> | <b>\$34,726</b> | <b>0.00</b> |
| <b>GENERAL REVENUE</b>    | <b>\$0</b>     | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>      | <b>\$0</b>     | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> |
| <b>OTHER FUNDS</b>        | <b>\$8,789</b> | <b>0.00</b> | <b>\$34,726</b> | <b>0.00</b> | <b>\$34,726</b> | <b>0.00</b> | <b>\$34,726</b> | <b>0.00</b> |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.510

State Board of Optometry

Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

### FY 2017 PLANNED

|              | Optometry     | PR Admin      | TOTAL         |
|--------------|---------------|---------------|---------------|
| GR           | 0             | 0             | 0             |
| FEDERAL      | 0             | 0             | 0             |
| OTHER        | 34,726        | 58,624        | 93,350        |
| <b>TOTAL</b> | <b>34,726</b> | <b>58,624</b> | <b>93,350</b> |

#### 1. What does this program do?

The State Board of Optometry was established to protect the interests of the citizens of the State of Missouri by licensing and regulating optometrists in the State of Missouri.

Note: The appropriation for board personnel and board member per diem is included in the Division/Administration core appropriation.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 336.010-336.225 RSMo.

#### 3. Are there federal matching requirements? If yes, please explain.

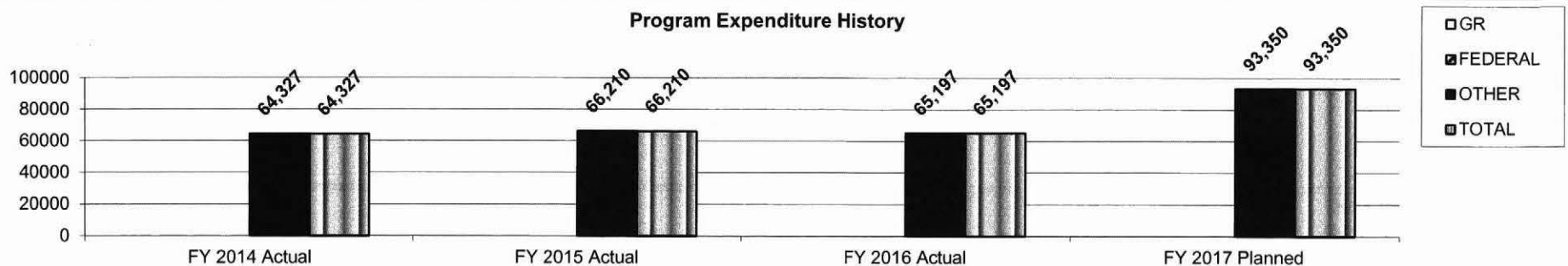
No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.510

State Board of Optometry

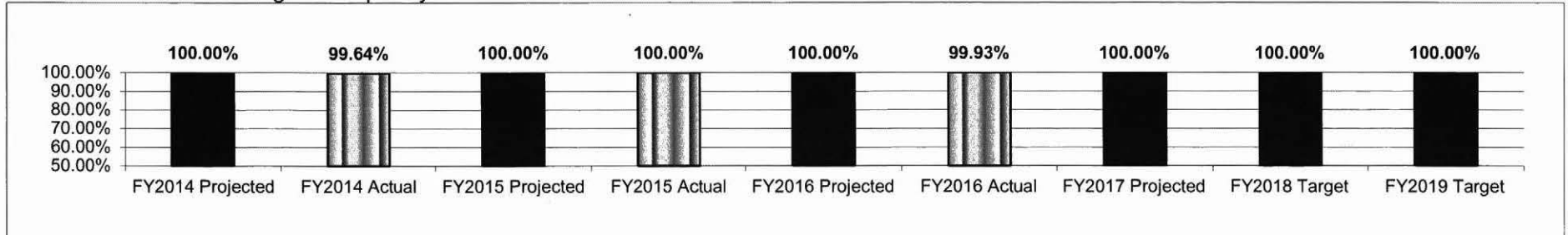
Program is found in the following core budget(s): State Board of Optometry, Professional Registration Administration

### 6. What are the sources of the "Other " funds?

State Board of Optometry (0636)

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 70        | 56     | 70        | 54     | 70        | 54     | 55        | 71     | 55     |
| Licensed Professionals | 1,350     | 1,370  | 1,350     | 1,321  | 1,350     | 1,387  | 1,365     | 1,281  | 1,300  |

### 7d. Provide a customer satisfaction measure, if available.

None available.

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# CORE DECISION ITEM

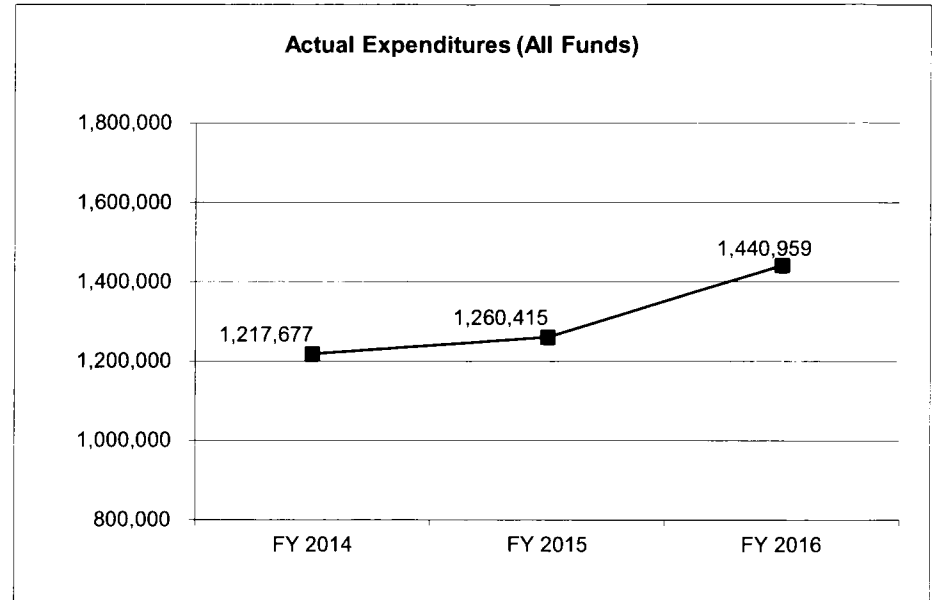
|  |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |
|--|-------------------------------|----------------|------------------|------------------|----------------------------------|--|--|----------------|------------------|------------------|----------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>   |                               |                |                  |                  | <b>Budget Unit</b> <u>42760C</u> |  |  |                |                  |                  |          |
| <b>Professional Registration</b>   |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |
| <b>Core - Missouri Board of Pharmacy</b>   |                               |                |                  |                  | <b>HB Section</b> <u>7.515</u>   |  |  |                |                  |                  |          |
| <b>1. CORE FINANCIAL SUMMARY</b>   |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |
|  | <b>FY 2018 Budget Request</b> |                |                  |                  |                                  |  | <b>FY 2018 Governor's Recommendation</b> |                |                  |                  |          |
|  | <b>GR</b>                     | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>E</b>                         |  | <b>GR</b>                                | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>E</b> |
| <b>PS</b>  | 0                             | 0              | 1,089,799        | 1,089,799        |                                  | <b>PS</b>  | 0  | 0              | 1,089,799        | 1,089,799        |          |
| <b>EE</b>  | 0                             | 0              | 653,418          | 653,418          |                                  | <b>EE</b>  | 0  | 0              | 653,418          | 653,418          |          |
| <b>PSD</b>   | 0                             | 0              | 20,000           | 20,000           |                                  | <b>PSD</b>   | 0  | 0              | 20,000           | 20,000           |          |
| <b>TRF</b>   | 0                             | 0              | 0                | 0                |                                  | <b>TRF</b>   | 0  | 0              | 0                | 0                |          |
| <b>Total</b>   | <u>0</u>                      | <u>0</u>       | <u>1,763,217</u> | <u>1,763,217</u> |                                  | <b>Total</b>   | <u>0</u>                                 | <u>0</u>       | <u>1,763,217</u> | <u>1,763,217</u> |          |
| <b>FTE</b>   | <b>0.00</b>                   | <b>0.00</b>    | <b>16.00</b>     | <b>16.00</b>     |                                  | <b>FTE</b>   | <b>0.00</b>                              | <b>0.00</b>    | <b>16.00</b>     | <b>16.00</b>     |          |
| <b>Est. Fringe</b>   | 0                             | 0              | 462,443          | 462,443          |                                  | <b>Est. Fringe</b>   | 0  | 0              | 462,443          | 462,443          |          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>   |                               |                |                  |                  |                                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |  |                |                  |                  |          |
| Other Funds:     Board of Pharmacy Fund (0637)   |                               |                |                  |                  |                                  | Other Funds: Board of Pharmacy Fund (0637)   |  |                |                  |                  |          |
| <b>2. CORE DESCRIPTION</b>   |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |
| <p>The core program request is necessary to ensure the continued high quality of service provided by pharmacies, pharmacists, pharmacy interns, pharmacy technicians, drug distributors and drug distributor registrants licensed in Missouri.</p> |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |
| Missouri Board of Pharmacy   |                               |                |                  |                  |                                  |  |  |                |                  |                  |          |

# **CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>42760C</b> |
| <b>Professional Registration</b>   |                    |               |
| <b>Core - Missouri Board of Pharmacy</b>   | <b>HB Section</b>  | <b>7.515</b>  |

## **4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 1,632,284                 | 1,626,371                 | 1,768,362                 | 1,763,217                      |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 1,632,284                 | 1,626,371                 | 1,768,362                 | 1,763,217                      |
| Actual Expenditures (All Funds) | 1,217,677                 | 1,260,415                 | 1,440,959                 | N/A                            |
| Unexpended (All Funds)          | 414,607                   | 365,956                   | 327,403                   | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 414,607                   | 365,956                   | 327,403                   | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## **NOTES:**

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

**CORE RECONCILIATION DETAIL**

**DIFP  
BOARD OF PHARMACY**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>   | <b>GR</b> | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>Explanation</b> |
|------------------------------------|-------------------------|--------------|-----------|----------------|------------------|------------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |              |           |                |                  |                  |                    |
|                                    | PS                      | 16.00        | 0         | 0              | 1,089,799        | 1,089,799        |                    |
|                                    | EE                      | 0.00         | 0         | 0              | 653,418          | 653,418          |                    |
|                                    | PD                      | 0.00         | 0         | 0              | 20,000           | 20,000           |                    |
|                                    | <b>Total</b>            | <b>16.00</b> | <b>0</b>  | <b>0</b>       | <b>1,763,217</b> | <b>1,763,217</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |              |           |                |                  |                  |                    |
|                                    | PS                      | 16.00        | 0         | 0              | 1,089,799        | 1,089,799        |                    |
|                                    | EE                      | 0.00         | 0         | 0              | 653,418          | 653,418          |                    |
|                                    | PD                      | 0.00         | 0         | 0              | 20,000           | 20,000           |                    |
|                                    | <b>Total</b>            | <b>16.00</b> | <b>0</b>  | <b>0</b>       | <b>1,763,217</b> | <b>1,763,217</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |              |           |                |                  |                  |                    |
|                                    | PS                      | 16.00        | 0         | 0              | 1,089,799        | 1,089,799        |                    |
|                                    | EE                      | 0.00         | 0         | 0              | 653,418          | 653,418          |                    |
|                                    | PD                      | 0.00         | 0         | 0              | 20,000           | 20,000           |                    |
|                                    | <b>Total</b>            | <b>16.00</b> | <b>0</b>  | <b>0</b>       | <b>1,763,217</b> | <b>1,763,217</b> |                    |

**DIFP**

**DECISION ITEM SUMMARY**

| Budget Unit              |                    |              |                    |              |                    |              |                    |              |  |
|--------------------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--|
| Decision Item            | FY 2016            | FY 2016      | FY 2017            | FY 2017      | FY 2018            | FY 2018      | FY 2018            | FY 2018      |  |
| Budget Object Summary    | ACTUAL             | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |  |
| Fund                     | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |  |
| <b>BOARD OF PHARMACY</b> |                    |              |                    |              |                    |              |                    |              |  |
| <b>CORE</b>              |                    |              |                    |              |                    |              |                    |              |  |
| PERSONAL SERVICES        |                    |              |                    |              |                    |              |                    |              |  |
| BOARD OF PHARMACY        | 1,007,287          | 16.72        | 1,089,799          | 16.00        | 1,089,799          | 16.00        | 1,089,799          | 16.00        |  |
| TOTAL - PS               | 1,007,287          | 16.72        | 1,089,799          | 16.00        | 1,089,799          | 16.00        | 1,089,799          | 16.00        |  |
| EXPENSE & EQUIPMENT      |                    |              |                    |              |                    |              |                    |              |  |
| BOARD OF PHARMACY        | 432,942            | 0.00         | 653,418            | 0.00         | 653,418            | 0.00         | 653,418            | 0.00         |  |
| TOTAL - EE               | 432,942            | 0.00         | 653,418            | 0.00         | 653,418            | 0.00         | 653,418            | 0.00         |  |
| PROGRAM-SPECIFIC         |                    |              |                    |              |                    |              |                    |              |  |
| BOARD OF PHARMACY        | 730                | 0.00         | 20,000             | 0.00         | 20,000             | 0.00         | 20,000             | 0.00         |  |
| TOTAL - PD               | 730                | 0.00         | 20,000             | 0.00         | 20,000             | 0.00         | 20,000             | 0.00         |  |
| <b>TOTAL</b>             | <b>1,440,959</b>   | <b>16.72</b> | <b>1,763,217</b>   | <b>16.00</b> | <b>1,763,217</b>   | <b>16.00</b> | <b>1,763,217</b>   | <b>16.00</b> |  |
| <b>GRAND TOTAL</b>       | <b>\$1,440,959</b> | <b>16.72</b> | <b>\$1,763,217</b> | <b>16.00</b> | <b>\$1,763,217</b> | <b>16.00</b> | <b>\$1,763,217</b> | <b>16.00</b> |  |

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## FLEXIBILITY REQUEST FORM

|  |  |
|--|--|
| <b>BUDGET UNIT NUMBER:</b> 42760C<br><b>BUDGET UNIT NAME:</b> Missouri Board of Pharmacy<br><b>HOUSE BILL SECTION:</b> 7.515 | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><br><b>DIVISION:</b> Professional Registration |
|--|--|

**1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.**

| DEPARTMENT REQUEST        | GOVERNOR'S RECOMMENDATION   |
|---------------------------|---|
| No flexibility requested. | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |

**2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.**

| PRIOR YEAR<br>ACTUAL AMOUNT OF FLEXIBILITY USED                    | CURRENT YEAR<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED     | BUDGET REQUEST<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED |
|--|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. | The department will use flexibility only if necessary.                 |

**3. Please explain how flexibility was used in the prior and/or current years.**

| PRIOR YEAR<br>EXPLAIN ACTUAL USE                                   | CURRENT YEAR<br>EXPLAIN PLANNED USE                                      |
|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. |

