# **FISCAL YEAR 2022** BUDGET REQUEST GOVERNOR'S RECOMMENDATIONS



Michael L. Parson Governor Chlora Lindley-Myers Director

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### Missouri Department of Commerce and Insurance FY 2022 Budget Request

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The Department of Commerce and Insurance (DCI) protects Missouri consumers through our oversight of the insurance industry, banks, credit unions, utilities and various professional licensees operating in the state. DCI's strategic priority is to educate and advocate for Missourians as well as regulate fairly and impartially the industries and professionals under our purview. DCI is organized into the director's office, which oversees the department, and nine divisions:

### **INSURANCE CONSUMER AFFAIRS DIVISION**

- Acts as a liaison between the consumer and the insurance industry by receiving complaints against insurance companies, insurance producers (agents) and other licensees.
- Investigates complaints to ensure consumers are being treated fairly under the law.
- Conducts education and outreach to Missouri consumers about insurance topics.

### **INSURANCE MARKET REGULATION DIVISION**

- Reviews insurance policy forms and materials to ensure compliance with Missouri laws and regulations.
- Conducts market analysis investigations, and market conduct examinations of insurance companies to protect policy holders and ensure laws are followed.
- Monitors Missouri's insurance market through the collection and compilation of statistical data obtained from industry.

### **INSURANCE COMPANY REGULATION DIVISION**

- Monitors and analyzes the financial solvency of insurance companies licensed in Missouri to ensure consumer claims can be paid.
- Licenses and regulates captive insurance companies, authorized reinsurance companies and other insurance-related entities.
- Reviews all premium tax, surplus lines tax and captive premium tax filings.

### **ADMINISTRATION DIVISION**

- Provides general operational support within DCI including preparation of DCI's annual budget, fiscal management of state insurance funds and federal grants, oversight of human resources and information technology coordination.
- Licenses insurance producers (agents and agencies) operating within Missouri as well as licenses and registers various other insurance-related entities.
- Oversees the CLAIM program, which provides free counseling for Missouri Medicare recipients and their caregivers.

### **DIVISION OF CREDIT UNIONS**

- Examines and oversees Missouri's 96 state-chartered credit unions.
- Responds to consumer complaints concerning credit union services or operations.

### **DIVISION OF FINANCE**

- Examines and oversees Missouri's 232 state-chartered banks, non-deposit trust companies and savings and loan associations to ensure their safety and soundness so consumers' deposits are safe and the public is confident in Missouri's financial system.
- Licenses and regulates consumer credit companies, credit services organizations, money order companies, mortgage broker companies and mortgage loan originators.

### **DIVISION OF PROFESSIONAL REGISTRATION**

- Supports 41 professional licensing boards, commissions, committees and offices in licensing and regulating the activities of Missouri professionals.
- The boards, commissions, committees and offices process applications, administer examinations and, when warranted, conduct investigations into possible professional misconduct and may take disciplinary action against the practitioner.

### **PUBLIC SERVICE COMMISSION**

 Independently governed commission that regulates investor-owned electric, natural gas, steam, water and sewer utilities in Missouri.

### **OFFICE OF THE PUBLIC COUNSEL**

 Represents the public and the interests of utility customers in proceedings before the Missouri Public Service Commission and in appeals of Public Service Commission decisions.



## **MISSOURI** Department of Commerce & Insurance



2021 Version 3.1

| ASPIRATION  | We will work every day to educate and advocate for Missourians, as well as regulate fairly and impartially insurance entities, banks, credit unions, utilities and professional licensees.   |  |  |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|--|--|--|
| THEMES  | EDUCATE<br>Provide help and educate<br>stakeholders so they are<br>better informed problem<br>solvers.   | REGULATE<br>Strengthen our regulatory<br>relationships while ensuring a<br>level playing field to protect and<br>advocate for the general public.  | INNOVATE<br>Innovate to make it easier to<br>connect and work with us.   | RECRUIT, REWARD & RETAIN<br>TALENT<br>Develop our team, reward<br>great performance, and retain<br>top talent.   |  |  |  |  |  |  |  |
| INITIATIVES <ul> <li>ONGOING</li> <li>2021</li> </ul> | <ul> <li>Increase consumer<br/>awareness through multiple<br/>communication channels</li> <li>Increase direct engagement<br/>with stakeholders</li> <li>Focus attention on our<br/>mission and the citizen<br/>experience</li> <li>Ensure stakeholder<br/>awareness and education<br/>continues remotely through<br/>webinars and online.</li> </ul> | <ul> <li>Increase our communication regarding our regulatory processes and decision making</li> <li>Conduct timely investigations and work with regulated entities to implement corrective actions</li> <li>Adhere to uniform regulatory protocols and use a risk assessment approach for emerging issues</li> <li>Identify and prioritize our at-risk entities; ensure that we adhere to national accreditation requirements.</li> <li>Strengthen channels for regular feedback from regulated entities</li> <li>Partner with industry to promote and strengthen online regulatory processes</li> </ul> | <ul> <li>Use technology to increase DCI efficiency, transparency, and accountability</li> <li>Examine essential functions to determine where we can leverage our expertise, resources, and technology</li> <li>Partner with industry to experiment within the current regulatory framework</li> <li>Implement online or remote technology/processes for exams and department regulatory processes</li> </ul> | <ul> <li>Continue to implement<br/>statewide talent<br/>development initiatives</li> <li>Continue opportunities to<br/>engage with employees</li> <li>Support active membership<br/>in professional<br/>organizations and the<br/>earning of designations</li> <li>Establish a career ladder<br/>for all positions, which<br/>allows for advancement in<br/>appropriate ways</li> <li>Train employees on remote<br/>work opportunities and<br/>responsibilities</li> </ul> |  |  |  |  |  |  |  |

### Department strategic overview: FY22 Budget

| DEPARTMENT:                  | Department of Commerce and Insurance  |
|------------------------------|---|
| DIRECTOR:                    | Chlora Lindley-Myers  |
| DEPARTMENT                   |   |
| ASPIRATION:                  | We will educate and advocate for Missourians, as well as regulate fairly and impartially insurance entities, banks, credit unions, utilities, and professional licensees.   |
| HIGHLIGHTS<br>FROM FY20-FY21 | Missouri provides waiver to expand the use of telehealth for treatment of COVID-19         The state of Missouri waived state statute (191.1145.3) for individuals licensed in other states. This gave the ability to expand the use of telehealth to better serve the needs of our citizens during the COVID-19 public health crisis. Health care providers must have a license to practice in their state for their respective professions and be in good standing. The waiver will allow them to use telehealth in order to collaborate in the treatment of patients.         Missouri licensed psychologists can now practice under a multi-state compact         As of July 1, 2020, licensed psychologists in Missouri and twelve other states can now apply to practice telepsychology and/or conduct temporary face-to-face practice across state boundaries under the authority of the Psychology Interjurisdictional Compact (PSYPACT). This allows these licensed professionals to practice across state lines legally and ethically without requiring that an individual practitioner become licensed in every state. This increases access and efficiency for both practitioners and consumers.         DCI and the Missouri Insurance Education Foundation partnered to provide educational resources to help consumers get ready for a major quake         DCI and MIEF partnered to raise awareness about the financial impact an earthquake could have on Missouri homeowners. Earthquake coverage is not a part of the standard homeowner's policy. It, like flood insurance, must be purchased separately. DCI wants Missourians to carefully consider how they can take a lead role in their own financial recovery and be able to make an informed decision about how to protect themselves.         Missouri State Board of Nursing approves inclusion of Paramedics in innovative, student-centered approach |
| FY22 PRIORITIES              | Candidates for Missouri insurance producer licenses can now take their examinations online         DCI, in partnership with Pearson VUE, will begin offering online proctored insurance producer examinations on November 17, 2020. While on-site testing facilities will remain an important option for candidates, the Pearson OnVUE program expands the choices to accommodate the testing needs of any potential Missouri licensee.         Better Government       •         •       Simplify our language and consumer communications         •       Use technology to increase DCI efficiency, transparency, and accountability         •       Ensure stakeholderawareness and education continues remotely through webinars and online         •       Partner with industry to promote and strengthen online regulatory processes         Workforce Development (our team)       •         •       Support active membership in organizations and the earning of designations         •       Establish a career ladder for all positions, which allows for advancement in appropriate ways (e.g., management track vs. subject matter expert)         Workforce Development (Missouri)       •         •       Increase our communication regarding our regulatory processes and decision making         •       Adhere to uniform regulatory protocols and use a risk assessment approach for emerging issues         •       Strengthen channels for regular feedback from regulated entities   |
| FY23 PREVIEW                 | <ul> <li>Increase the focus on our mission and the citizen experience</li> <li>Partner with industry to experiment within the current regulatory framework</li> <li>Continue to create initiatives to develop our team, reward great performance, and retain top talent</li> <li>Continue to innovate to make it easier to connect and work with us</li> </ul>  |

### Missouri Department of Commerce and Insurance

State Auditor's Reports, Oversight Evaluations, Federal Audits/Reviews and Missouri Sunset Act Reports within the last three years

|   | Type of | Date    |   |
|---|---------|---------|---|
| Program or Division Name  | Report  | Issued  | Website Link  |
|   |         |         |   |
| Department of Commerce and Insurance - Insurance                  | Audit   | 09/2020 | https://app.auditor.mo.gov/AuditReports/CitzSummary.aspx?id=834 |
| Department of Insurance, Financial Institutions, and Professional |         |         |   |
| Registration - Insurance  | Audit   | 10/2019 | https://app.auditor.mo.gov/AuditReports/CitzSummary.aspx?id=756 |

### NEW DECISION ITEM

RANK: 2 OF 5

| FY 22 Pay Plan         DI# 0000012           1. AMOUNT OF REQUEST         F 2022 Budget Request         FY 2022 Budget Request         FY 2022 Governor's Recommendation           GR         Federal         Other         Total           GR         Federal         Other         Total           GR         Federal         Other         Total           GR         Federal         Other         Total           O         O         O         O           S         O |
|--|
|--|

 NEW DECISION ITEM

 RANK:
 2
 OF
 5

| Department of Commerce and Insurance   | )                            |                              |                                | Budget Unit           | Various                     |                         |                             |                         |                                |
|--|------------------------------|------------------------------|--------------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| FY 22 Pay Plan   |                              | DI# 0000012                  |                                |                       |                             |                         |                             |                         |                                |
| 4. DESCRIBE THE DETAILED ASSUMPT<br>number of FTE were appropriate? From<br>outsourcing or automation considered?<br>the request are one-times and how those | what source<br>If based on n | or standard<br>Iew legislati | did you deriv<br>on, does requ | ve the reques         | ted levels of               | funding? W              | ere alternativ              | ves such as             |                                |
| The appropriated amount for the Fiscal Yea   | ar 2022 pay pla              | an was base                  | d on personal                  | service appro         | priations.                  |                         |                             |                         |                                |
| 5. BREAK DOWN THE REQUEST BY BU  | DGET OBJEC                   | T CLASS. J                   | OB CLASS. A                    | ND FUND SC            | URCE. IDEN                  | ITIFY ONE-T             | IME COSTS.                  |                         |                                |
|  | Dept Req<br>GR               | Dept Req<br>GR               | Dept Req<br>FED                | Dept Req<br>FED       | Dept Req<br>OTHER           | Dept Req<br>OTHER       | Dept Req<br>TOTAL           | Dept Req<br>TOTAL       | Dept Req<br>One-Time           |
| Budget Object Class/Job Class  | DOLLARS                      | FTE                          | DOLLARS                        | FTE                   | DOLLARS                     | FTE                     | DOLLARS                     | FTE                     | DOLLARS                        |
| 100-Salaries and Wages   |                              |                              |                                |                       |                             |                         | 0<br>0                      | 0.0                     |                                |
| Total PS   | 0                            | 0.0                          | 0                              | 0.0                   | 0                           | 0.0                     | 0                           | 0.0                     | 0                              |
| Grand Total  | 0                            | 0.0                          | 0                              | 0.0                   | 0                           | 0.0                     | 0                           | 0.0                     | 0                              |
|  |                              |                              |                                |                       |                             |                         |                             |                         |                                |
| Budget Object Class/Job Class  | Gov Rec<br>GR<br>DOLLARS     | Gov Rec<br>GR<br>FTE         | Gov Rec<br>FED<br>DOLLARS      | Gov Rec<br>FED<br>FTE | Gov Rec<br>OTHER<br>DOLLARS | Gov Rec<br>OTHER<br>FTE | Gov Rec<br>TOTAL<br>DOLLARS | Gov Rec<br>TOTAL<br>FTE | Gov Rec<br>One-Time<br>DOLLARS |
|  |                              |                              |                                |                       |                             |                         | 0                           |                         |                                |
| 100-Salaries and Wages Total PS  | 9,398<br><b>9,398</b>        | 0.0                          | 0                              | 0.0                   | 451,070<br><b>451,070</b>   | 0.0                     | 460,468                     | 0.0<br><b>0.0</b>       | 0                              |
|  | 9,398                        | 0.0                          | U                              | 0.0                   | 431,070                     | 0.0                     | 460,468                     | 0.0                     | U                              |
| Grand Total  | 9,398                        | 0.0                          | 0                              | 0.0                   | 451,070                     | 0.0                     | 460,468                     | 0.0                     | 0                              |
|  | · · ·                        |                              |                                |                       |                             |                         |                             |                         |                                |

| DCI                            |         |         |         |         |          | 0        | DECISION ITE | EM DETAIL |
|--------------------------------|---------|---------|---------|---------|----------|----------|--------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022      | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC      | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR       | FTE       |
| DEPT ADMINISTRATION            |         |         |         |         |          |          |              |           |
| Pay Plan - 0000012             |         |         |         |         |          |          |              |           |
| STATE DEPARTMENT DIRECTOR      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 129          | 0.00      |
| DEPUTY STATE DEPT DIRECTOR     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 120          | 0.00      |
| DESIGNATED PRINCIPAL ASST DEPT | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 142          | 0.00      |
| DIVISION DIRECTOR              | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 216          | 0.00      |
| DESIGNATED PRINCIPAL ASST DIV  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 49           | 0.00      |
| ADMINISTRATIVE MANAGER         | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 114          | 0.00      |
| SR PUBLIC RELATIONS SPECIALIST | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 133          | 0.00      |
| PUBLIC RELATIONS DIRECTOR      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 102          | 0.00      |
| AGENCY BUDGET SENIOR ANALYST   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 161          | 0.00      |
| INTERMEDIATE ACCOUNTANT        | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 51           | 0.00      |
| ACCOUNTANT MANAGER             | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 38           | 0.00      |
| PROCUREMENT SPECIALIST         | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 26           | 0.00      |
| HUMAN RESOURCES GENERALIST     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 23           | 0.00      |
| HUMAN RESOURCES SPECIALIST     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 30           | 0.00      |
| HUMAN RESOURCES DIRECTOR       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 32           | 0.00      |
| TOTAL - PS                     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,366        | 0.00      |
| GRAND TOTAL                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$1,366      | 0.00      |
| GENERAL REVENUE                | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0          | 0.00      |
| FEDERAL FUNDS                  | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0          | 0.00      |
| OTHER FUNDS                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$1,366      | 0.00      |

| DCI<br>Budget Unit             | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  |          | DECISION ITE | FY 2022 |
|--------------------------------|---------|---------|---------|---------|----------|----------|--------------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC      | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR       | FTE     |
|                                |         |         | -       |         | -        |          | -            |         |
| Pay Plan - 0000012             |         |         |         |         |          |          |              |         |
| STATE DEPARTMENT DIRECTOR      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,162        | 0.00    |
| DEPUTY STATE DEPT DIRECTOR     | C       |         | 0       | 0.00    | 0        | 0.00     | 1,077        | 0.0     |
| DESIGNATED PRINCIPAL ASST DEPT | C       |         | 0       | 0.00    | 0        | 0.00     | 2,639        | 0.0     |
| DIVISION DIRECTOR              | C       |         | 0       | 0.00    | 0        | 0.00     | 4,113        | 0.0     |
| DESIGNATED PRINCIPAL ASST DIV  | c<br>(  |         | 0       | 0.00    | 0        | 0.00     | 1,346        | 0.0     |
| LEGAL COUNSEL                  | C       |         | 0       | 0.00    | 0        | 0.00     | 3,104        | 0.00    |
| CHIEF COUNSEL                  | C       |         | 0       | 0.00    | 0        | 0.00     | 967          | 0.00    |
| SENIOR COUNSEL                 | C       |         | 0       | 0.00    | 0        | 0.00     | 2,486        | 0.00    |
| ACTUARY                        | C       |         | 0       | 0.00    | 0        | 0.00     | 3,407        | 0.00    |
| MISCELLANEOUS TECHNICAL        | C       |         | 0       | 0.00    | 0        | 0.00     | 820          | 0.00    |
| MISCELLANEOUS PROFESSIONAL     | C       |         | 0       | 0.00    | 0        | 0.00     | 546          | 0.00    |
| ADMIN SUPPORT ASSISTANT        | C       |         | 0       | 0.00    | 0        | 0.00     | 1,959        | 0.00    |
| LEAD ADMIN SUPPORT ASSISTANT   | C       |         | 0       | 0.00    | 0        | 0.00     | 2,144        | 0.00    |
| ADMIN SUPPORT PROFESSIONAL     | C       |         | 0       | 0.00    | 0        | 0.00     | 500          | 0.00    |
| ADMINISTRATIVE MANAGER         | C       |         | 0       | 0.00    | 0        | 0.00     | 648          | 0.00    |
| CUSTOMER SERVICE REP           | C       |         | 0       | 0.00    | 0        | 0.00     | 663          | 0.00    |
| LEAD CUSTOMER SERVICE REP      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,199        | 0.00    |
| PROGRAM SPECIALIST             | C       |         | 0       | 0.00    | 0        | 0.00     | 500          | 0.00    |
| RESEARCH/DATA ANALYST          | C       |         | 0       | 0.00    | 0        | 0.00     | 462          | 0.00    |
| RESEARCH DATA ANALYSIS SPV/MGR | C       |         | 0       | 0.00    | 0        | 0.00     | 768          | 0.00    |
| SR PUBLIC RELATIONS SPECIALIST | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 753          | 0.00    |
| PUBLIC RELATIONS DIRECTOR      | C       |         | 0       | 0.00    | 0        | 0.00     | 578          | 0.00    |
| AGENCY BUDGET SENIOR ANALYST   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 919          | 0.00    |
| SENIOR ACCOUNTS ASSISTANT      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 811          | 0.0     |
| INTERMEDIATE ACCOUNTANT        | C       |         | 0       | 0.00    | 0        | 0.00     | 975          | 0.00    |
| ACCOUNTANT MANAGER             | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 724          | 0.0     |
| PROCUREMENT SPECIALIST         | C       |         | 0       | 0.00    | 0        | 0.00     | 487          | 0.0     |
| HUMAN RESOURCES GENERALIST     | C       |         | 0       | 0.00    | 0        | 0.00     | 439          | 0.00    |
| HUMAN RESOURCES SPECIALIST     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 568          | 0.00    |
| HUMAN RESOURCES DIRECTOR       | C       |         | 0       | 0.00    | 0        | 0.00     | 616          | 0.0     |
| LEGAL ASSISTANT                | C       |         | 0       | 0.00    | 0        | 0.00     | 385          | 0.0     |
| PARALEGAL                      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 427          | 0.0     |

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| DCI                           |         |         |         |         |          | 0        | ECISION ITI | EM DETAIL |
|-------------------------------|---------|---------|---------|---------|----------|----------|-------------|-----------|
| Budget Unit                   | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                 | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class           | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE       |
| INSURANCE OPERATIONS          |         |         |         |         |          |          |             |           |
| Pay Plan - 0000012            |         |         |         |         |          |          |             |           |
| EXAMINER                      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 3,303       | 0.00      |
| ACCREDITED EXAMINER           | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 829         | 0.00      |
| CERTIFIED EXAMINER            | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 7,650       | 0.00      |
| EXAMINER SPECIALIST           | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 3,203       | 0.00      |
| EXAMINER-IN-CHARGE            | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 3,823       | 0.00      |
| EXAMINATION MANAGER           | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 5,546       | 0.00      |
| CHIEF EXAMINER                | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 2,033       | 0.00      |
| REGULATORY AUDITOR            | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 14,782      | 0.00      |
| SENIOR REGULATORY AUDITOR     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 7,937       | 0.00      |
| REGULATORY COMPLIANCE MANAGER | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 4,507       | 0.00      |
| TOTAL - PS                    | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 91,805      | 0.00      |
| GRAND TOTAL                   | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$91,805    | 0.00      |
| GENERAL REVENUE               | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS                 | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                   | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$91,805    | 0.00      |

| DCI                       |         |         |         |         |          | 0        | <b>DECISION ITI</b> | EM DETAIL |
|---------------------------|---------|---------|---------|---------|----------|----------|---------------------|-----------|
| Budget Unit               | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022             | FY 2022   |
| Decision Item             | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC             | GOV REC   |
| Budget Object Class       | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR              | FTE       |
| INSURANCE EXAMINATIONS    |         |         |         |         |          |          |                     |           |
| Pay Plan - 0000012        |         |         |         |         |          |          |                     |           |
| ACTUARY                   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 140                 | 0.00      |
| MISCELLANEOUS TECHNICAL   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 98                  | 0.00      |
| EXAMINER                  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 4,108               | 0.00      |
| ACCREDITED EXAMINER       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,603               | 0.00      |
| CERTIFIED EXAMINER        | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 14,325              | 0.00      |
| EXAMINER SPECIALIST       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 2,031               | 0.00      |
| EXAMINER-IN-CHARGE        | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 12,709              | 0.00      |
| EXAMINATION MANAGER       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 590                 | 0.00      |
| CHIEF EXAMINER            | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 93                  | 0.00      |
| SENIOR REGULATORY AUDITOR | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 168                 | 0.00      |
| TOTAL - PS                | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 35,865              | 0.00      |
| GRAND TOTAL               | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$35,865            | 0.00      |
| GENERAL REVENUE           | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| FEDERAL FUNDS             | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| OTHER FUNDS               | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$35,865            | 0.00      |

| DCI                            |         |         |         |         |          | C        | ECISION ITE | EM DETAIL |
|--------------------------------|---------|---------|---------|---------|----------|----------|-------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE       |
| CREDIT UNIONS                  |         |         |         |         |          |          |             |           |
| Pay Plan - 0000012             |         |         |         |         |          |          |             |           |
| DESIGNATED PRINCIPAL ASST DIV  | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 5           | 0.00      |
| COMMISSION MEMBER              | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 194         | 0.00      |
| ADMIN OFFICE SUPPORT ASSISTANT | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 164         | 0.00      |
| ADMINISTRATIVE SECRETARY       | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 403         | 0.00      |
| ASST C U EXAMINER - PROB I-II  | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 995         | 0.00      |
| SR ASST C U EXAMINER I - II    | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 663         | 0.00      |
| CREDIT UNION EXAMINER I - II   | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 788         | 0.00      |
| SENIOR C U EXAMINER I-II-III   | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 5,291       | 0.00      |
| CHIEF FINANCIAL EXAMINER       | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,031       | 0.00      |
| DIVISION DIRECTOR              | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,117       | 0.00      |
| DEPUTY DIVISION DIRECTOR       | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,002       | 0.00      |
| FISCAL AND ADMINISTRATIVE MNGR | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 597         | 0.00      |
| TOTAL - PS                     | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 12,250      | 0.00      |
| GRAND TOTAL                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$12,250    | 0.00      |
| GENERAL REVENUE                | \$(     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS                  | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$12,250    | 0.00      |

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| DCI                            |         |         |         |         |          |          | ECISION ITI |         |
|--------------------------------|---------|---------|---------|---------|----------|----------|-------------|---------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022 |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE     |
| FINANCE                        |         |         |         |         |          |          |             |         |
| Pay Plan - 0000012             |         |         |         |         |          |          |             |         |
| ADMIN OFFICE SUPPORT ASSISTANT | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 397         | 0.00    |
| ADMINISTRATIVE SECRETARY       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 479         | 0.00    |
| SR OFC SUPPORT ASST (KEYBRD)   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 640         | 0.00    |
| ACCOUNTING GENERALIST I        | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 428         | 0.00    |
| ASSISTANT BANK EXAMINER        | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 3,846       | 0.00    |
| SENIOR ASSISTANT BANK EXAMINER | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 3,348       | 0.00    |
| SENIOR BANK EXAMINER I         | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 799         | 0.00    |
| REVIEW EXAMINER                | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 2,974       | 0.00    |
| TRUST SUPERVISOR               | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 926         | 0.00    |
| DISTRICT SUPERVISOR            | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 5,168       | 0.00    |
| REPORT ANALYST                 | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 417         | 0.00    |
| ASSISTANT BANK EXAMINER II     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,010       | 0.00    |
| ASSIST TRUST EXAMINER II       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 529         | 0.00    |
| ASST CONS. CREDIT EXAMINER     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 505         | 0.00    |
| CONSUMER CREDIT EXAMINER       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 740         | 0.00    |
| SUPERVISOR OF CONSUMER CREDIT  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 988         | 0.00    |
| SENIOR BANK EXAMINER II        | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 6,770       | 0.00    |
| SENIOR BANK EXAMINER III       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 25,982      | 0.00    |
| SENIOR TRUST EXAMINER II       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 693         | 0.00    |
| SENIOR TRUST EXAMINER III      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 908         | 0.00    |
| SR CONS CREDIT EXAMINER II     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,692       | 0.00    |
| SR CONS CREDIT EXAMINER III    | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 5,431       | 0.00    |
| SUPVSR OF MORTGAGE LICENSING   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 899         | 0.00    |
| SENIOR ASSISTANT EXAMINER II   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 773         | 0.00    |
| BANK EXAMINER II               | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 5,273       | 0.00    |
| SENIOR MORTGAGE EXAMINER II    | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 846         | 0.00    |
| ASSISTANT MORTGAGE EXAMINER    | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 505         | 0.00    |
| MORTGAGE EXAMINER              | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 740         | 0.00    |
| SENIOR MORTGAGE EXAMINER III   | C       |         | 0       | 0.00    | 0        | 0.00     | 2,708       | 0.00    |
| EXAMINER SPECIALIST            | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 619         | 0.00    |
| MORTGAGE LICENSING TECHNICIAN  | C       |         | 0       | 0.00    | 0        | 0.00     | 351         | 0.00    |
| MORTGAGE EXAMINATION ASSISTANT | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 344         | 0.00    |

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| DCI                            |         |         |         |         |          | 0        | DECISION IT | EM DETAIL |
|--------------------------------|---------|---------|---------|---------|----------|----------|-------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE       |
| FINANCE                        |         |         |         |         |          |          |             |           |
| Pay Plan - 0000012             |         |         |         |         |          |          |             |           |
| DIVISION DIRECTOR              | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,200       | 0.00      |
| DEPUTY DIVISION DIRECTOR       | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,130       | 0.00      |
| CHIEF EXAMINER                 | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,100       | 0.00      |
| SENIOR COUNSEL                 | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 850         | 0.00      |
| FISCAL AND ADMINISTRATIVE MNGR | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 663         | 0.00      |
| BOARD MEMBER                   | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 120         | 0.00      |
| GENERAL COUNSEL - DIVISION     | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,050       | 0.00      |
| MANAGER                        | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 637         | 0.00      |
| TOTAL - PS                     | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 84,478      | 0.00      |
| GRAND TOTAL                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$84,478    | 0.00      |
| GENERAL REVENUE                | \$(     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS                  | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$84,478    | 0.00      |

| DCI                            |         |         |         |         |          | C        | <b>DECISION ITI</b> | EM DETAI |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------------------|----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022             | FY 2022  |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC             | GOV REC  |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR              | FTE      |
| PR ADMINISTRATION              |         |         |         |         |          |          |                     |          |
| Pay Plan - 0000012             |         |         |         |         |          |          |                     |          |
| DIVISION DIRECTOR              | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,188               | 0.00     |
| DESIGNATED PRINCIPAL ASST DIV  | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,438               | 0.00     |
| LEGAL COUNSEL                  | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,340               | 0.00     |
| BOARD MEMBER                   | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 573                 | 0.00     |
| CLERK                          | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 582                 | 0.00     |
| INSPECTOR                      | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 637                 | 0.00     |
| CONSULTING PHYSICIAN           | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 365                 | 0.00     |
| SPECIAL ASST OFFICIAL & ADMSTR | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,831               | 0.00     |
| PRINCIPAL ASST BOARD/COMMISSON | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 5,168               | 0.00     |
| ADMIN SUPPORT ASSISTANT        | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 320                 | 0.00     |
| ADMIN SUPPORT PROFESSIONAL     | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,319               | 0.00     |
| ADMINISTRATIVE MANAGER         | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 686                 | 0.00     |
| ASSOCIATE CUSTOMER SERVICE REP | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 540                 | 0.00     |
| CUSTOMER SERVICE REP           | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 7,149               | 0.00     |
| LEAD CUSTOMER SERVICE REP      | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,698               | 0.00     |
| CUSTOMER SERVICE SUPERVISOR    | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 759                 | 0.00     |
| ASSOC RESEARCH/DATA ANALYST    | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 449                 | 0.00     |
| SR PUBLIC RELATIONS SPECIALIST | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 430                 | 0.00     |
| AGENCY BUDGET ANALYST          | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 517                 | 0.00     |
| ACCOUNTS ASSISTANT             | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 336                 | 0.00     |
| SENIOR ACCOUNTS ASSISTANT      | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,060               | 0.00     |
| ACCOUNTANT MANAGER             | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 696                 | 0.00     |
| PROCUREMENT ANALYST            | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 396                 | 0.00     |
| HUMAN RESOURCES ASSISTANT      | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 349                 | 0.00     |
| NON-COMMISSIONED INVESTIGATOR  | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,787               | 0.00     |
| SR NON-COMMISSION INVESTIGATOR | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 875                 | 0.00     |
| NON-COMMSSN INVESTIGATOR SPV   | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 473                 | 0.00     |
| INVESTIGATIONS MANAGER         | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 593                 | 0.00     |
| REGULATORY INSPECTOR           | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 3,703               | 0.00     |
| REGULATORY INSPECTOR SPV       | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 380                 | 0.00     |
| REGULATORY AUDITOR             | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 847                 | 0.00     |

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| DCI                       |         |         |         |         |          | I        | DECISION IT | EM DETAIL |
|---------------------------|---------|---------|---------|---------|----------|----------|-------------|-----------|
| Budget Unit               | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022   |
| Decision Item             | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class       | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE       |
| PR ADMINISTRATION         |         |         |         |         |          |          |             |           |
| Pay Plan - 0000012        |         |         |         |         |          |          |             |           |
| SENIOR REGULATORY AUDITOR | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 518         | 0.00      |
| TOTAL - PS                | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 39,002      | 0.00      |
| GRAND TOTAL               | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$39,002    | 0.00      |
| GENERAL REVENUE           | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS             | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| OTHER FUNDS               | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$39,002    | 0.00      |

| DCI                            |         |         |         |         |          | 0        | <b>DECISION ITI</b> | EM DETAIL |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022             | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC             | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR              | FTE       |
| STATE BOARD OF ACCOUNTANCY     |         |         |         |         |          |          |                     |           |
| Pay Plan - 0000012             |         |         |         |         |          |          |                     |           |
| BOARD MEMBER                   |         | 0.00    | C       | 0.00    | 0        | 0.00     | 73                  | 0.00      |
| CLERK                          |         | 0.00    | C       | 0.00    | 0        | 0.00     | 243                 | 0.00      |
| PRINCIPAL ASST BOARD/COMMISSON |         | 0.00    | C       | 0.00    | 0        | 0.00     | 739                 | 0.00      |
| CUSTOMER SERVICE REP           |         | 0.00    | C       | 0.00    | 0        | 0.00     | 838                 | 0.00      |
| CUSTOMER SERVICE SUPERVISOR    |         | 0.00    | C       | 0.00    | 0        | 0.00     | 374                 | 0.00      |
| NON-COMMISSIONED INVESTIGATOR  |         | 0.00    | C       | 0.00    | 0        | 0.00     | 350                 | 0.00      |
| REGULATORY AUDITOR             |         | 0.00    | C       | 0.00    | 0        | 0.00     | 547                 | 0.00      |
| TOTAL - PS                     |         | 0.00    |         | 0.00    | 0        | 0.00     | 3,164               | 0.00      |
| GRAND TOTAL                    | \$      | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$3,164             | 0.00      |
| GENERAL REVENUE                | \$      | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| FEDERAL FUNDS                  | \$      | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| OTHER FUNDS                    | \$      | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$3,164             | 0.00      |

| DCI                            |         |         |         |         |          | 0        | <b>DECISION ITI</b> | EM DETAIL |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022             | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC             | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR              | FTE       |
| ARCHITECTS, P.E. & LAND SURV.  |         |         |         |         |          |          |                     |           |
| Pay Plan - 0000012             |         |         |         |         |          |          |                     |           |
| BOARD MEMBER                   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 248                 | 0.00      |
| CLERK                          | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 280                 | 0.00      |
| MISCELLANEOUS PROFESSIONAL     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 432                 | 0.00      |
| PRINCIPAL ASST BOARD/COMMISSON | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 5                   | 0.00      |
| LEAD ADMIN SUPPORT ASSISTANT   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 688                 | 0.00      |
| ADMIN SUPPORT PROFESSIONAL     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 450                 | 0.00      |
| CUSTOMER SERVICE REP           | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 967                 | 0.00      |
| LEAD CUSTOMER SERVICE REP      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 358                 | 0.00      |
| SR NON-COMMISSION INVESTIGATOR | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 446                 | 0.00      |
| TOTAL - PS                     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 3,874               | 0.00      |
| GRAND TOTAL                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$3,874             | 0.00      |
| GENERAL REVENUE                | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| FEDERAL FUNDS                  | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| OTHER FUNDS                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$3,874             | 0.00      |

| DCI                            |         |         |         |         |          | 0        | DECISION IT | EM DETAIL |
|--------------------------------|---------|---------|---------|---------|----------|----------|-------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE       |
| MISSOURI DENTAL BOARD          |         |         |         |         |          |          |             |           |
| Pay Plan - 0000012             |         |         |         |         |          |          |             |           |
| BOARD MEMBER                   | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 375         | 0.00      |
| CLERK                          | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 194         | 0.00      |
| PRINCIPAL ASST BOARD/COMMISSON | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 763         | 0.00      |
| CUSTOMER SERVICE REP           | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 765         | 0.00      |
| CUSTOMER SERVICE SUPERVISOR    | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 413         | 0.00      |
| NON-COMMISSIONED INVESTIGATOR  | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 769         | 0.00      |
| SR NON-COMMISSION INVESTIGATOR | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 511         | 0.00      |
| TOTAL - PS                     | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 3,790       | 0.00      |
| GRAND TOTAL                    | \$(     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$3,790     | 0.00      |
| GENERAL REVENUE                | \$(     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS                  | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$3,790     | 0.00      |

| DCI                            |         |         |         |         |          | C        | DECISION IT | EM DETAI |
|--------------------------------|---------|---------|---------|---------|----------|----------|-------------|----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022  |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC  |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE      |
| BD OF REG FOR THE HEALING ART  |         |         |         |         |          |          |             |          |
| Pay Plan - 0000012             |         |         |         |         |          |          |             |          |
| LEGAL COUNSEL                  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,872       | 0.00     |
| BOARD MEMBER                   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 44          | 0.00     |
| SENIOR COUNSEL                 | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 656         | 0.00     |
| CLERK                          | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 300         | 0.00     |
| PRINCIPAL ASST BOARD/COMMISSON | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 840         | 0.00     |
| ADMIN SUPPORT ASSISTANT        | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,393       | 0.00     |
| LEAD ADMIN SUPPORT ASSISTANT   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 320         | 0.00     |
| ADMIN SUPPORT PROFESSIONAL     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 397         | 0.00     |
| ASSOCIATE CUSTOMER SERVICE REP | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 286         | 0.00     |
| CUSTOMER SERVICE REP           | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 2,470       | 0.00     |
| LEAD CUSTOMER SERVICE REP      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 990         | 0.00     |
| CUSTOMER SERVICE SUPERVISOR    | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 371         | 0.00     |
| CUSTOMER SERVICE MANAGER       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 466         | 0.00     |
| PHYSICIAN                      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,383       | 0.00     |
| CHIEF PHYSICIAN                | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,376       | 0.00     |
| PARALEGAL                      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 800         | 0.00     |
| SR NON-COMMISSION INVESTIGATOR | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 4,658       | 0.00     |
| NON-COMMSSN INVESTIGATOR SPV   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 221         | 0.00     |
| INVESTIGATIONS MANAGER         | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,166       | 0.00     |
| TOTAL - PS                     | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 20,009      | 0.00     |
| GRAND TOTAL                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$20,009    | 0.00     |
| GENERAL REVENUE                | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00     |
| FEDERAL FUNDS                  | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00     |
| OTHER FUNDS                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$20,009    | 0.00     |

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| DCI                            |         |         |         |         |          | 0        | DECISION ITE | EM DETAIL |
|--------------------------------|---------|---------|---------|---------|----------|----------|--------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022      | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC      | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR       | FTE       |
| BOARD OF NURSING               |         |         |         |         |          |          |              |           |
| Pay Plan - 0000012             |         |         |         |         |          |          |              |           |
| LEGAL COUNSEL                  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,236        | 0.00      |
| BOARD MEMBER                   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 108          | 0.00      |
| SENIOR COUNSEL                 | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 670          | 0.00      |
| CLERK                          | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 103          | 0.00      |
| PRINCIPAL ASST BOARD/COMMISSON | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 878          | 0.00      |
| ADMIN SUPPORT PROFESSIONAL     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 392          | 0.00      |
| CUSTOMER SERVICE REP           | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,639        | 0.00      |
| LEAD CUSTOMER SERVICE REP      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,016        | 0.00      |
| CUSTOMER SERVICE MANAGER       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 465          | 0.00      |
| NURSE MANAGER                  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 2,161        | 0.00      |
| PARALEGAL                      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,621        | 0.00      |
| NON-COMMISSIONED INVESTIGATOR  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 359          | 0.00      |
| SR NON-COMMISSION INVESTIGATOR | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,724        | 0.00      |
| INVESTIGATIONS MANAGER         | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 601          | 0.00      |
| REGULATORY AUDITOR             | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 426          | 0.00      |
| TOTAL - PS                     | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 13,399       | 0.00      |
| GRAND TOTAL                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$13,399     | 0.00      |
| GENERAL REVENUE                | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0          | 0.00      |
| FEDERAL FUNDS                  | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0          | 0.00      |
| OTHER FUNDS                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$13,399     | 0.00      |

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| DCI                            |         |         |         |         |          | 0        | <b>DECISION ITI</b> | EM DETAIL |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022             | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC             | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR              | FTE       |
| BOARD OF PHARMACY              |         |         |         |         |          |          |                     |           |
| Pay Plan - 0000012             |         |         |         |         |          |          |                     |           |
| BOARD MEMBER                   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 123                 | 0.00      |
| CLERK                          | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 298                 | 0.00      |
| PRINCIPAL ASST BOARD/COMMISSON | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 764                 | 0.00      |
| ADMIN SUPPORT PROFESSIONAL     | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 451                 | 0.00      |
| CUSTOMER SERVICE REP           | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 912                 | 0.00      |
| LEAD CUSTOMER SERVICE REP      | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 357                 | 0.00      |
| PHARMACIST                     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 8,054               | 0.00      |
| CHIEF PHARMACIST               | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,079               | 0.00      |
| NON-COMMISSIONED INVESTIGATOR  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 355                 | 0.00      |
| TOTAL - PS                     | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 12,393              | 0.00      |
| GRAND TOTAL                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$12,393            | 0.00      |
| GENERAL REVENUE                | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| FEDERAL FUNDS                  | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| OTHER FUNDS                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$12,393            | 0.00      |

| DCI                            |         |         |         |         |          | C        | <b>DECISION ITI</b> | EM DETAIL |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022             | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC             | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR              | FTE       |
| MO REAL ESTATE COMMISSION      |         |         |         |         |          |          |                     |           |
| Pay Plan - 0000012             |         |         |         |         |          |          |                     |           |
| LEGAL COUNSEL                  | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 570                 | 0.00      |
| BOARD MEMBER                   | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 51                  | 0.00      |
| CLERK                          | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 68                  | 0.00      |
| PRINCIPAL ASST BOARD/COMMISSON | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 820                 | 0.00      |
| ADMIN SUPPORT PROFESSIONAL     | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 837                 | 0.00      |
| CUSTOMER SERVICE REP           | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,523               | 0.00      |
| LEAD CUSTOMER SERVICE REP      | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 681                 | 0.00      |
| NON-COMMISSIONED INVESTIGATOR  | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 15                  | 0.00      |
| SR NON-COMMISSION INVESTIGATOR | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,332               | 0.00      |
| INVESTIGATIONS MANAGER         | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 586                 | 0.00      |
| REGULATORY AUDITOR             | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 2,554               | 0.00      |
| REGULATORY AUDITOR SUPERVISOR  | (       | 0.00    | 0       | 0.00    | 0        | 0.00     | 977                 | 0.00      |
| TOTAL - PS                     |         | 0.00    | 0       | 0.00    | 0        | 0.00     | 10,014              | 0.00      |
| GRAND TOTAL                    | \$(     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$10,014            | 0.00      |
| GENERAL REVENUE                | \$      | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| FEDERAL FUNDS                  | \$      | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| OTHER FUNDS                    | \$      | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$10,014            | 0.00      |

| DCI                           |         |         |         |         |          | 0        | DECISION IT | EM DETAIL |
|-------------------------------|---------|---------|---------|---------|----------|----------|-------------|-----------|
| Budget Unit                   | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                 | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class           | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE       |
| MANUFACTURED HOUSING          |         |         |         |         |          |          |             |           |
| Pay Plan - 0000012            |         |         |         |         |          |          |             |           |
| ADMIN SUPPORT ASSISTANT       |         | 0.00    | 0       | 0.00    | 0        | 0.00     | 322         | 0.00      |
| LEAD ADMIN SUPPORT ASSISTANT  |         | 0.00    | 0       | 0.00    | 0        | 0.00     | 362         | 0.00      |
| SAFETY INSPECTOR              |         | 0.00    | 0       | 0.00    | 0        | 0.00     | 990         | 0.00      |
| SENIOR SAFETY INSPECTOR       |         | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,196       | 0.00      |
| COMPLIANCE INSPECTION SPV     |         | 0.00    | 0       | 0.00    | 0        | 0.00     | 532         | 0.00      |
| REGULATORY COMPLIANCE MANAGER |         | 0.00    | 0       | 0.00    | 0        | 0.00     | 589         | 0.00      |
| TOTAL - PS                    |         | 0 0.00  | 0       | 0.00    | 0        | 0.00     | 3,991       | 0.00      |
| GRAND TOTAL                   | \$      | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$3,991     | 0.00      |
| GENERAL REVENUE               | \$      | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS                 | \$      | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                   | \$      | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$3,991     | 0.00      |

| DCI<br>Budget Unit             | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  |          | PECISION ITE | FY 2022 |
|--------------------------------|---------|---------|---------|---------|----------|----------|--------------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC      | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR       | FTE     |
| PUBLIC SERVICE COMMISSION      |         |         |         |         |          |          |              |         |
| Pay Plan - 0000012             |         |         |         |         |          |          |              |         |
| DIVISION DIRECTOR              | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 2,865        | 0.0     |
| DESIGNATED PRINCIPAL ASST DIV  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 3,283        | 0.0     |
| ADMINISTRATIVE ASSISTANT       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,825        | 0.0     |
| ASSOCIATE COUNSEL              | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,790        | 0.0     |
| PROGRAM CONSULTANT             | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 4,795        | 0.0     |
| LEGAL COUNSEL                  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,110        | 0.0     |
| CHIEF COUNSEL                  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 833          | 0.0     |
| REGULATORY LAW JUDGE           | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 5,277        | 0.0     |
| COMMISSION MEMBER              | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 4,526        | 0.0     |
| COMMISSION CHAIRMAN            | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,131        | 0.0     |
| SENIOR COUNSEL                 | C       |         | 0       | 0.00    | 0        | 0.00     | 1,228        | 0.0     |
| DEPUTY COUNSEL                 | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 5,890        | 0.0     |
| MANAGING COUNSEL               | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 955          | 0.0     |
| ADMIN SUPPORT ASSISTANT        | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 643          | 0.0     |
| LEAD ADMIN SUPPORT ASSISTANT   | C       |         | 0       | 0.00    | 0        | 0.00     | 2,925        | 0.0     |
| ADMINISTRATIVE MANAGER         | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 698          | 0.0     |
| ASSOCIATE CUSTOMER SERVICE REP | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 388          | 0.0     |
| CUSTOMER SERVICE REP           | C       |         | 0       | 0.00    | 0        | 0.00     | 1,636        | 0.0     |
| CUSTOMER SERVICE SUPERVISOR    | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 474          | 0.0     |
| RESEARCH/DATA ANALYST          | C       |         | 0       | 0.00    | 0        | 0.00     | 5,357        | 0.0     |
| SENIOR RESEARCH/DATA ANALYST   | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 5,222        | 0.0     |
| PUBLIC RELATIONS COORDINATOR   | C       |         | 0       | 0.00    | 0        | 0.00     | 491          | 0.0     |
| PUBLIC RELATIONS DIRECTOR      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 614          | 0.0     |
| STAFF DEV TRAINING SPECIALIST  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 473          | 0.0     |
| ASSOCIATE ENGINEER             | C       |         | 0       | 0.00    | 0        | 0.00     | 9,919        | 0.0     |
| PROFESSIONAL ENGINEER          | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,179        | 0.0     |
| SENIOR PROFESSIONAL ENGINEER   | C       |         | 0       | 0.00    | 0        | 0.00     | 2,659        | 0.0     |
| ENGINEER MANAGER               | C       |         | 0       | 0.00    | 0        | 0.00     | 1,447        | 0.0     |
| SENIOR ACCOUNTS ASSISTANT      | C       |         | 0       | 0.00    | 0        | 0.00     | 1,191        | 0.0     |
| SENIOR ACCOUNTANT              | C       |         | 0       | 0.00    | 0        | 0.00     | 1,152        | 0.0     |
| ECONOMICS ASSOCIATE            | C       |         | 0       | 0.00    | 0        | 0.00     | 482          | 0.0     |
| ECONOMICS ANALYST              | C       |         | 0       | 0.00    | 0        | 0.00     | 1,445        | 0.0     |

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| DCI                            |         |         |         |         |          | C        | ECISION ITI | EM DETAIL |
|--------------------------------|---------|---------|---------|---------|----------|----------|-------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE       |
| PUBLIC SERVICE COMMISSION      |         |         |         |         |          |          |             |           |
| Pay Plan - 0000012             |         |         |         |         |          |          |             |           |
| ECONOMIST                      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 2,382       | 0.00      |
| CHIEF ECONOMIST                | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,428       | 0.00      |
| PROCUREMENT ANALYST            | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 419         | 0.00      |
| HUMAN RESOURCES ASSISTANT      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 374         | 0.00      |
| HUMAN RESOURCES GENERALIST     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 482         | 0.00      |
| HUMAN RESOURCES SPECIALIST     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 600         | 0.00      |
| HUMAN RESOURCES MANAGER        | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 651         | 0.00      |
| APPLICATIONS DEVELOPER         | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,806       | 0.00      |
| DIR STRATEGY & PLANNING LVL 1  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 788         | 0.00      |
| SYSTEMS ADMINISTRATION TECH    | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 500         | 0.00      |
| SYSTEMS ADMINISTRATION SPEC    | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 554         | 0.00      |
| SR SYSTEMS ADMINISTRATION SPEC | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 679         | 0.00      |
| SENIOR CLIENT SUPPORT TECH     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,582       | 0.00      |
| PARALEGAL                      | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,314       | 0.00      |
| COMPLIANCE INSPECTOR           | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,360       | 0.00      |
| REGULATORY COMPLIANCE MANAGER  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 7,538       | 0.00      |
| UTILITY REGULATORY AUDITOR     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 5,302       | 0.00      |
| SR UTILITY REGULATORY AUDITOR  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 8,423       | 0.00      |
| UTILITY REGULATORY SUPERVISOR  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 4,830       | 0.00      |
| UTILITY REGULATORY MANAGER     | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 755         | 0.00      |
| TOTAL - PS                     | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 115,670     | 0.00      |
| GRAND TOTAL                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$115,670   | 0.00      |
| GENERAL REVENUE                | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS                  | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                    | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$115,670   | 0.00      |

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| DCI                           |         |         |         |         |          | 0        | <b>DECISION ITI</b> | EM DETAIL |
|-------------------------------|---------|---------|---------|---------|----------|----------|---------------------|-----------|
| Budget Unit                   | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022             | FY 2022   |
| Decision Item                 | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC             | GOV REC   |
| Budget Object Class           | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR              | FTE       |
| OFFICE OF PUBLIC COUNSEL      |         |         |         |         |          |          |                     |           |
| Pay Plan - 0000012            |         |         |         |         |          |          |                     |           |
| DIVISION DIRECTOR             | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 947                 | 0.00      |
| DESIGNATED PRINCIPAL ASST DIV | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 2,411               | 0.00      |
| SENIOR COUNSEL                | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,753               | 0.00      |
| DEPUTY COUNSEL                | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 747                 | 0.00      |
| MISCELLANEOUS PROFESSIONAL    | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 395                 | 0.00      |
| ADMIN SUPPORT ASSISTANT       | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 478                 | 0.00      |
| LEAD ADMIN SUPPORT ASSISTANT  | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 395                 | 0.00      |
| UTILITY REGULATORY AUDITOR    | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 388                 | 0.00      |
| SR UTILITY REGULATORY AUDITOR | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 1,111               | 0.00      |
| UTILITY REGULATORY MANAGER    | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 773                 | 0.00      |
| TOTAL - PS                    | C       | 0.00    | 0       | 0.00    | 0        | 0.00     | 9,398               | 0.00      |
| GRAND TOTAL                   | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$9,398             | 0.00      |
| GENERAL REVENUE               | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$9,398             | 0.00      |
| FEDERAL FUNDS                 | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| OTHER FUNDS                   | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |

### NEW DECISION ITEM

RANK: 2 OF 5

| Department  | of Commerce and   | Insurance  |   |   | Budget Unit   | Various   |  |   |   |  |
|---|---|--|---|---|---|---|--|---|---|--|
|   |   |  |   |   |   |   |  |   |   |  |
| Above & Be  | yond Performance  | e Incentives   | DI# 0000016   |   |   |   |  |   |   |  |
| 1. AMOUNT   | OF REQUEST  |  |   |   |   |   |  |   |   |  |
|   | FY  | 2022 Budget  | Request   |   |   | FY 2022   | 2 Governor's   | Recommend   | lation  |  |
|   | GR  | Federal  | Other   | Total   |   | GR  | Federal  | Other   | Total   |  |
| PS  | 0   | 0  | 0   | 0   | PS  | 2,372   | 0  | 113,896   | 116,268   |  |
| EE  | 0   | 0  | 0   | 0   | EE  | 0   | 0  | 0   | 0   |  |
| PSD   | 0   | 0  | 0   | 0   | PSD   | 0   | 0  | 0   | 0   |  |
| TRF   | 0   | 0  | 0   | 0   | TRF   | 0   | 0  | 0   | 0   |  |
| Total   | 0   | 0  | 0   | 0   | Total   | 2,372   | 0  | 113,896   | 116,268   |  |
| FTE   | 0.00  | 0.00   | 0.00  | 0.00  | FTE   | 0.00  | 0.00   | 0.00  | 0.00  |  |
| Est. Fringe   | 0   | 0  | 0   | 0   | Est. Fringe   | 786   | 0  | 37,722  | 38,508  |  |
|   | es budgeted in Hous   | se Bill 5 exce <sub>l</sub>  | ot for certain f  | ringes  |   | s budgeted in H   | House Bill 5 ex  | cept for certa  | ain fringes   |  |
| budgeted dir  | rectly to MoDOT, Hig  | ghway Patrol,  | and Conserv   | ration.   | budgeted dire   | ctly to MoDOT   | , Highway Pa   | trol, and Cons  | servation.  |  |
| Other Funds   | E<br>QUEST CAN BE CA  | TECODIZED  | A 6:  |   | Other Funds:  | Various Depa  | rtment Funds   |   |   |  |
|   | New Legislation   | TEGORIZED  | 7 AJ.   | X N   | ew Program  |   |  | -<br>und Switch   |   |  |
|   | Federal Mandate   |  | _   |   | rogram Expansion  | -   |  | Cost to Contin  |   |  |
|   | GR Pick-Up  |  | _   |   | pace Request  | -   |  | Equipment Re  |   |  |
|   | Pay Plan  |  | -   |   | ther:   | -   | Ľ  |   | placement   |  |
|   | FayFlall  |  | _   | 0   |   |   |  |   |   |  |
|   | THIS FUNDING NE   | -  |   | -   | FOR ITEMS CHECKED I   | N #2. INCLUD  | DE THE FEDE  | RAL OR STA  | TE STATUTO  | RY OR  |
| provide a lin<br>used in oth<br>new, top qu<br>delivering e<br>game. Witl | nk between comper<br>er state governmen<br>uality evaluation pro<br>exceptional perform<br>h the goal of retainin | nsation, "abov<br>its and the pri<br>ocess, with su<br>ance. The pr<br>ng those iden | ve and beyond<br>vate sector. T<br>pporting train<br>ogram is desi<br>tified as top p | d" performanc<br>The Division of<br>ing for all supe<br>gned to incent<br>erformers and | ity for Above and Beyond<br>e, and accountability is a<br>Personnel partnered with<br>ervisors and tools, to enability<br>tivize these individuals to<br>the anticipation that these<br>with a temporary salary i | proven best pro<br>all executive<br>ble department<br>continue this h<br>the top performe | actice for em<br>branch depar<br>t leadership to<br>high level of po<br>ers will continu | ployee reward<br>tments and a<br>identify those<br>erformance ar<br>ue to deliver e | I and recognition<br>consulting firm<br>team member<br>and others to rain<br>exceptional reserved | on programs<br>to develop a<br>ers who are<br>ise their<br>ults, the |

### NEW DECISION ITEM

RANK: 2 OF

Department of Commerce and Insurance

Budget Unit Various

5

Above & Beyond Performance Incentives DI# 0000016

### 3. WHY IS THIS FUNDING NEEDED? (Continued)

This request is essential to the state government's transformation of its approach to compensation and incentive practices to be in line with proven best practices in high performing organizations. Along with other rewards and recognition, such monetary incentives help sustain and motivate performance. Other state governments have adopted similar approaches to incentivize individuals to continue truly exceptional performance. Such approaches can incentivize not only continued excellent performance in current roles but also a commitment to continuous improvement and additional professional development.

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

The appropriated amount is based on departments providing performance incentives of 5% for the top 10% of employees with incentives capped at \$2,500 per employee.

|                               | Dept Req<br>GR | Dept Req<br>GR | Dept Req<br>FED | Dept Req<br>FED | Dept Req<br>OTHER | Dept Req<br>OTHER | Dept Req<br>TOTAL | Dept Req<br>TOTAL | Dept Req<br>One-Time |
|-------------------------------|----------------|----------------|-----------------|-----------------|-------------------|-------------------|-------------------|-------------------|----------------------|
| Budget Object Class/Job Class | DOLLARS        | FTE            | DOLLARS         | FTE             | DOLLARS           | FTE               | DOLLARS           | FTE               | DOLLARS              |
| 100-Salaries and Wages        |                |                |                 |                 |                   |                   | 0<br>0            | 0.0               |                      |
| Total PS                      | 0              | 0.0            | 0               | 0.0             | 0                 | 0.0               | 0                 | 0.0               | 0                    |
| Grand Total                   | 0              | 0.0            | 0               | 0.0             | 0                 | 0.0               | 0                 | 0.0               | 0                    |
|                               | Gov Rec<br>GR  | Gov Rec<br>GR  | Gov Rec<br>FED  | Gov Rec<br>FED  | Gov Rec<br>OTHER  | Gov Rec<br>OTHER  | Gov Rec<br>TOTAL  | Gov Rec<br>TOTAL  | Gov Rec<br>One-Time  |
| Budget Object Class/Job Class | DOLLARS        | FTE            | DOLLARS         | FTE             | DOLLARS           | FTE               | DOLLARS           | FTE               | DOLLARS              |
| 100-Salaries and Wages        | 2,372          |                |                 |                 | 113,896           |                   | 0<br>116,268      | 0.0               |                      |
| Total PS                      | 2,372          | 0.0            | 0               | 0.0             | 113,896           | 0.0               | 116,268           | 0.0               | 0                    |
|                               | 2,372          | 0.0            |                 | 0.0             | 113,896           | 0.0               | 116,268           | 0.0               |                      |

| DCI                          |                   |                   |                  |                   |                     |                 | DECISION IT        | EM DETAIL          |
|------------------------------|-------------------|-------------------|------------------|-------------------|---------------------|-----------------|--------------------|--------------------|
| Budget Unit<br>Decision Item | FY 2020<br>ACTUAL | FY 2020<br>ACTUAL | FY 2021          | FY 2021<br>BUDGET | FY 2022<br>DEPT REQ | FY 2022         | FY 2022<br>GOV REC | FY 2022<br>GOV REC |
| Budget Object Class          | DOLLAR            | FTE               | BUDGET<br>DOLLAR | FTE               | DEPTREQ             | DEPT REQ<br>FTE | DOLLAR             | FTE                |
| ABOVE AND BEYOND             |                   |                   |                  |                   |                     |                 |                    |                    |
| Pay Plan - 0000012           |                   |                   |                  |                   |                     |                 |                    |                    |
| SALARIES & WAGES             |                   | 0.00              | 0                | 0.00              | 0                   | 0.00            | 1,151              | 0.00               |
| TOTAL - PS                   |                   | 0.00              | 0                | 0.00              | 0                   | 0.00            | 1,151              | 0.00               |
| GRAND TOTAL                  | \$                | 0.00              | \$0              | 0.00              | \$0                 | 0.00            | \$1,151            | 0.00               |
| GENERAL REVENUE              | E \$              | 0.00              | \$0              | 0.00              | \$0                 | 0.00            | \$23               | 0.00               |
| FEDERAL FUNDS                | S \$              | 0.00              | \$0              | 0.00              | \$0                 | 0.00            | \$0                | 0.00               |
| OTHER FUNDS                  | S \$              | 0.00              | \$0              | 0.00              | \$0                 | 0.00            | \$1,128            | 0.00               |

| DCI                                      |         |         |         |         |          |          | DECISION IT | EM DETAIL |
|--|---------|---------|---------|---------|----------|----------|-------------|-----------|
| Budget Unit                              | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                            | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class                      | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE       |
| ABOVE AND BEYOND                         |         |         |         |         |          |          |             |           |
| Above & Beyond Perf Incentives - 0000016 |         |         |         |         |          |          |             |           |
| SALARIES & WAGES                         | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 115,117     | 0.00      |
| TOTAL - PS                               | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 115,117     | 0.00      |
| GRAND TOTAL                              | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$115,117   | 0.00      |
| GENERAL REVENUE                          | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$2,349     | 0.00      |
| FEDERAL FUNDS                            | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                              | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$112,768   | 0.00      |

### CORE DECISION ITEM

| EE       0       0       37,868       37,868       37,868       FE       0       0       37,868       <  |  | Commerce and Insur  |   |                                 |                    | Budget Unit       | 37502C         |              |           |              |
|---|--|---|---|---------------------------------|--------------------|-------------------|----------------|--------------|-----------|--------------|
| FY 2022 Budget Request       FY 2022 Governor's Recommendation         Set of 0       Offer       Total       GR       Federal       Other       Total         2S       0       0       136,754       136,754       136,754       PS       0       0       136,754       136,754         2SD       0       0       37,868       EE       0       0       37,868       237,878       237,  | Core - Departm   | ent Administration  |   |                                 |                    | HB Section        | 7.400          |              |           |              |
| GR       Federal       Other       Total       PS       GR       Federal       Other       Total         28       0       0       136,754   | I. CORE FINAN  | ICIAL SUMMARY   |   |                                 |                    |                   |                |              |           |              |
| PS         0         0         136,754         136,754         PS         0         0         136,754         136,754           EE         0         0         37,868         37,868         37,868         EE         0         0         37,868         37,869         0<  |  | FY 20   | )22 Budge                               | t Request                       |                    |                   | FY 2022 G      | overnor's R  | ecommenda | tion         |
| EE       0       0       37,868       37,868       EE       0       0       37,868       37,868         PSD       0   |  | GR F  | ederal                                  | Other                           | Total              |                   | GR             | Federal      | Other     | Total        |
| PSD       0   |  | 0   | 0                                       | 136,754                         | 136,754            | PS                | 0              | 0            | 136,754   | 136,754      |
| Image: Instruction of the second s | E  | 0   | 0                                       | 37,868                          | 37,868             | EE                | 0              | 0            | 37,868    | 37,868       |
| Total       0       0       174,622       174,622       Total       0       0       174,622       174,622         FTE       0.00       0.00       2.07       2.07       FTE       0.00       0.00       2.07       2.0         Est. Fringe       0       0       74,372       74,372       74,372       Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.       Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.       Other Funds:       DCI Administrative Fund (0503)       Other Funds: DCI Administrative Fund (0503)         2. CORE DESCRIPTION       This core supports a portion of department administration FTE providing department-wide direction and assistance to department divisions through legisle coordination, communications, human resources, accounting, budget and planning.         3. PROGRAM LISTING (list programs included in this core funding)       Sever funding   |  | 0   | 0                                       | 0                               | 0                  | PSD               | 0              | 0            | 0         | 0            |
| FTE       0.00       0.00       2.07       2.07       FTE       0.00       0.00       2.07       2.07         Est. Fringe       0       0       74,372 <td< td=""><th>TRF</th><td>0</td><td>0</td><td></td><td>•</td><td>TRF</td><td>0</td><td>0</td><td>-</td><td>0</td></td<>   | TRF  | 0   | 0                                       |                                 | •                  | TRF               | 0              | 0            | -         | 0            |
| Est. Fringe       0       0       74,372  | Fotal  | 0   | 0                                       | 174,622                         | 174,622            | Total             | 0              | 0            | 174,622   | 174,622      |
| Note:       Fringes budgeted in House Bill 5 except for certain fringes<br>budgeted directly to MoDOT, Highway Patrol, and Conservation.         Note:       Fringes budgeted in House Bill 5 except for certain fringes<br>budgeted directly to MoDOT, Highway Patrol, and Conservation.         Other Funds:       DCI Administrative Fund (0503)         Other Funds:       DCI Administrative Fund (0503)         Other Supports a portion of department administration FTE providing department-wide direction and assistance to department divisions through legisla<br>coordination, communications, human resources, accounting, budget and planning.         B. PROGRAM LISTING (list programs included in this core funding)  | FTE  | 0.00  | 0.00                                    | 2.07                            | 2.07               | FTE               | 0.00           | 0.00         | 2.07      | 2.07         |
| Note:       Fringes budgeted in House Bill 5 except for certain fringes<br>budgeted directly to MoDOT, Highway Patrol, and Conservation.         Note:       Fringes budgeted in House Bill 5 except for certain fringes<br>budgeted directly to MoDOT, Highway Patrol, and Conservation.         Other Funds:       DCI Administrative Fund (0503)         Other Funds:       DCI Administrative Fund (0503)         Other Funds:       DCI Administrative Fund (0503)         Other Supports a portion of department administration FTE providing department-wide direction and assistance to department divisions through legislation, communications, human resources, accounting, budget and planning.         3.       PROGRAM LISTING (list programs included in this core funding)  | Est. Fringe  | 0   | 0                                       | 74,372                          | 74.372             | Est. Fringe       | 0              | 0            | 74.372    | 74,372       |
| budgeted directly to MoDOT, Highway Patrol, and Conservation.       budgeted directly to MoDOT, Highway Patrol, and Conservation.         Dther Funds:       DCI Administrative Fund (0503)       Other Funds: DCI Administrative Fund (0503)         2. CORE DESCRIPTION       This core supports a portion of department administration FTE providing department-wide direction and assistance to department divisions through legislation coordination, communications, human resources, accounting, budget and planning.         3. PROGRAM LISTING (list programs included in this core funding)   |  | udgeted in House Bill &   | 5 except fo                             |                                 |                    | Note: Fringes but | dgeted in Hous |              |           |              |
| 2. CORE DESCRIPTION     This core supports a portion of department administration FTE providing department-wide direction and assistance to department divisions through legisla coordination, communications, human resources, accounting, budget and planning.     3. PROGRAM LISTING (list programs included in this core funding)   |  | v to MoDOT. Highwav   | Patrol. and                             | l Conservatio                   | n.                 |                   |                |              |           |              |
| This core supports a portion of department administration FTE providing department-wide direction and assistance to department divisions through legisla<br>coordination, communications, human resources, accounting, budget and planning.<br>3. PROGRAM LISTING (list programs included in this core funding)   | puagetea airecti   | , <u>j</u>  |   |                                 |                    |                   |                |              |           |              |
| This core supports a portion of department administration FTE providing department-wide direction and assistance to department divisions through legisla<br>coordination, communications, human resources, accounting, budget and planning.<br>3. PROGRAM LISTING (list programs included in this core funding)   |  |   | -                                       |                                 |                    |                   | Administrative | e Fund (0503 | 3)        |              |
|   | Other Funds:<br>2. CORE DESCI                                      | DCI Administrative I  | Fund (0503                              | 3)                              |                    | Other Funds: DCI  |                | <b>`</b>     |           |              |
| Department Administration   | Other Funds:<br>2. CORE DESCI<br>This core supp                    | DCI Administrative I<br>RIPTION<br>ports a portion of depar                         | Fund (0503                              | 3)<br>iinistration FT           |                    | Other Funds: DCI  |                | <b>`</b>     |           | ugh legislat |
|   | Other Funds:<br>2. CORE DESCI<br>This core supp<br>coordination, c | DCI Administrative I<br>RIPTION<br>ports a portion of depar<br>communications, huma | Fund (0503<br>rtment adm<br>an resource | inistration FT<br>s, accounting | g, budget and plan | Other Funds: DCI  |                | <b>`</b>     |           | ugh legislat |

### CORE DECISION ITEM

| Department of Commerce and I                           | nsurance          |                   |                   |                        | Budget Unit | 37502C       |                      |         |
|--|-------------------|-------------------|-------------------|------------------------|-------------|--------------|----------------------|---------|
| Core - Department Administrati                         | on                |                   |                   |                        | HB Section  | 7.400        |                      |         |
| 4. FINANCIAL HISTORY                                   |                   |                   |                   |                        |             |              |                      |         |
|  | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actual Exper | nditures (All Funds) |         |
| Appropriation (All Funds)<br>Less Reverted (All Funds) | 167,484<br>0      | 169,040<br>0      | 172,588<br>0      | 174,622                | 200,000     |              |                      |         |
| Less Restricted (All Funds)                            | 0                 | 0                 | 0                 | 0                      | 180,000     |              |                      |         |
| Budget Authority (All Funds)                           | 167,484           | 169,040           | 172,588           | 174,622                | 100,000     |              | 165,792              |         |
| Actual Expenditures (All Funds)                        | 148,471           | 165,792           | 135,057           | N/A                    | 160,000     | 148,471      |                      |         |
| Unexpended (All Funds)                                 | 19,013            | 3,248             | 37,531            | N/A                    |             |              |                      |         |
| Unexpended, by Fund:                                   |                   |                   |                   |                        | 140,000     |              |                      | 135,057 |
| General Revenue<br>Federal                             | 0                 | 0                 | 0<br>0            | N/A<br>N/A             | 120,000     |              |                      |         |
| Other  | 19,013            | 3,248             | 37,531            | N/A                    |             |              |                      |         |
|  | (1)               | (2)               | (3)               |                        | 100,000     | FY 2018      | FY 2019              | FY 2020 |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

(1) Unexpended amount is primarily due to less than anticipated expense and equipment spending.

(2) Unexpended amount is primarily due to less than anticipated expense and equipment spending.

(3) Unexpended amount is primarily due to less than anticipated expense and equipment spending.