**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                    | FY 2016          | FY 2016      | FY 2017          | FY 2017      | FY 2018          | FY 2018      | FY 2018          | FY 2018      |
|--------------------------------|------------------|--------------|------------------|--------------|------------------|--------------|------------------|--------------|
| Decision Item                  | ACTUAL           | ACTUAL       | BUDGET           | BUDGET       | DEPT REQ         | DEPT REQ     | GOV REC          | GOV REC      |
| Budget Object Class            | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          | DOLLAR           | FTE          |
| <b>BOARD OF PHARMACY</b>       |                  |              |                  |              |                  |              |                  |              |
| <b>CORE</b>                    |                  |              |                  |              |                  |              |                  |              |
| OFFICE SUPPORT ASSISTANT       | 0                | 0.00         | 28,560           | 1.00         | 0                | 0.00         | 0                | 0.00         |
| SR OFFICE SUPPORT ASSISTANT    | 21,473           | 0.82         | 0                | 0.00         | 27,500           | 1.00         | 27,500           | 1.00         |
| PHARMACEUTICAL CNSLT           | 729,146          | 8.76         | 787,407          | 9.00         | 781,107          | 9.00         | 781,107          | 9.00         |
| INVESTIGATOR I                 | 27,956           | 0.86         | 33,470           | 1.00         | 35,770           | 1.00         | 35,770           | 1.00         |
| PROF REG ADMSTV COOR           | 51,230           | 1.25         | 43,050           | 1.00         | 43,050           | 1.00         | 43,050           | 1.00         |
| PROCESSING TECHNICIAN I        | 24,982           | 1.03         | 28,503           | 1.00         | 28,503           | 1.00         | 28,503           | 1.00         |
| PROCESSING TECHNICIAN II       | 25,080           | 0.93         | 31,379           | 1.00         | 29,939           | 1.00         | 29,939           | 1.00         |
| PROCESSING TECHNICIAN III      | 31,607           | 1.04         | 30,493           | 1.00         | 32,993           | 1.00         | 32,993           | 1.00         |
| BOARD MEMBER                   | 1,463            | 0.11         | 11,851           | 0.00         | 11,851           | 0.00         | 11,851           | 0.00         |
| CLERK                          | 24,739           | 0.92         | 21,135           | 0.00         | 25,135           | 0.00         | 25,135           | 0.00         |
| PRINCIPAL ASST BOARD/COMMISSON | 69,611           | 1.00         | 73,951           | 1.00         | 73,951           | 1.00         | 73,951           | 1.00         |
| <b>TOTAL - PS</b>              | <b>1,007,287</b> | <b>16.72</b> | <b>1,089,799</b> | <b>16.00</b> | <b>1,089,799</b> | <b>16.00</b> | <b>1,089,799</b> | <b>16.00</b> |
| TRAVEL, IN-STATE               | 28,014           | 0.00         | 25,000           | 0.00         | 25,000           | 0.00         | 25,000           | 0.00         |
| TRAVEL, OUT-OF-STATE           | 14,839           | 0.00         | 20,000           | 0.00         | 20,000           | 0.00         | 20,000           | 0.00         |
| SUPPLIES                       | 55,998           | 0.00         | 61,190           | 0.00         | 61,190           | 0.00         | 61,190           | 0.00         |
| PROFESSIONAL DEVELOPMENT       | 18,607           | 0.00         | 13,300           | 0.00         | 27,000           | 0.00         | 27,000           | 0.00         |
| COMMUNICATION SERV & SUPP      | 23,232           | 0.00         | 18,480           | 0.00         | 25,000           | 0.00         | 25,000           | 0.00         |
| PROFESSIONAL SERVICES          | 224,250          | 0.00         | 448,600          | 0.00         | 428,380          | 0.00         | 428,380          | 0.00         |
| HOUSEKEEPING & JANITORIAL SERV | 50               | 0.00         | 0                | 0.00         | 0                | 0.00         | 0                | 0.00         |
| M&R SERVICES                   | 4,815            | 0.00         | 13,000           | 0.00         | 13,000           | 0.00         | 13,000           | 0.00         |
| MOTORIZED EQUIPMENT            | 45,438           | 0.00         | 32,000           | 0.00         | 32,000           | 0.00         | 32,000           | 0.00         |
| OFFICE EQUIPMENT               | 475              | 0.00         | 3,000            | 0.00         | 3,000            | 0.00         | 3,000            | 0.00         |
| OTHER EQUIPMENT                | 656              | 0.00         | 0                | 0.00         | 0                | 0.00         | 0                | 0.00         |
| PROPERTY & IMPROVEMENTS        | 0                | 0.00         | 5,000            | 0.00         | 5,000            | 0.00         | 5,000            | 0.00         |
| BUILDING LEASE PAYMENTS        | 3,333            | 0.00         | 3,000            | 0.00         | 3,000            | 0.00         | 3,000            | 0.00         |
| EQUIPMENT RENTALS & LEASES     | 2,320            | 0.00         | 500              | 0.00         | 500              | 0.00         | 500              | 0.00         |
| MISCELLANEOUS EXPENSES         | 10,915           | 0.00         | 10,348           | 0.00         | 10,348           | 0.00         | 10,348           | 0.00         |
| <b>TOTAL - EE</b>              | <b>432,942</b>   | <b>0.00</b>  | <b>653,418</b>   | <b>0.00</b>  | <b>653,418</b>   | <b>0.00</b>  | <b>653,418</b>   | <b>0.00</b>  |

**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit              | FY 2016            | FY 2016      | FY 2017            | FY 2017      | FY 2018            | FY 2018      | FY 2018            | FY 2018      |
|--------------------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|
| Decision Item            | ACTUAL             | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |
| Budget Object Class      | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |
| <b>BOARD OF PHARMACY</b> |                    |              |                    |              |                    |              |                    |              |
| <b>CORE</b>              |                    |              |                    |              |                    |              |                    |              |
| PROGRAM DISTRIBUTIONS    | 730                | 0.00         | 20,000             | 0.00         | 20,000             | 0.00         | 20,000             | 0.00         |
| TOTAL - PD               | 730                | 0.00         | 20,000             | 0.00         | 20,000             | 0.00         | 20,000             | 0.00         |
| <b>GRAND TOTAL</b>       | <b>\$1,440,959</b> | <b>16.72</b> | <b>\$1,763,217</b> | <b>16.00</b> | <b>\$1,763,217</b> | <b>16.00</b> | <b>\$1,763,217</b> | <b>16.00</b> |
| GENERAL REVENUE          | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         |
| FEDERAL FUNDS            | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         | \$0                | 0.00         |
| OTHER FUNDS              | \$1,440,959        | 16.72        | \$1,763,217        | 16.00        | \$1,763,217        | 16.00        | \$1,763,217        | 16.00        |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.515

Missouri Board of Pharmacy

Program is found in the following core budget(s): Missouri Board of Pharmacy

### 1. What does this program do?

The Missouri Board of Pharmacy was established to protect the interests of the citizens of the State of Missouri by licensing and regulating pharmacies, pharmacists, pharmacy interns, pharmacy technicians, drug distributors and drug distributor registrants in the State of Missouri.

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 338.010-338.550 RSMo.

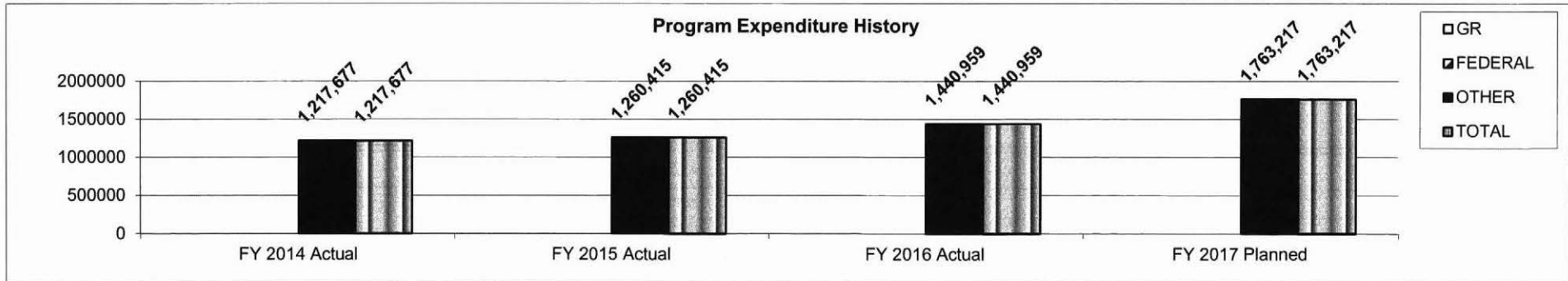
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Board of Pharmacy Fund (0637)



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

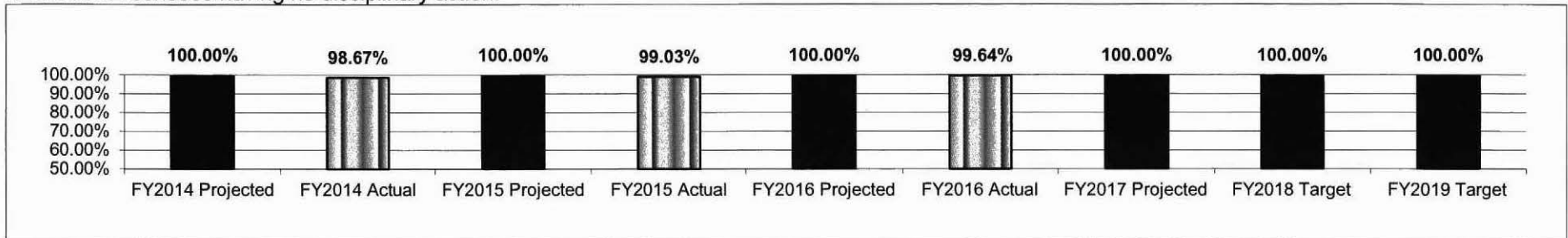
HB Section(s): 7.515

Missouri Board of Pharmacy

Program is found in the following core budget(s): Missouri Board of Pharmacy

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 7,000     | 7,094  | 7,000     | 7,060  | 7,000     | 7,845  | 6,750     | 6,443  | 6,850  |
| Licensed Professionals | 33,500    | 34,687 | 33,500    | 34,759 | 33,500    | 36,236 | 32,100    | 29,645 | 34,000 |

**7d. Provide a customer satisfaction measure, if available.**

None available.

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# CORE DECISION ITEM

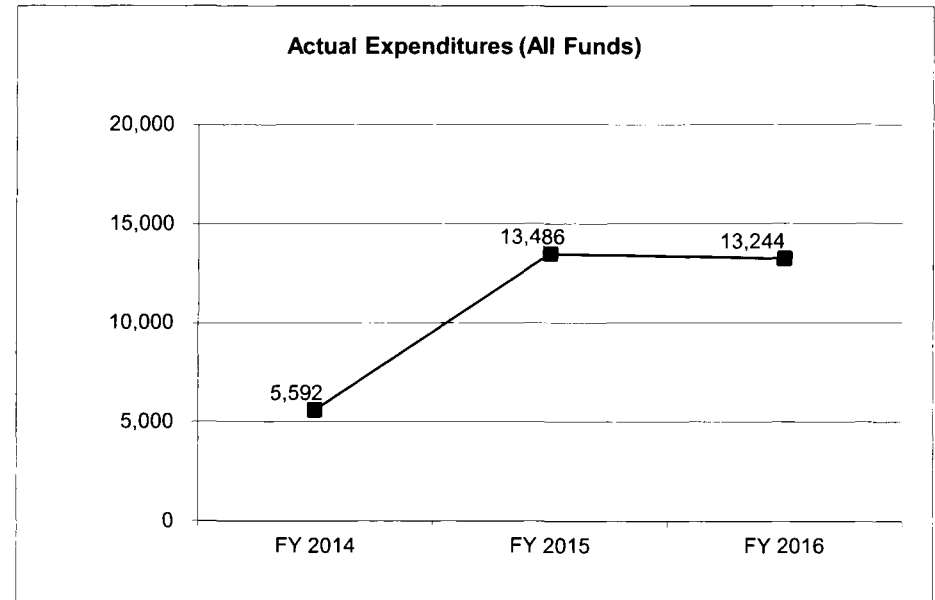
|  |          |          |               |               |                                   |  |          |          |               |               |   |
|--|----------|----------|---------------|---------------|-----------------------------------|--|----------|----------|---------------|---------------|---|
| Department of Insurance, Financial Institutions and Professional Registration  |          |          |               |               | Budget Unit <u>42770C</u>         |  |          |          |               |               |   |
| Professional Registration  |          |          |               |               | HB Section <u>7.520</u>           |  |          |          |               |               |   |
| Core - State Board of Podiatric Medicine   |          |          |               |               |                                   |  |          |          |               |               |   |
| <b>1. CORE FINANCIAL SUMMARY</b>   |          |          |               |               |                                   |  |          |          |               |               |   |
| FY 2018 Budget Request   |          |          |               |               | FY 2018 Governor's Recommendation |  |          |          |               |               |   |
|  | GR       | Federal  | Other         | Total         | E                                 |  | GR       | Federal  | Other         | Total         | E |
| PS   | 0        | 0        | 0             | 0             |                                   | PS   | 0        | 0        | 0             | 0             |   |
| EE   | 0        | 0        | 13,734        | 13,734        |                                   | EE   | 0        | 0        | 13,734        | 13,734        |   |
| PSD  | 0        | 0        | 0             | 0             |                                   | PSD  | 0        | 0        | 0             | 0             |   |
| TRF  | 0        | 0        | 0             | 0             |                                   | TRF  | 0        | 0        | 0             | 0             |   |
| Total  | <u>0</u> | <u>0</u> | <u>13,734</u> | <u>13,734</u> |                                   | Total  | <u>0</u> | <u>0</u> | <u>13,734</u> | <u>13,734</u> |   |
| FTE  | 0.00     | 0.00     | 0.00          | 0.00          |                                   | FTE  | 0.00     | 0.00     | 0.00          | 0.00          |   |
| <b>Est. Fringe</b>   | <u>0</u> | <u>0</u> | <u>0</u>      | <u>0</u>      |                                   | <b>Est. Fringe</b>   | <u>0</u> | <u>0</u> | <u>0</u>      | <u>0</u>      |   |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |          |          |               |               |                                   | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |          |          |               |               |   |
| Other Funds: State Board of Podiatric Medicine Fund (0629)   |          |          |               |               |                                   | Other Funds: State Board of Podiatric Medicine Fund (0629)   |          |          |               |               |   |
| <b>2. CORE DESCRIPTION</b>   |          |          |               |               |                                   |  |          |          |               |               |   |
| The core program request is necessary to ensure the continued high quality of service provided by podiatrists licensed in Missouri.    |          |          |               |               |                                   |  |          |          |               |               |   |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |          |          |               |               |                                   |  |          |          |               |               |   |
| State Board of Podiatric Medicine  |          |          |               |               |                                   |  |          |          |               |               |   |

# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42770C |
| Professional Registration   |             |        |
| Core - State Board of Podiatric Medicine                                      | HB Section  | 7.520  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 13,734            | 13,734            | 13,734            | 13,734                 |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 13,734            | 13,734            | 13,734            | 13,734                 |
| Actual Expenditures (All Funds) | 5,592             | 13,486            | 13,244            | N/A                    |
| Unexpended (All Funds)          | 8,142             | 248               | 490               | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 8,142             | 248               | 490               | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Unexpended amount due to less than anticipated expenditures.
- (2) Unexpended amount due to less than anticipated expenditures.
- (3) Unexpended amount due to less than anticipated expenditures.

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**CORE RECONCILIATION DETAIL**

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DIFP  
BOARD OF PODIATRIC MEDICINE

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**5. CORE RECONCILIATION DETAIL**

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|                                    | Budget<br>Class | FTE         | GR       | Federal  | Other         | Total         | Explanation |
|------------------------------------|-----------------|-------------|----------|----------|---------------|---------------|-------------|
| <b>TAFP AFTER VETOES</b>           |                 |             |          |          |               |               |             |
|                                    | EE              | 0.00        | 0        | 0        | 13,734        | 13,734        |             |
|                                    | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>13,734</b> | <b>13,734</b> |             |
| <b>DEPARTMENT CORE REQUEST</b>     |                 |             |          |          |               |               |             |
|                                    | EE              | 0.00        | 0        | 0        | 13,734        | 13,734        |             |
|                                    | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>13,734</b> | <b>13,734</b> |             |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                 |             |          |          |               |               |             |
|                                    | EE              | 0.00        | 0        | 0        | 13,734        | 13,734        |             |
|                                    | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>13,734</b> | <b>13,734</b> |             |

**DIFP****DECISION ITEM SUMMARY**

| <b>Budget Unit</b>                 |                 |                |                 |                |                 |                 |                 |                |
|------------------------------------|-----------------|----------------|-----------------|----------------|-----------------|-----------------|-----------------|----------------|
| <b>Decision Item</b>               | <b>FY 2016</b>  | <b>FY 2016</b> | <b>FY 2017</b>  | <b>FY 2017</b> | <b>FY 2018</b>  | <b>FY 2018</b>  | <b>FY 2018</b>  | <b>FY 2018</b> |
| <b>Budget Object Summary</b>       | <b>ACTUAL</b>   | <b>ACTUAL</b>  | <b>BUDGET</b>   | <b>BUDGET</b>  | <b>DEPT REQ</b> | <b>DEPT REQ</b> | <b>GOV REC</b>  | <b>GOV REC</b> |
| <b>Fund</b>                        | <b>DOLLAR</b>   | <b>FTE</b>     | <b>DOLLAR</b>   | <b>FTE</b>     | <b>DOLLAR</b>   | <b>FTE</b>      | <b>DOLLAR</b>   | <b>FTE</b>     |
| <b>BOARD OF PODIATRIC MEDICINE</b> |                 |                |                 |                |                 |                 |                 |                |
| <b>CORE</b>                        |                 |                |                 |                |                 |                 |                 |                |
| <b>EXPENSE &amp; EQUIPMENT</b>     |                 |                |                 |                |                 |                 |                 |                |
| BOARD OF PODIATRIC MEDICINE        | 13,244          | 0.00           | 13,734          | 0.00           | 13,734          | 0.00            | 13,734          | 0.00           |
| TOTAL - EE                         | 13,244          | 0.00           | 13,734          | 0.00           | 13,734          | 0.00            | 13,734          | 0.00           |
| <b>TOTAL</b>                       | <b>13,244</b>   | <b>0.00</b>    | <b>13,734</b>   | <b>0.00</b>    | <b>13,734</b>   | <b>0.00</b>     | <b>13,734</b>   | <b>0.00</b>    |
| <b>GRAND TOTAL</b>                 | <b>\$13,244</b> | <b>0.00</b>    | <b>\$13,734</b> | <b>0.00</b>    | <b>\$13,734</b> | <b>0.00</b>     | <b>\$13,734</b> | <b>0.00</b>    |