#### DEPT OF COMMERCE AND INSURANCE

DEPT ADMINISTRATION

### 5. CORE RECONCILIATION DETAIL

|                   |             | Budget<br>Class | FTE    | GR | Federal | Other   | Total   | I |
|-------------------|-------------|-----------------|--------|----|---------|---------|---------|---|
| TAFP AFTER VETO   | ES          |                 |        |    |         |         |         |   |
|                   |             | PS              | 2.07   | (  | ) 0     | 136,754 | 136,754 | 4 |
|                   |             | EE              | 0.00   | (  | ) 0     | 37,868  | 37,868  | 3 |
|                   |             | Total           | 2.07   |    | ) 0     | 174,622 | 174,622 | 2 |
| DEPARTMENT COP    | RE ADJUSTME | INTS            |        |    |         |         |         | - |
| Core Reallocation | 1065 3652   | PS              | (0.00) | (  | 0 0     | 0       | (       | ) |
| NET DE            | EPARTMENT ( | HANGES          | (0.00) | (  | ) 0     | 0       | (       | ) |
| DEPARTMENT COF    | RE REQUEST  |                 |        |    |         |         |         |   |
|                   |             | PS              | 2.07   | (  | 0 0     | 136,754 | 136,754 | 4 |
|                   |             | EE              | 0.00   | (  | ) 0     | 37,868  | 37,868  | 3 |
|                   |             | Total           | 2.07   |    | ) 0     | 174,622 | 174,622 | 2 |
| GOVERNOR'S REC    |             | CORE            |        |    |         |         |         |   |
|                   |             | PS              | 2.07   | (  | 0 0     | 136,754 | 136,754 | 1 |
|                   |             | EE              | 0.00   | (  | ) 0     | 37,868  | 37,868  | 3 |
|                   |             | Total           | 2.07   | (  | ) 0     | 174,622 | 174,622 | 2 |

| DCI   |                             |                          |                             |                          |                               | DEC                        | ISION ITEM                   | SUMMARY                   |
|---|-----------------------------|--------------------------|-----------------------------|--------------------------|-------------------------------|----------------------------|------------------------------|---------------------------|
| Budget Unit<br>Decision Item<br>Budget Object Summary<br>Fund | FY 2020<br>ACTUAL<br>DOLLAR | FY 2020<br>ACTUAL<br>FTE | FY 2021<br>BUDGET<br>DOLLAR | FY 2021<br>BUDGET<br>FTE | FY 2022<br>DEPT REQ<br>DOLLAR | FY 2022<br>DEPT REQ<br>FTE | FY 2022<br>GOV REC<br>DOLLAR | FY 2022<br>GOV REC<br>FTE |
| DEPT ADMINISTRATION   |                             |                          |                             |                          |                               |                            |                              |                           |
| CORE  |                             |                          |                             |                          |                               |                            |                              |                           |
| PERSONAL SERVICES<br>DCI ADMINISTRATIVE                       | 127,235                     | 1.86                     | 136,754                     | 2.07                     | 136,754                       | 2.07                       | 136,754                      | 2.07                      |
| TOTAL - PS  | 127,235                     | 1.86                     | 136,754                     | 2.07                     | 136,754                       | 2.07                       | 136,754                      | 2.07                      |
| EXPENSE & EQUIPMENT<br>DCI ADMINISTRATIVE                     | 7,822                       | 0.00                     | 37,868                      | 0.00                     | 37,868                        | 0.00                       | 37,868                       | 0.00                      |
| TOTAL - EE  | 7,822                       | 0.00                     | 37,868                      | 0.00                     | 37,868                        | 0.00                       | 37,868                       | 0.00                      |
| TOTAL   | 135,057                     | 1.86                     | 174,622                     | 2.07                     | 174,622                       | 2.07                       | 174,622                      | 2.07                      |
| Pay Plan - 0000012  |                             |                          |                             |                          |                               |                            |                              |                           |
| PERSONAL SERVICES<br>DCI ADMINISTRATIVE                       | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 1,366                        | 0.00                      |
| TOTAL - PS  | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 1,366                        | 0.00                      |
| TOTAL   | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 1,366                        | 0.00                      |
| GRAND TOTAL   | \$135,057                   | 1.86                     | \$174,622                   | 2.07                     | \$174,622                     | 2.07                       | \$175,988                    | 2.07                      |

| DCI                            |         |         |         |         |          |          | ECISION ITI |         |
|--------------------------------|---------|---------|---------|---------|----------|----------|-------------|---------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022 |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE     |
| DEPT ADMINISTRATION            |         |         |         |         |          |          |             |         |
| CORE                           |         |         |         |         |          |          |             |         |
| PROCUREMENT OFCR II            | 2,525   | 0.05    | 2,562   | 0.05    | 0        | 0.00     | 0           | 0.00    |
| ACCOUNTING SPECIALIST II       | 2,722   | 0.05    | 2,758   | 0.05    | 0        | 0.00     | 0           | 0.00    |
| ACCOUNTING ANAL II             | 2,326   | 0.05    | 2,359   | 0.05    | 0        | 0.00     | 0           | 0.00    |
| BUDGET ANAL III                | 14,650  | 0.28    | 15,507  | 0.30    | 0        | 0.00     | 0           | 0.00    |
| PERSONNEL ANAL II              | 2,275   | 0.05    | 2,306   | 0.05    | 0        | 0.00     | 0           | 0.00    |
| PUBLIC INFORMATION SPEC II     | 5,735   | 0.15    | 5,607   | 0.15    | 0        | 0.00     | 0           | 0.00    |
| PUBLIC INFORMATION ADMSTR      | 7,110   | 0.15    | 7,214   | 0.15    | 0        | 0.00     | 0           | 0.00    |
| FISCAL & ADMINISTRATIVE MGR B1 | 14,994  | 0.20    | 11,460  | 0.15    | 0        | 0.00     | 0           | 0.00    |
| FISCAL & ADMINISTRATIVE MGR B2 | 0       | 0.00    | 3,803   | 0.05    | 0        | 0.00     | 0           | 0.00    |
| HUMAN RESOURCES MGR B1         | 2,935   | 0.05    | 3,023   | 0.05    | 0        | 0.00     | 0           | 0.00    |
| STATE DEPARTMENT DIRECTOR      | 12,710  | 0.10    | 12,991  | 0.10    | 12,914   | 0.10     | 12,914      | 0.10    |
| DEPUTY STATE DEPT DIRECTOR     | 5,524   | 0.05    | 11,949  | 0.10    | 11,963   | 0.10     | 11,963      | 0.10    |
| DESIGNATED PRINCIPAL ASST DEPT | 21,743  | 0.32    | 20,932  | 0.30    | 14,210   | 0.20     | 14,210      | 0.20    |
| DIVISION DIRECTOR              | 21,305  | 0.20    | 21,680  | 0.20    | 21,647   | 0.20     | 21,647      | 0.20    |
| DESIGNATED PRINCIPAL ASST DIV  | 4,687   | 0.10    | 4,613   | 0.10    | 4,935    | 0.10     | 4,935       | 0.10    |
| MISCELLANEOUS TECHNICAL        | 0       | 0.00    | 7,990   | 0.22    | 0        | 0.00     | 0           | 0.00    |
| SPECIAL ASST PROFESSIONAL      | 1,618   | 0.02    | 0       | 0.00    | 0        | 0.00     | 0           | 0.00    |
| ADMINISTRATIVE MANAGER         | 0       | 0.00    | 0       | 0.00    | 11,433   | 0.15     | 11,433      | 0.15    |
| SR PUBLIC RELATIONS SPECIALIST | 0       | 0.00    | 0       | 0.00    | 13,295   | 0.30     | 13,295      | 0.30    |
| PUBLIC RELATIONS DIRECTOR      | 0       | 0.00    | 0       | 0.00    | 10,208   | 0.15     | 10,208      | 0.15    |
| AGENCY BUDGET SENIOR ANALYST   | 0       | 0.00    | 0       | 0.00    | 16,101   | 0.42     | 16,101      | 0.42    |
| INTERMEDIATE ACCOUNTANT        | 0       | 0.00    | 0       | 0.00    | 5,129    | 0.10     | 5,129       | 0.10    |
| ACCOUNTANT MANAGER             | 0       | 0.00    | 0       | 0.00    | 3,811    | 0.05     | 3,811       | 0.05    |
| PROCUREMENT SPECIALIST         | 0       | 0.00    | 0       | 0.00    | 2,565    | 0.05     | 2,565       | 0.05    |
| HUMAN RESOURCES GENERALIST     | 0       | 0.00    | 0       | 0.00    | 2,312    | 0.05     | 2,312       | 0.05    |
| HUMAN RESOURCES SPECIALIST     | 0       | 0.00    | 0       | 0.00    | 2,987    | 0.05     | 2,987       | 0.05    |
| HUMAN RESOURCES DIRECTOR       | 0       | 0.00    | 0       | 0.00    | 3,244    | 0.05     | 3,244       | 0.05    |
| CHIEF COUNSEL                  | 4,376   | 0.04    | 0       | 0.00    | 0        | 0.00     | 0           | 0.00    |
| TOTAL - PS                     | 127,235 | 1.86    | 136,754 | 2.07    | 136,754  | 2.07     | 136,754     | 2.07    |
| TRAVEL, IN-STATE               | 233     | 0.00    | 908     | 0.00    | 908      | 0.00     | 908         | 0.00    |
| TRAVEL, OUT-OF-STATE           | 841     | 0.00    | 1,127   | 0.00    | 1,127    | 0.00     | 1,127       | 0.00    |
| SUPPLIES                       | 1,620   | 0.00    | 18,651  | 0.00    | 15,001   | 0.00     | 15,001      | 0.00    |

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| DCI                            |           |         |           |         |           | D        | <b>DECISION IT</b> | EM DETAIL |
|--------------------------------|-----------|---------|-----------|---------|-----------|----------|--------------------|-----------|
| Budget Unit                    | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022            | FY 2022   |
| Decision Item                  | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC            | GOV REC   |
| Budget Object Class            | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR             | FTE       |
| DEPT ADMINISTRATION            |           |         |           |         |           |          |                    |           |
| CORE                           |           |         |           |         |           |          |                    |           |
| PROFESSIONAL DEVELOPMENT       | 837       | 0.00    | 2,175     | 0.00    | 2,175     | 0.00     | 2,175              | 0.00      |
| COMMUNICATION SERV & SUPP      | 1,636     | 0.00    | 2,644     | 0.00    | 2,644     | 0.00     | 2,644              | 0.00      |
| PROFESSIONAL SERVICES          | 606       | 0.00    | 11,188    | 0.00    | 11,188    | 0.00     | 11,188             | 0.00      |
| HOUSEKEEPING & JANITORIAL SERV | 2         | 0.00    | 0         | 0.00    | 100       | 0.00     | 100                | 0.00      |
| M&R SERVICES                   | 29        | 0.00    | 375       | 0.00    | 375       | 0.00     | 375                | 0.00      |
| OFFICE EQUIPMENT               | 992       | 0.00    | 650       | 0.00    | 2,000     | 0.00     | 2,000              | 0.00      |
| OTHER EQUIPMENT                | 886       | 0.00    | 100       | 0.00    | 2,000     | 0.00     | 2,000              | 0.00      |
| PROPERTY & IMPROVEMENTS        | 128       | 0.00    | 50        | 0.00    | 250       | 0.00     | 250                | 0.00      |
| MISCELLANEOUS EXPENSES         | 12        | 0.00    | 0         | 0.00    | 100       | 0.00     | 100                | 0.00      |
| TOTAL - EE                     | 7,822     | 0.00    | 37,868    | 0.00    | 37,868    | 0.00     | 37,868             | 0.00      |
| GRAND TOTAL                    | \$135,057 | 1.86    | \$174,622 | 2.07    | \$174,622 | 2.07     | \$174,622          | 2.07      |
| GENERAL REVENUE                | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0                | 0.00      |
| FEDERAL FUNDS                  | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0                | 0.00      |
| OTHER FUNDS                    | \$135,057 | 1.86    | \$174,622 | 2.07    | \$174,622 | 2.07     | \$174,622          | 2.07      |

# PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.400 **Department Administration** Program is found in the following core budget(s): Department Administration 1a. What strategic priority does this program address? Provide help and educate stakeholders so they are better informed problem solvers Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public Innovate to make it easier to connect and work with us Develop our team, reward great performance, and retain top talent 1b. What does this program do? This core supports a portion of department administration FTE providing department-wide direction and assistance to department divisions through legislative coordination, communications, human resources, accounting, budget and planning.

### 2a. Provide an activity measure(s) for the program.

•

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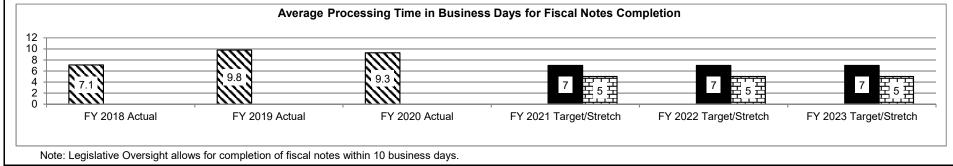
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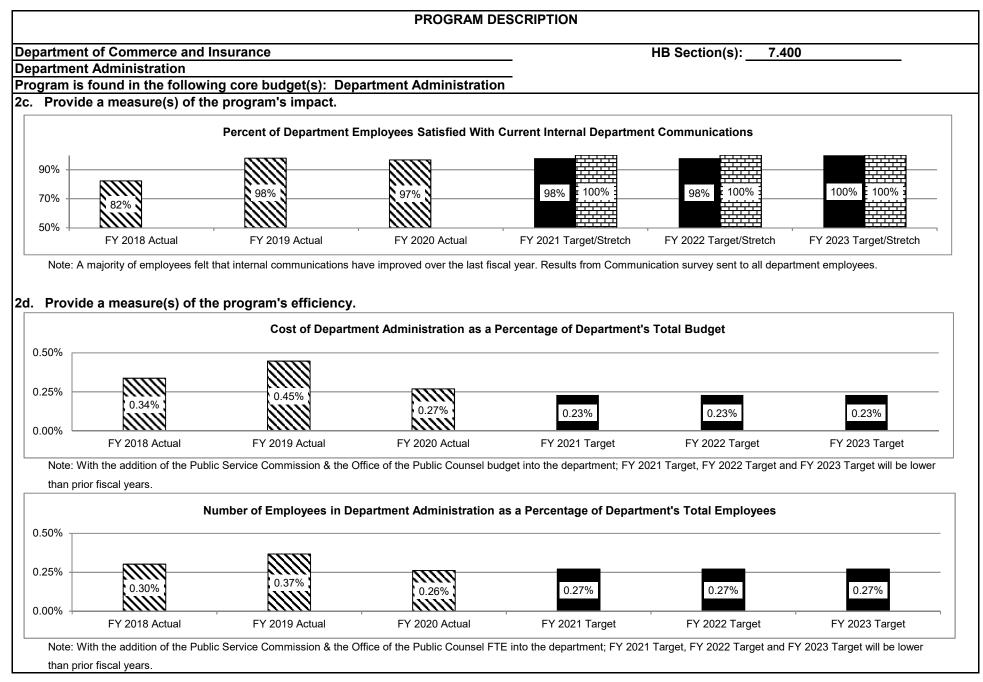
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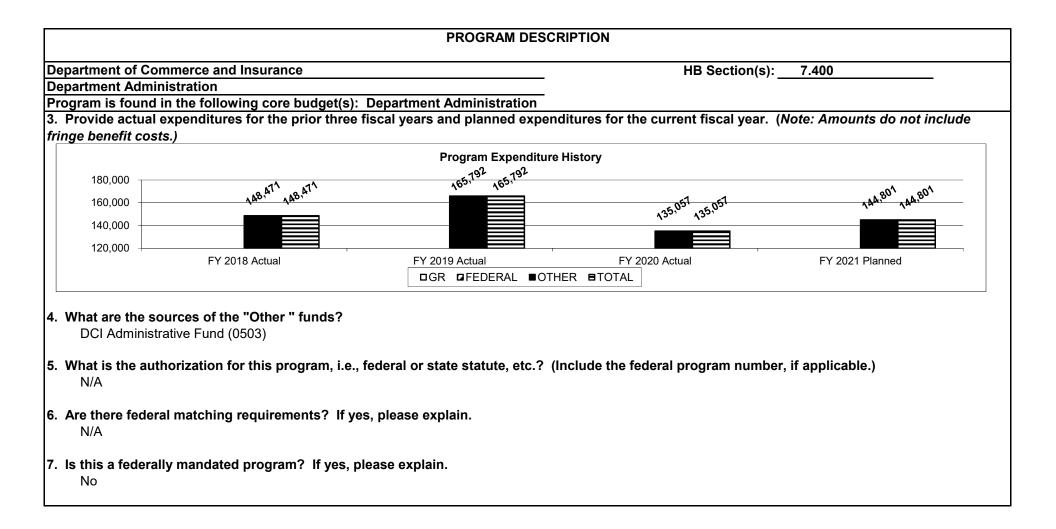
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| Number of employees served in FY 202 | 0.         |
|--------------------------------------|------------|
| Insurance                            | 206.93 FTE |
| Finance                              | 107.15 FTE |
| Credit Unions                        | 15.50 FTE  |
| Manufactured Housing                 | 8.00 FTE   |
| Office of the Public Counsel         | 16.00 FTE  |
| Professional Registration            | 226.50 FTE |
| Public Service Commission            | 191.00 FTE |
| TOTAL                                | 771.08 FTE |
|                                      |            |

### 2b. Provide a measure(s) of the program's quality.







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|                | Commerce and Ins   | surance   |   |                                    | Budget Unit      | 37503C            |  |   |                                    |  |  |
|----------------|--|---|---|------------------------------------|------------------|-------------------|--|---|------------------------------------|--|--|
| Core - Departn | nent Administratior  | n Transfer  |   |                                    | HB Section       | 7.405             |  |   |                                    |  |  |
| 1. CORE FINA   | NCIAL SUMMARY  |   |   |                                    |                  |                   |  |   |                                    |  |  |
|                | F۱   | Y 2022 Budge                                      | et Request                                      |                                    |                  | FY 2022 G         | iovernor's R                                 | ecommenda                                       | tion                               |  |  |
|                | GR   | Federal   | Other   | Total                              |                  | GR                |  |   |                                    |  |  |
| PS             | 0  | 0   | 0   | 0                                  | PS               | 0                 | 0  | 0   | 0                                  |  |  |
| EE             | 0  | 0   | 0   | 0                                  | EE               | 0                 | 0  | 0   | 0                                  |  |  |
| PSD            | 0  | 0   | 0   | 0                                  | PSD              | 0                 | 0  | 0   | 0                                  |  |  |
| TRF            | 10,000   | 0   | 485,264   | 495,264                            | TRF              | 10,000            | 0  | 485,264   | 495,264                            |  |  |
| Total          | 10,000   | 0   | 485,264   | 495,264                            | Total            | 10,000            | 0  | 485,264   | 495,264                            |  |  |
| FTE            | 0.00   | 0.00  | 0.00  | 0.00                               | FTE              | 0.00              | 0.00   | 0.00  | 0.00                               |  |  |
| Est. Fringe    | 0  | 0   | 0   | 0                                  | Est. Fringe      | 0                 | 0  | 0   | 0                                  |  |  |
|                | budgeted in House E  | Ű   | -   |                                    | Note: Fringes b  | udaeted in Hous   | •  | •   | •                                  |  |  |
| •              | tly to MoDOT, Highw  | •   | •   |                                    | budgeted directl | •                 |  | •   | -                                  |  |  |
| Other Funds:   | General Revenue<br>Fund (0548), Div<br>Dedicated Fund<br>Public Service Ce<br>Registration Fee | vision of Finan<br>(0566), Manuf<br>commission Fu | ce Fund (055<br>factured Hous<br>und (0607), Pr | 0), Insurance<br>sing Fund (0582), | De<br>Pu         | und (0548), Divis | sion of Finan<br>0566), Manul<br>mmission Fu | ce Fund (055<br>factured Hous<br>ind (0607), Pr | 0), Insurance<br>sing Fund (0582), |  |  |

Department Administration Transfer

| Department of Commerce and I                                | nsurance          |                   |                    |                        | Budget Unit | 37503C                          |   |
|---|-------------------|-------------------|--------------------|------------------------|-------------|---------------------------------|---|
| Core - Department Administration                            | on Transfer       |                   |                    |                        | HB Section  | 7.405                           |   |
| 4. FINANCIAL HISTORY  |                   |                   |                    |                        |             |                                 |   |
|   | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual  | FY 2021<br>Current Yr. |             | Actual Expenditures (All Funds) |   |
| Appropriation (All Funds)<br>Less Reverted (All Funds)      | 400,000<br>0      | 405,264<br>0      | 812,177<br>(1,200) | 495,264<br>(300)       | 400,000     |                                 |   |
| Less Restricted (All Funds)<br>Budget Authority (All Funds) | <u> </u>          | 0<br>405,264      | 0<br>810,977       | 0<br>494,964           | 350,000 -   |                                 |   |
| Actual Expenditures (All Funds)                             | 271,425           | 307,204           | 245,125            | N/A                    |             | 307,204                         |   |
| Unexpended (All Funds)                                      | 128,575           | 98,060            | 565,852            | <u>N/A</u>             | 300,000 -   | 271,425                         |   |
| Unexpended, by Fund:<br>General Revenue<br>Federal          | 0                 | 0<br>0            | 0                  | N/A<br>N/A             | 250,000 -   | 245,125                         | 5 |
| Other   | 128,575<br>(1)    | 98,060<br>(2)     | 565,852<br>(3)     | N/A<br>N/A             | 200,000 -   |                                 |   |
|   | (')               | (-)               | (0)                |                        |             | FY 2018 FY 2019 FY 2020         |   |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

(1) Unexpended amount is primarily due to less transferring necessary to cover expense and equipment spending.

(2) Unexpended amount is primarily due to less transferring necessary to cover expense and equipment spending.

(3) Unexpended amount is primarily due to less transferring necessary to cover expense and equipment spending.

### CORE RECONCILIATION DETAIL

# DEPT OF COMMERCE AND INSURANCE

DEPT ADMINISTRATION TRANSFER

### 5. CORE RECONCILIATION DETAIL

|                          | Budget<br>Class | FTE  | GR     | Federal | Other   | Total   | E        |
|--------------------------|-----------------|------|--------|---------|---------|---------|----------|
| TAFP AFTER VETOES        |                 |      |        |         |         |         | _        |
|                          | TRF             | 0.00 | 10,000 | 0       | 485,264 | 495,264 | -        |
|                          | Total           | 0.00 | 10,000 | 0       | 485,264 | 495,264 | -        |
| DEPARTMENT CORE REQUEST  |                 |      |        |         |         |         | -        |
|                          | TRF             | 0.00 | 10,000 | 0       | 485,264 | 495,264 | <u> </u> |
|                          | Total           | 0.00 | 10,000 | 0       | 485,264 | 495,264 | -        |
| GOVERNOR'S RECOMMENDED C | ORE             |      |        |         |         |         | _        |
|                          | TRF             | 0.00 | 10,000 | 0       | 485,264 | 495,264 | -        |
|                          | Total           | 0.00 | 10,000 | 0       | 485,264 | 495,264 | -        |

| DCI                            |           |         |           |         |           | DEC      | ISION ITEM | SUMMARY |
|--------------------------------|-----------|---------|-----------|---------|-----------|----------|------------|---------|
| Budget Unit                    |           |         |           |         |           |          |            |         |
| Decision Item                  | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022    | FY 2022 |
| Budget Object Summary          | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC    | GOV REC |
| Fund                           | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR     | FTE     |
| DEPT ADMINISTRATION TRANSFER   |           |         |           |         |           |          |            |         |
| CORE                           |           |         |           |         |           |          |            |         |
| FUND TRANSFERS                 |           |         |           |         |           |          |            |         |
| GENERAL REVENUE                | 7,226     | 0.00    | 10,000    | 0.00    | 10,000    | 0.00     | 10,000     | 0.00    |
| DIVISION OF CREDIT UNIONS      | 17,350    | 0.00    | 40,000    | 0.00    | 40,000    | 0.00     | 40,000     | 0.00    |
| DIVISION OF FINANCE            | 69,086    | 0.00    | 100,000   | 0.00    | 100,000   | 0.00     | 100,000    | 0.00    |
| INSURANCE DEDICATED FUND       | 8,002     | 0.00    | 40,264    | 0.00    | 40,264    | 0.00     | 40,264     | 0.00    |
| MANUFACTURED HOUSING FUND      | 2,398     | 0.00    | 5,000     | 0.00    | 5,000     | 0.00     | 5,000      | 0.00    |
| PUBLIC SERVICE COMMISSION      | 57,092    | 0.00    | 100,000   | 0.00    | 100,000   | 0.00     | 100,000    | 0.00    |
| PROFESSIONAL REGISTRATION FEES | 83,971    | 0.00    | 200,000   | 0.00    | 200,000   | 0.00     | 200,000    | 0.00    |
| TOTAL - TRF                    | 245,125   | 0.00    | 495,264   | 0.00    | 495,264   | 0.00     | 495,264    | 0.00    |
| TOTAL                          | 245,125   | 0.00    | 495,264   | 0.00    | 495,264   | 0.00     | 495,264    | 0.00    |
| GRAND TOTAL                    | \$245,125 | 0.00    | \$495,264 | 0.00    | \$495,264 | 0.00     | \$495,264  | 0.00    |

| DCI                          |           |         |           |         |           | 0        | DECISION IT | EM DETAIL |
|------------------------------|-----------|---------|-----------|---------|-----------|----------|-------------|-----------|
| Budget Unit                  | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class          | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR      | FTE       |
| DEPT ADMINISTRATION TRANSFER |           |         |           |         |           |          |             |           |
| CORE                         |           |         |           |         |           |          |             |           |
| TRANSFERS OUT                | 245,125   | 0.00    | 495,264   | 0.00    | 495,264   | 0.00     | 495,264     | 0.00      |
| TOTAL - TRF                  | 245,125   | 0.00    | 495,264   | 0.00    | 495,264   | 0.00     | 495,264     | 0.00      |
| GRAND TOTAL                  | \$245,125 | 0.00    | \$495,264 | 0.00    | \$495,264 | 0.00     | \$495,264   | 0.00      |
| GENERAL REVENUE              | \$7,226   | 0.00    | \$10,000  | 0.00    | \$10,000  | 0.00     | \$10,000    | 0.00      |
| FEDERAL FUNDS                | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                  | \$237,899 | 0.00    | \$485,264 | 0.00    | \$485,264 | 0.00     | \$485,264   | 0.00      |

**Department of Commerce and Insurance** 

Department Administration Transfer

Program is found in the following core budget(s): Transfers to Department Administration

**1a. What strategic priority does this program address?** See Department Administration program description.

### 1b. What does this program do?

• This core transfer provides funds to the DCI Administrative Fund from other department funds to cover a portion of salaries, fringe benefits and expenses of Department Administration FTE.

### 2a. Provide an activity measure(s) for the program.

For performance measures, see Insurance Operations, Divisions of Credit Unions, Finance, Prof. Registration Admin., Office of the Public Counsel, Public Serv. Commission and Manufactured Housing program descriptions.

### 2c. Provide a measure(s) of the program's impact.

For performance measures, see Insurance Operations, Divisions of Credit Unions, Finance, Prof. Registration Admin., Office of the Public Counsel, Public Serv. Commission and Manufactured Housing program descriptions.

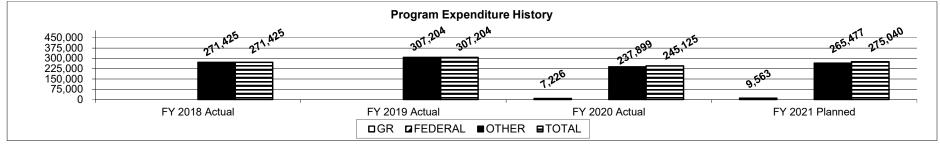
### 2b. Provide a measure(s) of the program's quality.

For performance measures, see Insurance Operations, Divisions of Credit Unions, Finance, Prof. Registration Admin., Office of the Public Counsel, Public Serv. Commission and Manufactured Housing program descriptions.

### 2d. Provide a measure(s) of the program's efficiency.

For performance measures, see Insurance Operations, Divisions of Credit Unions, Finance, Prof. Registration Admin., Office of the Public Counsel, Public Serv. Commission and Manufactured Housing program descriptions.

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



### 4. What are the sources of the "Other " funds?

Division of Credit Unions Fund (0548), Division of Finance Fund (0550), Insurance Dedicated Fund (0566), Manufactured Housing Fund (0582), Public Service Commission Fund (0607) and the Professional Registration Fees Fund (0689)

### 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.) No

6. Are there federal matching requirements? If yes, please explain.

N/A

- 7. Is this a federally mandated program? If yes, please explain.
  - No

HB Section(s): 7.405

| Department of C   | ommerce and Insu     | rance                   |                 |            | Budget Unit      | 37501C          |               |                |            |
|-------------------|----------------------|-------------------------|-----------------|------------|------------------|-----------------|---------------|----------------|------------|
| Insurance         |                      |                         |                 |            |                  |                 |               |                |            |
| Core - Insurance  | e Operations         |                         |                 |            | HB Section       | 7.410           |               |                |            |
| 1. CORE FINAN     | CIAL SUMMARY         |                         |                 |            |                  |                 |               |                |            |
|                   | FY 2                 | 022 Budg                | et Request      |            |                  | FY 2022 G       | overnor's     | Recommend      | lation     |
|                   | GR F                 | ederal                  | Other           | Total      |                  | GR              | Federal       | Other          | Total      |
| PS                | 0                    | 0                       | 9,180,623       | 9,180,623  | PS               | 0               | 0             | 9,180,623      | 9,180,623  |
| EE                | 0                    | 0                       | 1,919,657       | 1,919,657  | EE               | 0               | 0             | 1,919,657      | 1,919,657  |
| PSD               | 0                    | 0                       | 80,000          | 80,000     | PSD              | 0               | 0             | 80,000         | 80,000     |
| TRF               | 0                    | 0                       | 0               | 0          | TRF              | 0               | 0             | 0              | 0          |
| Total             | 0                    | 0                       | 11,180,280      | 11,180,280 | Total            | 0               | 0             | 11,180,280     | 11,180,280 |
| FTE               | 0.00                 | 0.00                    | 161.56          | 161.56     | FTE              | 0.00            | 0.00          | 159.56         | 159.5      |
| Est. Fringe       | 0                    | 0                       | 5,310,217       | 5,310,217  | Est. Fringe      | 0               | 0             | 5,282,121      | 5,282,121  |
| Note: Fringes bu  | dgeted in House Bill | 5 except f              | or certain frin | ges        | Note: Fringes b  | udgeted in Hou  | se Bill 5 exc | ept for certai | n fringes  |
| budgeted directly | to MoDOT, Highway    | <sup>,</sup> Patrol, ar | nd Conservati   | on.        | budgeted direct  | y to MoDOT, Hi  | ghway Patr    | ol, and Conse  | ervation.  |
| Other Funds:      | Insurance Dedicate   | d Fund (0               | 566)            |            | Other Funds: Ins | surance Dedica  | ted Fund (0   | 566)           |            |
|                   | Consumer Restituti   | on Fund (               | 0792)           |            | Co               | onsumer Restitu | ition Fund (  | 0792)          |            |

This core request supports Missouri's insurance regulatory efforts through the Insurance Dedicated Fund. The department is responsible for overseeing the insurance industry's compliance with Missouri insurance laws and regulations and protecting the interests of the insurance-buying consumer. The department, acting on complaints from consumers, investigates companies and producers accused of insurance law and regulation violations. The department provides information to nearly 40,000 consumers each year through a statewide toll-free hotline, outreach events and through the complaint process. The department's website provides information and services for the convenience of both consumers and industry. The department licenses nearly 200,000 insurance producers (agents and agencies). The department also certifies for collection over \$357.9 million in premium taxes paid by insurance companies for deposit into general revenue and school funds. This core includes the Consumer Restitution Fund which is for preserving and distributing to aggrieved consumers funds obtained through enforcement proceedings by the director.

### 3. PROGRAM LISTING (list programs included in this core funding)

**Insurance Operations** 

| Department of Commerce and I<br>Insurance | nsurance          | -                 |                   |                        | Budget Unit | 37501C            |                      |           |
|---|-------------------|-------------------|-------------------|------------------------|-------------|-------------------|----------------------|-----------|
| Core - Insurance Operations               |                   | -                 |                   | I                      | HB Section  | 7.410             |                      |           |
| 4. FINANCIAL HISTORY                      |                   |                   |                   |                        |             |                   |                      |           |
|   | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actual Expe       | nditures (All Funds) |           |
| Appropriation (All Funds)                 | 10,770,523        | 10,775,988        | 11,007,280        | 11,180,280             | 11,000,000  |                   |                      |           |
| Less Reverted (All Funds)                 | 0                 | 0                 | 0                 | 0                      |             |                   |                      | 9,913,623 |
| Less Restricted (All Funds)               | 0                 | 0                 | 0                 | 0                      | 10,000,000  |                   |                      | 0,010,020 |
| Budget Authority (All Funds)              | 10,770,523        | 10,775,988        | 11,007,280        | 11,180,280             |             |                   | 9,118,775            |           |
|   |                   |                   |                   |                        | 9,000,000 - |                   |                      |           |
| Actual Expenditures (All Funds)           | 8,088,394         | 9,118,775         | 9,913,623         | N/A                    |             | 8,08 <u>8,394</u> |                      |           |
| Unexpended (All Funds)                    | 2,682,129         | 1,657,213         | 1,093,657         | N/A                    | 8,000,000 - | <b>r</b>          |                      |           |
| Unexpended, by Fund:                      |                   |                   |                   |                        | 7,000,000 - |                   |                      |           |
| General Revenue                           | 0                 | 0                 | 0                 | N/A                    |             |                   |                      |           |
| Federal                                   | 0                 | 0                 | 0                 | N/A                    | 6,000,000   |                   |                      |           |
| Other                                     | 2,682,129         | 1,657,213         | 1,093,657         | N/A                    |             |                   |                      |           |
|   | (1)               | (2)               | (3)               |                        | 5,000,000   |                   | 1                    | 1         |
|   |                   | . ,               |                   |                        |             | FY 2018           | FY 2019              | FY 2020   |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