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**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                        | FY 2016         | FY 2016     | FY 2017         | FY 2017     | FY 2018         | FY 2018     | FY 2018         | FY 2018     |
|------------------------------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|-----------------|-------------|
| Decision Item                      | ACTUAL          | ACTUAL      | BUDGET          | BUDGET      | DEPT REQ        | DEPT REQ    | GOV REC         | GOV REC     |
| Budget Object Class                | DOLLAR          | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         | DOLLAR          | FTE         |
| <b>BOARD OF PODIATRIC MEDICINE</b> |                 |             |                 |             |                 |             |                 |             |
| <b>CORE</b>                        |                 |             |                 |             |                 |             |                 |             |
| TRAVEL, IN-STATE                   | 449             | 0.00        | 315             | 0.00        | 315             | 0.00        | 315             | 0.00        |
| TRAVEL, OUT-OF-STATE               | 19              | 0.00        | 0               | 0.00        | 0               | 0.00        | 0               | 0.00        |
| SUPPLIES                           | 2,452           | 0.00        | 1,900           | 0.00        | 1,900           | 0.00        | 1,900           | 0.00        |
| PROFESSIONAL DEVELOPMENT           | 2,498           | 0.00        | 2,850           | 0.00        | 2,850           | 0.00        | 2,850           | 0.00        |
| COMMUNICATION SERV & SUPP          | 352             | 0.00        | 720             | 0.00        | 720             | 0.00        | 720             | 0.00        |
| PROFESSIONAL SERVICES              | 6,599           | 0.00        | 5,499           | 0.00        | 5,499           | 0.00        | 5,499           | 0.00        |
| M&R SERVICES                       | 391             | 0.00        | 300             | 0.00        | 300             | 0.00        | 300             | 0.00        |
| OFFICE EQUIPMENT                   | 97              | 0.00        | 1,000           | 0.00        | 1,000           | 0.00        | 1,000           | 0.00        |
| OTHER EQUIPMENT                    | 116             | 0.00        | 0               | 0.00        | 0               | 0.00        | 0               | 0.00        |
| PROPERTY & IMPROVEMENTS            | 0               | 0.00        | 1,000           | 0.00        | 1,000           | 0.00        | 1,000           | 0.00        |
| BUILDING LEASE PAYMENTS            | 64              | 0.00        | 0               | 0.00        | 0               | 0.00        | 0               | 0.00        |
| MISCELLANEOUS EXPENSES             | 207             | 0.00        | 150             | 0.00        | 150             | 0.00        | 150             | 0.00        |
| <b>TOTAL - EE</b>                  | <b>13,244</b>   | <b>0.00</b> | <b>13,734</b>   | <b>0.00</b> | <b>13,734</b>   | <b>0.00</b> | <b>13,734</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                 | <b>\$13,244</b> | <b>0.00</b> | <b>\$13,734</b> | <b>0.00</b> | <b>\$13,734</b> | <b>0.00</b> | <b>\$13,734</b> | <b>0.00</b> |
| <b>GENERAL REVENUE</b>             | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>               | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>      | <b>0.00</b> |
| <b>OTHER FUNDS</b>                 | <b>\$13,244</b> | <b>0.00</b> | <b>\$13,734</b> | <b>0.00</b> | <b>\$13,734</b> | <b>0.00</b> | <b>\$13,734</b> | <b>0.00</b> |



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.520

State Board of Podiatric Medicine

Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

### FY 2017 PLANNED

|              | Podiatry      | PR Admin      | TOTAL         |
|--------------|---------------|---------------|---------------|
| GR           | 0             | 0             | 0             |
| FEDERAL      | 0             | 0             | 0             |
| OTHER        | 13,734        | 28,710        | 42,444        |
| <b>TOTAL</b> | <b>13,734</b> | <b>28,710</b> | <b>42,444</b> |

#### 1. What does this program do?

The State Board of Podiatric Medicine was established to protect the interests of the citizens of the State of Missouri by licensing and regulating podiatrists in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 330.010-330.210 RSMo.

#### 3. Are there federal matching requirements? If yes, please explain.

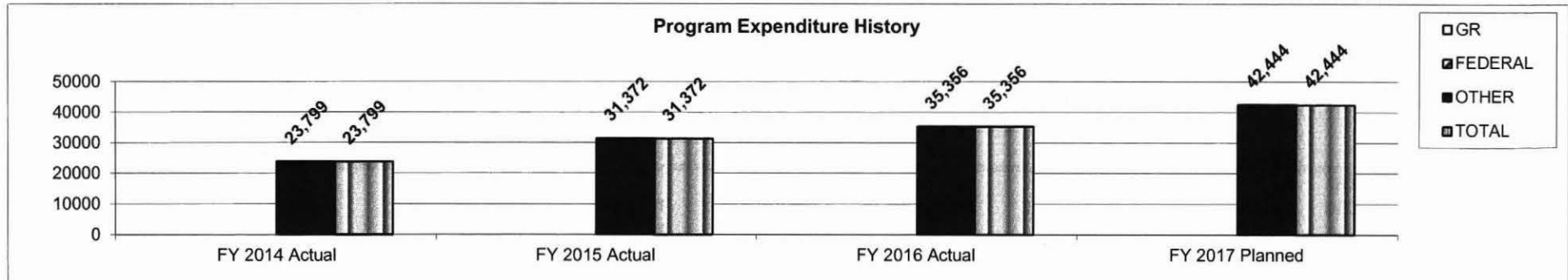
No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.520

State Board of Podiatric Medicine

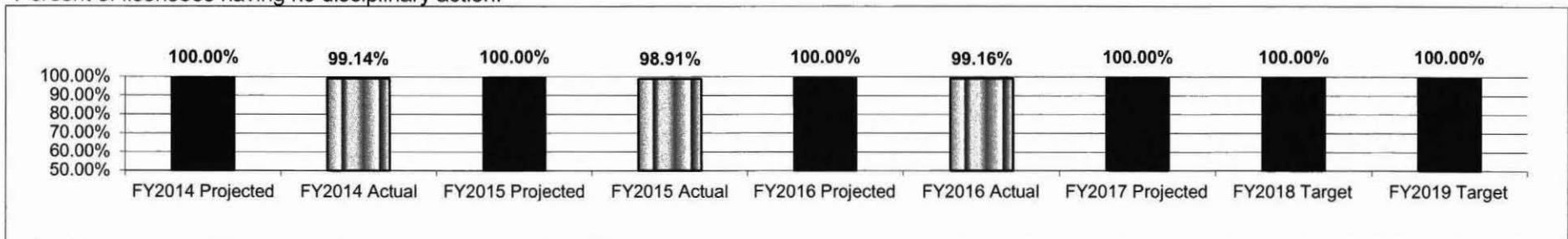
Program is found in the following core budget(s): State Board of Podiatric Medicine, Professional Registration Administration

### 6. What are the sources of the "Other " funds?

State Board of Podiatric Medicine (0629)

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 40        | 36     | 40        | 48     | 40        | 37     | 40        | 63     | 28     |
| Licensed Professionals | 375       | 349    | 375       | 367    | 375       | 357    | 343       | 315    | 343    |

### 7d. Provide a customer satisfaction measure, if available.

None available.

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**CORE DECISION ITEM**

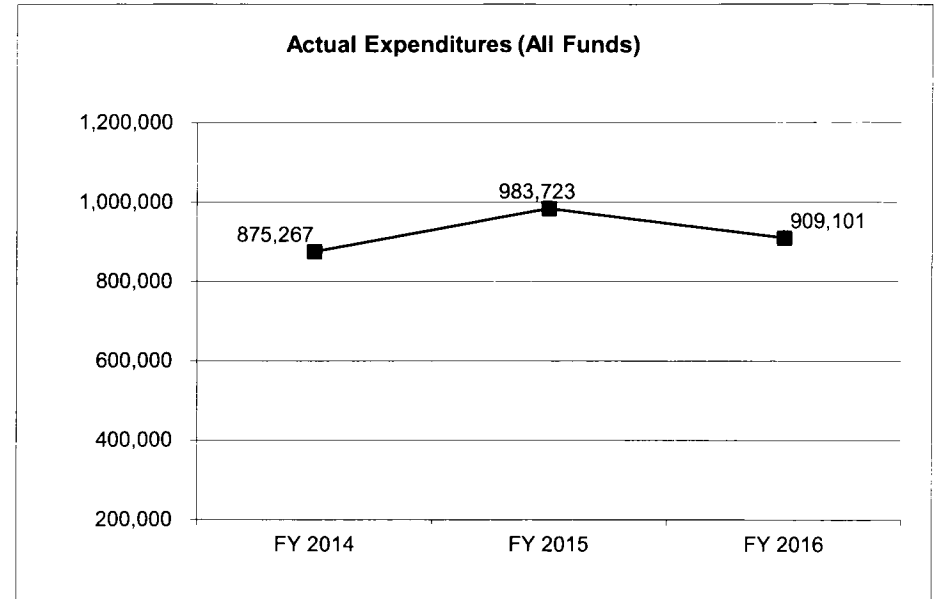
|   |                               |                |                  |                  |                                  |  |  |                |                  |                  |
|---|-------------------------------|----------------|------------------|------------------|----------------------------------|--|--|----------------|------------------|------------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>  |                               |                |                  |                  | <b>Budget Unit</b> <u>42780C</u> |  |  |                |                  |                  |
| <b>Professional Registration</b>  |                               |                |                  |                  |                                  |  |  |                |                  |                  |
| <b>Core - Missouri Real Estate Commission</b>   |                               |                |                  |                  | <b>HB Section</b> <u>7.525</u>   |  |  |                |                  |                  |
| <b>1. CORE FINANCIAL SUMMARY</b>  |                               |                |                  |                  |                                  |  |  |                |                  |                  |
|   | <b>FY 2018 Budget Request</b> |                |                  |                  |                                  |  | <b>FY 2018 Governor's Recommendation</b> |                |                  |                  |
|   | <b>GR</b>                     | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>E</b>                         |  | <b>GR</b>                                | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     |
| <b>PS</b>   | 0                             | 0              | 954,485          | 954,485          |                                  | <b>PS</b>  | 0  | 0              | 954,485          | 954,485          |
| <b>EE</b>   | 0                             | 0              | 276,669          | 276,669          |                                  | <b>EE</b>  | 0  | 0              | 276,669          | 276,669          |
| <b>PSD</b>  | 0                             | 0              | 0                | 0                |                                  | <b>PSD</b>   | 0  | 0              | 0                | 0                |
| <b>TRF</b>  | 0                             | 0              | 0                | 0                |                                  | <b>TRF</b>   | 0  | 0              | 0                | 0                |
| <b>Total</b>  | <u>0</u>                      | <u>0</u>       | <u>1,231,154</u> | <u>1,231,154</u> |                                  | <b>Total</b>   | <u>0</u>                                 | <u>0</u>       | <u>1,231,154</u> | <u>1,231,154</u> |
| <b>FTE</b>  | 0.00                          | 0.00           | 25.00            | 25.00            |                                  | <b>FTE</b>   | 0.00                                     | 0.00           | 25.00            | 25.00            |
| <b>Est. Fringe</b>  | 0                             | 0              | 518,274          | 518,274          |                                  | <b>Est. Fringe</b>   | 0  | 0              | 518,274          | 518,274          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>                      |                               |                |                  |                  |                                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |  |                |                  |                  |
| Other Funds:     Missouri Real Estate Commission Fund (0638)  |                               |                |                  |                  |                                  | Other Funds: Missouri Real Estate Commission Fund (0638)   |  |                |                  |                  |
| <b>2. CORE DESCRIPTION</b>  |                               |                |                  |                  |                                  |  |  |                |                  |                  |
| The core program request is necessary to ensure the continued high quality of service provided by real estate brokers and salesperson licensed in Missouri. |                               |                |                  |                  |                                  |  |  |                |                  |                  |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |                               |                |                  |                  |                                  |  |  |                |                  |                  |
| Missouri Real Estate Commission   |                               |                |                  |                  |                                  |  |  |                |                  |                  |

# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42780C |
| Professional Registration   |             |        |
| Core - Missouri Real Estate Commission  | HB Section  | 7.525  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 1,196,917         | 1,207,416         | 1,212,438         | 1,231,154              |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 1,196,917         | 1,207,416         | 1,212,438         | 1,231,154              |
| Actual Expenditures (All Funds) | 875,267           | 983,723           | 909,101           | N/A                    |
| Unexpended (All Funds)          | 321,650           | 223,693           | 303,337           | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 321,650           | 223,693           | 303,337           | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

**CORE RECONCILIATION DETAIL**

**DIFP  
MO REAL ESTATE COMMISSION**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>   | <b>GR</b> | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>Explanation</b> |
|------------------------------------|-------------------------|--------------|-----------|----------------|------------------|------------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |              |           |                |                  |                  |                    |
|                                    | PS                      | 25.00        | 0         | 0              | 954,485          | 954,485          |                    |
|                                    | EE                      | 0.00         | 0         | 0              | 276,669          | 276,669          |                    |
|                                    | <b>Total</b>            | <b>25.00</b> | <b>0</b>  | <b>0</b>       | <b>1,231,154</b> | <b>1,231,154</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |              |           |                |                  |                  |                    |
|                                    | PS                      | 25.00        | 0         | 0              | 954,485          | 954,485          |                    |
|                                    | EE                      | 0.00         | 0         | 0              | 276,669          | 276,669          |                    |
|                                    | <b>Total</b>            | <b>25.00</b> | <b>0</b>  | <b>0</b>       | <b>1,231,154</b> | <b>1,231,154</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |              |           |                |                  |                  |                    |
|                                    | PS                      | 25.00        | 0         | 0              | 954,485          | 954,485          |                    |
|                                    | EE                      | 0.00         | 0         | 0              | 276,669          | 276,669          |                    |
|                                    | <b>Total</b>            | <b>25.00</b> | <b>0</b>  | <b>0</b>       | <b>1,231,154</b> | <b>1,231,154</b> |                    |

**DIFP**

**DECISION ITEM SUMMARY**

| Budget Unit                      |                  |              |                    |              |                    |              |                    |              |
|----------------------------------|------------------|--------------|--------------------|--------------|--------------------|--------------|--------------------|--------------|
| Decision Item                    | FY 2016          | FY 2016      | FY 2017            | FY 2017      | FY 2018            | FY 2018      | FY 2018            | FY 2018      |
| Budget Object Summary            | ACTUAL           | ACTUAL       | BUDGET             | BUDGET       | DEPT REQ           | DEPT REQ     | GOV REC            | GOV REC      |
| Fund                             | DOLLAR           | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          | DOLLAR             | FTE          |
| <b>MO REAL ESTATE COMMISSION</b> |                  |              |                    |              |                    |              |                    |              |
| <b>CORE</b>                      |                  |              |                    |              |                    |              |                    |              |
| PERSONAL SERVICES                |                  |              |                    |              |                    |              |                    |              |
| MO REAL ESTATE COMMISSION        | 776,521          | 21.29        | 954,485            | 25.00        | 954,485            | 25.00        | 954,485            | 25.00        |
| TOTAL - PS                       | 776,521          | 21.29        | 954,485            | 25.00        | 954,485            | 25.00        | 954,485            | 25.00        |
| EXPENSE & EQUIPMENT              |                  |              |                    |              |                    |              |                    |              |
| MO REAL ESTATE COMMISSION        | 132,580          | 0.00         | 276,669            | 0.00         | 276,669            | 0.00         | 276,669            | 0.00         |
| TOTAL - EE                       | 132,580          | 0.00         | 276,669            | 0.00         | 276,669            | 0.00         | 276,669            | 0.00         |
| <b>TOTAL</b>                     | <b>909,101</b>   | <b>21.29</b> | <b>1,231,154</b>   | <b>25.00</b> | <b>1,231,154</b>   | <b>25.00</b> | <b>1,231,154</b>   | <b>25.00</b> |
| <b>GRAND TOTAL</b>               | <b>\$909,101</b> | <b>21.29</b> | <b>\$1,231,154</b> | <b>25.00</b> | <b>\$1,231,154</b> | <b>25.00</b> | <b>\$1,231,154</b> | <b>25.00</b> |

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## FLEXIBILITY REQUEST FORM

|   |  |
|---|--|
| <b>BUDGET UNIT NUMBER:</b> 42780C<br><b>BUDGET UNIT NAME:</b> Missouri Real Estate Commission<br><b>HOUSE BILL SECTION:</b> 7.525 | <b>DEPARTMENT:</b> Insurance, Financial Institutions and Professional Registration<br><b>DIVISION:</b> Professional Registration |
|---|--|

**1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.**

| DEPARTMENT REQUEST        | GOVERNOR'S RECOMMENDATION   |
|---------------------------|---|
| No flexibility requested. | Provided that not more than 25% flexibility is allowed between personal service and expense & equipment, and not more than 25% flexibility is allowed between divisions within the department, and not more than 10% flexibility is allowed to reallocation of personal service and expense & equipment between executive branch departments provided that the total fte for the state does not increase. |

**2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.**

| PRIOR YEAR<br>ACTUAL AMOUNT OF FLEXIBILITY USED                    | CURRENT YEAR<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED     | BUDGET REQUEST<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED |
|--|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. | The department will use flexibility only if necessary.                 |

**3. Please explain how flexibility was used in the prior and/or current years.**

| PRIOR YEAR<br>EXPLAIN ACTUAL USE                                   | CURRENT YEAR<br>EXPLAIN PLANNED USE                                      |
|--|--|
| The department did not have any flexibility in prior year budgets. | The department does not have any flexibility in the current year budget. |