# NOTES:

(1) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

(2) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

(3) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

### DEPT OF COMMERCE AND INSURANCE

**INSURANCE OPERATIONS** 

### 5. CORE RECONCILIATION DETAIL

|                             | Budget<br>Class | FTE    | GR | Federal | Other      | Total      | Explanation                 |
|-----------------------------|-----------------|--------|----|---------|------------|------------|-----------------------------|
| TAFP AFTER VETOES           |                 |        |    |         |            |            |                             |
|                             | PS              | 161.56 | 0  | 0       | 9,180,623  | 9,180,623  | 3                           |
|                             | EE              | 0.00   | 0  | 0       | 1,919,657  | 1,919,657  |                             |
|                             | PD              | 0.00   | 0  | 0       | 80,000     | 80,000     | )                           |
|                             | Total           | 161.56 | 0  | 0       | 11,180,280 | 11,180,280 |                             |
| DEPARTMENT CORE ADJUSTME    |                 |        |    |         |            |            | -                           |
| Core Reallocation 1066 9907 | PS              | 0.00   | 0  | 0       | 0          | (0)        | )                           |
| NET DEPARTMENT (            | CHANGES         | 0.00   | 0  | 0       | 0          | (0)        |                             |
| DEPARTMENT CORE REQUEST     |                 |        |    |         |            |            |                             |
|                             | PS              | 161.56 | 0  | 0       | 9,180,623  | 9,180,623  | 3                           |
|                             | EE              | 0.00   | 0  | 0       | 1,919,657  | 1,919,657  | 7                           |
|                             | PD              | 0.00   | 0  | 0       | 80,000     | 80,000     | )                           |
|                             | Total           | 161.56 | 0  | 0       | 11,180,280 | 11,180,280 | -<br>)<br>=                 |
| GOVERNOR'S ADDITIONAL COR   | E ADJUST        | MENTS  |    |         |            |            |                             |
| Core Reduction 1426 9907    | PS              | (2.00) | 0  | 0       | 0          | C          | )Realign budget to actuals. |
| NET GOVERNOR CH             | ANGES           | (2.00) | 0  | 0       | 0          | C          | )                           |
| GOVERNOR'S RECOMMENDED      | CORE            |        |    |         |            |            |                             |
|                             | PS              | 159.56 | 0  | 0       | 9,180,623  | 9,180,623  | 3                           |
|                             | EE              | 0.00   | 0  | 0       | 1,919,657  | 1,919,657  |                             |
|                             | PD              | 0.00   | 0  | 0       | 80,000     | 80,000     | )                           |
|                             | Total           | 159.56 | 0  | 0       | 11,180,280 | 11,180,280 | -                           |

| DCI                       |             |         |              |         |              | DEC      | ISION ITEM   | SUMMARY |
|---------------------------|-------------|---------|--------------|---------|--------------|----------|--------------|---------|
| Budget Unit               |             |         |              |         |              |          |              |         |
| Decision Item             | FY 2020     | FY 2020 | FY 2021      | FY 2021 | FY 2022      | FY 2022  | FY 2022      | FY 2022 |
| Budget Object Summary     | ACTUAL      | ACTUAL  | BUDGET       | BUDGET  | DEPT REQ     | DEPT REQ | GOV REC      | GOV REC |
| Fund                      | DOLLAR      | FTE     | DOLLAR       | FTE     | DOLLAR       | FTE      | DOLLAR       | FTE     |
| INSURANCE OPERATIONS      |             |         |              |         |              |          |              |         |
| CORE                      |             |         |              |         |              |          |              |         |
| PERSONAL SERVICES         |             |         |              |         |              |          |              |         |
| INSURANCE DEDICATED FUND  | 8,837,386   | 148.86  | 9,180,623    | 161.56  | 9,180,623    | 161.56   | 9,180,623    | 159.56  |
| TOTAL - PS                | 8,837,386   | 148.86  | 9,180,623    | 161.56  | 9,180,623    | 161.56   | 9,180,623    | 159.56  |
| EXPENSE & EQUIPMENT       |             |         |              |         |              |          |              |         |
| INSURANCE DEDICATED FUND  | 1,076,237   | 0.00    | 1,919,657    | 0.00    | 1,919,657    | 0.00     | 1,919,657    | 0.00    |
| TOTAL - EE                | 1,076,237   | 0.00    | 1,919,657    | 0.00    | 1,919,657    | 0.00     | 1,919,657    | 0.00    |
| PROGRAM-SPECIFIC          |             |         |              |         |              |          |              |         |
| INSURANCE DEDICATED FUND  | 0           | 0.00    | 75,000       | 0.00    | 75,000       | 0.00     | 75,000       | 0.00    |
| CONSUMER RESTITUTION FUND | 0           | 0.00    | 5,000        | 0.00    | 5,000        | 0.00     | 5,000        | 0.00    |
| TOTAL - PD                | 0           | 0.00    | 80,000       | 0.00    | 80,000       | 0.00     | 80,000       | 0.00    |
| TOTAL                     | 9,913,623   | 148.86  | 11,180,280   | 161.56  | 11,180,280   | 161.56   | 11,180,280   | 159.56  |
| Pay Plan - 0000012        |             |         |              |         |              |          |              |         |
| PERSONAL SERVICES         |             |         |              |         |              |          |              |         |
| INSURANCE DEDICATED FUND  | 0           | 0.00    | 0            | 0.00    | 0            | 0.00     | 91,805       | 0.00    |
| TOTAL - PS                | 0           | 0.00    | 0            | 0.00    | 0            | 0.00     | 91,805       | 0.00    |
| TOTAL                     | 0           | 0.00    | 0            | 0.00    | 0            | 0.00     | 91,805       | 0.00    |
| GRAND TOTAL               | \$9,913,623 | 148.86  | \$11,180,280 | 161.56  | \$11,180,280 | 161.56   | \$11,272,085 | 159.56  |

|                                |         |         |           |         |          |          | ECISION IT |         |
|--------------------------------|---------|---------|-----------|---------|----------|----------|------------|---------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021   | FY 2021 | FY 2022  | FY 2022  | FY 2022    | FY 2022 |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ | DEPT REQ | GOV REC    | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR    | FTE     | DOLLAR   | FTE      | DOLLAR     | FTE     |
| NSURANCE OPERATIONS            |         |         |           |         |          |          |            |         |
| CORE                           |         |         |           |         |          |          |            |         |
| ADMIN OFFICE SUPPORT ASSISTANT | 103,759 | 3.00    | 99,680    | 3.00    | 0        | 0.00     | 0          | 0.00    |
| SR OFFICE SUPPORT ASSISTANT    | 91,836  | 3.00    | 94,230    | 3.00    | 0        | 0.00     | 0          | 0.0     |
| SUPPORT SERVICES TECHNICIAN    | 18,511  | 0.52    | 18,434    | 0.50    | 0        | 0.00     | 0          | 0.0     |
| PROCUREMENT OFCR II            | 47,976  | 0.95    | 48,680    | 0.95    | 0        | 0.00     | 0          | 0.0     |
| ACCOUNTING SPECIALIST II       | 51,717  | 0.95    | 52,401    | 0.95    | 0        | 0.00     | 0          | 0.0     |
| ACCOUNTING ANAL II             | 44,197  | 0.95    | 44,958    | 0.95    | 0        | 0.00     | 0          | 0.00    |
| BUDGET ANAL III                | 83,015  | 1.60    | 87,629    | 1.70    | 0        | 0.00     | 0          | 0.0     |
| ACCOUNTING GENERALIST II       | 41,681  | 1.00    | 42,292    | 1.00    | 0        | 0.00     | 0          | 0.0     |
| PERSONNEL ANAL II              | 43,226  | 0.95    | 43,817    | 0.95    | 0        | 0.00     | 0          | 0.0     |
| RESEARCH ANAL II               | 38,141  | 1.00    | 39,127    | 1.00    | 0        | 0.00     | 0          | 0.0     |
| RESEARCH ANAL III              | 43,849  | 1.00    | 45,975    | 1.00    | 0        | 0.00     | 0          | 0.0     |
| RESEARCH ANAL IV               | 48,863  | 0.75    | 66,464    | 1.00    | 0        | 0.00     | 0          | 0.0     |
| PUBLIC INFORMATION SPEC II     | 32,500  | 0.85    | 32,841    | 0.85    | 0        | 0.00     | 0          | 0.0     |
| PUBLIC INFORMATION ADMSTR      | 40,289  | 0.85    | 40,882    | 0.85    | 0        | 0.00     | 0          | 0.0     |
| PLANNER I                      | 46,429  | 1.00    | 44,990    | 1.00    | 0        | 0.00     | 0          | 0.0     |
| PLANNER II                     | 49,236  | 1.00    | 49,959    | 1.00    | 0        | 0.00     | 0          | 0.0     |
| INVESTIGATOR I                 | 131,445 | 3.47    | 183,500   | 5.00    | 0        | 0.00     | 0          | 0.0     |
| INVESTIGATOR II                | 696,512 | 16.76   | 818,488   | 20.00   | 0        | 0.00     | 0          | 0.0     |
| INVESTIGATOR III               | 196,605 | 4.37    | 216,991   | 5.00    | 0        | 0.00     | 0          | 0.0     |
| INS COMPLIANCE REVIEW SPEC I   | 92,438  | 2.04    | 45,264    | 1.00    | 0        | 0.00     | 0          | 0.0     |
| INS COMPLIANCE REVIEW SPEC III | 62,558  | 1.21    | 158,702   | 3.00    | 0        | 0.00     | 0          | 0.0     |
| INSURANCE PRODUCT ANALYST I    | 31,697  | 0.84    | 0         | 0.00    | 0        | 0.00     | 0          | 0.0     |
| INSURANCE PRODUCT ANALYST II   | 205,136 | 5.14    | 259,448   | 6.00    | 0        | 0.00     | 0          | 0.0     |
| INSURANCE PRODUCT ANALYST III  | 87,863  | 2.12    | 125,651   | 3.00    | 0        | 0.00     | 0          | 0.0     |
| INSURANCE FINANCIAL ANAL SPEC  | 208,906 | 4.41    | 210,186   | 4.35    | 0        | 0.00     | 0          | 0.0     |
| INSURANCE FINANCIAL ANALYST II | 137,022 | 3.00    | 138,739   | 3.00    | 0        | 0.00     | 0          | 0.0     |
| INSURANCE LICENSING TECH I     | 1,044   | 0.04    | 0         | 0.00    | 0        | 0.00     | 0          | 0.0     |
| INSURANCE LICENSING TECH II    | 6,967   | 0.22    | 0         | 0.00    | 0        | 0.00     | 0          | 0.0     |
| EXAMINER                       | 934,916 | 13.01   | 1,008,598 | 17.50   | 0        | 0.00     | 0          | 0.0     |
| EXAMINER SPECIALIST            | 183,006 | 2.08    | 227,088   | 2.69    | 0        | 0.00     | 0          | 0.0     |
| EXAMINER IN CHARGE             | 276,809 | 3.08    | 520,207   | 5.67    | 0        | 0.00     | 0          | 0.0     |
| EXAMINATION MANAGER            | 432,212 | 4.28    | 487,832   | 4.80    | 0        | 0.00     | 0          | 0.0     |

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| DCI                            | 51/ 0000 | =>( 0000 | 51/ 000/ | 51/ 000/ | 51/ 0000 |          | ECISION ITI |         |
|--------------------------------|----------|----------|----------|----------|----------|----------|-------------|---------|
| Budget Unit                    | FY 2020  | FY 2020  | FY 2021  | FY 2021  | FY 2022  | FY 2022  | FY 2022     | FY 2022 |
| Decision Item                  | ACTUAL   | ACTUAL   | BUDGET   | BUDGET   | DEPT REQ | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class            | DOLLAR   | FTE      | DOLLAR   | FTE      | DOLLAR   | FTE      | DOLLAR      | FTE     |
| INSURANCE OPERATIONS           |          |          |          |          |          |          |             |         |
| CORE                           |          |          |          |          |          |          |             |         |
| CHIEF EXAMINER                 | 157,102  | 1.50     | 157,439  | 1.48     | 0        | 0.00     | 0           | 0.00    |
| TAX AUDITOR I                  | 37,184   | 1.00     | 36,586   | 1.00     | 0        | 0.00     | 0           | 0.00    |
| TAX AUDITOR II                 | 40,964   | 1.00     | 42,188   | 1.00     | 0        | 0.00     | 0           | 0.00    |
| TAX AUDITOR III                | 94,975   | 2.00     | 96,717   | 2.00     | 0        | 0.00     | 0           | 0.00    |
| FISCAL & ADMINISTRATIVE MGR B1 | 134,843  | 1.80     | 64,593   | 0.85     | 0        | 0.00     | 0           | 0.00    |
| FISCAL & ADMINISTRATIVE MGR B2 | 0        | 0.00     | 72,251   | 0.95     | 0        | 0.00     | 0           | 0.00    |
| HUMAN RESOURCES MGR B1         | 55,767   | 0.95     | 56,690   | 0.95     | 0        | 0.00     | 0           | 0.00    |
| INVESTIGATION MGR B1           | 57,314   | 1.00     | 58,030   | 1.00     | 0        | 0.00     | 0           | 0.00    |
| INSURANCE REGULATORY MGR B1    | 413,788  | 6.75     | 289,262  | 5.00     | 0        | 0.00     | 0           | 0.00    |
| INSURANCE REGULATORY MGR B2    | 0        | 0.00     | 192,138  | 3.00     | 0        | 0.00     | 0           | 0.00    |
| PROCESSING TECHNICIAN II       | 62,392   | 1.92     | 142,459  | 4.00     | 0        | 0.00     | 0           | 0.00    |
| PROCESSING TECHNICIAN III      | 80,654   | 2.15     | 115,886  | 3.00     | 0        | 0.00     | 0           | 0.00    |
| STATE DEPARTMENT DIRECTOR      | 114,394  | 0.90     | 115,979  | 0.90     | 116,228  | 0.90     | 116,228     | 0.90    |
| DEPUTY STATE DEPT DIRECTOR     | 49,712   | 0.42     | 107,535  | 0.90     | 107,671  | 0.90     | 107,671     | 0.90    |
| DESIGNATED PRINCIPAL ASST DEPT | 283,901  | 4.14     | 256,390  | 3.70     | 263,931  | 3.80     | 263,931     | 3.80    |
| DIVISION DIRECTOR              | 392,929  | 3.69     | 410,490  | 3.80     | 411,285  | 3.80     | 411,285     | 3.80    |
| DESIGNATED PRINCIPAL ASST DIV  | 367,038  | 7.59     | 369,425  | 7.90     | 134,609  | 1.90     | 134,609     | 1.90    |
| PARALEGAL                      | 72,639   | 1.77     | 79,265   | 2.00     | 0        | 0.00     | 0           | 0.00    |
| LEGAL COUNSEL                  | 288,660  | 4.82     | 298,861  | 5.00     | 310,443  | 5.00     | 310,443     | 5.00    |
| CHIEF COUNSEL                  | 95,222   | 1.00     | 96,623   | 1.00     | 96,748   | 1.00     | 96,748      | 1.00    |
| SENIOR COUNSEL                 | 244,725  | 3.00     | 249,720  | 3.00     | 248,648  | 3.00     | 248,648     | 3.00    |
| ACTUARY                        | 432,209  | 3.35     | 416,449  | 3.66     | 340,747  | 2.41     | 340,747     | 2.41    |
| MISCELLANEOUS TECHNICAL        | 60,477   | 0.91     | 7,117    | 0.76     | 81,959   | 1.78     | 81,959      | 1.78    |
| MISCELLANEOUS PROFESSIONAL     | 54,706   | 1.11     | 70,331   | 1.00     | 54,562   | 1.47     | 54,562      | 1.47    |
| SPECIAL ASST PROFESSIONAL      | 192,784  | 4.18     | 125,146  | 3.00     | 0        | 0.00     | 0           | 0.00    |
| ADMIN SUPPORT ASSISTANT        | 0        | 0.00     | 0        | 0.00     | 195,924  | 6.00     | 195,924     | 5.00    |
| LEAD ADMIN SUPPORT ASSISTANT   | 0        | 0.00     | 0        | 0.00     | 214,396  | 5.50     | 214,396     | 5.50    |
| ADMIN SUPPORT PROFESSIONAL     | 0        | 0.00     | 0        | 0.00     | 50,000   | 1.00     | 50,000      | 1.00    |
| ADMINISTRATIVE MANAGER         | 0        | 0.00     | 0        | 0.00     | 64,787   | 0.85     | 64,787      | 0.85    |
| CUSTOMER SERVICE REP           | 0        | 0.00     | 0        | 0.00     | 66,254   | 2.00     | 66,254      | 2.00    |
| LEAD CUSTOMER SERVICE REP      | 0        | 0.00     | 0        | 0.00     | 119,949  | 3.00     | 119,949     | 3.00    |
| PROGRAM SPECIALIST             | 0        | 0.00     | 0        | 0.00     | 50,025   | 1.00     | 50,025      | 1.00    |

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| DCI<br>Budget Unit             | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022   | FY 2022  | DECISION ITI | FY 2022 |
|--------------------------------|---------|---------|---------|---------|-----------|----------|--------------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC      | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR    | FTE      | DOLLAR       | FTE     |
|                                | DOLLAR  | 115     | DOLLAN  |         | DOLLAN    | 116      | DOLLAN       |         |
|                                |         |         |         |         |           |          |              |         |
| CORE                           |         | 0.00    |         | 0.00    | 40.474    | 4.00     | 10 171       | 1.00    |
| RESEARCH/DATA ANALYST          | 0       |         | 0       | 0.00    | 46,171    | 1.00     | 46,171       | 1.00    |
| RESEARCH DATA ANALYSIS SPV/MGR | 0       |         | 0       | 0.00    | 76,800    | 1.00     | 76,800       | 1.00    |
| SR PUBLIC RELATIONS SPECIALIST | 0       | 0.00    | 0       | 0.00    | 75,340    | 1.70     | 75,340       | 1.70    |
| PUBLIC RELATIONS DIRECTOR      | 0       | 0.00    | 0       | 0.00    | 57,844    | 0.85     | 57,844       | 0.85    |
| AGENCY BUDGET SENIOR ANALYST   | 0       | 0.00    | 0       | 0.00    | 91,928    | 1.70     | 91,928       | 1.70    |
| SENIOR ACCOUNTS ASSISTANT      | 0       |         | 0       | 0.00    | 81,103    | 2.00     | 81,103       | 2.00    |
| INTERMEDIATE ACCOUNTANT        | 0       |         | 0       | 0.00    | 97,451    | 1.90     | 97,451       | 1.90    |
| ACCOUNTANT MANAGER             | 0       | 0.00    | 0       | 0.00    | 72,409    | 0.95     | 72,409       | 0.95    |
| PROCUREMENT SPECIALIST         | 0       | 0.00    | 0       | 0.00    | 48,745    | 0.95     | 48,745       | 0.95    |
| HUMAN RESOURCES GENERALIST     | 0       | 0.00    | 0       | 0.00    | 43,919    | 0.95     | 43,919       | 0.95    |
| HUMAN RESOURCES SPECIALIST     | 0       |         | 0       | 0.00    | 56,753    | 0.95     | 56,753       | 0.95    |
| HUMAN RESOURCES DIRECTOR       | 0       | 0.00    | 0       | 0.00    | 61,646    | 0.95     | 61,646       | 0.95    |
| LEGAL ASSISTANT                | 0       | 0.00    | 0       | 0.00    | 38,476    | 1.00     | 38,476       | 1.00    |
| PARALEGAL                      | 0       | 0.00    | 0       | 0.00    | 42,657    | 0.80     | 42,657       | 0.80    |
| EXAMINER                       | 0       | 0.00    | 0       | 0.00    | 330,282   | 5.45     | 330,282      | 5.45    |
| ACCREDITED EXAMINER            | 0       | 0.00    | 0       | 0.00    | 82,884    | 1.33     | 82,884       | 1.33    |
| CERTIFIED EXAMINER             | 0       | 0.00    | 0       | 0.00    | 764,961   | 9.58     | 764,961      | 9.58    |
| EXAMINER SPECIALIST            | 0       | 0.00    | 0       | 0.00    | 320,339   | 3.66     | 320,339      | 3.66    |
| EXAMINER-IN-CHARGE             | 0       | 0.00    | 0       | 0.00    | 382,264   | 4.19     | 382,264      | 4.19    |
| EXAMINATION MANAGER            | 0       | 0.00    | 0       | 0.00    | 554,557   | 5.42     | 554,557      | 5.42    |
| CHIEF EXAMINER                 | 0       | 0.00    | 0       | 0.00    | 203,312   | 1.91     | 203,312      | 1.91    |
| REGULATORY AUDITOR             | 0       | 0.00    | 0       | 0.00    | 1,478,249 | 40.37    | 1,478,249    | 39.37   |
| SENIOR REGULATORY AUDITOR      | 0       | 0.00    | 0       | 0.00    | 793,698   | 20.64    | 793,698      | 20.64   |
| REGULATORY COMPLIANCE MANAGER  | 0       | 0.00    | 0       | 0.00    | 450,669   | 7.00     | 450,669      | 7.00    |
| AUDIT MANAGER-FINANCIAL EXAM   | 51,224  | 0.52    | 0       | 0.00    | 0         | 0.00     | 0            | 0.00    |
| CHIEF FINANCIAL EXAMINER       | 21,500  | 0.21    | 0       | 0.00    | 0         | 0.00     | 0            | 0.00    |
| CHIEF MARKET CONDUCT EXAM      | 21,500  | 0.21    | 0       | 0.00    | 0         | 0.00     | 0            | 0.00    |
| M C EXAMINER II                | 26,069  | 0.44    | 0       | 0.00    | 0         | 0.00     | 0            | 0.00    |
| M C EXAMINER III               | 35,798  |         | 0       | 0.00    | 0         | 0.00     | 0            | 0.00    |
| EXAMINER-IN-CHARGE MC          | 40,183  | 0.45    | 0       | 0.00    | 0         | 0.00     | 0            | 0.00    |
| AUDIT MANAGER-MARKET CONDUCT   | 62,003  |         | 0       | 0.00    | 0         | 0.00     | 0            | 0.00    |
| FINANCIAL EXAMINER I           | 1,771   | 0.04    | 0       | 0.00    | 0         | 0.00     | 0            | 0.00    |

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| DCI                            |           |         |           |         |           | C        | DECISION IT | EM DETAIL |
|--------------------------------|-----------|---------|-----------|---------|-----------|----------|-------------|-----------|
| Budget Unit                    | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                  | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class            | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR      | FTE       |
| INSURANCE OPERATIONS           |           |         |           |         |           |          |             |           |
| CORE                           |           |         |           |         |           |          |             |           |
| FINANCIAL EXAMINER II          | 8,073     | 0.16    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00      |
| FINANCIAL EXAMINER III         | 167,708   | 2.20    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00      |
| EXAMINER-IN-CHARGE FINANCIAL   | 29,851    | 0.35    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00      |
| REINSURANCE EXAMINER           | 32,794    | 0.39    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00      |
| SR EXAMINER - IN CHARGE        | 7,915     | 0.08    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00      |
| CHIEF COUNSEL                  | 36,257    | 0.31    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00      |
| TOTAL - PS                     | 8,837,386 | 148.86  | 9,180,623 | 161.56  | 9,180,623 | 161.56   | 9,180,623   | 159.56    |
| TRAVEL, IN-STATE               | 33,808    | 0.00    | 112,487   | 0.00    | 112,487   | 0.00     | 112,487     | 0.00      |
| TRAVEL, OUT-OF-STATE           | 73,197    | 0.00    | 123,123   | 0.00    | 123,123   | 0.00     | 123,123     | 0.00      |
| FUEL & UTILITIES               | 0         | 0.00    | 1,001     | 0.00    | 1,001     | 0.00     | 1,001       | 0.00      |
| SUPPLIES                       | 154,959   | 0.00    | 253,757   | 0.00    | 243,757   | 0.00     | 243,757     | 0.00      |
| PROFESSIONAL DEVELOPMENT       | 99,931    | 0.00    | 204,901   | 0.00    | 204,901   | 0.00     | 204,901     | 0.00      |
| COMMUNICATION SERV & SUPP      | 75,150    | 0.00    | 177,688   | 0.00    | 177,688   | 0.00     | 177,688     | 0.00      |
| PROFESSIONAL SERVICES          | 546,607   | 0.00    | 727,702   | 0.00    | 727,702   | 0.00     | 727,702     | 0.00      |
| HOUSEKEEPING & JANITORIAL SERV | 226       | 0.00    | 501       | 0.00    | 501       | 0.00     | 501         | 0.00      |
| M&R SERVICES                   | 1,912     | 0.00    | 18,380    | 0.00    | 18,380    | 0.00     | 18,380      | 0.00      |
| COMPUTER EQUIPMENT             | 0         | 0.00    | 11,000    | 0.00    | 11,000    | 0.00     | 11,000      | 0.00      |
| OFFICE EQUIPMENT               | 36,074    | 0.00    | 108,948   | 0.00    | 108,948   | 0.00     | 108,948     | 0.00      |
| OTHER EQUIPMENT                | 22,894    | 0.00    | 15,000    | 0.00    | 25,000    | 0.00     | 25,000      | 0.00      |
| PROPERTY & IMPROVEMENTS        | 16,224    | 0.00    | 113,331   | 0.00    | 113,331   | 0.00     | 113,331     | 0.00      |
| BUILDING LEASE PAYMENTS        | 8,325     | 0.00    | 25,001    | 0.00    | 25,001    | 0.00     | 25,001      | 0.00      |
| EQUIPMENT RENTALS & LEASES     | 0         | 0.00    | 7,501     | 0.00    | 7,501     | 0.00     | 7,501       | 0.00      |
| MISCELLANEOUS EXPENSES         | 6,930     | 0.00    | 18,335    | 0.00    | 18,335    | 0.00     | 18,335      | 0.00      |
| REBILLABLE EXPENSES            | 0         | 0.00    | 1,001     | 0.00    | 1,001     | 0.00     | 1,001       | 0.00      |
| TOTAL - EE                     | 1,076,237 | 0.00    | 1,919,657 | 0.00    | 1,919,657 | 0.00     | 1,919,657   | 0.00      |
| PROGRAM DISTRIBUTIONS          | 0         | 0.00    | 5,000     | 0.00    | 5,000     | 0.00     | 5,000       | 0.00      |

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| DCI                  |                 |             |         |              |         |              | [        | DECISION IT  | EM DETAIL |
|----------------------|-----------------|-------------|---------|--------------|---------|--------------|----------|--------------|-----------|
| Budget Unit          |                 | FY 2020     | FY 2020 | FY 2021      | FY 2021 | FY 2022      | FY 2022  | FY 2022      | FY 2022   |
| Decision Item        |                 | ACTUAL      | ACTUAL  | BUDGET       | BUDGET  | DEPT REQ     | DEPT REQ | GOV REC      | GOV REC   |
| Budget Object Class  |                 | DOLLAR      | FTE     | DOLLAR       | FTE     | DOLLAR       | FTE      | DOLLAR       | FTE       |
| INSURANCE OPERATIONS |                 |             |         |              |         |              |          |              |           |
| CORE                 |                 |             |         |              |         |              |          |              |           |
| REFUNDS              |                 | 0           | 0.00    | 75,000       | 0.00    | 75,000       | 0.00     | 75,000       | 0.00      |
| TOTAL - PD           | -               | 0           | 0.00    | 80,000       | 0.00    | 80,000       | 0.00     | 80,000       | 0.00      |
| GRAND TOTAL          |                 | \$9,913,623 | 148.86  | \$11,180,280 | 161.56  | \$11,180,280 | 161.56   | \$11,180,280 | 159.56    |
|                      | GENERAL REVENUE | \$0         | 0.00    | \$0          | 0.00    | \$0          | 0.00     | \$0          | 0.00      |
|                      | FEDERAL FUNDS   | \$0         | 0.00    | \$0          | 0.00    | \$0          | 0.00     | \$0          | 0.00      |
|                      | OTHER FUNDS     | \$9,913,623 | 148.86  | \$11,180,280 | 161.56  | \$11,180,280 | 161.56   | \$11,180,280 | 159.56    |

Department of Commerce and Insurance

HB Section(s): 7.410

#### Insurance Operations

Program is found in the following core budget(s): Insurance Operations

#### 1a. What strategic priority does this program address?

- Provide help and educate stakeholders so they are better informed problem solvers
- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Innovate to make it easier to connect and work with us
- Develop our team, reward great performance, and retain top talent

### 1b. What does this program do?

- Facilitate consumer protection by ensuring insurance companies conduct business according to state law.
- Investigate and mediate complaints against licensees, including agents and insurers to ensure proper handling of insurance transactions and compliance by companies, agents and other licensed entities.
- Maintain Insurance Consumer Hotline (800-726-7390) to answer questions and educate the public and industry on insurance matters.
- Participate in outreach and post-disaster events to provide insurance education and resources and empower Missourians to make informed insurance buying decisions.
- Conducts ongoing analysis of annual and supplemental filings of domestic insurance companies in accordance with NAIC accreditation standards to identify current and developing trends which may lead to insurer insolvency or non-conformance with Missouri law.
- Licenses insurers and insurance related entities to ensure financially sound companies are operating in a Missouri's insurance market.
- Processes and audits premium, surplus lines and captive premium tax filings to ensure the appropriate level of tax is submitted to the state.
- Licenses captive insurance companies used to manage businesses risks and as an economic development tool for the state.
- Reviews insurance policy forms, endorsements, illustrations, marketing materials, underwriting rules and rates to ensure compliance with state insurance law.
- Performs market analysis and investigations of insurance companies operating in this state.
- Licenses insurance producers (agents and agencies) operating within Missouri as well as licenses and registers various other insurance-related entities.

Department of Commerce and Insurance

HB Section(s): 7.410

Insurance Operations

Program is found in the following core budget(s): Insurance Operations

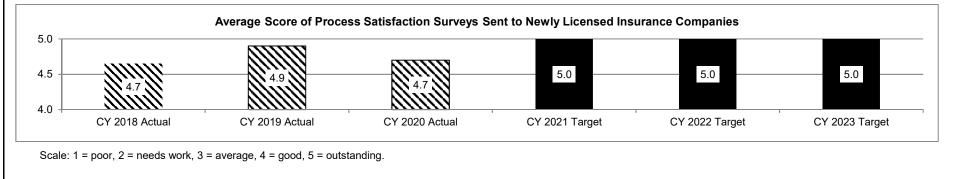
2a. Provide an activity measure(s) for the program.

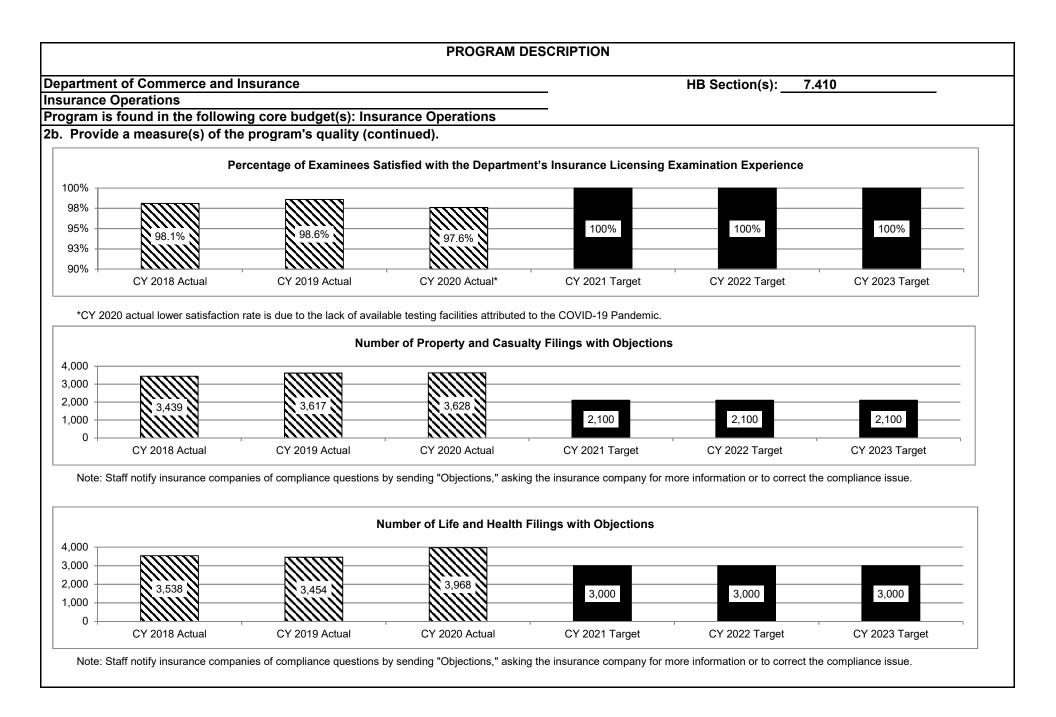
|   | CY 2018<br>Actual | CY 2019<br>Actual | CY 2020<br>Actual | CY 2021<br>Target | CY 2022<br>Target | CY 2023<br>Target |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Consumer Complaints                             | 3,240             | 3,238             | 2,952             | 3,000             | 3,000             | 3,000             |
| Agent Investigations                            | 764               | 878               | 946               | 900               | 900               | 900               |
| Consumer Phone Calls                            | 16,836            | 16,183            | 13,599            | 17,000            | 17,000            | 17,000            |
| Inquiries                                       | 2,289             | 2,007             | 2,424             | 3,000             | 3,000             | 3,000             |
| Walk-ins*                                       | 40                | 70                | 7                 | 50                | 50                | 50                |
| Outreach Event Public Interactions*             | 4,000             | 3,852             | 1,000             | 4,000             | 5,000             | 5,000             |
| Number of Domestic Companies                    | 230               | 231               | 231               | 231               | 231               | 231               |
| Number of Licensed Companies                    | 2,022             | 2,042             | 2,061             | 2,060             | 2,060             | 2,060             |
| Number of Surplus Lines Brokers                 | 1,950             | 2,004             | 2,092             | 2,100             | 2,100             | 2,100             |
| Insurance Related Entities                      | 884               | 914               | 951               | 925               | 925               | 925               |
| Property & Casualty Filings Received            | 5,761             | 5,319             | 5,345             | 5,300             | 5,300             | 5,300             |
| Property & Casualty Insurance Filing            |                   |                   |                   |                   |                   |                   |
| Pages Reviewed                                  | 714,492           | 304,847           | 622,583           | 317,290           | 317,290           | 317,290           |
| Life & Health Filings Received                  | 5,006             | 4,501             | 3,968             | 4,200             | 4,200             | 4,200             |
| Life & Health Insurance Filing Pages reviewed** | 359,052           | 643,088           | 1,751,946         | 1,400,000         | 1,400,000         | 1,400,000         |

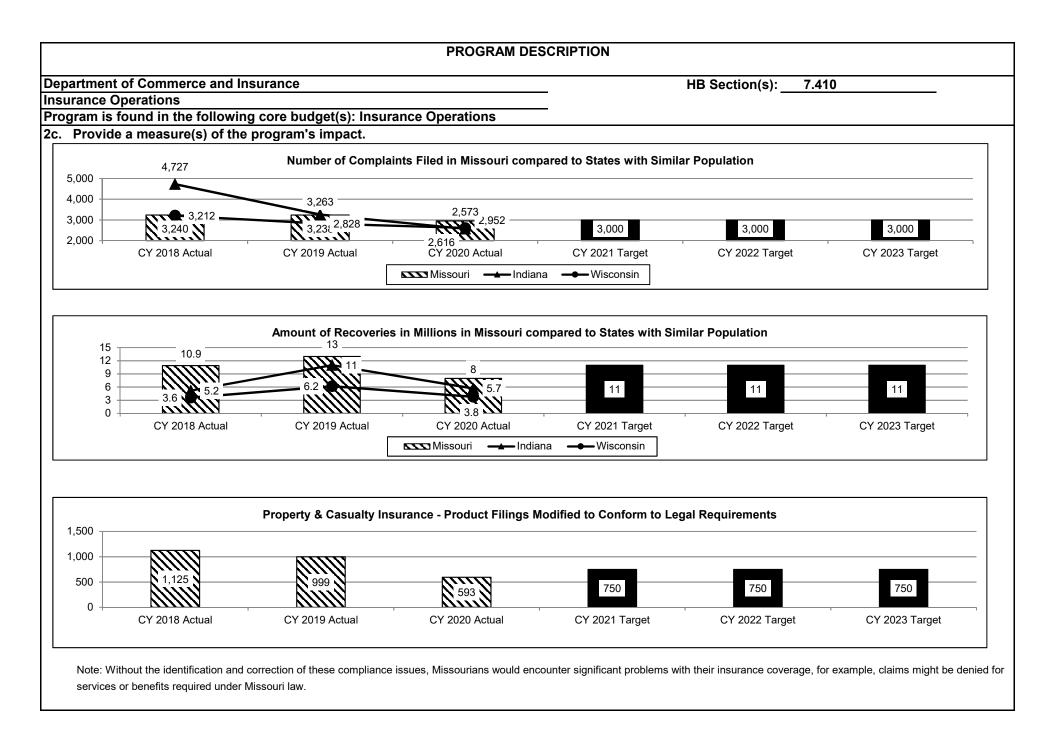
\*CY 2020 actual lower numbers are due to the COVID-19 Pandemic.

\*\*The increase in pages for 2021, 2022, and 2023 targets is the result of additional information being filed through SERFF for Medicare supplement rate filings. The addition of rate data for the public facing Medigap Rate Shopper Tool increased pages numbers significantly and we do not expect this number to decrease in future.

### 2b. Provide a measure(s) of the program's quality.







Department of Commerce and Insurance

HB Section(s): 7.410

#### Insurance Operations

Program is found in the following core budget(s): Insurance Operations

2c. Provide a measure(s) of the program's impact (continued).

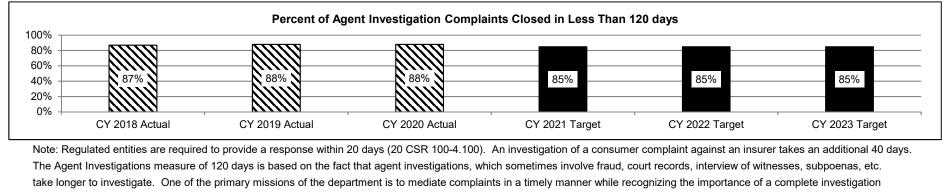
#### Life & Health Insurance - Product Filings Modified to Conform to Legal Requirements

| 2,100 |                |                |                |                |                |                |
|-------|----------------|----------------|----------------|----------------|----------------|----------------|
| 1,700 |                |                |                |                |                |                |
| 1,300 | 1,558          | 1,309          | 1,956          | 2,000          | 2,000          | 2,000          |
| 900   |                | 1,309          |                |                | 1              |                |
|       | CY 2018 Actual | CY 2019 Actual | CY 2020 Actual | CY 2021 Target | CY 2022 Target | CY 2023 Target |

Note: Without the identification and correction of these compliance issues, Missourians would encounter significant problems with their insurance coverage, for example, claims might be denied for services or benefits required under Missouri law.

|                             | Tax Revenue Generated from Tax Filings processed by the Department |                   |                   |                   |                   |                   |  |  |  |  |  |
|-----------------------------|--|-------------------|-------------------|-------------------|-------------------|-------------------|--|--|--|--|--|
|                             | CY 2018<br>Actual  | CY 2019<br>Actual | CY 2020<br>Actual | CY 2021<br>Target | CY 2022<br>Target | CY 2023<br>Target |  |  |  |  |  |
| Surplus Lines Tax Collected | 34.5 mil   | 36.3 mil          | 39.3 mil          | 37.0 mil          | 38.0 mil          | 39.0 mil          |  |  |  |  |  |
| Premium Tax Collected       | 334 mil  | 319.7 mil         | 303.3 mil         | 320.0 mil         | 320.0 mil         | 320.0 mil         |  |  |  |  |  |
| Captive Premium Tax         | 1.8 mil  | 1.9 mil           | 2.0 mil           | 2.0 mil           | 2.0 mil           | 2.0 mil           |  |  |  |  |  |

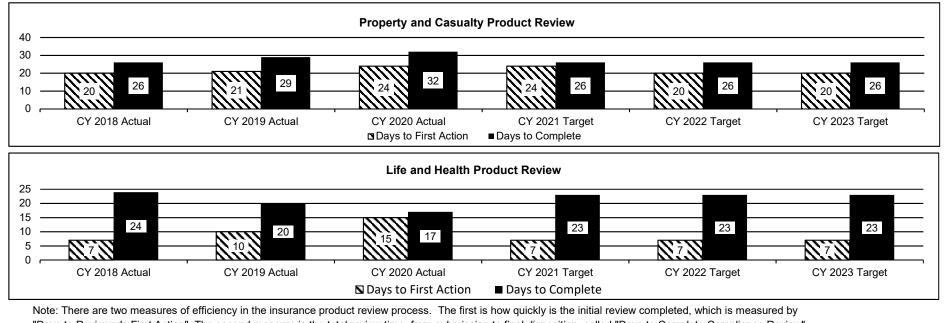
### 2d. Provide a measure(s) of the program's efficiency.



and protection of consumers.

#### **PROGRAM DESCRIPTION** Department of Commerce and Insurance HB Section(s): 7.410 **Insurance Operations** Program is found in the following core budget(s): Insurance Operations 2d. Provide a measure(s) of the program's efficiency (continued). Average Number of Days for Analyst to Process Supplemental Filings (60 day accreditation requirement) 80 60 40 60 60 60 20 0 CY 2018 Actual CY 2019 Actual CY 2022 Target CY 2023 Target CY 2020 Actual CY 2021 Target

Note: The accreditation requirements regarding the review of supplemental filings is 60 days for priority companies and 120 days for non-priority companies; however, we strive to adhere to a 60 day timeframe for all. Supplemental filings include the Management Discussion and Analysis, Audited Financial Reports, and various other exhibits and filings that are related to but due after the submission of the annual statement. It is important that these supplemental filings be adequately and timely reviewed so that issues and risks that may affect an insurance company's solvency can be identified and addressed during our risk-focused analysis process.



"Days to Reviewer's First Action". The second measure is the total review time, from submission to final disposition, called "Days to Complete Compliance Review".

The Division looks to the National Association of Insurance Commissioners (NAIC) for a benchmark for this measure, which is 20 calendar days.

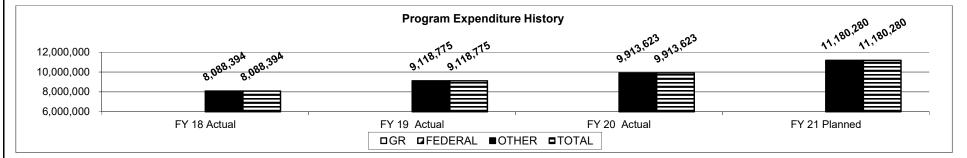
Department of Commerce and Insurance

HB Section(s): 7.410

Insurance Operations

Program is found in the following core budget(s): Insurance Operations

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



- 4. What are the sources of the "Other " funds? Insurance Dedicated Fund (0566)
- 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.) State Statute: Chapters 148, 287, 325, 354, 374, 375, 376, 377, 378, 379, 380, 381, 383, 384, 385 and 447 RSMo. and Article IV section 36(b) of the Missouri Constitution.
- 6. Are there federal matching requirements? If yes, please explain. N/A
- 7. Is this a federally mandated program? If yes, please explain.

No

| Department of Co                          | ommerce and Insu                           | rance                   |                                 |                                | Budget Unit  | 37510C                              |                              |               |             |    |
|---|--|-------------------------|---------------------------------|--------------------------------|--|-------------------------------------|------------------------------|---------------|-------------|----|
| Insurance                                 |  |                         |                                 |                                |  |                                     |                              |               |             |    |
| Core - Insurance                          | Examinations                               |                         |                                 |                                | HB Section   | 7.415                               |                              |               |             |    |
|   |  |                         |                                 |                                | _  |                                     |                              |               |             |    |
| 1. CORE FINANC                            |  |                         |                                 |                                |  |                                     |                              |               |             |    |
|   | FY 2                                       | 022 Budg                | et Request                      |                                |  | FY 2022 (                           | Governor's                   | Recommend     | ation       |    |
|   | GR F                                       | ederal                  | Other                           | Total                          |  | GR                                  | Federal                      | Other         | Total       |    |
| PS  | 0  | 0                       | 3,586,482                       | 3,586,482                      | PS   | 0                                   | 0                            | 3,586,482     | 3,586,482   |    |
| EE  | 0  | 0                       | 711,625                         | 711,625                        | EE   | 0                                   | 0                            | 711,625       | 711,625     |    |
| PSD                                       | 0  | 0                       | 60,000                          | 60,000                         | PSD  | 0                                   | 0                            | 60,000        | 60,000      |    |
| TRF                                       | 0  | 0                       | 0                               | 0                              | TRF  | 0                                   | 0                            | 0             | 0           |    |
| Total                                     | 0  | 0                       | 4,358,107                       | 4,358,107                      | Total  | 0                                   | 0                            | 4,358,107     | 4,358,107   | 1  |
| FTE                                       | 0.00                                       | 0.00                    | 43.30                           | 43.30                          | FTE  | 0.00                                | 0.00                         | 43.30         | 43.30       |    |
|   |  |                         |                                 |                                |  |                                     |                              |               |             | i  |
| Est. Fringe                               | 0  | 0                       | 1,796,121                       | 1,796,121                      | Est. Fringe  | 0                                   | 0                            | 1,796,121     | 1,796,121   |    |
| -   | geted in House Bill                        | •                       |                                 | -                              | -  | budgeted in Hou                     |                              | •             | -           |    |
| budgeted directly t                       | to MoDOT, Highway                          | ' Patrol, ar            | nd Conservati                   | on.                            | budgeted direc   | tly to MoDOT, H                     | lighway Patr                 | ol, and Conse | ervation.   | 1  |
| Other Funds:                              | Insurance Examine                          | ers Fund ((             | )552)                           |                                | Other Funds: I   | nsurance Exami                      | iners Fund ((                | )552)         |             |    |
| 2. CORE DESCRI                            | PTION                                      |                         |                                 |                                |  |                                     |                              |               |             |    |
| conduct examination affordable insuration | ations. Financial exa<br>ance coverage. Ma | amination<br>rket condu | s ensure insu<br>uct examinatio | rance compai<br>ons of insurar | bugh the Insurance Examine<br>hies have sufficient reserves<br>ce companies serve to verif<br>e examinations are billed to | to pay consume<br>y that policyhold | er claims and<br>ers and ben | d consumers l | have access | to |
| 3. PROGRAM LIS                            | STING (list progran                        | ns include              | ed in this cor                  | re funding)                    |  |                                     |                              |               |             |    |
| Insurance Exam                            | ninations                                  |                         |                                 |                                |  |                                     |                              |               |             |    |

| Department of Commerce and I    | nsurance          |                   |                   |                        | Budget Unit | 37510C      |                      |           |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|-------------|-------------|----------------------|-----------|
| Insurance                       |                   |                   |                   |                        | _           |             |                      |           |
| Core - Insurance Examinations   |                   |                   |                   |                        | HB Section  | 7.415       |                      |           |
| 4. FINANCIAL HISTORY            |                   |                   |                   |                        |             |             |                      |           |
|                                 | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actual Expe | nditures (All Funds) |           |
| Appropriation (All Funds)       | 4,217,557         | 4,231,754         | 4,301,700         | 4,358,107              |             |             |                      |           |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      | 3,900,000   |             |                      |           |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      | 3,700,000   | 3,636,770   |                      |           |
| Budget Authority (All Funds)    | 4,217,557         | 4,231,754         | 4,301,700         | 4,358,107              | 3,700,000   |             |                      |           |
|                                 |                   |                   |                   |                        | 3,500,000   | $\vdash$    |                      |           |
| Actual Expenditures (All Funds) | 3,636,770         | 3,183,087         | 2,751,490         | N/A                    |             |             |                      |           |
| Unexpended (All Funds)          | 580,787           | 1,048,667         | 1,550,210         | N/A                    | 3,300,000   |             | 3,183,087            |           |
|                                 |                   |                   |                   |                        | 3,100,000   |             |                      |           |
| Unexpended, by Fund:            |                   |                   |                   |                        |             |             |                      |           |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    | 2,900,000   |             |                      | 2,751,490 |
| Federal                         | 0                 | 0                 | 0                 | N/A                    | 2,700,000   |             |                      |           |
| Other                           | 580,787           | 1,048,667         | 1,550,210         | N/A                    | 2,700,000   |             |                      |           |
|                                 | (1)               | (2)               | (3)               |                        | 2,500,000   | +           | -1                   | 1         |
|                                 | ( )               | ( )               | ( )               |                        |             | FY 2018     | FY 2019              | FY 2020   |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

(1) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

(2) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

(3) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

### DEPT OF COMMERCE AND INSURANCE

**INSURANCE EXAMINATIONS** 

### 5. CORE RECONCILIATION DETAIL

|                      |          | Budget<br>Class | FTE   | GR | Federal | Other     | Total     | E |
|----------------------|----------|-----------------|-------|----|---------|-----------|-----------|---|
| TAFP AFTER VETOES    |          |                 |       |    |         |           |           |   |
|                      |          | PS              | 43.30 | 0  | 0       | 3,586,482 | 3,586,482 | 2 |
|                      |          | EE              | 0.00  | 0  | 0       | 711,625   | 711,62    | 5 |
|                      |          | PD              | 0.00  | 0  | 0       | 60,000    | 60,000    | ) |
|                      |          | Total           | 43.30 | 0  | 0       | 4,358,107 | 4,358,107 | 7 |
| DEPARTMENT CORE A    | DJUSTME  | INTS            |       |    |         |           |           | _ |
| Core Reallocation 10 | 067 0793 | PS              | 0.00  | 0  | 0       | 0         | (         | ) |
| NET DEPA             | RTMENT   | HANGES          | 0.00  | 0  | 0       | 0         | (         | ) |
| DEPARTMENT CORE R    | REQUEST  |                 |       |    |         |           |           |   |
|                      |          | PS              | 43.30 | 0  | 0       | 3,586,482 | 3,586,482 | 2 |
|                      |          | EE              | 0.00  | 0  | 0       | 711,625   | 711,62    | 5 |
|                      |          | PD              | 0.00  | 0  | 0       | 60,000    | 60,000    | ) |
|                      |          | Total           | 43.30 | 0  | 0       | 4,358,107 | 4,358,107 | 7 |
| GOVERNOR'S RECOM     | MENDED   | CORE            |       |    |         |           |           |   |
|                      |          | PS              | 43.30 | 0  | 0       | 3,586,482 | 3,586,482 | 2 |
|                      |          | EE              | 0.00  | 0  | 0       | 711,625   | 711,62    | 5 |
|                      |          | PD              | 0.00  | 0  | 0       | 60,000    | 60,000    | 2 |
|                      |          | Total           | 43.30 | 0  | 0       | 4,358,107 | 4,358,107 | 7 |

| DCI  | DECISION ITEM SUMMARY |         |             |         |             |          |             |         |
|--|-----------------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Budget Unit                                  |                       |         |             |         |             |          |             |         |
| Decision Item                                | FY 2020               | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary                        | ACTUAL                | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund   | DOLLAR                | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| INSURANCE EXAMINATIONS                       |                       |         |             |         |             |          |             |         |
| CORE   |                       |         |             |         |             |          |             |         |
| PERSONAL SERVICES                            |                       |         |             |         |             |          |             |         |
| INSURANCE EXAMINERS FUND                     | 2,656,173             | 34.24   | 3,586,482   | 43.30   | 3,586,482   | 43.30    | 3,586,482   | 43.30   |
| TOTAL - PS                                   | 2,656,173             | 34.24   | 3,586,482   | 43.30   | 3,586,482   | 43.30    | 3,586,482   | 43.30   |
| EXPENSE & EQUIPMENT                          | 07 0 / T              |         |             |         |             |          |             |         |
| INSURANCE EXAMINERS FUND                     | 95,317                | 0.00    | 711,625     | 0.00    | 711,625     | 0.00     | 711,625     | 0.00    |
| TOTAL - EE                                   | 95,317                | 0.00    | 711,625     | 0.00    | 711,625     | 0.00     | 711,625     | 0.00    |
| PROGRAM-SPECIFIC<br>INSURANCE EXAMINERS FUND | 0                     | 0.00    | 60,000      | 0.00    | 60,000      | 0.00     | 60,000      | 0.00    |
| TOTAL - PD                                   | 0                     | 0.00    | 60,000      | 0.00    | 60,000      | 0.00     | 60,000      | 0.00    |
| TOTAL  | 2,751,490             | 34.24   | 4,358,107   | 43.30   | 4,358,107   | 43.30    | 4,358,107   | 43.30   |
| Pay Plan - 0000012                           |                       |         |             |         |             |          |             |         |
| PERSONAL SERVICES                            |                       |         |             |         |             |          |             |         |
| INSURANCE EXAMINERS FUND                     | 0                     | 0.00    | 0           | 0.00    | 0           | 0.00     | 35,865      | 0.00    |
| TOTAL - PS                                   | 0                     | 0.00    | 0           | 0.00    | 0           | 0.00     | 35,865      | 0.00    |
| TOTAL  | 0                     | 0.00    | 0           | 0.00    | 0           | 0.00     | 35,865      | 0.00    |
| GRAND TOTAL                                  | \$2,751,490           | 34.24   | \$4,358,107 | 43.30   | \$4,358,107 | 43.30    | \$4,393,972 | 43.30   |

| DCI<br>Budget Unit            | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | ECISION ITE | FY 2022 |
|-------------------------------|-----------|---------|-----------|---------|-----------|----------|-------------|---------|
| Decision Item                 | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class           | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR      | FTE     |
| INSURANCE EXAMINATIONS        |           |         |           |         |           |          |             |         |
| CORE                          |           |         |           |         |           |          |             |         |
| INSURANCE FINANCIAL ANAL SPEC | 16,557    | 0.37    | 28,734    | 0.65    | 0         | 0.00     | 0           | 0.00    |
| EXAMINER                      | 1,156,072 | 16.58   | 1,940,581 | 25.50   | 0         | 0.00     | 0           | 0.00    |
| EXAMINER SPECIALIST           | 161,047   | 1.88    | 125,394   | 1.41    | 0         | 0.00     | 0           | 0.00    |
| EXAMINER IN CHARGE            | 690,244   | 7.47    | 1,246,405 | 13.33   | 0         | 0.00     | 0           | 0.00    |
| EXAMINATION MANAGER           | 47,321    | 0.47    | 122,097   | 1.20    | 0         | 0.00     | 0           | 0.00    |
| CHIEF EXAMINER                | 9,136     | 0.09    | 54,697    | 0.52    | 0         | 0.00     | 0           | 0.00    |
| DESIGNATED PRINCIPAL ASST DIV | 5,319     | 0.09    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00    |
| ACTUARY                       | 18,617    | 0.15    | 51,969    | 0.34    | 13,955    | 0.09     | 13,955      | 0.09    |
| MISCELLANEOUS TECHNICAL       | 17,837    | 0.20    | 16,605    | 0.35    | 9,794     | 0.18     | 9,794       | 0.18    |
| EXAMINER                      | 0         | 0.00    | 0         | 0.00    | 410,818   | 6.55     | 410,818     | 6.55    |
| ACCREDITED EXAMINER           | 0         | 0.00    | 0         | 0.00    | 160,329   | 2.67     | 160,329     | 2.67    |
| CERTIFIED EXAMINER            | 0         | 0.00    | 0         | 0.00    | 1,432,456 | 17.01    | 1,432,456   | 17.01   |
| EXAMINER SPECIALIST           | 0         | 0.00    | 0         | 0.00    | 203,079   | 2.34     | 203,079     | 2.34    |
| EXAMINER-IN-CHARGE            | 0         | 0.00    | 0         | 0.00    | 1,270,936 | 13.43    | 1,270,936   | 13.43   |
| EXAMINATION MANAGER           | 0         | 0.00    | 0         | 0.00    | 59,030    | 0.58     | 59,030      | 0.58    |
| CHIEF EXAMINER                | 0         | 0.00    | 0         | 0.00    | 9,280     | 0.09     | 9,280       | 0.09    |
| SENIOR REGULATORY AUDITOR     | 0         | 0.00    | 0         | 0.00    | 16,805    | 0.36     | 16,805      | 0.36    |
| AUDIT MANAGER-FINANCIAL EXAM  | 10,779    | 0.11    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00    |
| M C EXAMINER II               | 19,980    | 0.40    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00    |
| M C EXAMINER III              | 147,283   | 1.93    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00    |
| EXAMINER-IN-CHARGE MC         | 70,295    | 0.80    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00    |
| FINANCIAL EXAMINER I          | 19,013    | 0.38    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00    |
| FINANCIAL EXAMINER II         | 16,907    | 0.30    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00    |
| FINANCIAL EXAMINER III        | 150,985   | 1.96    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00    |
| EXAMINER-IN-CHARGE FINANCIAL  | 84,098    | 0.90    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00    |
| REINSURANCE EXAMINER          | 2,638     | 0.03    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00    |
| SR EXAMINER - IN CHARGE       | 12,045    | 0.13    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00    |
| TOTAL - PS                    | 2,656,173 | 34.24   | 3,586,482 | 43.30   | 3,586,482 | 43.30    | 3,586,482   | 43.30   |
| TRAVEL, IN-STATE              | 25,984    | 0.00    | 195,963   | 0.00    | 195,963   | 0.00     | 195,963     | 0.00    |
| TRAVEL, OUT-OF-STATE          | 42,529    | 0.00    | 278,778   | 0.00    | 278,778   | 0.00     | 278,778     | 0.00    |
| SUPPLIES                      | 3,416     | 0.00    | 58,197    | 0.00    | 58,197    | 0.00     | 58,197      | 0.00    |
| PROFESSIONAL DEVELOPMENT      | 0         | 0.00    | 161       | 0.00    | 161       | 0.00     | 161         | 0.00    |

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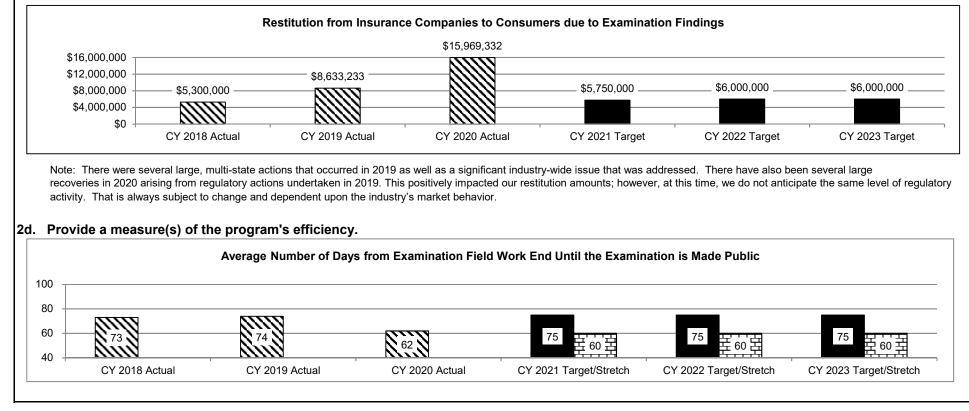
| DCI                        |             |         |             |         |             | D        | ECISION ITI | EM DETAIL |
|----------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|-----------|
| Budget Unit                | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022   |
| Decision Item              | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class        | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE       |
| INSURANCE EXAMINATIONS     |             |         |             |         |             |          |             |           |
| CORE                       |             |         |             |         |             |          |             |           |
| COMMUNICATION SERV & SUPP  | 11,612      | 0.00    | 51,839      | 0.00    | 51,839      | 0.00     | 51,839      | 0.00      |
| PROFESSIONAL SERVICES      | 10,686      | 0.00    | 59,987      | 0.00    | 59,987      | 0.00     | 59,987      | 0.00      |
| M&R SERVICES               | 288         | 0.00    | 5,000       | 0.00    | 5,000       | 0.00     | 5,000       | 0.00      |
| COMPUTER EQUIPMENT         | 0           | 0.00    | 500         | 0.00    | 500         | 0.00     | 500         | 0.00      |
| OFFICE EQUIPMENT           | 0           | 0.00    | 51,197      | 0.00    | 51,197      | 0.00     | 51,197      | 0.00      |
| OTHER EQUIPMENT            | 802         | 0.00    | 1,001       | 0.00    | 1,001       | 0.00     | 1,001       | 0.00      |
| PROPERTY & IMPROVEMENTS    | 0           | 0.00    | 00 1,001    | 0.00    | 1,001       | 0.00     | 1,001       | 0.00      |
| BUILDING LEASE PAYMENTS    | 0           | 0.00    | 5,000       | 0.00    | 5,000       | 0.00     | 5,000       | 0.00      |
| EQUIPMENT RENTALS & LEASES | 0           | 0.00    | 1,000       | 0.00    | 1,000       | 0.00     | 1,000       | 0.00      |
| MISCELLANEOUS EXPENSES     | 0           | 0.00    | 1,000       | 0.00    | 1,000       | 0.00     | 1,000       | 0.00      |
| REBILLABLE EXPENSES        | 0           | 0.00    | 1,001       | 0.00    | 1,001       | 0.00     | 1,001       | 0.00      |
| TOTAL - EE                 | 95,317      | 0.00    | 711,625     | 0.00    | 711,625     | 0.00     | 711,625     | 0.00      |
| REFUNDS                    | 0           | 0.00    | 60,000      | 0.00    | 60,000      | 0.00     | 60,000      | 0.00      |
| TOTAL - PD                 | 0           | 0.00    | 60,000      | 0.00    | 60,000      | 0.00     | 60,000      | 0.00      |
| GRAND TOTAL                | \$2,751,490 | 34.24   | \$4,358,107 | 43.30   | \$4,358,107 | 43.30    | \$4,358,107 | 43.30     |
| GENERAL REVENUE            | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS              | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                | \$2,751,490 | 34.24   | \$4,358,107 | 43.30   | \$4,358,107 | 43.30    | \$4,358,107 | 43.30     |

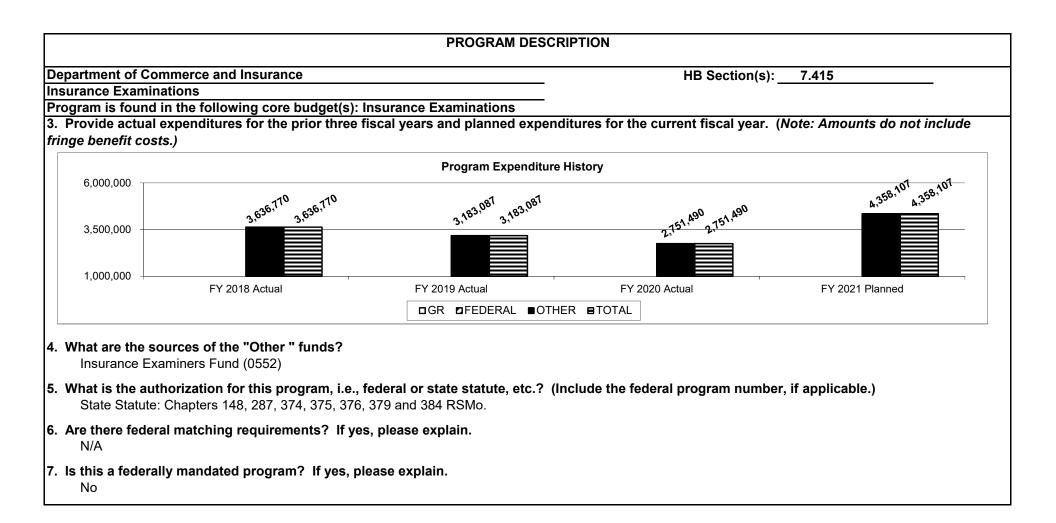
#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.415 Insurance Examinations Program is found in the following core budget(s): Insurance Examinations 1a. What strategic priority does this program address? Provide help and educate stakeholders so they are better informed problem solvers • Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public ٠ Innovate to make it easier to connect and work with us • Develop our team, reward great performance, and retain top talent • 1b. What does this program do? Conducts financial examinations of domestic insurance companies as required by law to identify current or prospective risks that could lead to • insurer insolvency or non-conformance with Missouri law. Performs market conduct examinations of insurance companies operating in Missouri to ensure that policyholders have been treated in • accordance with the law and their insurance contracts. 2a. Provide an activity measure(s) for the program. Percent of Financial Examinations Completed within the Statutory Requirement of 5 Years (§ 374.705 RSMo.) 100% 75% 50% 100% 100% 100% 100% 100% 100% 25% 0% CY 2021 Target CY 2023 Target CY 2018 Actual CY 2019 Actual CY 2020 Actual CY 2022 Target Percent of Financial Examinations of High Priority Companies Completed within 3 Years 120% 100% 80% 60% 100% 100% 100% 100% 100% 40% 75% 20% 0% CY 2018 Actual CY 2019 Actual CY 2021 Target CY 2022 Target CY 2023 Target CY 2020 Actual

Note: Companies are considered high priority due to the significance of risk factors present or identified.

#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.415 Insurance Examinations Program is found in the following core budget(s): Insurance Examinations 2b. Provide a measure(s) of the program's quality. Market Conduct Examinations Targeted to Specific Issues 100% 50% 100% 100% 100% 100% 0% CY 2019 Actual CY 2018 Actual CY 2020 Actual CY 2021 Target CY 2022 Target CY 2023 Target

### 2c. Provide a measure(s) of the program's impact.





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| Department of Co  | ommerce and In  | surance          |                 |           | Budget Unit     | 37540C          |                 |                 |           |   |
|---|-----------------|------------------|-----------------|-----------|-----------------|-----------------|-----------------|-----------------|-----------|---|
| Insurance<br>Core - Health Insu   | Irance Counsel  | lina             |                 |           | HB Section      | 7.420           |                 |                 |           |   |
|   |                 | •                |                 |           |                 |                 |                 |                 |           |   |
| 1. CORE FINANC  | IAL SUMMARY     |                  |                 |           |                 |                 |                 |                 |           |   |
|   | F               | Y 2022 Budge     | t Request       |           |                 | FY 2022         | Governor's R    | Recommend       | ation     |   |
|   | GR              | Federal          | Other           | Total     |                 | GR              | Federal         | Other           | Total     |   |
| PS  | 0               | 0                | 0               | 0         | PS              | 0               | 0               | 0               | 0         |   |
| EE  | 0               | 0                | 0               | 0         | EE              | 0               | 0               | 0               | 0         |   |
| PSD   | 0               | 1,400,000        | 200,000         | 1,600,000 | PSD             | 0               | 1,400,000       | 200,000         | 1,600,000 |   |
| TRF   | 0               | 0                | 0               | 0         | TRF _           | 0               | 0               | 0               | 0         |   |
| Total   | 0               | 1,400,000        | 200,000         | 1,600,000 | Total           | 0               | 1,400,000       | 200,000         | 1,600,000 |   |
| ETE   | 0.00            | 0.00             | 0.00            | 0.00      | ETE             | 0.00            | 0.00            | 0.00            | 0.00      |   |
| FTE   | 0.00            | 0.00             | 0.00            | 0.00      | FTE             | 0.00            | 0.00            | 0.00            | 0.00      |   |
| Est. Fringe   | 0               | 0                | 0               | 0         | Est. Fringe     | 0               | 0               | 0               | 0         | 1 |
| Note: Fringes bud   | geted in House  | Bill 5 except fo | r certain frind | qes       |                 | budgeted in Ho  | use Bill 5 exce | ept for certair | n fringes | 1 |
| budgeted directly to  | -               | •                |                 |           |                 | tly to MoDOT, I |                 | •               | -         | 1 |
| Other Funds:  | Insurance Dedic | oted Eurod (05   | 66)             |           | Other Funde: J  | nsurance Dedic  | otod Eurod (05  | (66)            |           |   |
| Other Funds.  | insulance Deulo | aleu Fullu (05   | 00)             |           | Other Fullus. I |                 | aleu Fullu (05  | 00)             |           |   |
| 2. CORE DESCRI  | PTION           |                  |                 |           |                 |                 |                 |                 |           |   |
| The CLAIM program provides counseling and educational activities to people on Medicare on health insurance coverage and Medicare benefits. CLAIM has been the official State Health Insurance Assistance Program (SHIP) for Missouri since 1993. Funding is provided by the Administration for Community Living and the state with oversight by the department. The department contracts with Missouri Connections for Health out of Columbia, Missouri to administer the CLAIM program. All of CLAIM's services are free, unbiased and confidential. CLAIM recruits local community partners and volunteers, develops and conducts volunteer training, provides program outreach through events, assists beneficiaries during Medicare's Annual Enrollment Period (AEP) in the fall of each year and maintains a toll-free number, 1-800-390-3330, and website, www.missouriclaim.org. Volunteers are recruited locally and community organizations such as hospitals, community centers, public housing, faith based organizations and senior centers serve as partners and provide space, supplies and local publicity for the program. CLAIM averages 250 volunteer counselors and has over 181 counseling locations throughout the state where counseling is provided. |                 |                  |                 |           |                 |                 |                 |                 |           |   |

# 3. PROGRAM LISTING (list programs included in this core funding)

Health Insurance Counseling

| Department of Commerce and I                           | nsurance          |                   |                   |                        | Budget Unit | 37540C      |                       |           |
|--|-------------------|-------------------|-------------------|------------------------|-------------|-------------|-----------------------|-----------|
| Insurance  |                   |                   |                   |                        |             |             |                       |           |
| Core - Health Insurance Counse                         | eling             |                   |                   |                        | HB Section  | 7.420       |                       |           |
| 4. FINANCIAL HISTORY                                   |                   |                   |                   |                        |             |             |                       |           |
|  | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actual Expe | enditures (All Funds) |           |
| Appropriation (All Funds)<br>Less Reverted (All Funds) | 1,450,000<br>0    | 1,450,000<br>0    | 1,450,000         | 1,600,000              | 1,600,000   |             |                       |           |
| Less Restricted (All Funds)                            | 0                 | 0                 | 0                 | 0                      |             |             |                       |           |
| Budget Authority (All Funds)                           | 1,450,000         | 1,450,000         | 1,450,000         | 1,600,000              | 1,500,000   |             |                       | 1,450,000 |
| Actual Expenditures (All Funds)                        | 1,361,230         | 1,419,840         | 1,450,000         | N/A                    |             |             | 1,41 <u>9,</u> 840    | 1,430,000 |
| Unexpended (All Funds)                                 | 88,770            | 30,160            | 0                 | N/A                    | 1,400,000   | 1,361,230   |                       |           |
| Unexpended, by Fund:                                   |                   |                   |                   |                        |             |             |                       |           |
| General Revenue  | 0                 | 0                 | 0                 | N/A                    | 1,300,000 - |             |                       |           |
| Federal  | 88,770            | 30,160            | 0                 | N/A                    |             |             |                       |           |
| Other  | 0                 | 0                 | 0                 | N/A                    |             |             |                       |           |
|  | (1)               | (2)               |                   |                        | 1,200,000 + | FY 2018     | FY 2019               | FY 2020   |
|  |                   |                   |                   |                        |             |             |                       |           |

Reverted includes the statutory three-percent reserve amount (when applicable). Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## NOTES:

(1) Grant amount was less than appropriation.(2) Grant amount was less than appropriation.

### CORE RECONCILIATION DETAIL

# DEPT OF COMMERCE AND INSURANCE

### HEALTH INSURANCE COUNSELING

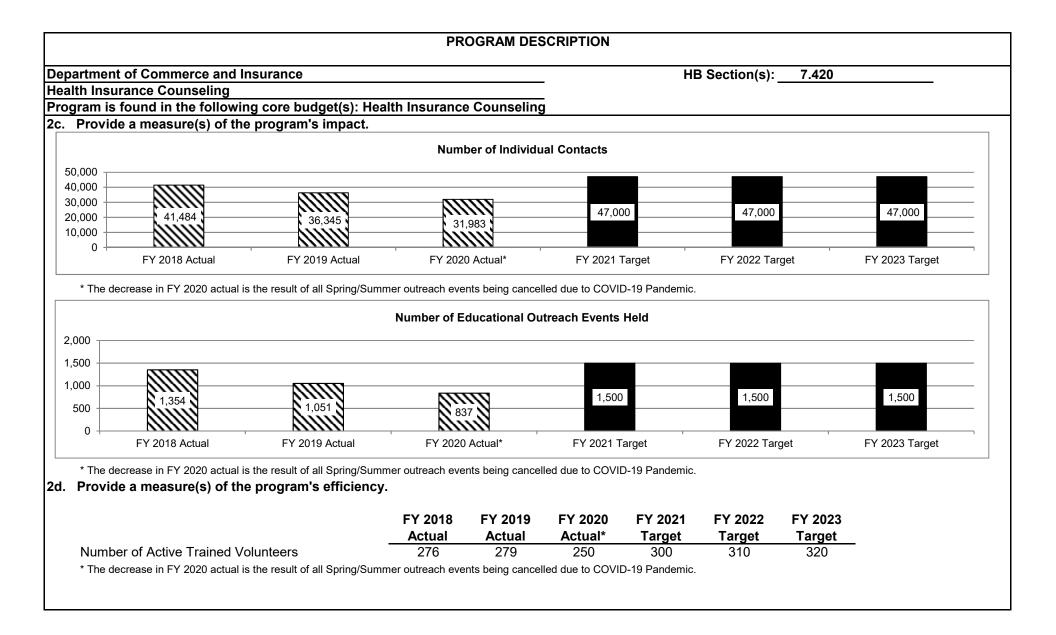
### 5. CORE RECONCILIATION DETAIL

|                         | Budget |      |    |   |           |         |           |      |
|-------------------------|--------|------|----|---|-----------|---------|-----------|------|
|                         | Class  | FTE  | GR |   | Federal   | Other   | Total     | Expl |
| TAFP AFTER VETOES       |        |      |    |   |           |         |           |      |
|                         | PD     | 0.00 |    | 0 | 1,400,000 | 200,000 | 1,600,000 |      |
|                         | Total  | 0.00 |    | 0 | 1,400,000 | 200,000 | 1,600,000 | <br> |
| DEPARTMENT CORE REQUEST |        |      |    |   |           |         |           |      |
|                         | PD     | 0.00 |    | 0 | 1,400,000 | 200,000 | 1,600,000 |      |
|                         | Total  | 0.00 |    | 0 | 1,400,000 | 200,000 | 1,600,000 | -    |
| GOVERNOR'S RECOMMENDED  | CORE   |      |    |   |           |         |           |      |
|                         | PD     | 0.00 |    | 0 | 1,400,000 | 200,000 | 1,600,000 | )    |
|                         | Total  | 0.00 |    | 0 | 1,400,000 | 200,000 | 1,600,000 |      |

| DCI                         |             |         |             |         |             | DEC      | ISION ITEM  | SUMMARY |
|-----------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Budget Unit                 |             |         |             |         |             |          |             |         |
| Decision Item               | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary       | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund                        | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| HEALTH INSURANCE COUNSELING |             |         |             |         |             |          |             |         |
| CORE                        |             |         |             |         |             |          |             |         |
| PROGRAM-SPECIFIC            |             |         |             |         |             |          |             |         |
| FEDERAL - MDI               | 1,250,000   | 0.00    | 1,400,000   | 0.00    | 1,400,000   | 0.00     | 1,400,000   | 0.00    |
| INSURANCE DEDICATED FUND    | 200,000     | 0.00    | 200,000     | 0.00    | 200,000     | 0.00     | 200,000     | 0.00    |
| TOTAL - PD                  | 1,450,000   | 0.00    | 1,600,000   | 0.00    | 1,600,000   | 0.00     | 1,600,000   | 0.00    |
| TOTAL                       | 1,450,000   | 0.00    | 1,600,000   | 0.00    | 1,600,000   | 0.00     | 1,600,000   | 0.00    |
| GRAND TOTAL                 | \$1,450,000 | 0.00    | \$1,600,000 | 0.00    | \$1,600,000 | 0.00     | \$1,600,000 | 0.00    |

| DCI                         |             |         |             |         |             | 0        | DECISION IT | EM DETAIL |
|-----------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|-----------|
| Budget Unit                 | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022   |
| Decision Item               | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class         | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE       |
| HEALTH INSURANCE COUNSELING |             |         |             |         |             |          |             |           |
| CORE                        |             |         |             |         |             |          |             |           |
| PROGRAM DISTRIBUTIONS       | 1,450,000   | 0.00    | 1,600,000   | 0.00    | 1,600,000   | 0.00     | 1,600,000   | 0.00      |
| TOTAL - PD                  | 1,450,000   | 0.00    | 1,600,000   | 0.00    | 1,600,000   | 0.00     | 1,600,000   | 0.00      |
| GRAND TOTAL                 | \$1,450,000 | 0.00    | \$1,600,000 | 0.00    | \$1,600,000 | 0.00     | \$1,600,000 | 0.00      |
| GENERAL REVENUE             | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS               | \$1,250,000 | 0.00    | \$1,400,000 | 0.00    | \$1,400,000 | 0.00     | \$1,400,000 | 0.00      |
| OTHER FUNDS                 | \$200,000   | 0.00    | \$200,000   | 0.00    | \$200,000   | 0.00     | \$200,000   | 0.00      |

#### **PROGRAM DESCRIPTION** Department of Commerce and Insurance HB Section(s): 7.420 Health Insurance Counseling Program is found in the following core budget(s): Health Insurance Counseling 1a. What strategic priority does this program address? Provide help and educate stakeholders so they are better informed problem solvers • Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public • Innovate to make it easier to connect and work with us • Develop our team, reward great performance, and retain top talent • 1b. What does this program do? Provides free, unbiased, and confidential counseling as well as educational activities to people on Medicare about health insurance coverage • and Medicare benefits. 2a. Provide an activity measure(s) for the program. Number of Public Outreach Contacts 80.000 60,000 40,000 65,000 65,000 65,000 58,571 46.999 47,320 20,000 FY 2018 Actual FY 2020 Actual\*\* FY 2021 Target FY 2022 Target FY 2023 Target FY 2019 Actual\* \* The decrease in FY 2019 actual is the result of staff vacancies due to retirement. \*\* The decrease in FY 2020 actual is the result of all Spring/Summer outreach events being cancelled due to COVID-19 Pandemic. 2b. Provide a measure(s) of the program's quality. FY 2019 FY 2020 FY 2021 FY 2022 FY 2023 FY 2018 Actual Actual Actual Target Target Target Customer Survey - Excellent or Above 86% 90% 93% 95% 95% 95% Average Satisfaction Rating



### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.420 Health Insurance Counseling Program is found in the following core budget(s): Health Insurance Counseling 3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.) Program Expenditure History 1,600,000 1,419,840 1,450,000 1,400,000 1,250,000 1,219,840 ,161,230 2,000,000 1,500,000 1,000,000 500,000 0 FY 2018 Actual FY 2019 Actual FY 2020 Actual FY 2021 Planned □GR □FEDERAL ■OTHER ■TOTAL 4. What are the sources of the "Other " funds? Insurance Dedicated Fund (0566) 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.) Federal CFDA - 93.324 and State Health Insurance Program 93.071 Medicare Improvements for Patients and Providers Act. 6. Are there federal matching requirements? If yes, please explain. N/A 7. Is this a federally mandated program? If yes, please explain.