**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                      | FY 2016        | FY 2016      | FY 2017        | FY 2017      | FY 2018        | FY 2018      | FY 2018        | FY 2018      |
|----------------------------------|----------------|--------------|----------------|--------------|----------------|--------------|----------------|--------------|
| Decision Item                    | ACTUAL         | ACTUAL       | BUDGET         | BUDGET       | DEPT REQ       | DEPT REQ     | GOV REC        | GOV REC      |
| Budget Object Class              | DOLLAR         | FTE          | DOLLAR         | FTE          | DOLLAR         | FTE          | DOLLAR         | FTE          |
| <b>MO REAL ESTATE COMMISSION</b> |                |              |                |              |                |              |                |              |
| <b>CORE</b>                      |                |              |                |              |                |              |                |              |
| INVESTIGATOR I                   | 34,944         | 1.00         | 35,704         | 1.00         | 35,704         | 1.00         | 35,704         | 1.00         |
| INVESTIGATOR II                  | 82,344         | 2.00         | 126,101        | 3.00         | 126,101        | 3.00         | 126,101        | 3.00         |
| PROF REG ADMSTV COOR             | 41,940         | 1.00         | 43,836         | 1.00         | 43,836         | 1.00         | 43,836         | 1.00         |
| REAL ESTATE EXAMINER I           | 87,351         | 2.82         | 129,597        | 4.00         | 126,597        | 4.00         | 126,597        | 4.00         |
| REAL ESTATE EXAMINER II          | 106,704        | 3.00         | 110,936        | 3.00         | 110,936        | 3.00         | 110,936        | 3.00         |
| REAL ESTATE EXAMINER FIELD SPV   | 77,928         | 2.00         | 83,519         | 2.00         | 83,519         | 2.00         | 83,519         | 2.00         |
| REAL ESTATE EDUCATION SPEC       | 34,944         | 1.00         | 37,293         | 1.00         | 37,293         | 1.00         | 37,293         | 1.00         |
| INVESTIGATION MGR B1             | 53,741         | 0.99         | 56,146         | 1.00         | 56,146         | 1.00         | 56,146         | 1.00         |
| PROCESSING TECHNICIAN I          | 0              | 0.00         | 27,021         | 1.00         | 25,021         | 1.00         | 25,021         | 1.00         |
| PROCESSING TECHNICIAN II         | 100,349        | 3.76         | 135,416        | 5.00         | 135,416        | 5.00         | 135,416        | 5.00         |
| PROCESSING TECHNICIAN III        | 63,036         | 2.00         | 66,963         | 2.00         | 66,963         | 2.00         | 66,963         | 2.00         |
| BOARD MEMBER                     | 4,959          | 0.25         | 10,315         | 0.00         | 10,315         | 0.00         | 10,315         | 0.00         |
| CLERK                            | 7,949          | 0.34         | 19,009         | 0.00         | 19,009         | 0.00         | 19,009         | 0.00         |
| EXECUTIVE                        | 8,854          | 0.13         | 0              | 0.00         | 0              | 0.00         | 0              | 0.00         |
| PRINCIPAL ASST BOARD/COMMISSON   | 71,478         | 1.00         | 72,629         | 1.00         | 77,629         | 1.00         | 77,629         | 1.00         |
| <b>TOTAL - PS</b>                | <b>776,521</b> | <b>21.29</b> | <b>954,485</b> | <b>25.00</b> | <b>954,485</b> | <b>25.00</b> | <b>954,485</b> | <b>25.00</b> |
| TRAVEL, IN-STATE                 | 15,864         | 0.00         | 22,801         | 0.00         | 22,801         | 0.00         | 22,801         | 0.00         |
| TRAVEL, OUT-OF-STATE             | 3,503          | 0.00         | 6,074          | 0.00         | 6,074          | 0.00         | 6,074          | 0.00         |
| SUPPLIES                         | 35,317         | 0.00         | 84,000         | 0.00         | 84,000         | 0.00         | 84,000         | 0.00         |
| PROFESSIONAL DEVELOPMENT         | 4,820          | 0.00         | 8,750          | 0.00         | 8,750          | 0.00         | 8,750          | 0.00         |
| COMMUNICATION SERV & SUPP        | 18,246         | 0.00         | 27,044         | 0.00         | 27,044         | 0.00         | 27,044         | 0.00         |
| PROFESSIONAL SERVICES            | 10,701         | 0.00         | 58,500         | 0.00         | 58,500         | 0.00         | 58,500         | 0.00         |
| M&R SERVICES                     | 6,208          | 0.00         | 15,500         | 0.00         | 15,500         | 0.00         | 15,500         | 0.00         |
| MOTORIZED EQUIPMENT              | 31,887         | 0.00         | 31,000         | 0.00         | 31,000         | 0.00         | 31,000         | 0.00         |
| OFFICE EQUIPMENT                 | 1,454          | 0.00         | 7,500          | 0.00         | 7,500          | 0.00         | 7,500          | 0.00         |
| OTHER EQUIPMENT                  | 0              | 0.00         | 1,000          | 0.00         | 1,000          | 0.00         | 1,000          | 0.00         |
| PROPERTY & IMPROVEMENTS          | 0              | 0.00         | 1,000          | 0.00         | 1,000          | 0.00         | 1,000          | 0.00         |
| BUILDING LEASE PAYMENTS          | 491            | 0.00         | 2,500          | 0.00         | 2,500          | 0.00         | 2,500          | 0.00         |
| EQUIPMENT RENTALS & LEASES       | 50             | 0.00         | 1,000          | 0.00         | 1,000          | 0.00         | 1,000          | 0.00         |

**DIFP****DECISION ITEM DETAIL**

| Budget Unit                      | FY 2016   | FY 2016 | FY 2017     | FY 2017 | FY 2018     | FY 2018  | FY 2018     | FY 2018 |
|----------------------------------|-----------|---------|-------------|---------|-------------|----------|-------------|---------|
| Decision Item                    | ACTUAL    | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class              | DOLLAR    | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| <b>MO REAL ESTATE COMMISSION</b> |           |         |             |         |             |          |             |         |
| <b>CORE</b>                      |           |         |             |         |             |          |             |         |
| MISCELLANEOUS EXPENSES           | 4,039     | 0.00    | 10,000      | 0.00    | 10,000      | 0.00     | 10,000      | 0.00    |
| TOTAL - EE                       | 132,580   | 0.00    | 276,669     | 0.00    | 276,669     | 0.00     | 276,669     | 0.00    |
| GRAND TOTAL                      | \$909,101 | 21.29   | \$1,231,154 | 25.00   | \$1,231,154 | 25.00    | \$1,231,154 | 25.00   |
| GENERAL REVENUE                  | \$0       | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00    |
| FEDERAL FUNDS                    | \$0       | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00    |
| OTHER FUNDS                      | \$909,101 | 21.29   | \$1,231,154 | 25.00   | \$1,231,154 | 25.00    | \$1,231,154 | 25.00   |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.525

Missouri Real Estate Commission

Program is found in the following core budget(s): Missouri Real Estate Commission

**1. What does this program do?**

The Missouri Real Estate Commission was established to protect the interests of the citizens of the State of Missouri by licensing and regulating real estate brokers and salespersons in the State of Missouri.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapter 339.010-339.860 RSMo.

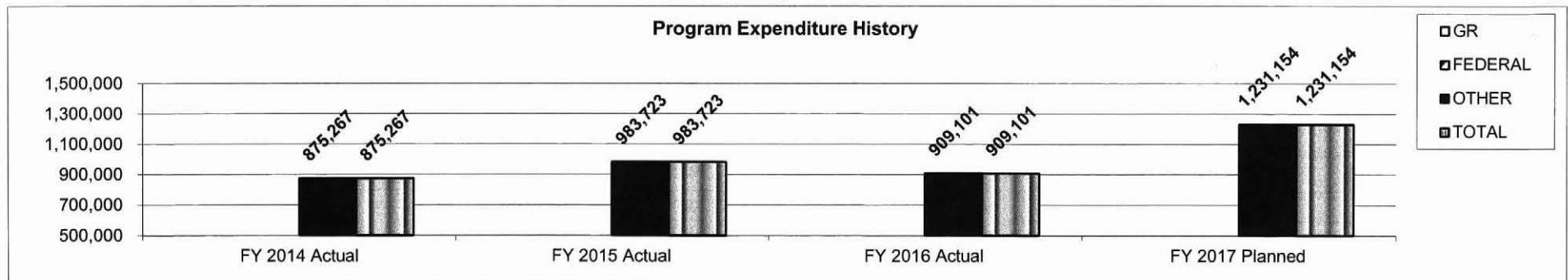
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Missouri Real Estate Commission Fund (0638)

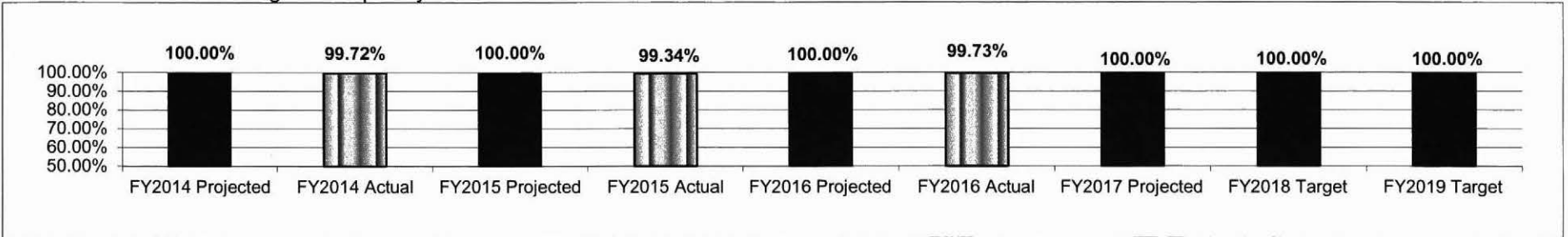
## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**  
**Missouri Real Estate Commission**  
**Program is found in the following core budget(s): Missouri Real Estate Commission**

**HB Section(s):** 7.525

**7a. Provide an effectiveness measure.**

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

**7b. Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 2,000     | 2,612  | 2,000     | 6,718  | 2,000     | 3,355  | 2,700     | 2,916  | 3,300  |
| Licensed Professionals | 40,000    | 40,123 | 40,000    | 39,340 | 42,000    | 41,979 | 41,000    | 37,265 | 42,419 |

**7d. Provide a customer satisfaction measure, if available.**

None available.

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# CORE DECISION ITEM

|  |          |          |                |                |  |                    |          |          |                |                |   |
|--|----------|----------|----------------|----------------|--|--------------------|----------|----------|----------------|----------------|---|
| Department of Insurance, Financial Institutions and Professional Registration  |          |          |                |                | Budget Unit <u>42790C</u>  |                    |          |          |                |                |   |
| Professional Registration  |          |          |                |                | HB Section <u>7.530</u>  |                    |          |          |                |                |   |
| Core - Missouri Veterinary Medical Board   |          |          |                |                |  |                    |          |          |                |                |   |
| <b>1. CORE FINANCIAL SUMMARY</b>   |          |          |                |                |  |                    |          |          |                |                |   |
| FY 2018 Budget Request   |          |          |                |                | FY 2018 Governor's Recommendation  |                    |          |          |                |                |   |
|  | GR       | Federal  | Other          | Total          | E  |                    | GR       | Federal  | Other          | Total          | E |
| PS   | 0        | 0        | 0              | 0              |  | PS                 | 0        | 0        | 0              | 0              |   |
| EE   | 0        | 0        | 107,975        | 107,975        |  | EE                 | 0        | 0        | 107,975        | 107,975        |   |
| PSD  | 0        | 0        | 0              | 0              |  | PSD                | 0        | 0        | 0              | 0              |   |
| TRF  | 0        | 0        | 0              | 0              |  | TRF                | 0        | 0        | 0              | 0              |   |
| Total  | <u>0</u> | <u>0</u> | <u>107,975</u> | <u>107,975</u> |  | Total              | <u>0</u> | <u>0</u> | <u>107,975</u> | <u>107,975</u> |   |
| FTE  | 0.00     | 0.00     | 0.00           | 0.00           |  | FTE                | 0.00     | 0.00     | 0.00           | 0.00           |   |
| <b>Est. Fringe</b>   | <u>0</u> | <u>0</u> | <u>0</u>       | <u>0</u>       |  | <b>Est. Fringe</b> | <u>0</u> | <u>0</u> | <u>0</u>       | <u>0</u>       |   |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>                           |          |          |                |                | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |                    |          |          |                |                |   |
| Other Funds:     Veterinary Medical Board Fund (0639)  |          |          |                |                | Other Funds: Veterinary Medical Board Fund (0639)  |                    |          |          |                |                |   |
| <b>2. CORE DESCRIPTION</b>   |          |          |                |                |  |                    |          |          |                |                |   |
| The core program request is necessary to ensure the continued high quality of service provided by veterinarians and veterinary technicians licensed in Missouri. |          |          |                |                |  |                    |          |          |                |                |   |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |          |          |                |                |  |                    |          |          |                |                |   |
| Missouri Veterinary Medical Board  |          |          |                |                |  |                    |          |          |                |                |   |

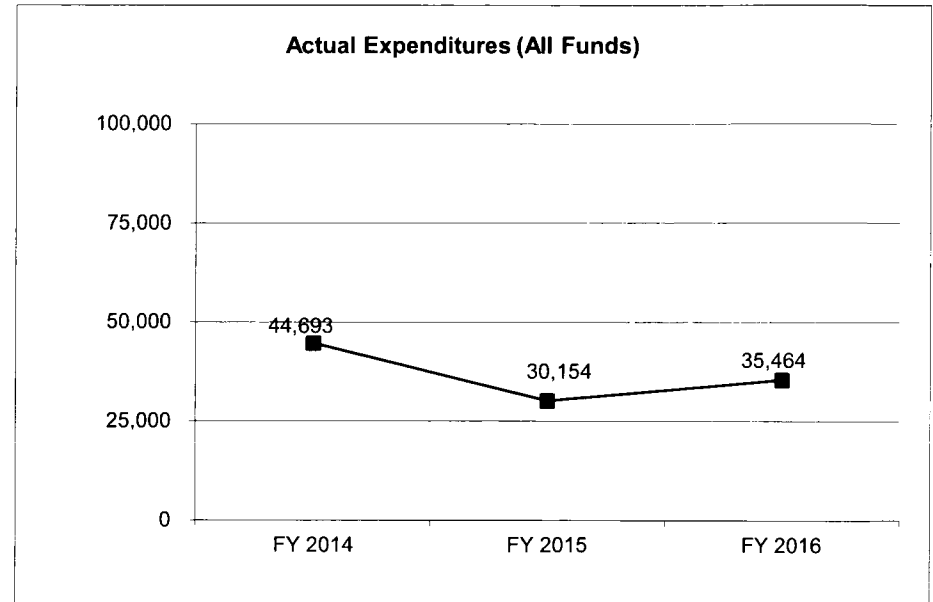


# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42790C |
| Professional Registration   |             |        |
| Core - Missouri Veterinary Medical Board                                      | HB Section  | 7.530  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 107,975           | 107,975           | 107,975           | 107,975                |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 107,975           | 107,975           | 107,975           | 107,975                |
| Actual Expenditures (All Funds) | 44,693            | 30,154            | 35,464            | N/A                    |
| Unexpended (All Funds)          | 63,282            | 77,821            | 72,511            | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 63,282            | 77,821            | 72,511            | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Unexpended amount is due to less than anticipated expenditures.
- (2) Unexpended amount is due to less than anticipated expenditures.
- (3) Unexpended amount is due to less than anticipated expenditures.

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**CORE RECONCILIATION DETAIL**

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DIFP  
MO VETERINARY MEDICAL BOARD

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**5. CORE RECONCILIATION DETAIL**

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|                             | Budget<br>Class | FTE         | GR       | Federal  | Other          | Total          | Explanation |
|-----------------------------|-----------------|-------------|----------|----------|----------------|----------------|-------------|
| <hr/>                       |                 |             |          |          |                |                |             |
| TAFP AFTER VETOES           | EE              | 0.00        | 0        | 0        | 107,975        | 107,975        |             |
|                             | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>107,975</b> | <b>107,975</b> |             |
| <hr/>                       |                 |             |          |          |                |                |             |
| DEPARTMENT CORE REQUEST     | EE              | 0.00        | 0        | 0        | 107,975        | 107,975        |             |
|                             | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>107,975</b> | <b>107,975</b> |             |
| <hr/>                       |                 |             |          |          |                |                |             |
| GOVERNOR'S RECOMMENDED CORE | EE              | 0.00        | 0        | 0        | 107,975        | 107,975        |             |
|                             | <b>Total</b>    | <b>0.00</b> | <b>0</b> | <b>0</b> | <b>107,975</b> | <b>107,975</b> |             |
| <hr/>                       |                 |             |          |          |                |                |             |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit                        |                 |             |                  |             |                  |             |                  |             |
|------------------------------------|-----------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                      | FY 2016         | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
| Budget Object Summary              | ACTUAL          | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Fund                               | DOLLAR          | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>MO VETERINARY MEDICAL BOARD</b> |                 |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                        |                 |             |                  |             |                  |             |                  |             |
| EXPENSE & EQUIPMENT                |                 |             |                  |             |                  |             |                  |             |
| VETERINARY MEDICAL BOARD           | 35,464          | 0.00        | 107,975          | 0.00        | 107,975          | 0.00        | 107,975          | 0.00        |
| TOTAL - EE                         | 35,464          | 0.00        | 107,975          | 0.00        | 107,975          | 0.00        | 107,975          | 0.00        |
| <b>TOTAL</b>                       | <b>35,464</b>   | <b>0.00</b> | <b>107,975</b>   | <b>0.00</b> | <b>107,975</b>   | <b>0.00</b> | <b>107,975</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                 | <b>\$35,464</b> | <b>0.00</b> | <b>\$107,975</b> | <b>0.00</b> | <b>\$107,975</b> | <b>0.00</b> | <b>\$107,975</b> | <b>0.00</b> |