No

| Department of Co          | mmerce and In   | surance                          |                 |               | Budget Unit   | 42490C           |                |                 |                |              |  |
|---------------------------|---|----------------------------------|-----------------|---------------|---|------------------|----------------|-----------------|----------------|--------------|--|
| <b>Division of Credit</b> | Unions  |                                  |                 |               | _   |                  |                |                 |                |              |  |
| Core - Credit Unio        | ons   |                                  |                 |               | HB Section  | 7.425            |                |                 |                |              |  |
| 1. CORE FINANC            | IAL SUMMARY   | ,                                |                 |               |   |                  |                |                 |                |              |  |
|                           | F   | Y 2022 Budg                      | et Request      |               |   | FY 2022          | Governor's I   | Recommend       | ation          |              |  |
|                           | GR  | Federal                          | Other           | Total         |   | GR               | Federal        | Other           | Total          |              |  |
| PS -                      | 0   | 0                                | 1,225,113       | 1,225,113     | PS  | 0                | 0              | 1,225,113       | 1,225,113      |              |  |
| EE                        | 0   | 0                                | 147,910         | 147,910       | EE  | 0                | 0              | 147,910         | 147,910        |              |  |
| PSD                       | 0   | 0                                | 0               | 0             | PSD   | 0                | 0              | 0               | 0              |              |  |
| TRF                       | 0   | 0                                | 0               | 0             | TRF   | 0                | 0              | 0               | 0              | _            |  |
| Total                     | 0   | 0                                | 1,373,023       | 1,373,023     | Total   | 0                | 0              | 1,373,023       | 1,373,023      |              |  |
| FTE                       | 0.00  | 0.00                             | 15.50           | 15.50         | FTE   | 0.00             | 0.00           | 15.50           | 15.50          |              |  |
| Est. Fringe               | Est. Fringe 0 0 623,501 623,501 Est. Fringe 0 0 623,501 623,501 |                                  |                 |               |   |                  |                |                 |                |              |  |
| Note: Fringes budg        | geted in House  | Bill 5 except f                  | or certain frin | ges           | Note: Fringes   | budgeted in Ho   | use Bill 5 exc | ept for certair | n fringes      |              |  |
| budgeted directly to      | o MoDOT, High   | way Patrol, an                   | nd Conservati   | on.           | budgeted direc  | tly to MoDOT, F  | lighway Patro  | ol, and Conse   | ervation.      |              |  |
| Other Funds:              | Division of Cred  | lit Unions Fun                   | d (0548)        |               | Other Funds: E  | ivision of Credi | t Unions Fun   | d (0548)        |                |              |  |
| 2. CORE DESCRIF           | PTION   |                                  |                 |               |   |                  |                |                 |                |              |  |
|                           |   |                                  |                 |               | ency responsible for the exar<br>consumer questions or comp                                       |                  |                |                 | and liquidatio | n of all     |  |
| soundness of cre          | edit unions and t<br>ng any increasir                           | their complian<br>ng risk. Credi | ice with applie | cable laws an | ered credit unions at least of<br>d regulations. The division p<br>s, whether financial, operatio | erforms off-site | monitoring of  | credit unions   | s on an ongoi  | ing basis to |  |
|                           |   |                                  |                 |               | ers and assets exceeding \$1<br>ational Credit Union Share In                                     |                  |                |                 |                |              |  |

The division is accredited through the National Association of State Credit Union Supervisors (NASCUS). The entire cost of division operations is reimbursed to the state through fees and assessments paid by credit unions.

3. PROGRAM LISTING (list programs included in this core funding)

Division of Credit Unions

| Department of Commerce and I                             | nsurance          |                   |                   |                        | Budget Unit | 42490C      |                      |                                       |
|--|-------------------|-------------------|-------------------|------------------------|-------------|-------------|----------------------|---------------------------------------|
| Division of Credit Unions<br>Core - Credit Unions        |                   |                   |                   |                        | HB Section  | 7.425       |                      |                                       |
| 4. FINANCIAL HISTORY                                     |                   |                   |                   |                        |             |             |                      |                                       |
|  | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actual Expe | nditures (All Funds) |                                       |
| Appropriation (All Funds)                                | 1,321,135         | 1,326,834         | 1,351,028         | 1,373,023              | 1,350,000   | 1           |                      |                                       |
| Less Reverted (All Funds)<br>Less Restricted (All Funds) | 0<br>0            | 0<br>0            | 0                 | 0                      | 1,300,000   |             |                      |                                       |
| Budget Authority (All Funds)                             | 1,321,135         | 1,326,834         | 1,351,028         | 1,373,023              | 1,250,000   |             |                      |                                       |
| Actual Expenditures (All Funds)                          | 1,121,076         | 1,138,552         | 1,129,059         | N/A                    | 1,200,000   |             |                      |                                       |
| Unexpended (All Funds)                                   | 200,059           | 188,282           | 221,969           | N/A                    | 1,150,000   |             | 1,138,552            | 1,129,059                             |
| Unexpended, by Fund:                                     |                   |                   |                   |                        |             | 1,121,076   |                      |                                       |
| General Revenue  | 0                 | 0                 | 0                 | N/A                    | 1,100,000   |             |                      |                                       |
| Federal  | 0                 | 0                 | 0                 | N/A                    | 1,050,000   |             |                      |                                       |
| Other  | 200,059<br>(1)    | 188,282<br>(2)    | 221,969<br>(3)    | N/A                    | 1,000,000   |             | 1                    | · · · · · · · · · · · · · · · · · · · |
|  | (')               | (=)               | (0)               |                        |             | FY 2018     | FY 2019              | FY 2020                               |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

(1) Unexpended amount is due to staff turnover and less than anticipated expense and equipment spending.

(2) Unexpended amount is due to staff turnover and less than anticipated expense and equipment spending.
 (3) Unexpended amount is due to staff turnover and less than anticipated expense and equipment spending.

# DEPT OF COMMERCE AND INSURANCE

**CREDIT UNIONS** 

# 5. CORE RECONCILIATION DETAIL

|                         | Budget |       |    |         |   |           |           |   |
|-------------------------|--------|-------|----|---------|---|-----------|-----------|---|
|                         | Class  | FTE   | GR | Federal |   | Other     | Total     | E |
| TAFP AFTER VETOES       |        |       |    |         |   |           |           |   |
|                         | PS     | 15.50 | (  | )       | 0 | 1,225,113 | 1,225,113 |   |
|                         | EE     | 0.00  | (  | )       | 0 | 147,910   | 147,910   |   |
|                         | Total  | 15.50 | (  | )       | 0 | 1,373,023 | 1,373,023 | - |
| DEPARTMENT CORE REQUEST |        |       |    |         |   |           |           |   |
|                         | PS     | 15.50 | (  | )       | 0 | 1,225,113 | 1,225,113 |   |
|                         | EE     | 0.00  | (  | )       | 0 | 147,910   | 147,910   |   |
|                         | Total  | 15.50 | (  | )       | 0 | 1,373,023 | 1,373,023 | - |
| GOVERNOR'S RECOMMENDED  | CORE   |       |    |         |   |           |           |   |
|                         | PS     | 15.50 | (  | )       | 0 | 1,225,113 | 1,225,113 |   |
|                         | EE     | 0.00  | (  | )       | 0 | 147,910   | 147,910   |   |
|                         | Total  | 15.50 | (  | )       | 0 | 1,373,023 | 1,373,023 | - |

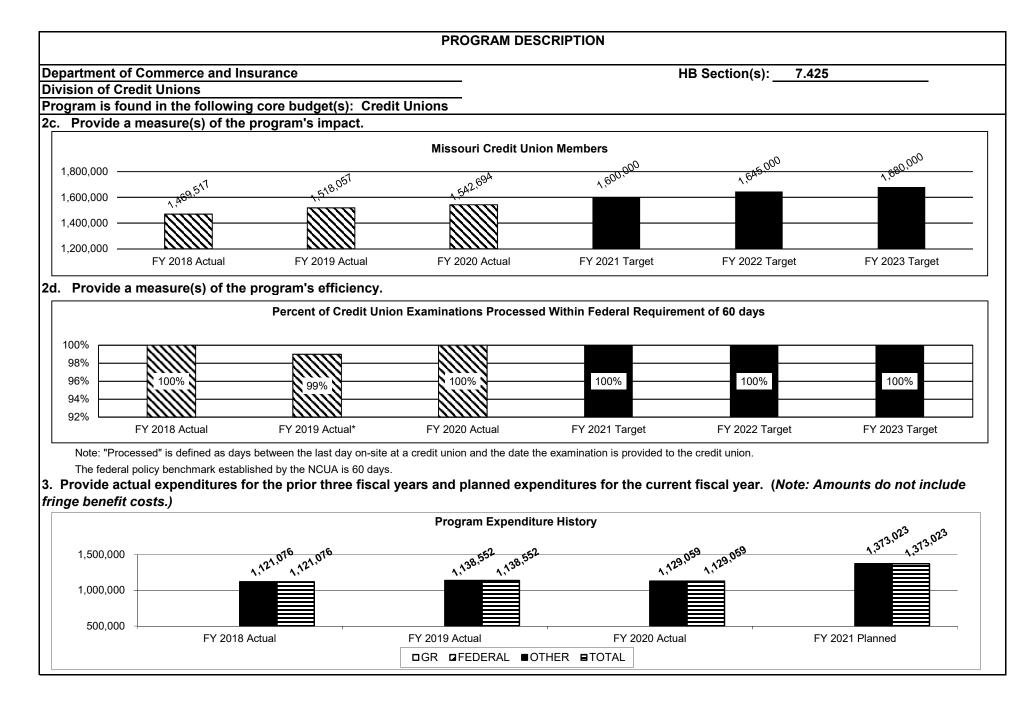
| DCI                       |             |         |             |         |             | DEC      | ISION ITEM  | SUMMARY |
|---------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Budget Unit               |             |         |             |         |             |          |             |         |
| Decision Item             | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary     | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund                      | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| CREDIT UNIONS             |             |         |             |         |             |          |             |         |
| CORE                      |             |         |             |         |             |          |             |         |
| PERSONAL SERVICES         |             |         |             |         |             |          |             |         |
| DIVISION OF CREDIT UNIONS | 996,116     | 13.14   | 1,225,113   | 15.50   | 1,225,113   | 15.50    | 1,225,113   | 15.50   |
| TOTAL - PS                | 996,116     | 13.14   | 1,225,113   | 15.50   | 1,225,113   | 15.50    | 1,225,113   | 15.50   |
| EXPENSE & EQUIPMENT       |             |         |             |         |             |          |             |         |
| DIVISION OF CREDIT UNIONS | 132,943     | 0.00    | 147,910     | 0.00    | 147,910     | 0.00     | 147,910     | 0.00    |
| TOTAL - EE                | 132,943     | 0.00    | 147,910     | 0.00    | 147,910     | 0.00     | 147,910     | 0.00    |
| TOTAL                     | 1,129,059   | 13.14   | 1,373,023   | 15.50   | 1,373,023   | 15.50    | 1,373,023   | 15.50   |
| Pay Plan - 0000012        |             |         |             |         |             |          |             |         |
| PERSONAL SERVICES         |             |         |             |         |             |          |             |         |
| DIVISION OF CREDIT UNIONS | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 12,250      | 0.00    |
| TOTAL - PS                | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 12,250      | 0.00    |
| TOTAL                     | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 12,250      | 0.00    |
| GRAND TOTAL               | \$1,129,059 | 13.14   | \$1,373,023 | 15.50   | \$1,373,023 | 15.50    | \$1,385,273 | 15.50   |

| DCI                            |         |         |           |         |           | C        | ECISION ITI | EM DETAIL |
|--------------------------------|---------|---------|-----------|---------|-----------|----------|-------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR      | FTE       |
| CREDIT UNIONS                  |         |         |           |         |           |          |             |           |
| CORE                           |         |         |           |         |           |          |             |           |
| DESIGNATED PRINCIPAL ASST DIV  | 0       | 0.00    | 510       | 0.00    | 510       | 0.00     | 510         | 0.00      |
| COMMISSION MEMBER              | 0       | 0.00    | 19,371    | 0.00    | 19,371    | 0.00     | 19,371      | 0.00      |
| ADMINISTRATIVE SECRETARY       | 40,173  | 1.00    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00      |
| ADMIN OFFICE SUPPORT ASSISTANT | 16,493  | 0.50    | 16,407    | 0.50    | 16,407    | 0.50     | 16,407      | 0.50      |
| ADMINISTRATIVE SECRETARY       | 0       | 0.00    | 40,311    | 1.00    | 40,311    | 1.00     | 40,311      | 1.00      |
| ASST C U EXAMINER - PROB I-II  | 0       | 0.00    | 99,508    | 2.00    | 99,508    | 2.00     | 99,508      | 2.00      |
| SR ASST C U EXAMINER I - II    | 166,695 | 2.81    | 66,278    | 1.00    | 66,278    | 1.00     | 66,278      | 1.00      |
| CREDIT UNION EXAMINER I - II   | 0       | 0.00    | 78,793    | 1.00    | 78,793    | 1.00     | 78,793      | 1.00      |
| SENIOR C U EXAMINER I-II-III   | 427,560 | 5.14    | 529,123   | 6.00    | 529,123   | 6.00     | 529,123     | 6.00      |
| CHIEF FINANCIAL EXAMINER       | 103,060 | 1.00    | 103,137   | 1.00    | 103,137   | 1.00     | 103,137     | 1.00      |
| DIVISION DIRECTOR              | 110,785 | 1.00    | 111,746   | 1.00    | 111,746   | 1.00     | 111,746     | 1.00      |
| DEPUTY DIVISION DIRECTOR       | 0       | 0.00    | 100,206   | 1.00    | 100,206   | 1.00     | 100,206     | 1.00      |
| FISCAL AND ADMINISTRATIVE MNGR | 60,450  | 1.00    | 59,723    | 1.00    | 59,723    | 1.00     | 59,723      | 1.00      |
| MISCELLANEOUS PROFESSIONAL     | 70,900  | 0.69    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00      |
| TOTAL - PS                     | 996,116 | 13.14   | 1,225,113 | 15.50   | 1,225,113 | 15.50    | 1,225,113   | 15.50     |
| TRAVEL, IN-STATE               | 49,435  | 0.00    | 98,838    | 0.00    | 98,838    | 0.00     | 98,838      | 0.00      |
| TRAVEL, OUT-OF-STATE           | 7,388   | 0.00    | 10,047    | 0.00    | 10,047    | 0.00     | 10,047      | 0.00      |
| SUPPLIES                       | 5,032   | 0.00    | 7,035     | 0.00    | 7,035     | 0.00     | 7,035       | 0.00      |
| PROFESSIONAL DEVELOPMENT       | 50,986  | 0.00    | 6,795     | 0.00    | 6,795     | 0.00     | 6,795       | 0.00      |
| COMMUNICATION SERV & SUPP      | 0       | 0.00    | 10        | 0.00    | 10        | 0.00     | 10          | 0.00      |
| PROFESSIONAL SERVICES          | 4,788   | 0.00    | 5,277     | 0.00    | 5,277     | 0.00     | 5,277       | 0.00      |
| M&R SERVICES                   | 103     | 0.00    | 48        | 0.00    | 48        | 0.00     | 48          | 0.00      |
| OFFICE EQUIPMENT               | 0       | 0.00    | 82        | 0.00    | 82        | 0.00     | 82          | 0.00      |
| OTHER EQUIPMENT                | 0       | 0.00    | 9         | 0.00    | 9         | 0.00     | 9           | 0.00      |
| BUILDING LEASE PAYMENTS        | 0       | 0.00    | 70        | 0.00    | 70        | 0.00     | 70          | 0.00      |
| EQUIPMENT RENTALS & LEASES     | 0       | 0.00    | 9         | 0.00    | 9         | 0.00     | 9           | 0.00      |
| MISCELLANEOUS EXPENSES         | 50      | 0.00    | 75        | 0.00    | 75        | 0.00     | 75          | 0.00      |

| DCI                 |             |         |             |         |             | 0        | DECISION ITE | EM DETAIL |
|---------------------|-------------|---------|-------------|---------|-------------|----------|--------------|-----------|
| Budget Unit         | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022      | FY 2022   |
| Decision Item       | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC      | GOV REC   |
| Budget Object Class | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR       | FTE       |
| CREDIT UNIONS       |             |         |             |         |             |          |              |           |
| CORE                |             |         |             |         |             |          |              |           |
| REBILLABLE EXPENSES | 15,161      | 0.00    | 19,615      | 0.00    | 19,615      | 0.00     | 19,615       | 0.00      |
| TOTAL - EE          | 132,943     | 0.00    | 147,910     | 0.00    | 147,910     | 0.00     | 147,910      | 0.00      |
| GRAND TOTAL         | \$1,129,059 | 13.14   | \$1,373,023 | 15.50   | \$1,373,023 | 15.50    | \$1,373,023  | 15.50     |
| GENERAL REVENUE     | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0          | 0.00      |
| FEDERAL FUNDS       | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0          | 0.00      |
| OTHER FUNDS         | \$1,129,059 | 13.14   | \$1,373,023 | 15.50   | \$1,373,023 | 15.50    | \$1,373,023  | 15.50     |

#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.425 **Division of Credit Unions** Program is found in the following core budget(s): Credit Unions 1a. What strategic priority does this program address? Provide help and educate stakeholders so they are better informed problem solvers • Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public • Develop our team, reward great performance, retain top talent Innovate to make it easier to connect and work with us • 1b. What does this program do? Examines and oversees Missouri's 96 state-chartered credit unions. ٠ Responds to consumer complaints concerning credit union services or operations. • The division is accredited through the National Association of State Credit Union Supervisors (NASCUS). • 2a. Provide an activity measure(s) for the program. State-Chartered Credit Union Total Assets as of June 30th (in billions) \$18.1 \$18.8 \$20 \$17.3 \$15.9 \$14.6 \$13.9 \$15 \$10 \$5 \$0 FY 2018 Actual FY 2019 Actual FY 2020 Actual FY 2021 Target FY 2022 Target FY 2023 Target 2b. Provide a measure(s) of the program's quality. Percent of Missouri Credit Unions Performing Well 100% 100% 100% 100% 99% 99% 100% 95% 90% 85% FY 2018 Actual FY 2019 Actual FY 2020 Actual FY 2021 Target FY 2022 Target FY 2023 Target Note: A credit union's performance is measured by its CAMELS rating as determined by the Division of Credit Unions. The CAMELS system (with ratings of 1 to 5), also used by the federal insurer, is based upon evaluation of critical elements of a credit union's operations. Credit unions rated as a 4 or 5 are considered "problem" credit unions.

The federal benchmark experienced by the NCUA is 95%. This means that NCUA data shows 95% of all credit unions are performing well.



| PROGRAM DESCRIPTION   |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Department of Commerce and Insurance  | HB Section(s): 7.425                                     |  |  |  |  |  |  |
| Division of Credit Unions   |  |  |  |  |  |  |  |
| Program is found in the following core budget(s): Credit Unions   |  |  |  |  |  |  |  |
| 4. What are the sources of the "Other " funds?  |  |  |  |  |  |  |  |
| Division of Credit Unions Fund (0548)   |  |  |  |  |  |  |  |
| <ol> <li>What is the authorization for this program, i.e., federal or state statute, etc<br/>State Statute: Chapter 370, RSMo.</li> </ol> | c.? (Include the federal program number, if applicable.) |  |  |  |  |  |  |
| 6. Are there federal matching requirements? If yes, please explain.<br>N/A  |  |  |  |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No  |  |  |  |  |  |  |  |

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|  | commerce and Insu  | rance  |  |   | Budget Unit  | 42510C  |   |  |  |
|--|--|--|--|---|--|---|---|--|--|
| Division of Fina<br>Core - Finance   | nce  |  |  |   | HB Section   | 7.430   |   |  |  |
| . CORE FINAN   | CIAL SUMMARY   |  |  |   |  |   |   |  |  |
|  | FY 2   | 022 Budg   | et Request   |   |  | FY 2022 G   | overnor's   | Recommend  | ation  |
|  | GR F   | Federal  | Other  | Total   | _  | GR  | Federal   | Other  | Total  |
| PS   | 0  | 0  | 8,447,551  | 8,447,551   | PS   | 0   | 0   | 8,447,551  | 8,447,551  |
| EE   | 0  | 0  | 944,356  | 944,356   | EE   | 0   | 0   | 944,356  | 944,356  |
| PSD  | 0  | 0  | 8,500  | 8,500   | PSD  | 0   | 0   | 8,500  | 8,500  |
| TRF  | 0  | 0  | 0  | 0   | TRF  | 0   | 0   | 0  | 0  |
| Total  | 0  | 0  | 9,400,407  | 9,400,407   | Total _  | 0   | 0   | 9,400,407  | 9,400,407  |
| FTE  | 0.00   | 0.00   | 107.15   | 107.15  | FTE  | 0.00  | 0.00  | 107.15   | 107.15   |
| Est. Fringe  | 0  | 0  | 4,303,072  | 4,303,072   | Est. Fringe  | 0   | 0   | 4,303,072  | 4,303,072  |
| •  | idgeted in House Bill<br>' to MoDOT, Highway   | •  |  |   |  | budgeted in Hous<br>tly to MoDOT, Hig   |   | •  | -  |
| Other Funds:   | Division of Finance  | Fund (055  | 50)  |   | Other Funds: D   | Division of Finance   | e Fund (05  | 50)  |  |
| 2. CORE DESCR  |  |  |  |   |  |   |   |  |  |
| including charte<br>mortgage licens<br>soundness of fi<br>financial service<br>This core also t<br>advocate for th | ering, licensing, and o<br>sees, and 2,379 cons<br>nancial institutions a<br>e industries.<br>funds dues for the Co<br>e dual banking syster | oversight o<br>sumer cred<br>nd complia<br>onference o<br>m and pres | f 225 state-ch<br>it companies<br>nce with state<br>of State Bank<br>servation of th | nartered banks,<br>. The Division's<br>e and federal b<br>Supervisors (C<br>ne state bank c | e's economic well-being. T<br>four non-deposit trust com<br>s oversight includes exami<br>anking and consumer lend<br>CSBS). CSBS provides a r<br>harter. Through CSBS, the<br>rehensive Accreditation Pro | npanies, three say<br>nations of the afo<br>ing laws, thereby<br>national forum for<br>e Division is also | vings and lo<br>rementione<br>assuring th<br>all 50 state<br>able to utiliz | oan association<br>ad entities to e<br>ne confidence<br>s and their co<br>ze a wide arra | ons, 8,971 no<br>ensure the sa<br>of Missouria<br>ommissioner<br>by of profess |

All of the operating costs of the Division are funded through annual assessments and license fees paid by financial institutions and lenders.

# 3. PROGRAM LISTING (list programs included in this core funding)

Bank, Trust, Savings and Loan, Residential Mortgage, and Consumer Credit Oversight

| Department of Commerce and I                              | nsurance               |                        |                   |                        | Budget Unit   | 42510C     |                       |                   |
|---|------------------------|------------------------|-------------------|------------------------|---------------|------------|-----------------------|-------------------|
| Division of Finance                                       |                        |                        |                   |                        |               |            |                       |                   |
| Core - Finance  |                        |                        |                   |                        | HB Section    | 7.430      |                       |                   |
| 4. FINANCIAL HISTORY                                      |                        |                        |                   |                        |               |            |                       |                   |
|   | FY 2018<br>Actual      | FY 2019<br>Actual      | FY 2020<br>Actual | FY 2021<br>Current Yr. |               | Actual Exp | enditures (All Funds) |                   |
| Appropriation (All Funds)<br>Less Reverted (All Funds)    | 9,045,352              | 9,084,923              | 9,252,504         | 9,400,407              | 9,100,000     |            |                       |                   |
| Less Restricted (All Funds)                               | 0                      | 0                      | 0                 | 0                      |               |            |                       |                   |
| Budget Authority (All Funds)                              | 9,045,352              | 9,084,923              | 9,252,504         | 9,400,407              | 8,600,000 -   |            |                       |                   |
| Actual Expenditures (All Funds)<br>Unexpended (All Funds) | 7,968,641<br>1,076,711 | 7,934,954<br>1,149,969 | 7,774,037         | N/A<br>N/A             | . 8,100,000 - | 7,968,641  |                       |                   |
| onexpended (Airi unus)                                    | 1,070,711              | 1,149,909              | 1,470,407         | N/A                    | 0,100,000 +   |            | 7,934,954             | <u>7,774</u> ,037 |
| Unexpended, by Fund:                                      |                        |                        |                   |                        |               |            |                       | 1,114,001         |
| General Revenue   | 0                      | 0                      | 0                 | N/A                    | 7,600,000 -   |            |                       |                   |
| Federal   | 0                      | 0                      | 0                 | N/A                    |               |            |                       |                   |
| Other   | 1,076,711              | 1,149,969              | 1,478,467         | N/A                    |               |            |                       |                   |
|   | (1)                    | (2)                    | (3)               |                        | 7,100,000 +   | FY 2018    | FY 2019               | FY 2020           |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

(1) Unexpended amount is due to staff turnover and less than anticipated expense and equipment spending.

(2) Unexpended amount is due to staff turnover and less than anticipated expense and equipment spending.

(3) Unexpended amount is due to staff turnover and lower travel and training expenses during the 3rd and 4th quarters of FY 2020 due to the COVID-19 Pandemic.

### DEPT OF COMMERCE AND INSURANCE

FINANCE

### 5. CORE RECONCILIATION DETAIL

|                          | Budget<br>Class | FTE    | GR | Federal | Other     | Total     | Explanation   |
|--------------------------|-----------------|--------|----|---------|-----------|-----------|---|
| TAFP AFTER VETOES        |                 |        |    |         |           |           |   |
|                          | PS              | 107.15 | 0  | 0       | 8,447,551 | 8,447,551 |   |
|                          | EE              | 0.00   | 0  | 0       | 951,856   | 951,856   | 6   |
|                          | PD              | 0.00   | 0  | 0       | 1,000     | 1,000     | -   |
|                          | Total           | 107.15 | 0  | 0       | 9,400,407 | 9,400,407 | -   |
| DEPARTMENT CORE ADJU     | TMENTS          |        |    |         |           |           | -   |
| Core Reallocation 810 6  | 138 EE          | 0.00   | 0  | 0       | (23,250)  | (23,250)  | A decrease in out-of state<br>examinations and an increase to<br>in-state examinations is expected,<br>therefore we have reallocated<br>appropriations from out-of-state<br>examinations to in-state<br>examinations. |
| Core Reallocation 810 2  | 196 EE          | 0.00   | 0  | 0       | 15,750    | 15,750    | A decrease in out-of state<br>examinations and an increase to<br>in-state examinations is expected,<br>therefore we have reallocated<br>appropriations from out-of-state<br>examinations to in-state<br>examinations. |
| Core Reallocation 1260 2 | 196 PD          | 0.00   | 0  | 0       | 7,500     | 7,500     | Core reallocation to better match anticipated expenditures.   |
| NET DEPARTM              | NT CHANGES      | 0.00   | 0  | 0       | 0         | C         |   |
| DEPARTMENT CORE REQU     | EST             |        |    |         |           |           |   |
|                          | PS              | 107.15 | 0  | 0       | 8,447,551 | 8,447,551 |   |
|                          | EE              | 0.00   | 0  | 0       | 944,356   | 944,356   | 6   |

### CORE RECONCILIATION DETAIL

### DEPT OF COMMERCE AND INSURANCE

FINANCE

### 5. CORE RECONCILIATION DETAIL

|                         | Budget<br>Class | FTE    | GR | Federal | Other     | Total     | E           |
|-------------------------|-----------------|--------|----|---------|-----------|-----------|-------------|
| DEPARTMENT CORE REQUEST |                 |        | •  |         | • • • • • |           | _           |
|                         | PD              | 0.00   | 0  | 0       | 8,500     | 8,500     | )           |
|                         | Total           | 107.15 | 0  | 0       | 9,400,407 | 9,400,407 | -<br>,<br>= |
| GOVERNOR'S RECOMMENDED  | CORE            |        |    |         |           |           |             |
|                         | PS              | 107.15 | 0  | 0       | 8,447,551 | 8,447,551 |             |
|                         | EE              | 0.00   | 0  | 0       | 944,356   | 944,356   | 6           |
|                         | PD              | 0.00   | 0  | 0       | 8,500     | 8,500     | )           |
|                         | Total           | 107.15 | 0  | 0       | 9,400,407 | 9,400,407 | ,           |

| DCI                   |             |         |             |         |             | DEC      | ISION ITEM  | SUMMAR  |
|-----------------------|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Budget Unit           |             |         |             |         |             |          |             |         |
| Decision Item         | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund                  | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| FINANCE               |             |         |             |         |             |          |             |         |
| CORE                  |             |         |             |         |             |          |             |         |
| PERSONAL SERVICES     |             |         |             |         |             |          |             |         |
| DIVISION OF FINANCE   | 7,163,716   | 95.14   | 8,447,551   | 107.15  | 8,447,551   | 107.15   | 8,447,551   | 107.15  |
| TOTAL - PS            | 7,163,716   | 95.14   | 8,447,551   | 107.15  | 8,447,551   | 107.15   | 8,447,551   | 107.15  |
| EXPENSE & EQUIPMENT   |             |         |             |         |             |          |             |         |
| DIVISION OF FINANCE   | 602,038     | 0.00    | 951,856     | 0.00    | 944,356     | 0.00     | 944,356     | 0.00    |
| TOTAL - EE            | 602,038     | 0.00    | 951,856     | 0.00    | 944,356     | 0.00     | 944,356     | 0.00    |
| PROGRAM-SPECIFIC      |             |         |             |         |             |          |             |         |
| DIVISION OF FINANCE   | 8,283       | 0.00    | 1,000       | 0.00    | 8,500       | 0.00     | 8,500       | 0.00    |
| TOTAL - PD            | 8,283       | 0.00    | 1,000       | 0.00    | 8,500       | 0.00     | 8,500       | 0.00    |
| TOTAL                 | 7,774,037   | 95.14   | 9,400,407   | 107.15  | 9,400,407   | 107.15   | 9,400,407   | 107.15  |
| Pay Plan - 0000012    |             |         |             |         |             |          |             |         |
| PERSONAL SERVICES     |             |         |             |         |             |          |             |         |
| DIVISION OF FINANCE   | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 84,478      | 0.00    |
| TOTAL - PS            | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 84,478      | 0.00    |
| TOTAL                 | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 84,478      | 0.00    |
| GRAND TOTAL           | \$7,774,037 | 95.14   | \$9,400,407 | 107.15  | \$9,400,407 | 107.15   | \$9,484,885 | 107.15  |

| DCI<br>Budget Unit              | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | ECISION ITE | FY 2022 |
|---------------------------------|-----------|---------|-----------|---------|-----------|----------|-------------|---------|
| Decision Item                   | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class             | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR      | FTE     |
| FINANCE                         | -         |         | -         |         | -         |          | -           |         |
| CORE                            |           |         |           |         |           |          |             |         |
| ADMIN OFFICE SUPPORT ASSISTANT  | 20,898    | 0.58    | 0         | 0.00    | 39,656    | 1.00     | 39,656      | 1.00    |
| ADMINISTRATIVE SECRETARY        | 44,091    | 1.00    | 87,140    | 2.00    | 47,880    | 1.00     | 47,880      | 1.00    |
| SR OFC SUPPORT ASST (KEYBRD)    | 29,152    | 0.99    | 30,499    | 1.00    | 63,974    | 2.00     | 63,974      | 2.00    |
| ACCOUNTING GENERALIST I         | 32,327    | 0.91    | 34,370    | 1.00    | 42,807    | 1.00     | 42,807      | 1.00    |
| PERSONNEL OFFICER II            | 50,215    | 0.93    | 53,177    | 1.00    | 42,007    | 0.00     | 42,007      | 0.00    |
| ASSISTANT BANK EXAMINER         | 3,675     | 0.08    | 00,177    | 0.00    | 384,608   | 8.00     | 384,608     | 8.00    |
| SENIOR ASSISTANT BANK EXAMINER  | 84,544    | 1.64    | 55,333    | 1.00    | 334,761   | 6.00     | 334,761     | 6.00    |
| BANK EXAMINER                   | 80,406    | 1.29    | 69,320    | 1.00    | 0         | 0.00     | 0           | 0.00    |
| SENIOR BANK EXAMINER I          | 555,066   | 7.65    | 537,771   | 7.00    | 79,868    | 1.00     | 79,868      | 1.00    |
| REVIEW EXAMINER                 | 275,116   | 2.92    | 368,807   | 4.00    | 297,391   | 3.00     | 297,391     | 3.00    |
| ASSIST TRUST EXAMINER           | 42,874    | 0.96    | 47,631    | 1.00    | 207,001   | 0.00     | 207,001     | 0.00    |
| SENIOR ASSISTANT TRUST EXAM     | 40,570    | 0.78    | 768       | 0.00    | 0         | 0.00     | 0           | 0.00    |
| TRUST SUPERVISOR                | 91,126    | 1.00    | 93,867    | 1.00    | 92,587    | 1.00     | 92,587      | 1.00    |
| DISTRICT SUPERVISOR             | 505,598   | 5.13    | 487,105   | 5.00    | 516,771   | 5.00     | 516,771     | 5.00    |
| REPORT ANALYST                  | 38,404    | 1.00    | 42,791    | 1.00    | 41,699    | 1.00     | 41,699      | 1.00    |
| ASSISTANT BANK EXAMINER II      | 138,070   | 2.92    | 153,601   | 3.00    | 101,024   | 2.00     | 101,024     | 2.00    |
| ASSIST TRUST EXAMINER II        | 0         | 0.00    | 0         | 0.00    | 52,853    | 1.00     | 52,853      | 1.00    |
| ASSIST TROST EXAMINER           | 8,948     | 0.00    | 0         | 0.00    | 50,512    | 1.00     | 50,512      | 1.00    |
| CONSUMER CREDIT EXAMINER        | 10,674    | 0.20    | 66,232    | 1.00    | 74,043    | 1.00     | 74,043      | 1.00    |
| SR CONS CREDIT EXAMINER         | 139,214   | 1.92    | 00,232    | 0.00    | 74,043    | 0.00     | 74,043<br>0 | 0.00    |
| ASST CONSUMER CREDIT EXAMINER I | 139,214   |         |           |         | 0         | 0.00     | 0           |         |
|                                 | -         | 0.00    | 51,551    | 1.00    | -         |          | -           | 0.00    |
| SUPERVISOR OF CONSUMER CREDIT   | 97,269    | 1.00    | 95,092    | 1.00    | 98,829    | 1.00     | 98,829      | 1.00    |
| SENIOR BANK EXAMINER II         | 568,967   | 7.41    | 738,023   | 9.00    | 676,992   | 8.00     | 676,992     | 8.00    |
| SENIOR BANK EXAMINER III        | 2,234,264 | 26.72   | 2,747,875 | 31.00   | 2,598,193 | 28.00    | 2,598,193   | 28.00   |
| SENIOR TRUST EXAMINER II        | 12,024    | 0.22    | 0         | 0.00    | 69,261    | 1.00     | 69,261      | 1.00    |
| SENIOR TRUST EXAMINER III       | 82,601    | 1.00    | 83,926    | 1.00    | 90,766    | 1.00     | 90,766      | 1.00    |
| SR CONS CREDIT EXAMINER II      | 154,024   | 2.00    | 162,543   | 2.00    | 169,248   | 2.00     | 169,248     | 2.00    |
| SR CONS CREDIT EXAMINER III     | 344,210   | 4.08    | 533,363   | 6.00    | 543,080   | 6.00     | 543,080     | 6.00    |
| SUPVSR OF MORTGAGE LICENSING    | 85,686    | 0.92    | 92,919    | 1.00    | 89,901    | 1.00     | 89,901      | 1.00    |
| SENIOR ASSISTANT EXAMINER II    | 91,310    | 1.66    | 175,027   | 3.00    | 77,285    | 1.00     | 77,285      | 1.00    |
| BANK EXAMINER II                | 262,454   | 3.89    | 280,191   | 4.00    | 527,255   | 7.00     | 527,255     | 7.00    |
| SR ASST CONS CREDIT EXAM II     | 45,662    | 0.83    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00    |