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**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                        | FY 2016         | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
|------------------------------------|-----------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                      | ACTUAL          | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class                | DOLLAR          | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>MO VETERINARY MEDICAL BOARD</b> |                 |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                        |                 |             |                  |             |                  |             |                  |             |
| TRAVEL, IN-STATE                   | 4,502           | 0.00        | 6,400            | 0.00        | 6,400            | 0.00        | 6,400            | 0.00        |
| TRAVEL, OUT-OF-STATE               | 0               | 0.00        | 50               | 0.00        | 50               | 0.00        | 50               | 0.00        |
| SUPPLIES                           | 13,046          | 0.00        | 12,350           | 0.00        | 12,350           | 0.00        | 12,350           | 0.00        |
| PROFESSIONAL DEVELOPMENT           | 500             | 0.00        | 2,450            | 0.00        | 2,450            | 0.00        | 2,450            | 0.00        |
| COMMUNICATION SERV & SUPP          | 1,187           | 0.00        | 2,000            | 0.00        | 2,000            | 0.00        | 2,000            | 0.00        |
| PROFESSIONAL SERVICES              | 11,644          | 0.00        | 77,225           | 0.00        | 77,225           | 0.00        | 77,225           | 0.00        |
| M&R SERVICES                       | 527             | 0.00        | 1,500            | 0.00        | 1,500            | 0.00        | 1,500            | 0.00        |
| OFFICE EQUIPMENT                   | 1,668           | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        |
| OTHER EQUIPMENT                    | 156             | 0.00        | 100              | 0.00        | 100              | 0.00        | 100              | 0.00        |
| BUILDING LEASE PAYMENTS            | 272             | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        | 1,000            | 0.00        |
| EQUIPMENT RENTALS & LEASES         | 305             | 0.00        | 700              | 0.00        | 700              | 0.00        | 700              | 0.00        |
| MISCELLANEOUS EXPENSES             | 1,657           | 0.00        | 3,200            | 0.00        | 3,200            | 0.00        | 3,200            | 0.00        |
| <b>TOTAL - EE</b>                  | <b>35,464</b>   | <b>0.00</b> | <b>107,975</b>   | <b>0.00</b> | <b>107,975</b>   | <b>0.00</b> | <b>107,975</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                 | <b>\$35,464</b> | <b>0.00</b> | <b>\$107,975</b> | <b>0.00</b> | <b>\$107,975</b> | <b>0.00</b> | <b>\$107,975</b> | <b>0.00</b> |
| <b>GENERAL REVENUE</b>             | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>               | <b>\$0</b>      | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>OTHER FUNDS</b>                 | <b>\$35,464</b> | <b>0.00</b> | <b>\$107,975</b> | <b>0.00</b> | <b>\$107,975</b> | <b>0.00</b> | <b>\$107,975</b> | <b>0.00</b> |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.530

Missouri Veterinary Medical Board

Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

### FY 2017 PLANNED

|         | Veterinary | PR Admin | TOTAL   |
|---------|------------|----------|---------|
| GR      | 0          | 0        | 0       |
| FEDERAL | 0          | 0        | 0       |
| OTHER   | 107,975    | 93,737   | 201,712 |
| TOTAL   | 107,975    | 93,737   | 201,712 |

#### 1. What does this program do?

The Missouri Veterinary Medical Board was established to protect the interests of the citizens of the State of Missouri by licensing and regulating veterinarians and veterinary technicians in the State of Missouri.

Note: The appropriation for personal service and board member per diem is included in the Division of Professional Registration/Administration core appropriation.

#### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapters 340.200-340.350 RSMo.

#### 3. Are there federal matching requirements? If yes, please explain.

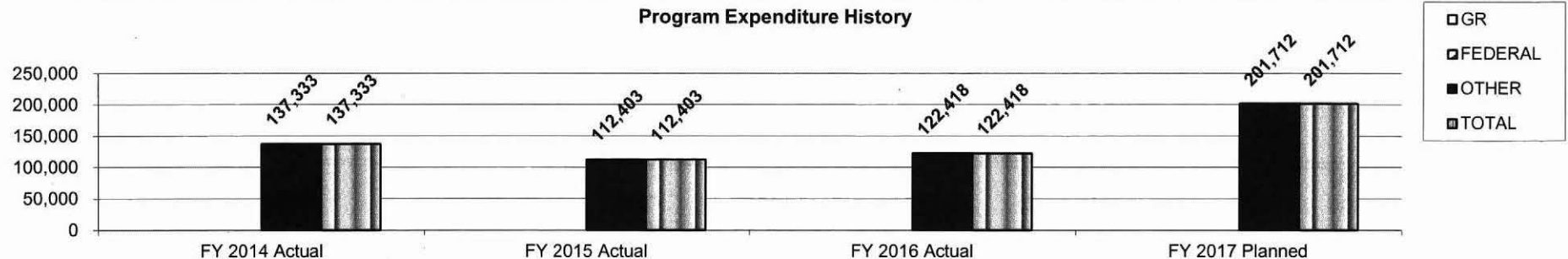
No.

#### 4. Is this a federally mandated program? If yes, please explain.

No.

#### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.

Program Expenditure History



## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.465 / 7.530

Missouri Veterinary Medical Board

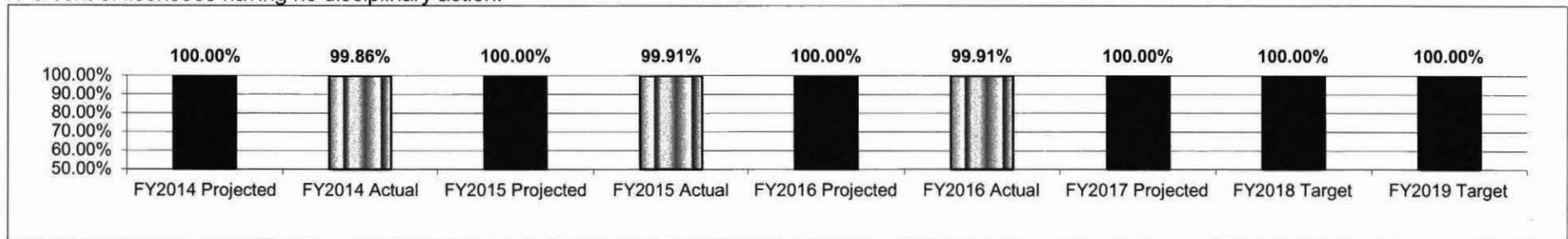
Program is found in the following core budget(s): Missouri Veterinary Medical Board, Professional Registration Administration

### 6. What are the sources of the "Other " funds?

Veterinary Medical Board Fund (0639)

### 7a. Provide an effectiveness measure.

Percent of licensees having no disciplinary action.



Note: Measure includes all disciplines ranging from a letter of censure to a suspension. Some disciplines may be open-ended and carry over year-to-year.

### 7b. Provide an efficiency measure.

None available.

### 7c. Provide the number of clients/individuals served, if applicable.

|                        | FY2014    |        | FY2015    |        | FY2016    |        | FY2017    | FY2018 | FY2019 |
|------------------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|--------|
|                        | Projected | Actual | Projected | Actual | Projected | Actual | Projected | Target | Target |
| Applications Received  | 433       | 524    | 433       | 537    | 594       | 584    | 526       | 694    | 500    |
| Licensed Professionals | 4,911     | 5,164  | 4,911     | 5,341  | 5,054     | 5,521  | 5,200     | 5,549  | 5,540  |

### 7d. Provide a customer satisfaction measure, if available.

None available.

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# CORE DECISION ITEM

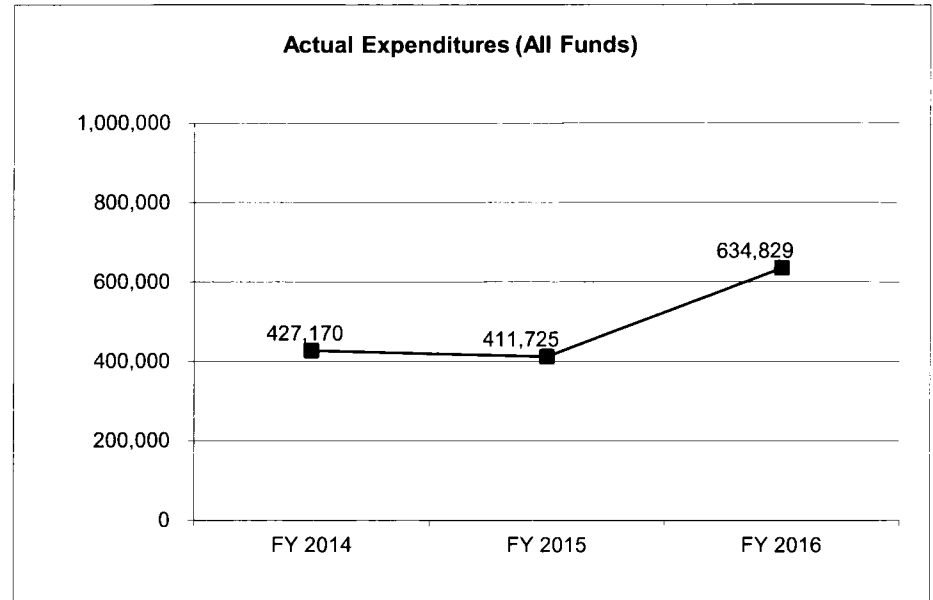
|  |             |             |                  |                  |  |                    |             |             |                  |                  |   |
|--|-------------|-------------|------------------|------------------|--|--------------------|-------------|-------------|------------------|------------------|---|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>   |             |             |                  |                  | <b>Budget Unit</b> <u>42820C</u>   |                    |             |             |                  |                  |   |
| <b>Professional Registration</b>   |             |             |                  |                  | <b>HB Section</b> <u>7.535</u>   |                    |             |             |                  |                  |   |
| <b>Core - Transfers to General Revenue</b>   |             |             |                  |                  |  |                    |             |             |                  |                  |   |
| <b>1. CORE FINANCIAL SUMMARY</b>   |             |             |                  |                  |  |                    |             |             |                  |                  |   |
| <b>FY 2018 Budget Request</b>  |             |             |                  |                  | <b>FY 2018 Governor's Recommendation</b>   |                    |             |             |                  |                  |   |
|  | GR          | Federal     | Other            | Total            | E  |                    | GR          | Federal     | Other            | Total            | E |
| PS   | 0           | 0           | 0                | 0                |  | PS                 | 0           | 0           | 0                | 0                |   |
| EE   | 0           | 0           | 0                | 0                |  | EE                 | 0           | 0           | 0                | 0                |   |
| PSD  | 0           | 0           | 0                | 0                |  | PSD                | 0           | 0           | 0                | 0                |   |
| TRF  | 0           | 0           | 1,461,218        | 1,461,218        |  | TRF                | 0           | 0           | 1,461,218        | 1,461,218        |   |
| <b>Total</b>   | <b>0</b>    | <b>0</b>    | <b>1,461,218</b> | <b>1,461,218</b> |  | <b>Total</b>       | <b>0</b>    | <b>0</b>    | <b>1,461,218</b> | <b>1,461,218</b> |   |
| <b>FTE</b>   | <b>0.00</b> | <b>0.00</b> | <b>0.00</b>      | <b>0.00</b>      |  | <b>FTE</b>         | <b>0.00</b> | <b>0.00</b> | <b>0.00</b>      | <b>0.00</b>      |   |
| <b>Est. Fringe</b>   | <b>0</b>    | <b>0</b>    | <b>0</b>         | <b>0</b>         |  | <b>Est. Fringe</b> | <b>0</b>    | <b>0</b>    | <b>0</b>         | <b>0</b>         |   |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>   |             |             |                  |                  | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |                    |             |             |                  |                  |   |
| Other Funds:    Various Professional Registration Funds  |             |             |                  |                  | Other Funds: Various Professional Registration Funds   |                    |             |             |                  |                  |   |
| <b>2. CORE DESCRIPTION</b>   |             |             |                  |                  |  |                    |             |             |                  |                  |   |
| The General Revenue Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo. which requires the General Assembly to appropriate to other state agencies from each board's funds, moneys sufficient to reimburse those other state agencies for all services rendered and all facilities and supplies furnished to that board. These transfers allow for reimbursement to General Revenue supported agencies (i.e. Attorney General, State Auditor Office, Administrative Hearings Commission), for legal services, audit services, and hearing services. |             |             |                  |                  |  |                    |             |             |                  |                  |   |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |             |             |                  |                  |  |                    |             |             |                  |                  |   |
| Professional Registration Funds Transfer to General Revenue  |             |             |                  |                  |  |                    |             |             |                  |                  |   |

**CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>42820C</b> |
| <b>Professional Registration</b>   |                    |               |
| <b>Core - Transfers to General Revenue</b>   | <b>HB Section</b>  | <b>7.535</b>  |

**4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 1,461,218                 | 1,461,218                 | 1,461,218                 | 1,461,218                      |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 1,461,218                 | 1,461,218                 | 1,461,218                 | 1,461,218                      |
| Actual Expenditures (All Funds) | 427,170                   | 411,725                   | 634,829                   | N/A                            |
| Unexpended (All Funds)          | 1,034,048                 | 1,049,493                 | 826,389                   | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 1,034,048                 | 1,049,493                 | 826,389                   | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

**NOTES:**

- (1) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.
- (2) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.
- (3) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.

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**CORE RECONCILIATION DETAIL**

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**DIFP****PR FUND TRANSFER TO GR**

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**5. CORE RECONCILIATION DETAIL**

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|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|------------------|------------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                |                  |                  |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 1,461,218        | 1,461,218        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>1,461,218</b> | <b>1,461,218</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                |                  |                  |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 1,461,218        | 1,461,218        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>1,461,218</b> | <b>1,461,218</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                |                  |                  |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 1,461,218        | 1,461,218        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>1,461,218</b> | <b>1,461,218</b> |                    |

**DIFP**

**DECISION ITEM SUMMARY**

| <b>Budget Unit</b>             |                |                |                |                |                 |                 |                |                |
|--------------------------------|----------------|----------------|----------------|----------------|-----------------|-----------------|----------------|----------------|
| <b>Decision Item</b>           | <b>FY 2016</b> | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2017</b> | <b>FY 2018</b>  | <b>FY 2018</b>  | <b>FY 2018</b> | <b>FY 2018</b> |
| <b>Budget Object Summary</b>   | <b>ACTUAL</b>  | <b>ACTUAL</b>  | <b>BUDGET</b>  | <b>BUDGET</b>  | <b>DEPT REQ</b> | <b>DEPT REQ</b> | <b>GOV REC</b> | <b>GOV REC</b> |
| <b>Fund</b>                    | <b>DOLLAR</b>  | <b>FTE</b>     | <b>DOLLAR</b>  | <b>FTE</b>     | <b>DOLLAR</b>   | <b>FTE</b>      | <b>DOLLAR</b>  | <b>FTE</b>     |
| <b>PR FUND TRANSFER TO GR</b>  |                |                |                |                |                 |                 |                |                |
| <b>CORE</b>                    |                |                |                |                |                 |                 |                |                |
| <b>FUND TRANSFERS</b>          |                |                |                |                |                 |                 |                |                |
| HEARING INSTRUMENT SPECIALIST  | 13,129         | 0.00           | 17,500         | 0.00           | 17,500          | 0.00            | 17,500         | 0.00           |
| STATE COMMITTEE OF INTERPRETER | 1,133          | 0.00           | 7,800          | 0.00           | 7,800           | 0.00            | 7,800          | 0.00           |
| BRD OF GEOLOGIST REGISTRATION  | 0              | 0.00           | 7,200          | 0.00           | 7,200           | 0.00            | 7,200          | 0.00           |
| MO RE APPRS AND APPRMGMT COMPS | 64,373         | 0.00           | 155,000        | 0.00           | 155,000         | 0.00            | 155,000        | 0.00           |
| ENDOWED CARE CEMETERY AUDIT    | 7,706          | 0.00           | 10,500         | 0.00           | 10,500          | 0.00            | 10,500         | 0.00           |
| LICENSED SOCIAL WORKERS        | 32,085         | 0.00           | 22,500         | 0.00           | 22,500          | 0.00            | 22,500         | 0.00           |
| STATE COMMITTEE OF PSYCHOLOGST | 10,063         | 0.00           | 33,500         | 0.00           | 33,500          | 0.00            | 33,500         | 0.00           |
| BOARD OF ACCOUNTANCY           | 2,613          | 0.00           | 19,000         | 0.00           | 19,000          | 0.00            | 19,000         | 0.00           |
| BOARD OF PODIATRIC MEDICINE    | 1,331          | 0.00           | 16,000         | 0.00           | 16,000          | 0.00            | 16,000         | 0.00           |
| BOARD OF CHIROPRACTIC EXAMINER | 0              | 0.00           | 8,000          | 0.00           | 8,000           | 0.00            | 8,000          | 0.00           |
| BOARD OF EMBALM & FUN DIR      | 9,497          | 0.00           | 85,000         | 0.00           | 85,000          | 0.00            | 85,000         | 0.00           |
| BOARD OF REG FOR HEALING ARTS  | 2,072          | 0.00           | 100,000        | 0.00           | 100,000         | 0.00            | 100,000        | 0.00           |
| BOARD OF NURSING               | 79,509         | 0.00           | 135,000        | 0.00           | 135,000         | 0.00            | 135,000        | 0.00           |
| BOARD OF OPTOMETRY             | 0              | 0.00           | 13,408         | 0.00           | 13,408          | 0.00            | 13,408         | 0.00           |
| BOARD OF PHARMACY              | 19,581         | 0.00           | 119,000        | 0.00           | 119,000         | 0.00            | 119,000        | 0.00           |
| MO REAL ESTATE COMMISSION      | 154,584        | 0.00           | 250,000        | 0.00           | 250,000         | 0.00            | 250,000        | 0.00           |
| VETERINARY MEDICAL BOARD       | 9,969          | 0.00           | 55,000         | 0.00           | 55,000          | 0.00            | 55,000         | 0.00           |
| COMMITTEE OF PROF COUNSELORS   | 5,224          | 0.00           | 40,000         | 0.00           | 40,000          | 0.00            | 40,000         | 0.00           |
| DENTAL BOARD FUND              | 2,015          | 0.00           | 31,200         | 0.00           | 31,200          | 0.00            | 31,200         | 0.00           |
| BRD OF ARCH,ENG,LND SUR,LND AR | 107,621        | 0.00           | 122,100        | 0.00           | 122,100         | 0.00            | 122,100        | 0.00           |
| ATHLETIC FUND                  | 5,529          | 0.00           | 14,400         | 0.00           | 14,400          | 0.00            | 14,400         | 0.00           |
| ATHLETIC AGENT                 | 0              | 0.00           | 1,000          | 0.00           | 1,000           | 0.00            | 1,000          | 0.00           |
| BRD OF COSMETOLOGY & BARBER EX | 60,730         | 0.00           | 91,250         | 0.00           | 91,250          | 0.00            | 91,250         | 0.00           |
| BOARD OF PI&PI FIRE EXAMINERS  | 4,311          | 0.00           | 16,500         | 0.00           | 16,500          | 0.00            | 16,500         | 0.00           |
| MARITAL & FAMILY THERAPISTS    | 5,034          | 0.00           | 6,000          | 0.00           | 6,000           | 0.00            | 6,000          | 0.00           |
| RESPIRATORY CARE PRACTITIONERS | 7,296          | 0.00           | 28,000         | 0.00           | 28,000          | 0.00            | 28,000         | 0.00           |
| MO BRD OCCUPATIONAL THERAPY    | 2,685          | 0.00           | 8,960          | 0.00           | 8,960           | 0.00            | 8,960          | 0.00           |
| DIETITIAN                      | 0              | 0.00           | 1,200          | 0.00           | 1,200           | 0.00            | 1,200          | 0.00           |
| INTERIOR DESIGNER COUNCIL      | 0              | 0.00           | 1,200          | 0.00           | 1,200           | 0.00            | 1,200          | 0.00           |
| ACUPUNCTURIST                  | 0              | 0.00           | 1,000          | 0.00           | 1,000           | 0.00            | 1,000          | 0.00           |
| TATTOO                         | 26,739         | 0.00           | 31,000         | 0.00           | 31,000          | 0.00            | 31,000         | 0.00           |

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**DIFP****DECISION ITEM SUMMARY**

| <b>Budget Unit</b>            |                  |                |                    |                |                    |                 |                    |                |
|-------------------------------|------------------|----------------|--------------------|----------------|--------------------|-----------------|--------------------|----------------|
| <b>Decision Item</b>          | <b>FY 2016</b>   | <b>FY 2016</b> | <b>FY 2017</b>     | <b>FY 2017</b> | <b>FY 2018</b>     | <b>FY 2018</b>  | <b>FY 2018</b>     | <b>FY 2018</b> |
| <b>Budget Object Summary</b>  | <b>ACTUAL</b>    | <b>ACTUAL</b>  | <b>BUDGET</b>      | <b>BUDGET</b>  | <b>DEPT REQ</b>    | <b>DEPT REQ</b> | <b>GOV REC</b>     | <b>GOV REC</b> |
| <b>Fund</b>                   | <b>DOLLAR</b>    | <b>FTE</b>     | <b>DOLLAR</b>      | <b>FTE</b>     | <b>DOLLAR</b>      | <b>FTE</b>      | <b>DOLLAR</b>      | <b>FTE</b>     |
| <b>PR FUND TRANSFER TO GR</b> |                  |                |                    |                |                    |                 |                    |                |
| <b>CORE</b>                   |                  |                |                    |                |                    |                 |                    |                |
| <b>FUND TRANSFERS</b>         |                  |                |                    |                |                    |                 |                    |                |
| MESSAGE THERAPY               | 0                | 0.00           | 13,000             | 0.00           | 13,000             | 0.00            | 13,000             | 0.00           |
| TOTAL - TRF                   | 634,829          | 0.00           | 1,461,218          | 0.00           | 1,461,218          | 0.00            | 1,461,218          | 0.00           |
| <b>TOTAL</b>                  | <b>634,829</b>   | <b>0.00</b>    | <b>1,461,218</b>   | <b>0.00</b>    | <b>1,461,218</b>   | <b>0.00</b>     | <b>1,461,218</b>   | <b>0.00</b>    |
| <b>GRAND TOTAL</b>            | <b>\$634,829</b> | <b>0.00</b>    | <b>\$1,461,218</b> | <b>0.00</b>    | <b>\$1,461,218</b> | <b>0.00</b>     | <b>\$1,461,218</b> | <b>0.00</b>    |

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**DIFP**

**DECISION ITEM DETAIL**

| Budget Unit                   | FY 2016          | FY 2016     | FY 2017            | FY 2017     | FY 2018            | FY 2018     | FY 2018            | FY 2018     |
|-------------------------------|------------------|-------------|--------------------|-------------|--------------------|-------------|--------------------|-------------|
| Decision Item                 | ACTUAL           | ACTUAL      | BUDGET             | BUDGET      | DEPT REQ           | DEPT REQ    | GOV REC            | GOV REC     |
| Budget Object Class           | DOLLAR           | FTE         | DOLLAR             | FTE         | DOLLAR             | FTE         | DOLLAR             | FTE         |
| <b>PR FUND TRANSFER TO GR</b> |                  |             |                    |             |                    |             |                    |             |
| <b>CORE</b>                   |                  |             |                    |             |                    |             |                    |             |
| TRANSFERS OUT                 | 634,829          | 0.00        | 1,461,218          | 0.00        | 1,461,218          | 0.00        | 1,461,218          | 0.00        |
| TOTAL - TRF                   | 634,829          | 0.00        | 1,461,218          | 0.00        | 1,461,218          | 0.00        | 1,461,218          | 0.00        |
| <b>GRAND TOTAL</b>            | <b>\$634,829</b> | <b>0.00</b> | <b>\$1,461,218</b> | <b>0.00</b> | <b>\$1,461,218</b> | <b>0.00</b> | <b>\$1,461,218</b> | <b>0.00</b> |
| GENERAL REVENUE               | \$0              | 0.00        | \$0                | 0.00        | \$0                | 0.00        | \$0                | 0.00        |
| FEDERAL FUNDS                 | \$0              | 0.00        | \$0                | 0.00        | \$0                | 0.00        | \$0                | 0.00        |
| OTHER FUNDS                   | \$634,829        | 0.00        | \$1,461,218        | 0.00        | \$1,461,218        | 0.00        | \$1,461,218        | 0.00        |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.535

Professional Registration Funds Transfer to General Revenue

Program is found in the following core budget(s): Division of Professional Registration Funds Transfer to General Revenue

**1. What does this program do?**

The General Revenue Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo. which requires the General Assembly to appropriate to other state agencies from each board's funds, moneys sufficient to reimburse those other state agencies for all services rendered and all facilities and supplies furnished to that board. These transfers allow for reimbursement to General Revenue supported agencies (i.e. Attorney General, State Auditor Office, Administrative Hearings Commission), for legal services, audit services and hearing services.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapter 324.001.5 RSMo.

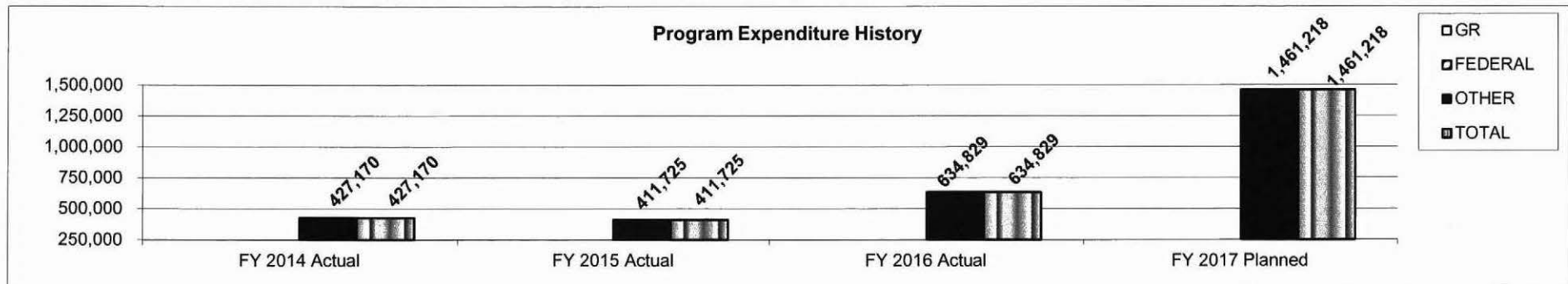
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Various Professional Registration Funds.

**7a. Provide an effectiveness measure.**

None available.

**7b.**

**Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

None available.

**7d.**

**Provide a customer satisfaction measure, if available.**

None available.

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# CORE DECISION ITEM

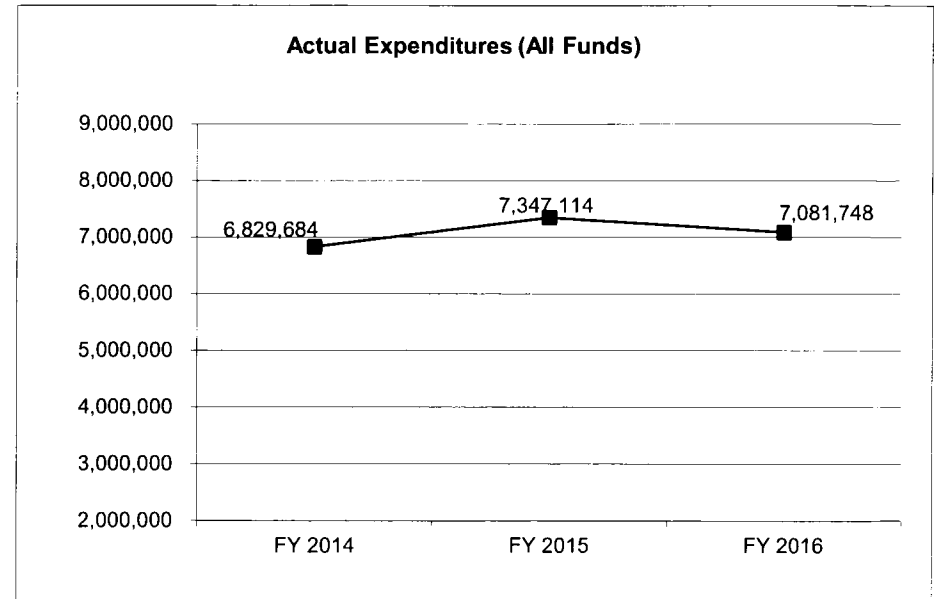
|   |           |                |                  |                  |                    |  |           |                |                  |                  |          |
|---|-----------|----------------|------------------|------------------|--------------------|--|-----------|----------------|------------------|------------------|----------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>  |           |                |                  |                  | <b>Budget Unit</b> | <b>42830C</b>  |           |                |                  |                  |          |
| <b>Professional Registration</b>  |           |                |                  |                  |                    |  |           |                |                  |                  |          |
| <b>Core - Transfers to Professional Registration Fees Fund</b>  |           |                |                  |                  | <b>HB Section</b>  | <b>7.540</b>   |           |                |                  |                  |          |
| <b>1. CORE FINANCIAL SUMMARY</b>  |           |                |                  |                  |                    |  |           |                |                  |                  |          |
| <b>FY 2018 Budget Request</b>   |           |                |                  |                  |                    | <b>FY 2018 Governor's Recommendation</b>   |           |                |                  |                  |          |
|   | <b>GR</b> | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>E</b>           |  | <b>GR</b> | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>E</b> |
| <b>PS</b>   | 0         | 0              | 0                | 0                |                    | <b>PS</b>  | 0         | 0              | 0                | 0                |          |
| <b>EE</b>   | 0         | 0              | 0                | 0                |                    | <b>EE</b>  | 0         | 0              | 0                | 0                |          |
| <b>PSD</b>  | 0         | 0              | 0                | 0                |                    | <b>PSD</b>   | 0         | 0              | 0                | 0                |          |
| <b>TRF</b>  | 0         | 0              | 8,829,032        | 8,829,032        |                    | <b>TRF</b>   | 0         | 0              | 8,829,032        | 8,829,032        |          |
| <b>Total</b>  | <u>0</u>  | <u>0</u>       | <u>8,829,032</u> | <u>8,829,032</u> |                    | <b>Total</b>   | <u>0</u>  | <u>0</u>       | <u>8,829,032</u> | <u>8,829,032</u> |          |
| <b>FTE</b>  | 0.00      | 0.00           | 0.00             | 0.00             |                    | <b>FTE</b>   | 0.00      | 0.00           | 0.00             | 0.00             |          |
| <b>Est. Fringe</b>  | 0         | 0              | 0                | 0                |                    | <b>Est. Fringe</b>   | 0         | 0              | 0                | 0                |          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>  |           |                |                  |                  |                    | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |           |                |                  |                  |          |
| Other Funds: Various Professional Registration Funds  |           |                |                  |                  |                    | Other Funds: Various Professional Registration Funds   |           |                |                  |                  |          |
| <b>2. CORE DESCRIPTION</b>  |           |                |                  |                  |                    |  |           |                |                  |                  |          |
| <p>The Professional Registration Fees Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board.</p> |           |                |                  |                  |                    |  |           |                |                  |                  |          |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>   |           |                |                  |                  |                    |  |           |                |                  |                  |          |
| Professional Registration Funds Transfer to Professional Registration Fees Fund   |           |                |                  |                  |                    |  |           |                |                  |                  |          |

# **CORE DECISION ITEM**

|  |                    |               |
|--|--------------------|---------------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b> | <b>Budget Unit</b> | <b>42830C</b> |
| <b>Professional Registration</b>   |                    |               |
| <b>Core - Transfers to Professional Registration Fees Fund</b>                       | <b>HB Section</b>  | <b>7.540</b>  |

## **4. FINANCIAL HISTORY**

|                                 | <b>FY 2014<br/>Actual</b> | <b>FY 2015<br/>Actual</b> | <b>FY 2016<br/>Actual</b> | <b>FY 2017<br/>Current Yr.</b> |
|---------------------------------|---------------------------|---------------------------|---------------------------|--------------------------------|
| Appropriation (All Funds)       | 8,829,032                 | 8,829,032                 | 8,829,032                 | 8,829,032                      |
| Less Reverted (All Funds)       | 0                         | 0                         | 0                         | 0                              |
| Less Restricted (All Funds)     | 0                         | 0                         | 0                         | 0                              |
| Budget Authority (All Funds)    | 8,829,032                 | 8,829,032                 | 8,829,032                 | 8,829,032                      |
| Actual Expenditures (All Funds) | 6,829,684                 | 7,347,114                 | 7,081,748                 | N/A                            |
| Unexpended (All Funds)          | 1,999,348                 | 1,481,918                 | 1,747,284                 | N/A                            |
| Unexpended, by Fund:            |                           |                           |                           |                                |
| General Revenue                 | 0                         | 0                         | 0                         | N/A                            |
| Federal                         | 0                         | 0                         | 0                         | N/A                            |
| Other                           | 1,999,348                 | 1,481,918                 | 1,747,284                 | N/A                            |
|                                 | (1)                       | (2)                       | (3)                       |                                |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## **NOTES:**

- (1) Unexpended amounts reflect variations in actual expenditures for services provided from Professional Registration Administration.
- (2) Unexpended amounts reflect variations in actual expenditures for services provided from Professional Registration Administration.
- (3) Unexpended amounts reflect variations in actual expenditures for services provided from Professional Registration Administration.