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| udget Unit                     | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022   | FY 2022 |
|--------------------------------|-----------|---------|-----------|---------|-----------|----------|-----------|---------|
| ecision Item                   | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |
| Budget Object Class            | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |
| NANCE                          |           |         |           |         |           |          |           |         |
| ORE                            |           |         |           |         |           |          |           |         |
| SENIOR ASST TRUST EXAMINER II  | 0         | 0.00    | 58,797    | 1.00    | 0         | 0.00     | 0         | 0.0     |
| SENIOR MORTGAGE EXAMINER II    | 73,752    | 0.96    | 163,335   | 2.00    | 84,624    | 1.00     | 84,624    | 1.00    |
| ASSISTANT MORTGAGE EXAMINER    | 9,780     | 0.22    | 43,325    | 1.00    | 50,512    | 1.00     | 50,512    | 1.00    |
| SR ASST MORTGAGE EXAMINER II   | 16,227    | 0.30    | 0         | 0.00    | 0         | 0.00     | 0         | 0.0     |
| MORTGAGE EXAMINER              | 44,425    | 0.70    | 64,042    | 1.00    | 74,043    | 1.00     | 74,043    | 1.0     |
| SENIOR MORTGAGE EXAMINER I     | 105,367   | 1.46    | 0         | 0.00    | 0         | 0.00     | 0         | 0.0     |
| SENIOR MORTGAGE EXAMINER III   | 189,498   | 2.21    | 265,241   | 3.00    | 270,782   | 3.00     | 270,782   | 3.0     |
| EXAMINER SPECIALIST            | 57,089    | 1.00    | 58,005    | 1.00    | 61,921    | 1.00     | 61,921    | 1.0     |
| MORTGAGE LICENSING TECHNICIAN  | 32,241    | 1.00    | 27,502    | 1.00    | 35,076    | 1.00     | 35,076    | 1.0     |
| SUPERVISOR OF ADMINISTRATION   | 57,184    | 0.92    | 62,322    | 1.00    | 0         | 0.00     | 0         | 0.0     |
| MORTGAGE EXAMINATION ASSISTANT | 28,968    | 0.96    | 32,845    | 1.00    | 34,405    | 1.00     | 34,405    | 1.0     |
| DIVISION DIRECTOR              | 85,220    | 0.72    | 119,573   | 1.00    | 120,000   | 1.00     | 120,000   | 1.0     |
| DEPUTY DIVISION DIRECTOR       | 51,563    | 0.46    | 119,555   | 1.00    | 113,000   | 1.00     | 113,000   | 1.0     |
| CHIEF EXAMINER                 | 108,488   | 1.00    | 102,282   | 1.00    | 110,000   | 1.00     | 110,000   | 1.0     |
| SENIOR COUNSEL                 | 64,471    | 0.78    | 88,390    | 1.00    | 85,000    | 1.00     | 85,000    | 1.0     |
| CHIEF COUNSEL                  | 70,004    | 0.65    | 97,236    | 1.00    | 0         | 0.00     | 0         | 0.0     |
| FISCAL AND ADMINISTRATIVE MNGR | 0         | 0.00    | 0         | 0.00    | 66,269    | 1.00     | 66,269    | 1.0     |
| BOARD MEMBER                   | 0         | 0.00    | 5,180     | 0.15    | 12,000    | 0.15     | 12,000    | 0.1     |
| GENERAL COUNSEL - DIVISION     | 0         | 0.00    | 0         | 0.00    | 105,000   | 1.00     | 105,000   | 1.0     |
| MANAGER                        | 0         | 0.00    | 0         | 0.00    | 63,675    | 1.00     | 63,675    | 1.0     |
| MISCELLANEOUS PROFESSIONAL     | 0         | 0.00    | 50,999    | 1.00    | 0         | 0.00     | 0         | 0.0     |
| TOTAL - PS                     | 7,163,716 | 95.14   | 8,447,551 | 107.15  | 8,447,551 | 107.15   | 8,447,551 | 107.1   |
| TRAVEL, IN-STATE               | 261,441   | 0.00    | 451,281   | 0.00    | 459,531   | 0.00     | 459,531   | 0.0     |
| TRAVEL, OUT-OF-STATE           | 78,278    | 0.00    | 122,493   | 0.00    | 114,243   | 0.00     | 114,243   | 0.0     |
| SUPPLIES                       | 33,754    | 0.00    | 47,133    | 0.00    | 49,625    | 0.00     | 49,625    | 0.0     |
| PROFESSIONAL DEVELOPMENT       | 177,408   | 0.00    | 217,086   | 0.00    | 232,086   | 0.00     | 232,086   | 0.0     |
| COMMUNICATION SERV & SUPP      | 22,628    | 0.00    | 26,325    | 0.00    | 26,325    | 0.00     | 26,325    | 0.0     |
| PROFESSIONAL SERVICES          | 15,903    | 0.00    | 37,023    | 0.00    | 22,023    | 0.00     | 22,023    | 0.0     |
| HOUSEKEEPING & JANITORIAL SERV | 35        | 0.00    | 0         | 0.00    | 100       | 0.00     | 100       | 0.0     |
| M&R SERVICES                   | 1,341     | 0.00    | 5,175     | 0.00    | 6,675     | 0.00     | 6,675     | 0.0     |
| MOTORIZED EQUIPMENT            | 0         | 0.00    | 1         | 0.00    | 0         | 0.00     | 0         | 0.0     |
| OFFICE EQUIPMENT               | 6,845     | 0.00    | 23,293    | 0.00    | 23,293    | 0.00     | 23,293    | 0.0     |

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| DCI                                  |                  |               |                   |               |                    | C               | <b>DECISION ITI</b> | EM DETAIL          |
|--------------------------------------|------------------|---------------|-------------------|---------------|--------------------|-----------------|---------------------|--------------------|
| Budget Unit                          | FY 2020          | FY 2020       | FY 2021<br>BUDGET | FY 2021       | FY 2022            | FY 2022         | FY 2022             | FY 2022<br>GOV REC |
| Decision Item<br>Budget Object Class | ACTUAL<br>DOLLAR | ACTUAL<br>FTE | DOLLAR            | BUDGET<br>FTE | DEPT REQ<br>DOLLAR | DEPT REQ<br>FTE | GOV REC<br>DOLLAR   | FTE                |
| FINANCE                              |                  |               |                   |               |                    |                 |                     |                    |
| CORE                                 |                  |               |                   |               |                    |                 |                     |                    |
| OTHER EQUIPMENT                      | 115              | 0.00          | 6,000             | 0.00          | 5,500              | 0.00            | 5,500               | 0.00               |
| BUILDING LEASE PAYMENTS              | 0                | 0.00          | 1                 | 0.00          | 250                | 0.00            | 250                 | 0.00               |
| EQUIPMENT RENTALS & LEASES           | 173              | 0.00          | 100               | 0.00          | 350                | 0.00            | 350                 | 0.00               |
| MISCELLANEOUS EXPENSES               | 2,392            | 0.00          | 11,805            | 0.00          | 2,555              | 0.00            | 2,555               | 0.00               |
| REBILLABLE EXPENSES                  | 1,725            | 0.00          | 4,140             | 0.00          | 1,800              | 0.00            | 1,800               | 0.00               |
| TOTAL - EE                           | 602,038          | 0.00          | 951,856           | 0.00          | 944,356            | 0.00            | 944,356             | 0.00               |
| REFUNDS                              | 8,283            | 0.00          | 1,000             | 0.00          | 8,500              | 0.00            | 8,500               | 0.00               |
| TOTAL - PD                           | 8,283            | 0.00          | 1,000             | 0.00          | 8,500              | 0.00            | 8,500               | 0.00               |
| GRAND TOTAL                          | \$7,774,037      | 95.14         | \$9,400,407       | 107.15        | \$9,400,407        | 107.15          | \$9,400,407         | 107.15             |
| GENERAL REVENUE                      | \$0              | 0.00          | \$0               | 0.00          | \$0                | 0.00            | \$0                 | 0.00               |
| FEDERAL FUNDS                        | \$0              | 0.00          | \$0               | 0.00          | \$0                | 0.00            | \$0                 | 0.00               |
| OTHER FUNDS                          | \$7,774,037      | 95.14         | \$9,400,407       | 107.15        | \$9,400,407        | 107.15          | \$9,400,407         | 107.15             |

# **PROGRAM DESCRIPTION** Department of Commerce and Insurance HB Section(s): 7.430 Bank, Trust, Savings and Loan, and Consumer Credit Regulation Program is found in the following core budget(s): Division of Finance 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public Develop our team, reward great performance, and retain top talent Innovate to make it easier to connect and work with us 1b. What does this program do? Provides oversight of state-chartered banks, trust companies, and savings and loan associations including compliance examinations with applicable • banking laws and to ensure the safety and soundness of these institutions to safeguard the funds of depositors and maintain public confidence in Missouri's financial system. Licenses and regulates various consumer credit outlets including finance companies, payday loan companies, consumer installment lender companies, and title loan companies to ensure all lenders are held to the same standards and that borrowers are protected. Administers Missouri's laws pertaining to residential mortgage brokers and originators, including pre-license investigation, licensure issuance and renewal, and regulation enforcement, ensuring consistent compliance by lenders and protection for borrowers. 2a. Provide an activity measure(s) for the program. Please Note: The majority of measures for Division of Finance are newly developed and targets will be set when baselines are established. In many cases, prior years' data was not available. State-Chartered Financial Institutions and Total Assets<sup>1</sup> 275 \$150 Number of Institutions 249 Assets in Billions 250 \$125 225

FY 2018 FY 2020 FY 2021 Projected — Number of Banks, Trusts, and Savings & Loans Assets (Billions) <sup>1</sup>As of the end of each Fiscal Year. Projections for years beyond FY 2021 are not provided because a number of economic factors can affect the number of institutions and assets in any given year, making projections difficult to determine.

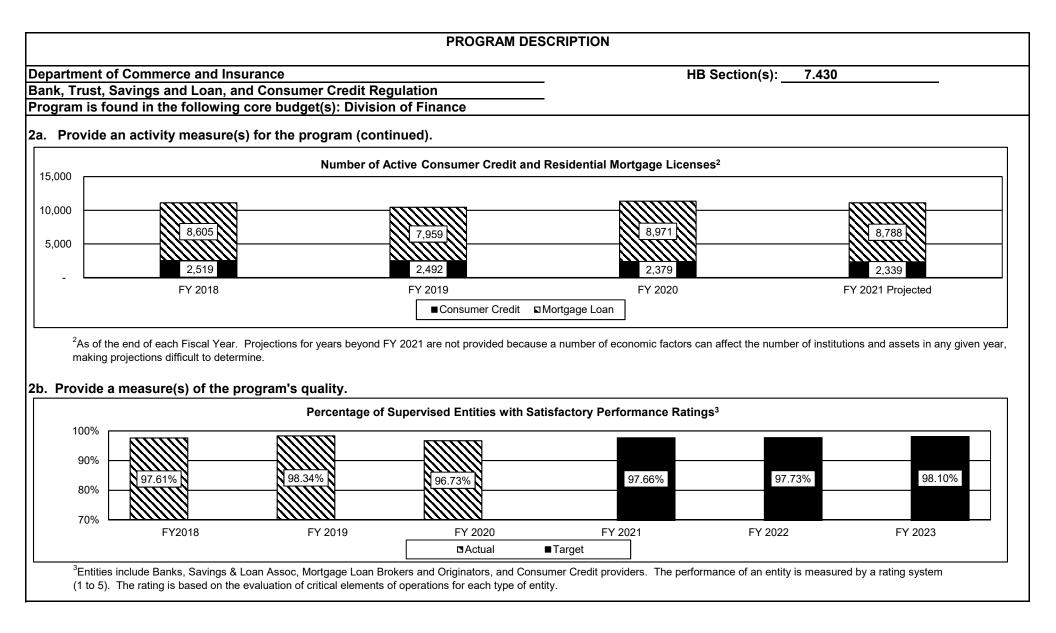
\$140.

\$100

\$136.

FY 2019

200



### **PROGRAM DESCRIPTION**

Department of Commerce and Insurance

HB Section(s): 7.430

Bank, Trust, Savings and Loan, and Consumer Credit Regulation

Program is found in the following core budget(s): Division of Finance

### 2c. Provide a measure(s) of the program's impact.

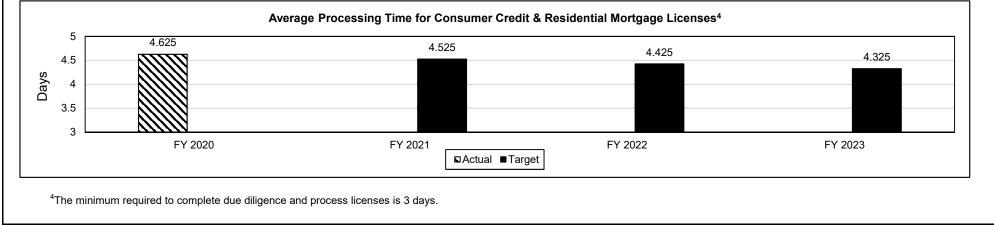
- The Division of Finance performs safety and soundness examinations of state-chartered banks, trusts, and savings and loan associations.
- These examinations protect Missouri's depositors and borrowers and ensure each institution operates on a level playing field.
- Many banks have recognized the advantages to conversion from a national-charter to a state-charter as illustrated in the chart below.
- No banks have converted from a state-charter to a national-charter during the same period.

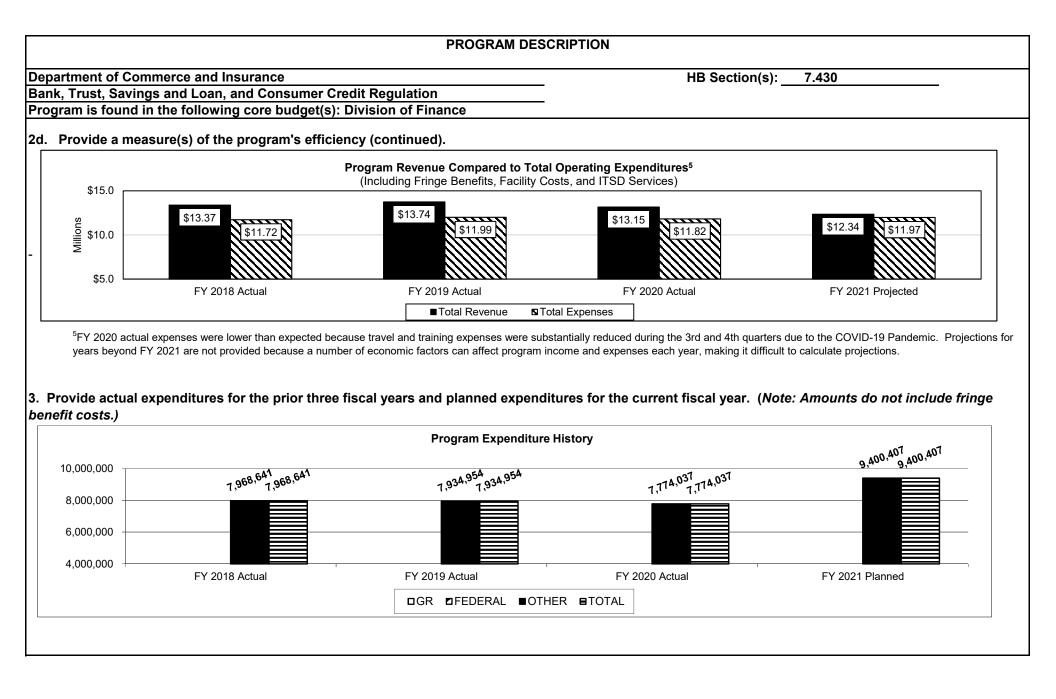
|         | Number of Banks That Have Converted from a National to a State Charter |         |         |         |         |         |         |         |         |         |
|---------|--|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| FY 2010 | FY 2011  | FY 2012 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY 2020 |
| 1       | 2  | 2       | 3       | 1       | 6       | 1       | 3       | 1       | 1       | 0       |

### WHY DOES CONVERSION TO A STATE-CHARTER BENEFIT MISSOURI?

- A state-charter keeps bank assessment funds in Missouri, rather than sending those funds to Washington, D.C.
- Bank assessments for Missouri's state-chartered banks are generally lower than national-charter assessment amounts.
- If consumers or depositors need assistance or have questions, the Missouri Division of Finance can provide timely and relevant assistance.
- The Missouri Division of Finance is able to identify local and regional economic changes that can affect institutions and take measures to ensure institutions remain successful.

### 2d. Provide a measure(s) of the program's efficiency.





| PROGRAM DESCRIPTION   |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|
| Department of Commerce and Insurance  | HB Section(s): 7.430                           |  |  |  |  |  |  |  |
| Bank, Trust, Savings and Loan, and Consumer Credit Regulation   |  |  |  |  |  |  |  |  |
| Program is found in the following core budget(s): Division of Finance   |  |  |  |  |  |  |  |  |
| 4. What are the sources of the "Other " funds?  |  |  |  |  |  |  |  |  |
| Division of Finance Fund (0550)   |  |  |  |  |  |  |  |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Inclu<br>State Statute: Chapters 361, 362, 364, 365, 367, 369, 408, and 443 RSMo. | de the federal program number, if applicable.) |  |  |  |  |  |  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>   |  |  |  |  |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No  |  |  |  |  |  |  |  |  |

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| Division of Finance<br>Core - Savings and<br>1. CORE FINANCIA<br>PS<br>EE<br>PSD<br>TRF<br>Total<br>FTE | Loan Supervi                                   | <b>′ 2022 Budge</b><br>Federal<br>0<br>0<br>0<br>0 | t Request<br>Other<br>0<br>0 | ance Fund<br>Total | HB Section             | 7.435<br>FY 2022 ( | avernor's R    |                   |            |
|---|--|--|------------------------------|--------------------|------------------------|--------------------|----------------|-------------------|------------|
| 1. CORE FINANCIA<br>PS —<br>EE<br>PSD<br>TRF —<br>Total —   | AL SUMMARY<br>F<br>GR<br>0<br>0<br>0<br>0<br>0 | <b>′ 2022 Budge</b><br>Federal<br>0<br>0<br>0<br>0 | t Request<br>Other<br>0<br>0 | Total              | HB Section             |                    |                |                   |            |
| PS —<br>EE<br>PSD<br>TRF —<br>Total —   | <b>GR</b><br>0<br>0<br>0<br>0                  | <b>Federal</b> 0 0 0 0 0 0 0                       | 0<br>0                       |                    |                        | FY 2022 (          | Sovernor's R   |                   |            |
| E<br>PSD<br>IRF<br>Total  | GR 0<br>0<br>0<br>0<br>0                       | <b>Federal</b> 0 0 0 0 0 0 0                       | 0<br>0                       |                    |                        | FY 2022 (          |                |                   |            |
| EE<br>PSD<br>TRF<br>Total   | GR 0<br>0<br>0<br>0<br>0                       | <b>Federal</b> 0 0 0 0 0 0 0                       | 0<br>0                       |                    |                        |                    |                | ecommendat        | ion        |
| EE<br>PSD<br>TRF<br>Total   | 0<br>0<br>0                                    | 0<br>0<br>0  | 0                            | 0                  |                        | GR                 | Federal        | Other             | Total      |
| PSD<br>FRF<br>Fotal   | 0<br>0   | 0<br>0   | ÷                            |                    | PS                     | 0                  | 0              | 0                 | 0          |
| rRF<br>Fotal  | 0  | 0  | -                            | 0                  | EE                     | 0                  | 0              | 0                 | 0          |
| Total   |  | _  | 0                            | 0                  | PSD                    | 0                  | 0              | 0                 | 0          |
|   | 0  | ~  | 50,000                       | 50,000             | TRF                    | 0                  | 0              | 50,000            | 50,000     |
| TE  |  | 0  | 50,000                       | 50,000             | Total                  | 0                  | 0              | 50,000            | 50,000     |
|   | 0.00   | 0.00   | 0.00                         | 0.00               | FTE                    | 0.00               | 0.00           | 0.00              | 0.00       |
| Est. Fringe   | 0  | 0  | 0                            | 0                  | Est. Fringe            | 0                  | 0              | 0                 | 0          |
| lote: Fringes budge   | atad in Hayaa I                                | UIL E avaant fa                                    | r aartain fring              |                    | Note: Fringes bu       | idaatad in Uau     | aa Bill E ayaa | nt for cortain t  | ringoo     |
| budgeted directly to  |  |  | -                            |                    | budgeted directly      | •                  |                |                   | -          |
| udgeled directly to   | MODOT, TIIgIM                                  | ay FallOI, and                                     | Conservation                 | 1.                 | budgeted directly      |                    | giiway Fali Ol | , and Conserv     | allon.     |
| Other Funds: D  | ivision of Savin                               | gs and Loan S                                      | Supervision Fi               | und (0549)         | Other Funds: Div       | vision of Saving   | s and Loan S   | Supervision Fu    | nd (0549)  |
|   |  |  |                              |                    |                        |                    |                |                   |            |
| . CORE DESCRIPT   | ION  |  |                              |                    |                        |                    |                |                   |            |
|   |  |  |                              |                    | ngs and Loan Supervisi | on Fund to cov     | er the portior | ı of salaries, fr | inge benef |
| expenses relating   | to the administ                                | ration of laws                                     | relating to Mis              | souri's savings ar | d loan associations.   |                    |                |                   |            |
|   |  |  |                              |                    |                        |                    |                |                   |            |
|   |  |  |                              |                    |                        |                    |                |                   |            |
|   |  |  |                              |                    |                        |                    |                |                   |            |
|   |  |  |                              |                    |                        |                    |                |                   |            |
|   |  |  |                              |                    |                        |                    |                |                   |            |
|   |  |  |                              |                    |                        |                    |                |                   |            |
|   |  |  |                              |                    |                        |                    |                |                   |            |
| <b>B. PROGRAM LIST</b>  | ING (list prog                                 | ams included                                       | d in this core               | funding)           |                        |                    |                |                   |            |
|   | a  |  |                              |                    |                        |                    |                |                   |            |
| Savings and Loan  | Supervision T                                  | anster   |                              |                    |                        |                    |                |                   |            |
| earnige and zearr   |  |  |                              |                    |                        |                    |                |                   |            |

| Department of Commerce and In<br>Division of Finance<br>Core - Savings and Loan Superv |                   | ransfer to Fi     | nance Fund        |                        | Budget Unit | 42520C<br>7.435 |                        |         |
|--|-------------------|-------------------|-------------------|------------------------|-------------|-----------------|------------------------|---------|
| 4. FINANCIAL HISTORY   |                   |                   |                   |                        |             |                 |                        |         |
|  | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actual Exp      | penditures (All Funds) |         |
| Appropriation (All Funds)  | 50,000            | 50,000            | 50,000            | 50,000                 | 60,000      |                 |                        |         |
| Less Reverted (All Funds)  | 0                 | 0                 | 0                 | 0                      |             |                 |                        | 50,000  |
| Less Restricted (All Funds)  | 0                 | 0                 | 0                 | 0                      | 50,000      |                 |                        |         |
| Budget Authority (All Funds)   | 50,000            | 50,000            | 50,000            | 50,000                 |             |                 |                        |         |
|  |                   |                   |                   |                        | 40,000      |                 | /                      |         |
| Actual Expenditures (All Funds)  | 33,325            | 32,552            | 50,000            | N/A                    |             | 33,325          | 32,552                 |         |
| Unexpended (All Funds)   | 16,675            | 17,448            | 0                 | N/A                    | 30,000      |                 |                        |         |
| Unexpended, by Fund:   |                   |                   |                   |                        | 20,000      |                 |                        |         |
| General Revenue  | 0                 | 0                 | 0                 | N/A                    |             |                 |                        |         |
| Federal  | 0                 | 0                 | 0                 | N/A                    | 10,000      |                 |                        |         |
| Other  | 16,675            | 17,448            | 0                 | N/A                    |             |                 |                        |         |
|  | (1)               | (2)               |                   |                        | 0           | -               | 1                      | 11      |
|  |                   | . ,               |                   |                        |             | FY 2018         | FY 2019                | FY 2020 |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

#### NOTES:

(1) Required transfer amount less than appropriation.(2) Required transfer amount less than appropriation.

#### CORE RECONCILIATION DETAIL

#### DEPT OF COMMERCE AND INSURANCE

S&L FUND TRANSFER

#### 5. CORE RECONCILIATION DETAIL

|                         | Budget |      |    |        |   |        |        |     |
|-------------------------|--------|------|----|--------|---|--------|--------|-----|
|                         | Class  | FTE  | GR | Federa |   | Other  | Total  | Ехр |
| TAFP AFTER VETOES       |        |      |    |        |   |        |        |     |
|                         | TRF    | 0.00 | (  |        | 0 | 50,000 | 50,000 |     |
|                         | Total  | 0.00 | C  |        | 0 | 50,000 | 50,000 | -   |
| DEPARTMENT CORE REQUEST |        |      |    |        |   |        |        |     |
|                         | TRF    | 0.00 | C  | )      | 0 | 50,000 | 50,000 |     |
|                         | Total  | 0.00 | C  |        | 0 | 50,000 | 50,000 | -   |
| GOVERNOR'S RECOMMENDED  | CORE   |      |    |        |   |        |        | -   |
|                         | TRF    | 0.00 | (  |        | 0 | 50,000 | 50,000 | )   |
|                         | Total  | 0.00 | C  |        | 0 | 50,000 | 50,000 |     |

| DCI                                       |          |         |          |         |          | DEC      | ISION ITEM | SUMMARY |
|---|----------|---------|----------|---------|----------|----------|------------|---------|
| Budget Unit                               |          |         |          |         |          |          |            |         |
| Decision Item                             | FY 2020  | FY 2020 | FY 2021  | FY 2021 | FY 2022  | FY 2022  | FY 2022    | FY 2022 |
| Budget Object Summary                     | ACTUAL   | ACTUAL  | BUDGET   | BUDGET  | DEPT REQ | DEPT REQ | GOV REC    | GOV REC |
| Fund                                      | DOLLAR   | FTE     | DOLLAR   | FTE     | DOLLAR   | FTE      | DOLLAR     | FTE     |
| S&L FUND TRANSFER                         |          |         |          |         |          |          |            |         |
| CORE                                      |          |         |          |         |          |          |            |         |
| FUND TRANSFERS                            |          |         |          |         |          |          |            |         |
| <b>DIV SAVINGS &amp; LOAN SUPERVISION</b> | 50,000   | 0.00    | 50,000   | 0.00    | 50,000   | 0.00     | 50,000     | 0.00    |
| TOTAL - TRF                               | 50,000   | 0.00    | 50,000   | 0.00    | 50,000   | 0.00     | 50,000     | 0.00    |
| TOTAL                                     | 50,000   | 0.00    | 50,000   | 0.00    | 50,000   | 0.00     | 50,000     | 0.00    |
| S&L Fund Transfer - 1375002               |          |         |          |         |          |          |            |         |
| FUND TRANSFERS                            |          |         |          |         |          |          |            |         |
| <b>DIV SAVINGS &amp; LOAN SUPERVISION</b> | 0        | 0.00    | 0        | 0.00    | 25,000   | 0.00     | 75,000     | 0.00    |
| TOTAL - TRF                               | 0        | 0.00    | 0        | 0.00    | 25,000   | 0.00     | 75,000     | 0.00    |
| TOTAL                                     | 0        | 0.00    | 0        | 0.00    | 25,000   | 0.00     | 75,000     | 0.00    |
| GRAND TOTAL                               | \$50,000 | 0.00    | \$50,000 | 0.00    | \$75,000 | 0.00     | \$125,000  | 0.00    |

| DCI                 |                 |          |         |          |         |          | [        | DECISION IT | EM DETAIL |
|---------------------|-----------------|----------|---------|----------|---------|----------|----------|-------------|-----------|
| Budget Unit         |                 | FY 2020  | FY 2020 | FY 2021  | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022   |
| Decision Item       |                 | ACTUAL   | ACTUAL  | BUDGET   | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class |                 | DOLLAR   | FTE     | DOLLAR   | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE       |
| S&L FUND TRANSFER   |                 |          |         |          |         |          |          |             |           |
| CORE                |                 |          |         |          |         |          |          |             |           |
| TRANSFERS OUT       |                 | 50,000   | 0.00    | 50,000   | 0.00    | 50,000   | 0.00     | 50,000      | 0.00      |
| TOTAL - TRF         | -               | 50,000   | 0.00    | 50,000   | 0.00    | 50,000   | 0.00     | 50,000      | 0.00      |
| GRAND TOTAL         |                 | \$50,000 | 0.00    | \$50,000 | 0.00    | \$50,000 | 0.00     | \$50,000    | 0.00      |
|                     | GENERAL REVENUE | \$0      | 0.00    | \$0      | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
|                     | FEDERAL FUNDS   | \$0      | 0.00    | \$0      | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
|                     | OTHER FUNDS     | \$50,000 | 0.00    | \$50,000 | 0.00    | \$50,000 | 0.00     | \$50,000    | 0.00      |

| P  | ROGRAM DESCRIPTION  |
|--|---|
| Department of Commerce and Insurance   | HB Section(s): 7.435  |
| Savings and Loan Supervision Fund Transfer to Finance Fund<br>Program is found in the following core budget(s): Savings and Lo | oan Supervision Fund Transfer to Finance Fund   |
| 1a. What strategic priority does this program address?   |   |
| See Division of Finance program description.   |   |
| 1b. What does this program do?   |   |
|  | d from the Savings and Loan Supervision Fund for the salaries, fringe benefits<br>s pertaining to savings and loan associations.    |
| 2a. Provide an activity measure(s) for the program.<br>For performance measures, see Division of Finance program descripti     | <b>2b. Provide a measure(s) of the program's quality.</b> on.For performance measures, see Division of Finance program description. |
| 2c. Provide a measure(s) of the program's impact.<br>For performance measures, see Division of Finance program description     | 2d. Provide a measure(s) of the program's efficiency.on.For performance measures, see Division of Finance program description.      |
| 3. Provide actual expenditures for the prior three fiscal years and fringe benefit costs.)                                     | d planned expenditures for the current fiscal year. ( <i>Note: Amounts do not include</i>   |
|  | bgram Expenditure History $s_{15}^{00}$ , $s_{15}^{00}$   |
| \$80,000<br>\$60,000<br>\$40,000   |   |
| \$60,000<br>\$40,000<br>\$40,000   |   |
| \$20,000   |   |
| \$0 FY 2018 Actual FY 2019   | Actual FY 2020 Actual FY 2021 Planned   |
| ■GR  | ■FEDERAL ■OTHER ■TOTAL  |
| 4. What are the sources of the "Other " funds?   |   |
| Division of Savings and Loan Supervision Fund (0549)   |   |
| 5. What is the authorization for this program, i.e., federal or state  | e statute, etc.? (Include the federal program number, if applicable.)   |
| State Statute: Chapter 369, RSMo.  |   |
| 6. Are there federal matching requirements? If yes, please expla   | lin.  |
| N/A  |   |
| 7. Is this a federally mandated program? If yes, please explain.   |   |
| No   |   |

|  |   |                              |                                 |  |  | <b>NEW DECISI</b>                | ON ITEM                      |                                       |                                 |                                  |                                |  |
|--|---|------------------------------|---------------------------------|--|--|----------------------------------|------------------------------|---------------------------------------|---------------------------------|----------------------------------|--------------------------------|--|
|  |   |                              |                                 |  | RANK:  | 3                                | . 0                          | =5                                    |                                 |                                  |                                |  |
| Department                                 | t of Comme                                  | rce an                       | d Insurance                     |  |  |                                  |                              | Budget Unit                           | 42520C                          |                                  |                                |  |
| Division of                                |   |                              |                                 |  |  | -                                |                              | <u> </u>                              |                                 |                                  |                                |  |
| Savings and                                | d Loan Sup                                  | ervisio                      | on Fund Trans                   | fer Increase                                 | •  | DI#1375002                       |                              | HB Section                            | 7.435                           |                                  |                                |  |
| 1. AMOUNT                                  |   | FST                          |                                 |  |  |                                  |                              |                                       |                                 |                                  |                                |  |
|  |   |                              | 2022 Budget                     | Request                                      |  |                                  |                              | FY 2022                               | 2 Governor's                    | Recommen                         | dation                         |  |
|  | GR  |                              | Federal                         | Other  | Total  |                                  |                              | GR                                    |                                 |                                  |                                |  |
| PS   |   | 0                            | 0                               | 0  | 0  | -                                | PS                           | 0                                     | Federal<br>0                    | 0                                | 0                              |  |
| EE   |   | 0                            | 0                               | 0  | 0  |                                  | EE                           | 0                                     | 0                               | 0                                | 0                              |  |
| PSD  |   | 0                            | 0                               | 0  | 0  |                                  | PSD                          | 0                                     | 0                               | 0                                | 0                              |  |
| TRF  |   | 0                            | 0                               | 25,000                                       | 25,000                                       | _                                | TRF                          | 0                                     | 0                               | 75,000                           | 75,000                         |  |
| Total                                      |   | 0                            | 0                               | 25,000                                       | 25,000                                       | =                                | Total                        | 0                                     | 0                               | 75,000                           | 75,000                         |  |
| FTE  |   | 0.00                         | 0.00                            | 0.00   | 0.00   |                                  | FTE                          | 0.00                                  | 0.00                            | 0.00                             | 0.00                           |  |
| Est. Fringe                                |   | 0                            | 0                               | 0  | 0  | 1                                | Est. Fringe                  | 0                                     | 0                               | 0                                | 0                              |  |
|  |   | in Hou                       | ise Bill 5 excep                | ot for certain f                             | ringes                                       |                                  |                              | s budgeted in F                       | House Bill 5 e                  | xcept for certa                  | ain fringes                    |  |
| -  | -   |                              | ,<br>lighway Patrol,            |  | -  |                                  | -                            | ectly to MoDOT                        |                                 | •                                | -                              |  |
|  |   |                              | gs & Loan Supe<br>ATEGORIZED    |  | l (0549)                                     |                                  | Other Funds                  | : Division of Sav                     | vings & Loan                    | Supervision F                    | Fund (0549)                    |  |
| Z. THIS KEG                                | New Legisla                                 |                              |                                 | AJ.  |  | New Program                      | n                            |                                       |                                 | Fund Switch                      |                                |  |
|  | Federal Ma                                  |                              |                                 | <u> </u>                                     |  | Program Exp                      |                              | -                                     |                                 | Cost to Contir                   | חוופ                           |  |
|  | GR Pick-Up                                  |                              |                                 |  |  | Space Requ                       |                              | -                                     |                                 | Equipment Re                     |                                |  |
|  | Pay Plan                                    |                              |                                 | _  | Х  | Other:                           | Transfer Inci                | ease                                  |                                 |                                  | spidoomon                      |  |
| 0. 14/11/10.7                              |   |                              |                                 |  |  |                                  |                              |                                       |                                 |                                  |                                |  |
|  |   |                              | ZATION FOR                      |  |  |                                  | SCHECKED                     | IN #2. INCLUD                         |                                 | ERAL OR STA                      | ALESIAIUI                      |  |
|  |   |                              |                                 |  |  | hu covince o                     |                              | intione are don.                      | a aita di inta th               | - Division of C                  |                                |  |
|  |   |                              |                                 |  |  |                                  |                              | nses are paid fr                      |                                 |                                  |                                | oan Supervision  |
|  |   |                              |                                 |  |  |                                  |                              |                                       |                                 |                                  |                                | to the oversight   |
|  |   |                              | associations.                   |  |  |                                  |                              |                                       |                                 |                                  | nises relating                 |  |
|  | 5   |                              |                                 |  |  |                                  |                              |                                       |                                 |                                  |                                |  |
| safety and<br>Missouri. E<br>appropriation | soundness of<br>Based on thi<br>on from the | examir<br>s data,<br>Divisio | nations have in the Division is | creased 37.0<br>projecting a<br>nd Loan Fund | 8% in the la<br>continued i<br>d to the Divi | ast ten years a<br>ncrease in ex | and there is a penses; and i | possibility of gr<br>s therefore requ | owth in the n<br>uesting an inc | umber of savi<br>crease of \$75, | ngs and loan<br>000 to the tra | ed with required<br>associations in<br>nsfer<br>ause an increase |