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**CORE RECONCILIATION DETAIL**

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**DIFP**  
**PR ADMINISTRATION TRANSFER**

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**5. CORE RECONCILIATION DETAIL**

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|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>     | <b>Total</b>     | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|------------------|------------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                |                  |                  |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 8,829,032        | 8,829,032        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>8,829,032</b> | <b>8,829,032</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                |                  |                  |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 8,829,032        | 8,829,032        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>8,829,032</b> | <b>8,829,032</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                |                  |                  |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 8,829,032        | 8,829,032        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>8,829,032</b> | <b>8,829,032</b> |                    |

**DIFP**

**DECISION ITEM SUMMARY**

| <b>Budget Unit</b>                |                |                |                |                |                 |                 |                |                |
|-----------------------------------|----------------|----------------|----------------|----------------|-----------------|-----------------|----------------|----------------|
| <b>Decision Item</b>              | <b>FY 2016</b> | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2017</b> | <b>FY 2018</b>  | <b>FY 2018</b>  | <b>FY 2018</b> | <b>FY 2018</b> |
| <b>Budget Object Summary</b>      | <b>ACTUAL</b>  | <b>ACTUAL</b>  | <b>BUDGET</b>  | <b>BUDGET</b>  | <b>DEPT REQ</b> | <b>DEPT REQ</b> | <b>GOV REC</b> | <b>GOV REC</b> |
| <b>Fund</b>                       | <b>DOLLAR</b>  | <b>FTE</b>     | <b>DOLLAR</b>  | <b>FTE</b>     | <b>DOLLAR</b>   | <b>FTE</b>      | <b>DOLLAR</b>  | <b>FTE</b>     |
| <b>PR ADMINISTRATION TRANSFER</b> |                |                |                |                |                 |                 |                |                |
| <b>CORE</b>                       |                |                |                |                |                 |                 |                |                |
| <b>FUND TRANSFERS</b>             |                |                |                |                |                 |                 |                |                |
| HEARING INSTRUMENT SPECIALIST     | 53,159         | 0.00           | 88,470         | 0.00           | 88,470          | 0.00            | 88,470         | 0.00           |
| STATE COMMITTEE OF INTERPRETER    | 63,538         | 0.00           | 66,549         | 0.00           | 66,549          | 0.00            | 66,549         | 0.00           |
| BRD OF GEOLOGIST REGISTRATION     | 56,398         | 0.00           | 71,215         | 0.00           | 71,215          | 0.00            | 71,215         | 0.00           |
| MO RE APPRS AND APPRMGMT COMPS    | 220,120        | 0.00           | 419,574        | 0.00           | 419,574         | 0.00            | 419,574        | 0.00           |
| ENDOWED CARE CEMETERY AUDIT       | 125,224        | 0.00           | 122,879        | 0.00           | 122,879         | 0.00            | 122,879        | 0.00           |
| LICENSED SOCIAL WORKERS           | 217,423        | 0.00           | 237,471        | 0.00           | 237,471         | 0.00            | 237,471        | 0.00           |
| STATE COMMITTEE OF PSYCHOLOGST    | 317,715        | 0.00           | 348,058        | 0.00           | 348,058         | 0.00            | 348,058        | 0.00           |
| BOARD OF ACCOUNTANCY              | 145,173        | 0.00           | 176,701        | 0.00           | 176,701         | 0.00            | 176,701        | 0.00           |
| BOARD OF PODIATRIC MEDICINE       | 38,864         | 0.00           | 42,473         | 0.00           | 42,473          | 0.00            | 42,473         | 0.00           |
| BOARD OF CHIROPRACTIC EXAMINER    | 127,435        | 0.00           | 143,327        | 0.00           | 143,327         | 0.00            | 143,327        | 0.00           |
| BOARD OF EMBALM & FUN DIR         | 684,501        | 0.00           | 836,714        | 0.00           | 836,714         | 0.00            | 836,714        | 0.00           |
| BOARD OF REG FOR HEALING ARTS     | 318,832        | 0.00           | 433,431        | 0.00           | 433,431         | 0.00            | 433,431        | 0.00           |
| BOARD OF NURSING                  | 778,149        | 0.00           | 1,104,260      | 0.00           | 1,104,260       | 0.00            | 1,104,260      | 0.00           |
| BOARD OF OPTOMETRY                | 93,012         | 0.00           | 102,381        | 0.00           | 102,381         | 0.00            | 102,381        | 0.00           |
| BOARD OF PHARMACY                 | 291,042        | 0.00           | 318,869        | 0.00           | 318,869         | 0.00            | 318,869        | 0.00           |
| MO REAL ESTATE COMMISSION         | 275,839        | 0.00           | 540,206        | 0.00           | 540,206         | 0.00            | 540,206        | 0.00           |
| VETERINARY MEDICAL BOARD          | 171,609        | 0.00           | 188,724        | 0.00           | 188,724         | 0.00            | 188,724        | 0.00           |
| COMMITTEE OF PROF COUNSELORS      | 252,473        | 0.00           | 283,797        | 0.00           | 283,797         | 0.00            | 283,797        | 0.00           |
| DENTAL BOARD FUND                 | 30,843         | 0.00           | 100,584        | 0.00           | 100,584         | 0.00            | 100,584        | 0.00           |
| BRD OF ARCH,ENG,LND SUR,LND AR    | 184,844        | 0.00           | 278,472        | 0.00           | 278,472         | 0.00            | 278,472        | 0.00           |
| ATHLETIC FUND                     | 229,140        | 0.00           | 241,144        | 0.00           | 241,144         | 0.00            | 241,144        | 0.00           |
| ATHLETIC AGENT                    | 3,065          | 0.00           | 3,737          | 0.00           | 3,737           | 0.00            | 3,737          | 0.00           |
| BRD OF COSMETOLOGY & BARBER EX    | 1,635,024      | 0.00           | 1,664,242      | 0.00           | 1,664,242       | 0.00            | 1,664,242      | 0.00           |
| BOARD OF PI&PI FIRE EXAMINERS     | 91,232         | 0.00           | 186,721        | 0.00           | 186,721         | 0.00            | 186,721        | 0.00           |
| MARITAL & FAMILY THERAPISTS       | 21,069         | 0.00           | 19,024         | 0.00           | 19,024          | 0.00            | 19,024         | 0.00           |
| RESPIRATORY CARE PRACTITIONERS    | 96,650         | 0.00           | 137,692        | 0.00           | 137,692         | 0.00            | 137,692        | 0.00           |
| MO BRD OCCUPATIONAL THERAPY       | 96,292         | 0.00           | 138,152        | 0.00           | 138,152         | 0.00            | 138,152        | 0.00           |
| DIETITIAN                         | 34,122         | 0.00           | 56,348         | 0.00           | 56,348          | 0.00            | 56,348         | 0.00           |
| INTERIOR DESIGNER COUNCIL         | 2,953          | 0.00           | 42,037         | 0.00           | 42,037          | 0.00            | 42,037         | 0.00           |
| ACUPUNCTURIST                     | 5,090          | 0.00           | 13,444         | 0.00           | 13,444          | 0.00            | 13,444         | 0.00           |
| TATTOO                            | 114,011        | 0.00           | 81,254         | 0.00           | 81,254          | 0.00            | 81,254         | 0.00           |

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**DIFP****DECISION ITEM SUMMARY**

| Budget Unit                       |                    |             |                    |             |                    |             |                    |             |
|-----------------------------------|--------------------|-------------|--------------------|-------------|--------------------|-------------|--------------------|-------------|
| Decision Item                     | FY 2016            | FY 2016     | FY 2017            | FY 2017     | FY 2018            | FY 2018     | FY 2018            | FY 2018     |
| Budget Object Summary             | ACTUAL             | ACTUAL      | BUDGET             | BUDGET      | DEPT REQ           | DEPT REQ    | GOV REC            | GOV REC     |
| Fund                              | DOLLAR             | FTE         | DOLLAR             | FTE         | DOLLAR             | FTE         | DOLLAR             | FTE         |
| <b>PR ADMINISTRATION TRANSFER</b> |                    |             |                    |             |                    |             |                    |             |
| <b>CORE</b>                       |                    |             |                    |             |                    |             |                    |             |
| FUND TRANSFERS                    |                    |             |                    |             |                    |             |                    |             |
| MESSAGE THERAPY                   | 306,907            | 0.00        | 341,082            | 0.00        | 341,082            | 0.00        | 341,082            | 0.00        |
| TOTAL - TRF                       | 7,081,748          | 0.00        | 8,829,032          | 0.00        | 8,829,032          | 0.00        | 8,829,032          | 0.00        |
| <b>TOTAL</b>                      | <b>7,081,748</b>   | <b>0.00</b> | <b>8,829,032</b>   | <b>0.00</b> | <b>8,829,032</b>   | <b>0.00</b> | <b>8,829,032</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>                |                    |             |                    |             |                    |             |                    |             |
|                                   | <b>\$7,081,748</b> | <b>0.00</b> | <b>\$8,829,032</b> | <b>0.00</b> | <b>\$8,829,032</b> | <b>0.00</b> | <b>\$8,829,032</b> | <b>0.00</b> |

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**DECISION ITEM DETAIL**

| Budget Unit                       | FY 2016            | FY 2016     | FY 2017            | FY 2017     | FY 2018            | FY 2018     | FY 2018            | FY 2018     |
|-----------------------------------|--------------------|-------------|--------------------|-------------|--------------------|-------------|--------------------|-------------|
| Decision Item                     | ACTUAL             | ACTUAL      | BUDGET             | BUDGET      | DEPT REQ           | DEPT REQ    | GOV REC            | GOV REC     |
| Budget Object Class               | DOLLAR             | FTE         | DOLLAR             | FTE         | DOLLAR             | FTE         | DOLLAR             | FTE         |
| <b>PR ADMINISTRATION TRANSFER</b> |                    |             |                    |             |                    |             |                    |             |
| <b>CORE</b>                       |                    |             |                    |             |                    |             |                    |             |
| TRANSFERS OUT                     | 7,081,748          | 0.00        | 8,829,032          | 0.00        | 8,829,032          | 0.00        | 8,829,032          | 0.00        |
| TOTAL - TRF                       | 7,081,748          | 0.00        | 8,829,032          | 0.00        | 8,829,032          | 0.00        | 8,829,032          | 0.00        |
| <b>GRAND TOTAL</b>                | <b>\$7,081,748</b> | <b>0.00</b> | <b>\$8,829,032</b> | <b>0.00</b> | <b>\$8,829,032</b> | <b>0.00</b> | <b>\$8,829,032</b> | <b>0.00</b> |
| GENERAL REVENUE                   | \$0                | 0.00        | \$0                | 0.00        | \$0                | 0.00        | \$0                | 0.00        |
| FEDERAL FUNDS                     | \$0                | 0.00        | \$0                | 0.00        | \$0                | 0.00        | \$0                | 0.00        |
| OTHER FUNDS                       | \$7,081,748        | 0.00        | \$8,829,032        | 0.00        | \$8,829,032        | 0.00        | \$8,829,032        | 0.00        |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.540

Professional Registration Funds Transfer to Professional Registration Fees Fund

Program is found in the following core budget(s): Transfer to Professional Registration Fees Fund

**1. What does this program do?**

The Professional Registration Fees Fund Transfer Core is necessary to carry out the provisions of Chapter 324.001.5 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to the board.

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapter 324.001.5 RSMo.

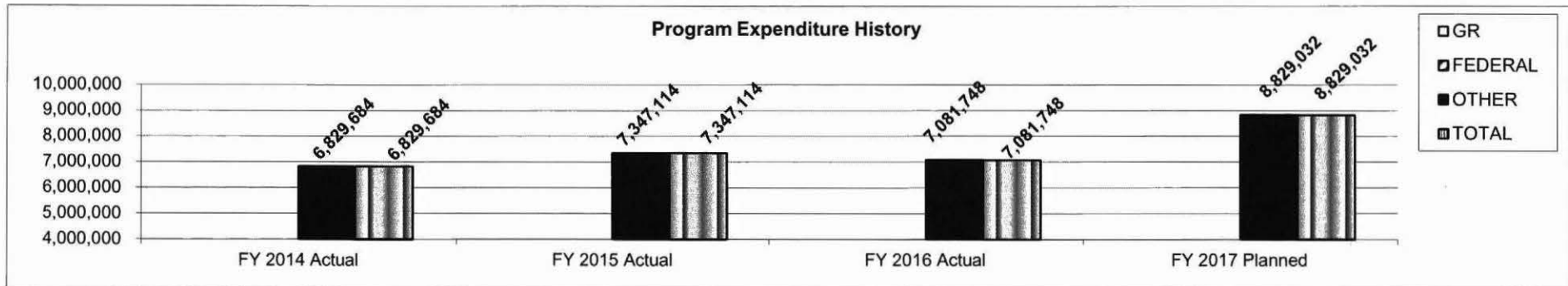
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Various Professional Registration Funds.

**7a. Provide an effectiveness measure.**

None available.

**7b.**

**Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

None available.

**7d.**

**Provide a customer satisfaction measure, if available.**

None available.



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# **CORE DECISION ITEM**

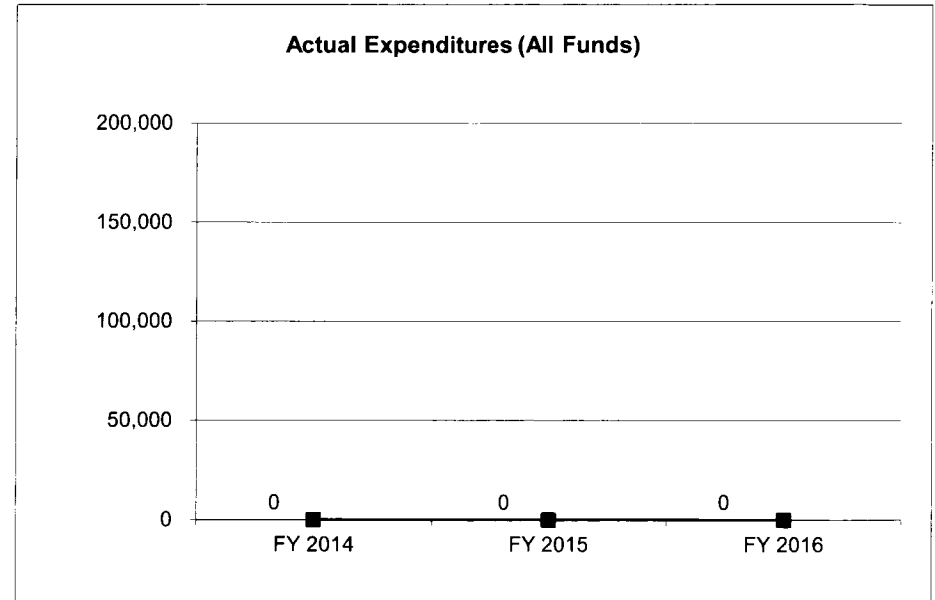
|  |                               |                |                |                |                    |  |  |                |                |                |          |
|--|-------------------------------|----------------|----------------|----------------|--------------------|--|--|----------------|----------------|----------------|----------|
| <b>Department of Insurance, Financial Institutions and Professional Registration</b>   |                               |                |                |                | <b>Budget Unit</b> | <b>42850C</b>  |  |                |                |                |          |
| <b>Professional Registration</b>   |                               |                |                |                |                    |  |  |                |                |                |          |
| <b>Core - Transfers for Start Up Loans for New Board Programs</b>  |                               |                |                |                | <b>HB Section</b>  | <b>7.545</b>   |  |                |                |                |          |
| <b>1. CORE FINANCIAL SUMMARY</b>   |                               |                |                |                |                    |  |  |                |                |                |          |
|  | <b>FY 2018 Budget Request</b> |                |                |                |                    |  | <b>FY 2018 Governor's Recommendation</b> |                |                |                |          |
|  | <b>GR</b>                     | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>E</b>           |  | <b>GR</b>                                | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>E</b> |
| <b>PS</b>  | 0                             | 0              | 0              | 0              |                    | <b>PS</b>  | 0  | 0              | 0              | 0              |          |
| <b>EE</b>  | 0                             | 0              | 0              | 0              |                    | <b>EE</b>  | 0  | 0              | 0              | 0              |          |
| <b>PSD</b>   | 0                             | 0              | 0              | 0              |                    | <b>PSD</b>   | 0  | 0              | 0              | 0              |          |
| <b>TRF</b>   | 0                             | 0              | 200,000        | 200,000        |                    | <b>TRF</b>   | 0  | 0              | 200,000        | 200,000        |          |
| <b>Total</b>   | <u>0</u>                      | <u>0</u>       | <u>200,000</u> | <u>200,000</u> |                    | <b>Total</b>   | <u>0</u>                                 | <u>0</u>       | <u>200,000</u> | <u>200,000</u> |          |
| <b>FTE</b>   | 0.00                          | 0.00           | 0.00           | 0.00           |                    | <b>FTE</b>   | 0.00                                     | 0.00           | 0.00           | 0.00           |          |
| <b>Est. Fringe</b>   | 0                             | 0              | 0              | 0              |                    | <b>Est. Fringe</b>   | 0  | 0              | 0              | 0              |          |
| <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>   |                               |                |                |                |                    | <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i> |  |                |                |                |          |
| Other Funds: Various Professional Registration Funds   |                               |                |                |                |                    | Other Funds: Various Professional Registration Funds   |  |                |                |                |          |
| <b>2. CORE DESCRIPTION</b>   |                               |                |                |                |                    |  |  |                |                |                |          |
| <p>The Professional Registration Transfer for Startup Loans Core is necessary to carry out the provisions of Chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established Chapter 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."</p> |                               |                |                |                |                    |  |  |                |                |                |          |
| <b>3. PROGRAM LISTING (list programs included in this core funding)</b>  |                               |                |                |                |                    |  |  |                |                |                |          |
| Transfer for Startup Loans for New Board Programs  |                               |                |                |                |                    |  |  |                |                |                |          |

# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42850C |
| Professional Registration   |             |        |
| Core - Transfers for Start Up Loans for New Board Programs                    | HB Section  | 7.545  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 200,000           | 200,000           | 200,000           | 200,000                |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 200,000           | 200,000           | 200,000           | 200,000                |
| Actual Expenditures (All Funds) | 0                 | 0                 | 0                 | N/A                    |
| Unexpended (All Funds)          | 200,000           | 200,000           | 200,000           | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 200,000           | 200,000           | 200,000           | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) No transfers required in FY 2014.
- (2) No transfers required in FY 2015.
- (3) No transfers required in FY 2016.

**CORE RECONCILIATION DETAIL**

**DIFP  
PR STARTUP LOANS**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|----------------|----------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                |                |                |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 200,000        | 200,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>200,000</b> | <b>200,000</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                |                |                |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 200,000        | 200,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>200,000</b> | <b>200,000</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                |                |                |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 200,000        | 200,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>200,000</b> | <b>200,000</b> |                    |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit                   |            |             |                  |             |                  |             |                  |             |
|-------------------------------|------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                 | FY 2016    | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
| Budget Object Summary         | ACTUAL     | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Fund                          | DOLLAR     | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>PR STARTUP LOANS</b>       |            |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                   |            |             |                  |             |                  |             |                  |             |
| FUND TRANSFERS                |            |             |                  |             |                  |             |                  |             |
| BOARD OF REG FOR HEALING ARTS | 0          | 0.00        | 200,000          | 0.00        | 200,000          | 0.00        | 200,000          | 0.00        |
| TOTAL - TRF                   | 0          | 0.00        | 200,000          | 0.00        | 200,000          | 0.00        | 200,000          | 0.00        |
| <b>TOTAL</b>                  | <b>0</b>   | <b>0.00</b> | <b>200,000</b>   | <b>0.00</b> | <b>200,000</b>   | <b>0.00</b> | <b>200,000</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>            | <b>\$0</b> | <b>0.00</b> | <b>\$200,000</b> | <b>0.00</b> | <b>\$200,000</b> | <b>0.00</b> | <b>\$200,000</b> | <b>0.00</b> |

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**DIFP****DECISION ITEM DETAIL**

| Budget Unit             | FY 2016    | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
|-------------------------|------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item           | ACTUAL     | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class     | DOLLAR     | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>PR STARTUP LOANS</b> |            |             |                  |             |                  |             |                  |             |
| <b>CORE</b>             |            |             |                  |             |                  |             |                  |             |
| TRANSFERS OUT           | 0          | 0.00        | 200,000          | 0.00        | 200,000          | 0.00        | 200,000          | 0.00        |
| TOTAL - TRF             | 0          | 0.00        | 200,000          | 0.00        | 200,000          | 0.00        | 200,000          | 0.00        |
| <b>GRAND TOTAL</b>      | <b>\$0</b> | <b>0.00</b> | <b>\$200,000</b> | <b>0.00</b> | <b>\$200,000</b> | <b>0.00</b> | <b>\$200,000</b> | <b>0.00</b> |
| <b>GENERAL REVENUE</b>  |            |             |                  |             |                  |             |                  |             |
|                         | <b>\$0</b> | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>FEDERAL FUNDS</b>    |            |             |                  |             |                  |             |                  |             |
|                         | <b>\$0</b> | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> | <b>\$0</b>       | <b>0.00</b> |
| <b>OTHER FUNDS</b>      |            |             |                  |             |                  |             |                  |             |
|                         | <b>\$0</b> | <b>0.00</b> | <b>\$200,000</b> | <b>0.00</b> | <b>\$200,000</b> | <b>0.00</b> | <b>\$200,000</b> | <b>0.00</b> |

## PROGRAM DESCRIPTION

**Department of Insurance, Financial Institutions and Professional Registration**

**HB Section(s):** 7.545

**Transfer for Startup Loans for New Board Programs**

**Program is found in the following core budget(s):** Transfer for Startup Loans for New Board Programs

**1. What does this program do?**

The Professional Registration Transfer for Startup Loans Program is necessary to carry out the provisions of chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established chapter 324.016 RSMo. states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

**2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)**

State Statute: Chapter 324.016 RSMo.

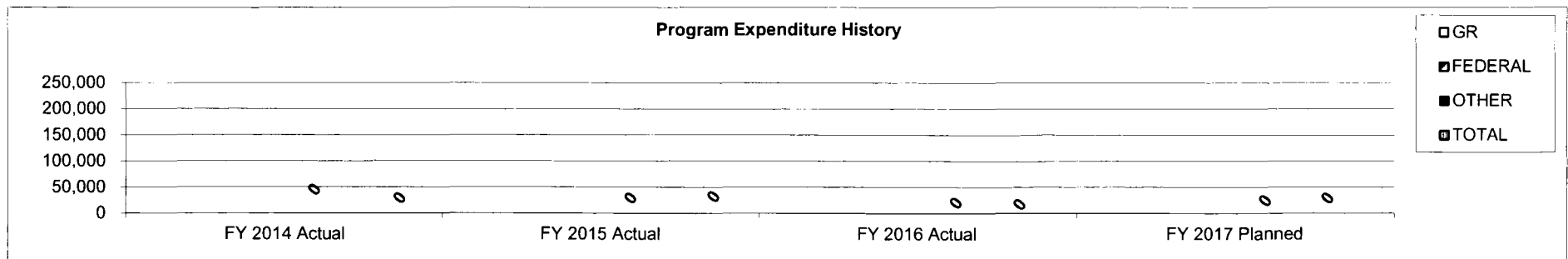
**3. Are there federal matching requirements? If yes, please explain.**

No.

**4. Is this a federally mandated program? If yes, please explain.**

No.

**5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.**



**6. What are the sources of the "Other " funds?**

Various Professional Registration Funds.

**7a. Provide an effectiveness measure.**

None available.

**7b.**

**Provide an efficiency measure.**

None available.

**7c. Provide the number of clients/individuals served, if applicable.**

None available.

**7d.**

**Provide a customer satisfaction measure, if available.**

None available.





# CORE DECISION ITEM

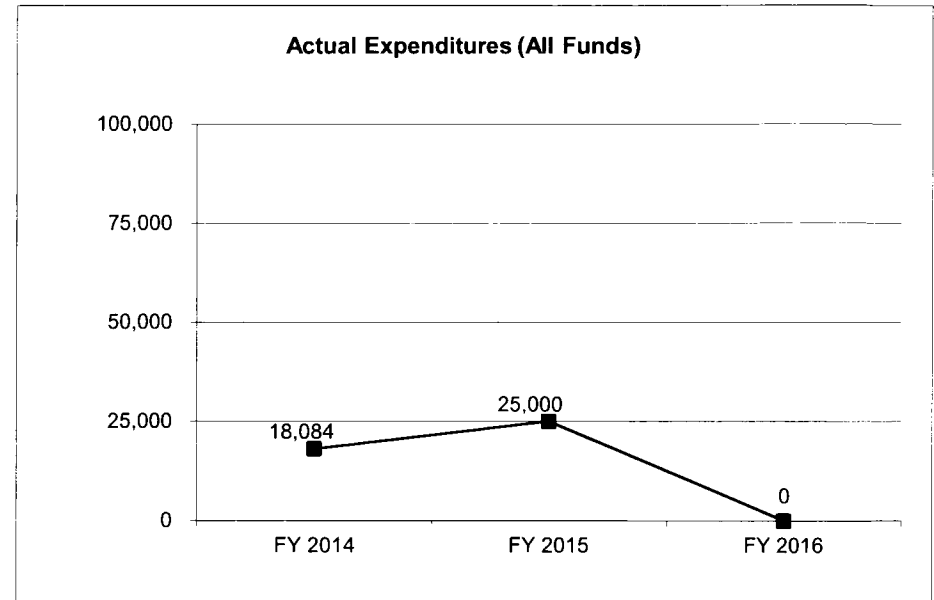
|  |      |         |         |         |   |   |      |         |         |         |   |
|--|------|---------|---------|---------|---|---|------|---------|---------|---------|---|
| Department of Insurance, Financial Institutions and Professional Registration  |      |         |         |         |   | Budget Unit 42860C  |      |         |         |         |   |
| Professional Registration  |      |         |         |         |   |   |      |         |         |         |   |
| Core - Transfers for Start Up Loan Payback   |      |         |         |         |   | HB Section 7.550  |      |         |         |         |   |
| 1. CORE FINANCIAL SUMMARY  |      |         |         |         |   |   |      |         |         |         |   |
| FY 2018 Budget Request   |      |         |         |         |   | FY 2018 Governor's Recommendation   |      |         |         |         |   |
|  | GR   | Federal | Other   | Total   | E |   | GR   | Federal | Other   | Total   | E |
| PS   | 0    | 0       | 0       | 0       |   | PS  | 0    | 0       | 0       | 0       |   |
| EE   | 0    | 0       | 0       | 0       |   | EE  | 0    | 0       | 0       | 0       |   |
| PSD  | 0    | 0       | 0       | 0       |   | PSD   | 0    | 0       | 0       | 0       |   |
| TRF  | 0    | 0       | 320,000 | 320,000 |   | TRF   | 0    | 0       | 320,000 | 320,000 |   |
| Total  | 0    | 0       | 320,000 | 320,000 |   | Total   | 0    | 0       | 320,000 | 320,000 |   |
| FTE  | 0.00 | 0.00    | 0.00    | 0.00    |   | FTE   | 0.00 | 0.00    | 0.00    | 0.00    |   |
| Est. Fringe  | 0    | 0       | 0       | 0       |   | Est. Fringe   | 0    | 0       | 0       | 0       |   |
| Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.  |      |         |         |         |   | Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. |      |         |         |         |   |
| Other Funds: Various Professional Registration Funds   |      |         |         |         |   | Other Funds: Various Professional Registration Funds  |      |         |         |         |   |
| 2. CORE DESCRIPTION  |      |         |         |         |   |   |      |         |         |         |   |
| The Professional Registration Transfer for Payback of Startup Loans Core is necessary to carry out the provisions of Chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established Chapter 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed." |      |         |         |         |   |   |      |         |         |         |   |
| 3. PROGRAM LISTING (list programs included in this core funding)   |      |         |         |         |   |   |      |         |         |         |   |
| Transfer for Startup Loans Payback   |      |         |         |         |   |   |      |         |         |         |   |

# CORE DECISION ITEM

|   |             |        |
|---|-------------|--------|
| Department of Insurance, Financial Institutions and Professional Registration | Budget Unit | 42860C |
| Professional Registration   |             |        |
| Core - Transfers for Start Up Loan Payback                                    | HB Section  | 7.550  |

## 4. FINANCIAL HISTORY

|                                 | FY 2014<br>Actual | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Current Yr. |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|
| Appropriation (All Funds)       | 320,000           | 320,000           | 320,000           | 320,000                |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |
| Budget Authority (All Funds)    | 320,000           | 320,000           | 320,000           | 320,000                |
| Actual Expenditures (All Funds) | 18,084            | 25,000            | 0                 | N/A                    |
| Unexpended (All Funds)          | 301,916           | 295,000           | 320,000           | N/A                    |
| Unexpended, by Fund:            |                   |                   |                   |                        |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |
| Other                           | 301,916           | 295,000           | 320,000           | N/A                    |
|                                 | (1)               | (2)               | (3)               |                        |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

- (1) Startup loans paybacks included \$8,084 from Interpreters and \$10,000 from Private Investigators.
- (2) Startup loans paybacks included \$25,000 from Private Investigators.
- (3) No transfer required in FY 2016.

**CORE RECONCILIATION DETAIL**

**DIFP  
PR STARTUP LOANS PAYBACK**

**5. CORE RECONCILIATION DETAIL**

|                                    | <b>Budget<br/>Class</b> | <b>FTE</b>  | <b>GR</b> | <b>Federal</b> | <b>Other</b>   | <b>Total</b>   | <b>Explanation</b> |
|------------------------------------|-------------------------|-------------|-----------|----------------|----------------|----------------|--------------------|
| <b>TAFP AFTER VETOES</b>           |                         |             |           |                |                |                |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 320,000        | 320,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>320,000</b> | <b>320,000</b> |                    |
| <b>DEPARTMENT CORE REQUEST</b>     |                         |             |           |                |                |                |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 320,000        | 320,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>320,000</b> | <b>320,000</b> |                    |
| <b>GOVERNOR'S RECOMMENDED CORE</b> |                         |             |           |                |                |                |                    |
|                                    | TRF                     | 0.00        | 0         | 0              | 320,000        | 320,000        |                    |
|                                    | <b>Total</b>            | <b>0.00</b> | <b>0</b>  | <b>0</b>       | <b>320,000</b> | <b>320,000</b> |                    |

**DIFP****DECISION ITEM SUMMARY**

| Budget Unit                     |            |             |                  |             |                  |             |                  |             |
|---------------------------------|------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                   | FY 2016    | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
| Budget Object Summary           | ACTUAL     | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Fund                            | DOLLAR     | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>PR STARTUP LOANS PAYBACK</b> |            |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                     |            |             |                  |             |                  |             |                  |             |
| FUND TRANSFERS                  |            |             |                  |             |                  |             |                  |             |
| PROFESSIONAL REGISTRATION FEES  | 0          | 0.00        | 320,000          | 0.00        | 320,000          | 0.00        | 320,000          | 0.00        |
| TOTAL - TRF                     | 0          | 0.00        | 320,000          | 0.00        | 320,000          | 0.00        | 320,000          | 0.00        |
| <b>TOTAL</b>                    | <b>0</b>   | <b>0.00</b> | <b>320,000</b>   | <b>0.00</b> | <b>320,000</b>   | <b>0.00</b> | <b>320,000</b>   | <b>0.00</b> |
| <b>GRAND TOTAL</b>              | <b>\$0</b> | <b>0.00</b> | <b>\$320,000</b> | <b>0.00</b> | <b>\$320,000</b> | <b>0.00</b> | <b>\$320,000</b> | <b>0.00</b> |

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# DIFP

# DECISION ITEM DETAIL

| Budget Unit                     | FY 2016    | FY 2016     | FY 2017          | FY 2017     | FY 2018          | FY 2018     | FY 2018          | FY 2018     |
|---------------------------------|------------|-------------|------------------|-------------|------------------|-------------|------------------|-------------|
| Decision Item                   | ACTUAL     | ACTUAL      | BUDGET           | BUDGET      | DEPT REQ         | DEPT REQ    | GOV REC          | GOV REC     |
| Budget Object Class             | DOLLAR     | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         | DOLLAR           | FTE         |
| <b>PR STARTUP LOANS PAYBACK</b> |            |             |                  |             |                  |             |                  |             |
| <b>CORE</b>                     |            |             |                  |             |                  |             |                  |             |
| TRANSFERS OUT                   | 0          | 0.00        | 320,000          | 0.00        | 320,000          | 0.00        | 320,000          | 0.00        |
| TOTAL - TRF                     | 0          | 0.00        | 320,000          | 0.00        | 320,000          | 0.00        | 320,000          | 0.00        |
| <b>GRAND TOTAL</b>              | <b>\$0</b> | <b>0.00</b> | <b>\$320,000</b> | <b>0.00</b> | <b>\$320,000</b> | <b>0.00</b> | <b>\$320,000</b> | <b>0.00</b> |
| GENERAL REVENUE                 | \$0        | 0.00        | \$0              | 0.00        | \$0              | 0.00        | \$0              | 0.00        |
| FEDERAL FUNDS                   | \$0        | 0.00        | \$0              | 0.00        | \$0              | 0.00        | \$0              | 0.00        |
| OTHER FUNDS                     | \$0        | 0.00        | \$320,000        | 0.00        | \$320,000        | 0.00        | \$320,000        | 0.00        |

## PROGRAM DESCRIPTION

Department of Insurance, Financial Institutions and Professional Registration

HB Section(s): 7.550

Transfer for Startup Loans Payback

Program is found in the following core budget(s): Transfer for Startup Loans Payback

### 1. What does this program do?

The Professional Registration Transfer for Startup Loans Payback Program is necessary to carry out the provisions of Chapter 324.016 RSMo., which requires the General Assembly to appropriate to the Division of Professional Registration from each board's funds, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board. When a new board is established Chapter 324.016, RSMo states, "The director of the division of professional registration shall have the authority to borrow funds from any agency within the division to commence operations upon appropriation for such purpose. This authority shall cease at such time that a sufficient fund has been established by the agency to fund its operations and repay the amount borrowed."

### 2. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

State Statute: Chapter 324.016 RSMo.

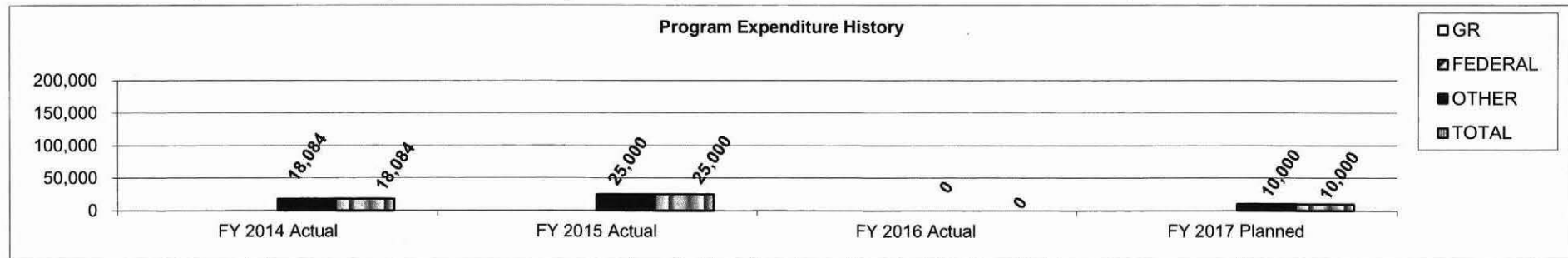
### 3. Are there federal matching requirements? If yes, please explain.

No.

### 4. Is this a federally mandated program? If yes, please explain.

No.

### 5. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year.



### 6. What are the sources of the "Other " funds?

Various Professional Registration Funds.

#### 7a. Provide an effectiveness measure.

None available.

#### 7b.

#### Provide an efficiency measure.

None available.

#### 7c. Provide the number of clients/individuals served, if applicable.

None available.

#### 7d.

#### Provide a customer satisfaction measure, if available.

None available.