 NEW DECISION ITEM

 RANK:
 3
 OF
 5

|   |  |                |                 |                 | Duduct Unit       | 405000            |                   |                     |                      |
|---|--|----------------|-----------------|-----------------|-------------------|-------------------|-------------------|---------------------|----------------------|
| Department of Commerce and Insurance<br>Division of Finance                                 |  |                |                 |                 | Budget Unit       | 42520C            |                   |                     |                      |
| Savings and Loan Supervision Fund Tran  | nsfer Increas                            | 9              | DI#1375002      |                 | HB Section        | 7.435             |                   |                     |                      |
|   |  |                |                 |                 | -                 |                   |                   |                     |                      |
| 4. DESCRIBE THE DETAILED ASSUMPTI   |  |                |                 |                 |                   | •                 |                   | •                   |                      |
| of FTE were appropriate? From what sou  |  | -              |                 | -               | -                 |                   |                   |                     | -                    |
| automation considered? If based on new times and how those amounts were calcu               | •  | aoes reques    | ST THE TO TAPP  | r fiscal note?  | if not, explai    | n wny. Deta       | all which port    | lions of the        | equest are one-      |
|   |  |                |                 |                 | intions of your   | na intervolo      |                   | www.weth.v.thewa.a. |                      |
| The Division of Finance conducts safety an savings and loan associations with a an ave      |  |                |                 |                 |                   |                   |                   |                     |                      |
| associated with those reviews can be trans  |  |                |                 |                 |                   |                   |                   |                     |                      |
| number of savings and loan associations su  |  |                |                 |                 |                   |                   |                   |                     |                      |
| to the transfer appropriation of \$75,000 to a  |  |                |                 |                 |                   |                   |                   |                     |                      |
| increase in individual savings and loan asso<br>expenditures. In any given year, only the a |  |                |                 |                 |                   |                   | or Expense ar     | ia Equipmen         | ι                    |
|   |  |                | 0               |                 |                   |                   |                   |                     |                      |
| 5. BREAK DOWN THE REQUEST BY BUI  |  | 1              |                 |                 |                   |                   |                   |                     |                      |
|   | Dept Req<br>GR                           | Dept Req<br>GR | Dept Req<br>FED | Dept Req<br>FED | Dept Req<br>OTHER | Dept Req<br>OTHER | Dept Req<br>TOTAL | Dept Req<br>TOTAL   | Dept Req<br>One-Time |
| Budget Object Class/Job Class   | DOLLARS                                  | FTE            | DOLLARS         | FTE             | DOLLARS           | FTE               | DOLLARS           | FTE                 | DOLLARS              |
|   |  |                |                 |                 |                   |                   |                   |                     |                      |
| Transfers   |  |                |                 |                 | 25,000            |                   | 25,000            |                     |                      |
| Total TRF   | 0  |                | 0               |                 | 25,000            |                   | 25,000            |                     | 0                    |
| Grand Total   | 0  | 0.0            | 0               | 0.0             | 25,000            | 0.0               | 25.000            | 0.0                 | 0                    |
| Grand Total   | U  | 0.0            | 0               | 0.0             | 25,000            | 0.0               | 25,000            | 0.0                 | U                    |
|   |  |                |                 |                 |                   |                   |                   |                     |                      |
|   |  |                |                 |                 |                   |                   |                   |                     |                      |
|   | Gov Rec                                  | Gov Rec        | Gov Rec         | Gov Rec         | Gov Rec           | Gov Rec           | Gov Rec           | Gov Rec             | Gov Rec              |
|   | GR                                       | GR             | FED             | FED             | OTHER             | OTHER             | TOTAL             | TOTAL               | One-Time             |
| Budget Object Class/Job Class   | DOLLARS                                  | FTE            | DOLLARS         | FTE             | DOLLARS           | FTE               | DOLLARS           | FTE                 | DOLLARS              |
| Transfers   |  |                |                 |                 | 75,000            |                   | 75,000            |                     |                      |
| Total TRF   | 0  |                | 0               |                 | 75,000            |                   | 75,000            |                     | 0                    |
|   | J. J |                | Ū               |                 | . 0,000           |                   | 10,000            |                     | Ū                    |
| Grand Total   | 0  | 0.0            | 0               | 0.0             | 75,000            | 0.0               | 75,000            | 0.0                 | 0                    |
|   |  |                |                 |                 |                   |                   |                   |                     |                      |

|            | NEW DECISION   | ITEM     |  |
|------------|--|----------|--|
|            | RANK: <u>3</u>   | (        | DF <u>5</u>  |
| Departme   | nt of Commerce and Insurance   |          | Budget Unit 42520C   |
| Division o | of Finance   |          |  |
| Savings a  | nd Loan Supervision Fund Transfer Increase DI#1375002  |          | HB Section 7.435   |
| 6. PERFC   | DRMANCE MEASURES (If new decision item has an associated core, sep   | parately | identify projected performance with & without additional funding.)   |
| 6a.        | Provide an activity measure(s) for the program.  | 6b.      | Provide a measure(s) of the program's quality.   |
|            | For performance measures, see Division of Finance program description.   |          | For performance measures, see Division of Finance program description.   |
| 6c.        | <b>Provide a measure(s) of the program's impact.</b><br>For performance measures, see Division of Finance program description. | 6d.      | <b>Provide a measure(s) of the program's efficiency.</b><br>For performance measures, see Division of Finance program description. |
| 7. STRAT   | EGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGETS:  |          |  |
| Continu    | e to perform safety and soundness examinations of Missouri's savings and lo  | oan asso | ciations.  |

| DCI                         |         |         |         |         |          | [        | DECISION IT | EM DETAIL |
|-----------------------------|---------|---------|---------|---------|----------|----------|-------------|-----------|
| Budget Unit                 | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022   |
| Decision Item               | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class         | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE       |
| S&L FUND TRANSFER           |         |         |         |         |          |          |             |           |
| S&L Fund Transfer - 1375002 |         |         |         |         |          |          |             |           |
| TRANSFERS OUT               | 0       | 0.00    | 0       | 0.00    | 25,000   | 0.00     | 75,000      | 0.00      |
| TOTAL - TRF                 | 0       | 0.00    | 0       | 0.00    | 25,000   | 0.00     | 75,000      | 0.00      |
| GRAND TOTAL                 | \$0     | 0.00    | \$0     | 0.00    | \$25,000 | 0.00     | \$75,000    | 0.00      |
| GENERAL REVENUE             | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS               | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                 | \$0     | 0.00    | \$0     | 0.00    | \$25,000 | 0.00     | \$75,000    | 0.00      |

| Department of Co  |  | surance      |                |              | Budget Unit                                 | 42550C            |                |                 |                 |            |
|-------------------|--|--------------|----------------|--------------|---|-------------------|----------------|-----------------|-----------------|------------|
| Division of Finan |  |              |                |              | _   |                   |                |                 |                 |            |
| Core - Residenti  | al Mortgage Lice                         | ensing Fund  | Transfer to    | Finance Fund | HB Section                                  | 7.440             |                |                 |                 |            |
| 1. CORE FINANC    | CIAL SUMMARY                             |              |                |              |   |                   |                |                 |                 |            |
|                   | F۱                                       | ( 2022 Budg  | et Request     |              |   | FY 2022 (         | Governor's     | Recommend       | ation           |            |
|                   | GR                                       | Federal      | Other          | Total        |   | GR                | Federal        | Other           | Total           |            |
| PS                | 0  | 0            | 0              | 0            | PS –  | 0                 | 0              | 0               | 0               |            |
| EE                | 0  | 0            | 0              | 0            | EE  | 0                 | 0              | 0               | 0               |            |
| PSD               | 0  | 0            | 0              | 0            | PSD   | 0                 | 0              | 0               | 0               |            |
| TRF               | 0  | 0            | 1,200,000      | 1,200,000    | TRF   | 0                 | 0              | 1,200,000       | 1,200,000       |            |
| Total             | 0  | 0            | 1,200,000      | 1,200,000    | Total                                       | 0                 | 0              | 1,200,000       | 1,200,000       |            |
| FTE               | 0.00                                     | 0.00         | 0.00           | 0.00         | FTE   | 0.00              | 0.00           | 0.00            | 0.00            | -          |
| Est. Fringe       | 0  | 0            | 0              | 0            | Est. Fringe                                 | 0                 | 0              | 0               | 0               | 1          |
| Note: Fringes bud |  | •            | -              | -            |   | budgeted in Hou   | ise Bill 5 exc | •               | -               |            |
| budgeted directly | •  |              |                |              |   | tly to MoDOT, H   |                | •               | •               |            |
|                   |  |              |                |              |   | •                 |                |                 |                 | 1          |
| Other Funds:      | Residential Morte                        | gage Licensi | ng Fund (026   | 1)           | Other Funds: F                              | Residential Morto | jage Licensii  | ng Fund (026    | 1)              |            |
| 2. CORE DESCRI    | PTION                                    |              |                |              |   |                   |                |                 |                 |            |
|                   | er provides funds<br>ng to the administi |              |                |              | Residential Mortgage Lice<br>Licensing Law. | nsing Fund to co  | over the porti | ion of the sala | aries, fringe b | enefits an |
| 3. PROGRAM LI     | STING (list progr                        | rams include | ed in this cor | e funding)   |   |                   |                |                 |                 |            |
| Residential Mor   | tgage Licensing F                        | und Transfe  | r              |              |   |                   |                |                 |                 |            |
|                   |  |              |                |              |   |                   |                |                 |                 |            |
|                   |  |              |                |              |   |                   |                |                 |                 |            |

| Department of Commerce and I    | nsurance          |                   |                   |                        | Budget Unit | 42550C                          |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|-------------|---------------------------------|
| Division of Finance             |                   | _                 |                   |                        |             |                                 |
| Core - Residential Mortgage Lie | censing Fund      | Transfer to       | Finance Fur       | nd                     | HB Section  | 7.440                           |
| 4. FINANCIAL HISTORY            |                   |                   |                   |                        |             |                                 |
|                                 | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actual Expenditures (All Funds) |
| Appropriation (All Funds)       | 1,200,000         | 1,200,000         | 1,200,000         | 1,200,000              | 1,200,000   | 1                               |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |             |                                 |
| Less Restricted (All Funds)*    | 0                 | 0                 | 0                 | 0                      |             |                                 |
| Budget Authority (All Funds)    | 1,200,000         | 1,200,000         | 1,200,000         | 1,200,000              | 1,000,000   | 969,929                         |
| Actual Expenditures (All Funds) | 895,427           | 969,929           | 793,308           | N/A                    |             | 895,427                         |
| Unexpended (All Funds)          | 304,573           | 230,071           | 406,692           | N/A                    |             |                                 |
| Unexpended, by Fund:            | · · · · · ·       |                   |                   |                        | 800,000     | 793,308                         |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |             |                                 |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |             |                                 |
| Other                           | 304,573           | 230,071           | 406,692           | N/A                    | 600,000     |                                 |
|                                 | (1)               | (2)               | (3)               |                        | 600,000     | FY 2018 FY 2019 FY 2020         |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

#### NOTES:

(1) Required transfer amount is less than appropriation.
 (2) Required transfer amount is less than appropriation.
 (3) Required transfer amount is less than appropriation.

#### CORE RECONCILIATION DETAIL

# DEPT OF COMMERCE AND INSURANCE

RESIDENTAL MORTGAGE FUND TRF

#### 5. CORE RECONCILIATION DETAIL

|                         | Budget |      |    |         |   |           |           |    |
|-------------------------|--------|------|----|---------|---|-----------|-----------|----|
|                         | Class  | FTE  | GR | Federal |   | Other     | Total     | Ex |
| TAFP AFTER VETOES       |        |      |    |         |   |           |           |    |
|                         | TRF    | 0.00 | C  |         | 0 | 1,200,000 | 1,200,000 | )  |
|                         | Total  | 0.00 | C  |         | 0 | 1,200,000 | 1,200,000 | )  |
| DEPARTMENT CORE REQUEST |        |      |    |         |   |           |           |    |
|                         | TRF    | 0.00 | C  | 1       | 0 | 1,200,000 | 1,200,000 | )  |
|                         | Total  | 0.00 | C  |         | 0 | 1,200,000 | 1,200,000 |    |
| GOVERNOR'S RECOMMENDED  | CORE   |      |    |         |   |           |           | _  |
|                         | TRF    | 0.00 | C  | 1       | 0 | 1,200,000 | 1,200,000 | )  |
|                         | Total  | 0.00 | C  |         | 0 | 1,200,000 | 1,200,000 | )  |

| DCI                                     |           |         |             |         |             | DEC      | ISION ITEM  | SUMMARY |
|---|-----------|---------|-------------|---------|-------------|----------|-------------|---------|
| Budget Unit                             |           |         |             |         |             |          |             |         |
| Decision Item                           | FY 2020   | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary                   | ACTUAL    | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund                                    | DOLLAR    | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| RESIDENTAL MORTGAGE FUND TRF            |           |         |             |         |             |          |             |         |
| CORE                                    |           |         |             |         |             |          |             |         |
| FUND TRANSFERS                          |           |         |             |         |             |          |             |         |
| RESIDENTIAL MORTGAGE LICENSING          | 793,308   | 0.00    | 1,200,000   | 0.00    | 1,200,000   | 0.00     | 1,200,000   | 0.00    |
| TOTAL - TRF                             | 793,308   | 0.00    | 1,200,000   | 0.00    | 1,200,000   | 0.00     | 1,200,000   | 0.00    |
| TOTAL                                   | 793,308   | 0.00    | 1,200,000   | 0.00    | 1,200,000   | 0.00     | 1,200,000   | 0.00    |
| Residential Mortgage Fund Trf - 1375001 |           |         |             |         |             |          |             |         |
| FUND TRANSFERS                          |           |         |             |         |             |          |             |         |
| RESIDENTIAL MORTGAGE LICENSING          | 0         | 0.00    | 0           | 0.00    | 300,000     | 0.00     | 300,000     | 0.00    |
| TOTAL - TRF                             | 0         | 0.00    | 0           | 0.00    | 300,000     | 0.00     | 300,000     | 0.00    |
| TOTAL                                   | 0         | 0.00    | 0           | 0.00    | 300,000     | 0.00     | 300,000     | 0.00    |
| GRAND TOTAL                             | \$793,308 | 0.00    | \$1,200,000 | 0.00    | \$1,500,000 | 0.00     | \$1,500,000 | 0.00    |

| DCI                          |                   |                   |                   |                   |                     | 0                   | DECISION ITI       | EM DETAIL          |
|------------------------------|-------------------|-------------------|-------------------|-------------------|---------------------|---------------------|--------------------|--------------------|
| Budget Unit<br>Decision Item | FY 2020<br>ACTUAL | FY 2020<br>ACTUAL | FY 2021<br>BUDGET | FY 2021<br>BUDGET | FY 2022<br>DEPT REQ | FY 2022<br>DEPT REQ | FY 2022<br>GOV REC | FY 2022<br>GOV REC |
| Budget Object Class          | DOLLAR            | FTE               | DOLLAR            | FTE               | DOLLAR              | FTE                 | DOLLAR             | FTE                |
| RESIDENTAL MORTGAGE FUND TRF |                   |                   |                   |                   |                     |                     |                    |                    |
| CORE                         |                   |                   |                   |                   |                     |                     |                    |                    |
| TRANSFERS OUT                | 793,308           | 0.00              | 1,200,000         | 0.00              | 1,200,000           | 0.00                | 1,200,000          | 0.00               |
| TOTAL - TRF                  | 793,308           | 0.00              | 1,200,000         | 0.00              | 1,200,000           | 0.00                | 1,200,000          | 0.00               |
| GRAND TOTAL                  | \$793,308         | 0.00              | \$1,200,000       | 0.00              | \$1,200,000         | 0.00                | \$1,200,000        | 0.00               |
| GENERAL REVENUE              | \$0               | 0.00              | \$0               | 0.00              | \$0                 | 0.00                | \$0                | 0.00               |
| FEDERAL FUNDS                | \$0               | 0.00              | \$0               | 0.00              | \$0                 | 0.00                | \$0                | 0.00               |
| OTHER FUNDS                  | \$793,308         | 0.00              | \$1,200,000       | 0.00              | \$1,200,000         | 0.00                | \$1,200,000        | 0.00               |

| PROGRA   | AM DESCRIPTION  |
|--|---|
| Department of Commerce and Insurance<br>Residential Mortgage Licensing Fund Transfer<br>Program is found in the following core budget(s): Residential Mortgage I   | HB Section(s): 7.440  |
| 1a. What strategic priority does this program address?   |   |
| See Division of Finance program description.   |   |
| <ul> <li><b>1b. What does this program do?</b></li> <li>This transfer provides funds to the Division of Finance Fund from the expenses of the Division of Finance to administer the Residential M</li> </ul> | he Residential Mortgage Licensing Fund for the salaries, fringe benefits, and<br>Aortgage Licensing Law.  |
| 2a. Provide an activity measure(s) for the program.<br>For performance measures, see Divison of Finance program description  | <ul> <li>2b. Provide a measure(s) of the program's quality.</li> <li>n. For performance measures, see Division of Finance program description.</li> </ul> |
| <b>2c. Provide a measure(s) of the program's impact.</b><br>For performance measures, see Divison of Finance program description   | 2d. Provide a measure(s) of the program's efficiency.n.For performance measures, see Division of Finance program<br>description.                          |
| B. Provide actual expenditures for the prior three fiscal years and planne<br>tringe benefit costs.)   | ed expenditures for the current fiscal year. (Note: Amounts do not include  |
| 2,000,000 T  | penditure History $\frac{1}{1,500,000}$   |
| 1,500,000<br>1,000,000<br>500,000<br>0   |   |
| FY 2018 Actual FY 2019 Actual  | FY 2020 Actual*     FY 2021 Planned       AL ■OTHER ■TOTAL     FY 2021 Planned  |
| *FY20 actual expenses are decreased due to several vacancies and the effect of COVID-  |   |

# 4. What are the sources of the "Other " funds?

Residential Mortgage Licensing Fund (0261)

- 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.) State Statute: Section 443.845, RSMo.
- 6. Are there federal matching requirements? If yes, please explain. N/A
- 7. Is this a federally mandated program? If yes, please explain.
  - No

|  |   |  |   |   | NEW DECISION ITEM  |   |  |   |  |   |
|--|---|--|---|---|--|---|--|---|--|---|
|  |   |  |   | RANK:   | <u>    4     </u> 0  | F <u>5</u>  |  |   |  |   |
| Department   | of Commerce an  | d Insurance  |   |   |  | Budget Unit   | 42520C   |   |  |   |
| Division of F  |   |  |   |   | -  |   |  |   |  |   |
| Residential  | Mortgage Licens   | ing Fund Trar  | sfer Increas  | е   | DI# 1375001  | HB Section  | 7.440  |   |  |   |
| 1. AMOUNT  | OF REQUEST  |  |   |   |  |   |  |   |  |   |
|  |   | 2022 Budget  | Request   |   |  | FY 2022   | Governor's   | Recommen  | dation   |   |
|  | GR  | Federal  | Other   | Total   |  | GR  | Federal  | Other   | Total  |   |
| PS   | 0   | 0  | 0   | 0   | PS   | 0   | 0  | 0   | 0  |   |
| EE   | 0   | 0  | 0   | 0   | EE   | 0   | 0  | 0   | 0  |   |
| PSD  | 0   | 0  | 0   | 0   | PSD  | 0   | 0  | 0   | 0  |   |
| TRF  | 0   | 0  | 300,000   | 300,000   | TRF  | 0   | 0  | 300,000   | 300,000  |   |
| Total  | 0   | 0  | 300,000   | 300,000   | Total  | 0   | 0  | 300,000   | 300,000  |   |
| FTE  | 0.00  | 0.00   | 0.00  | 0.00  | FTE  | 0.00  | 0.00   | 0.00  | 0.00   |   |
| Est. Fringe  | 0   | 0  | 0   | 0   | Est. Fringe  | 0   | 0  | 0   | 0  |   |
|  | s budgeted in Hou   | ise Bill 5 excep   | ot for certain i  | fringes   |  | es budgeted in F  | louse Bill 5 e   | xcept for certa   | ain fringes  |   |
| budgeted dire  | ectly to MoDOT, H   | lighway Patrol,  | and Conserv   | vation.   | budgeted di  | rectly to MoDOT   | , Highway Pa   | trol, and Con   | servation.   |   |
| Other Funds:   | : Residential Morto   | gage Licensing   | Fund (0261)   |   | Other Funds  | : Residential Mo  | ortgage Licen  | sing Fund (02   | 261)   |   |
|  | UEST CAN BE C   | ATEGORIZED   | AS:   |   |  |   |  |   |  |   |
|  | New Legislation   |  | _   |   | New Program  | _   |  | Fund Switch   |  |   |
|  | Federal Mandate   |  | _   |   | Program Expansion  | _   |  | Cost to Contir  |  |   |
|  | GR Pick-Up  |  | _   |   | Space Request  | _   |  | Equipment Re  | eplacement   |   |
|  | Pay Plan  |  | _   | X   | Other: Transfer Inc  | rease   |  |   |  |   |
|  | HIS FUNDING NE  |  |   |   | N FOR ITEMS CHECKED  | IN #2. INCLUD   | E THE FEDE   | RAL OR ST   | ATE STATUT   | ORY OR  |
| Licensing F<br>Division tran<br>Missouri's F<br>In the last fi<br>Residential<br>increase of | und (0261). In ord<br>nsfers funds from<br>Residential Licensi<br>ive years, an incre<br>Mortgage Licensi | der to efficientl<br>the Residentia<br>ing program.<br>ase in the num<br>ng program of<br>ansfer appropi | y process exp<br>I Mortgage Linder of license<br>11.17%. Bas-<br>iation from th | censes for the<br>censing Fur<br>ed mortgage<br>sed on this c<br>ne Residenti | tgage brokers and mortga<br>he Division of Finance, all<br>nd to the Division of Financ<br>e brokers and MLOs has le<br>data, the Division is project<br>al Mortgage Licensing Fur<br>or MLOs. | of its expenses a<br>ce fund to reimb<br>ed to an increase<br>ing a continued | are paid from<br>urse the fund<br>in expenses<br>increase in e | the Division of<br>for all expenses<br>related to the<br>xpenses; and | of Finance Fu<br>ses relating to<br>e administratic<br>is therefore re | nd (0550). The<br>the oversight of<br>on of the<br>equesting an |

NEW DECISION ITEM
RANK: 4 OF 5

| Department of Commerce and Insurance  |                 |             |                |                | Budget Unit      | 42520C      |                |              |                  |
|---|-----------------|-------------|----------------|----------------|------------------|-------------|----------------|--------------|------------------|
| Division of Finance   |                 |             |                |                | Budget Offit_    | 423200      |                |              |                  |
| Residential Mortgage Licensing Fund Tra   | ansfer Increas  | se          | DI# 1375001    |                | HB Section       | 7.440       |                |              |                  |
|   |                 |             |                |                | -                |             |                |              |                  |
| 4. DESCRIBE THE DETAILED ASSUMPTI   |                 |             |                |                |                  | •           |                |              |                  |
| of FTE were appropriate? From what sou  |                 | •           |                | •              | -                |             |                |              | •                |
| automation considered? If based on new  | •               | does reques | st tie to TAFP | fiscal note?   | If not, explain  | n why. Deta | all which port | ions of the  | request are one- |
| times and how those amounts were calcu  | liated.)        |             |                |                |                  |             |                |              |                  |
| The Division of Finance licenses mortgage   |                 |             |                |                |                  |             |                |              |                  |
| law. Though the cost of licenses has not ch   |                 |             |                |                |                  |             |                |              |                  |
| inadequate to ensure all the costs associate appropriation of \$300,000 to assure funding |                 |             |                |                |                  |             |                |              |                  |
| Licensing will be transferred.  | i will keep pac |             | sing expenses  | s. In any give | in year, only th |             | is associated  | WITTINGSIGGI | illar worlgage   |
|   |                 |             |                |                |                  |             |                |              |                  |
| 5. BREAK DOWN THE REQUEST BY BUI  |                 |             |                |                |                  |             |                |              |                  |
| 5. BREAR DOWN THE REQUEST BT BUI  | Dept Req        | Dept Req    | Dept Req       | Dept Req       | Dept Req         | Dept Req    | Dept Req       | Dept Req     | Dept Req         |
|   | GR              | GR          | FED            | FED            | OTHER            | OTHER       | TOTAL          | TOTAL        | One-Time         |
| Budget Object Class/Job Class   | DOLLARS         | FTE         | DOLLARS        | FTE            | DOLLARS          | FTE         | DOLLARS        | FTE          | DOLLARS          |
|   |                 |             |                |                |                  |             |                |              |                  |
| Transfers   |                 |             |                |                | 300,000          |             | 300,000        |              |                  |
| Total TRF   | 0               |             | 0              |                | 300,000          |             | 300,000        |              | 0                |
| Grand Total   | 0               | 0.0         | 0              | 0.0            | 300,000          | 0.0         | 300,000        | 0.0          | 0                |
|   | <u></u>         | 0.0         | <b>U</b>       | 0.0            |                  | 0.0         |                | 0.0          | <b>U</b>         |
|   |                 |             |                |                |                  |             |                |              |                  |
|   |                 |             |                |                |                  |             |                |              |                  |
|   | Gov Rec         | Gov Rec     | Gov Rec        | Gov Rec        | Gov Rec          | Gov Rec     | Gov Rec        | Gov Rec      | Gov Rec          |
| Budget Object Class/Job Class   | GR              | GR          | FED            | FED            | OTHER            | OTHER       | TOTAL          | TOTAL        | One-Time         |
| Transfers   |                 |             |                |                | 300,000          |             | 300,000        |              |                  |
| Total TRF   | 0               |             | 0              |                | 300,000          |             | 300,000        |              | 0                |
|   | Ũ               |             | Ŭ              |                | 500,000          |             | 000,000        |              | v                |
| Grand Total   | 0               | 0           | 0              | 0              | 300,000          | 0           | 300,000        | 0            | 0                |
|   |                 |             |                |                |                  |             | ·              |              |                  |
|   |                 |             |                |                |                  |             |                |              |                  |
|   |                 |             |                |                |                  |             |                |              |                  |

|                           | NEW DECIS  | SION ITEM   |         |  |
|---------------------------|--|-------------|---------|--|
|                           | RANK: 4  | _           | OF      | 5  |
| -                         | nt of Commerce and Insurance   |             |         | Budget Unit 42520C   |
| Division of<br>Residentia | f Finance<br>al Mortgage Licensing Fund Transfer Increase DI# 137500 | <u>)1</u>   |         | HB Section 7.440   |
| 6. PERFO                  | RMANCE MEASURES (If new decision item has an associated core         | e, separate | ely ide | entify projected performance with & without additional funding.)       |
| 6a.                       | Provide an activity measure(s) for the program.                      | 6b.         |         | Provide a measure(s) of the program's quality.                         |
|                           | For performance measures, see Division of Finance program descript   | tion.       |         | For performance measures, see Division of Finance program description. |
| 6c.                       | Provide a measure(s) of the program's impact.                        | 6d.         |         | Provide a measure(s) of the program's efficiency.                      |
|                           | For performance measures, see Division of Finance program descript   | tion.       |         | For performance measures, see Division of Finance program description. |
| 7. STRATE                 | EGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TARGE                   | TS:         |         |  |
| Continue                  | e to license and examine mortgage brokers and MLOs.                  |             |         |  |

| DCI                                     |         |         |         |         |           | [        | DECISION ITI | EM DETAIL |
|---|---------|---------|---------|---------|-----------|----------|--------------|-----------|
| Budget Unit                             | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022   | FY 2022  | FY 2022      | FY 2022   |
| Decision Item                           | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC      | GOV REC   |
| Budget Object Class                     | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR    | FTE      | DOLLAR       | FTE       |
| RESIDENTAL MORTGAGE FUND TRF            |         |         |         |         |           |          |              |           |
| Residential Mortgage Fund Trf - 1375001 |         |         |         |         |           |          |              |           |
| TRANSFERS OUT                           | 0       | 0.00    | 0       | 0.00    | 300,000   | 0.00     | 300,000      | 0.00      |
| TOTAL - TRF                             | 0       | 0.00    | 0       | 0.00    | 300,000   | 0.00     | 300,000      | 0.00      |
| GRAND TOTAL                             | \$0     | 0.00    | \$0     | 0.00    | \$300,000 | 0.00     | \$300,000    | 0.00      |
| GENERAL REVENUE                         | \$0     | 0.00    | \$0     | 0.00    | \$0       | 0.00     | \$0          | 0.00      |
| FEDERAL FUNDS                           | \$0     | 0.00    | \$0     | 0.00    | \$0       | 0.00     | \$0          | 0.00      |
| OTHER FUNDS                             | \$0     | 0.00    | \$0     | 0.00    | \$300,000 | 0.00     | \$300,000    | 0.00      |

|                               | ommerce and Insur                               | ance         |                |               | Budget Unit    | 42540C             |             |                |                 |
|-------------------------------|---|--------------|----------------|---------------|----------------|--------------------|-------------|----------------|-----------------|
| Division of Finar             |   |              |                |               |                |                    |             |                |                 |
| Core - Savings a              | and Loan Supervisi                              | on Fund Tr   | ansfer to Ge   | neral Revenue | HB Section     | 7.445              |             |                |                 |
| . CORE FINAN                  | CIAL SUMMARY                                    |              |                |               |                |                    |             |                |                 |
|                               | FY 2  | 022 Budge    | t Request      |               |                | FY 2022 Go         | vernor's R  | ecommendat     | tion            |
|                               | GR F  | ederal       | Other          | Total         |                | GR F               | ederal      | Other          | Total           |
| PS                            | 0   | 0            | 0              | 0             | PS             | 0                  | 0           | 0              | 0               |
| EE                            | 0   | 0            | 0              | 0             | EE             | 0                  | 0           | 0              | 0               |
| PSD                           | 0   | 0            | 0              | 0             | PSD            | 0                  | 0           | 0              | 0               |
| TRF                           | 0   | 0            | 50,000         | 50,000        | TRF            | 0                  | 0           | 50,000         | 50,000          |
| Total                         | 0   | 0            | 50,000         | 50,000        | Total          | 0                  | 0           | 50,000         | 50,000          |
| FTE                           | 0.00  | 0.00         | 0.00           | 0.00          | FTE            | 0.00               | 0.00        | 0.00           | 0.00            |
| Est. Fringe                   | 0   | 0            | 0              | 0             | Est. Fringe    | 0                  | 0           | 0              | 0               |
|                               | dgeted in House Bill                            | 5 except for | -              |               |                | budgeted in House  | Bill 5 exce | pt for certain | fringes         |
| •                             | to MoDOT, Highway                               |              | •              |               |                | ly to MoDOT, Higi  |             | •              | -               |
| Other Funds:<br>2. CORE DESCR | Division of Savings                             |              | Supervision Fu | na (0549)     | Other Funds: D | ivision of Savings | and Loan S  | Supervision FL | ina (0549)      |
|                               | fer is in accordance w<br>which exceeds five pe |              |                |               |                |                    |             |                | n Fund at the o |
|                               |   |              |                |               |                |                    |             |                |                 |
| 3. PROGRAM LI                 | STING (list program                             | ns included  | I in this core | funding)      |                |                    |             |                |                 |
|                               | STING (list program                             |              |                |               |                |                    |             |                |                 |
|                               |   |              |                |               |                |                    |             |                |                 |
|                               |   |              |                |               |                |                    |             |                |                 |

| Department of Commerce and Ir                          | nsurance          |                   |                   |                        | Budget Unit | 42540C |              |                  |         |
|--|-------------------|-------------------|-------------------|------------------------|-------------|--------|--------------|------------------|---------|
| Division of Finance                                    |                   |                   |                   |                        | _           |        |              |                  |         |
| Core - Savings and Loan Super                          | vision Fund       | Fransfer to G     | eneral Reve       | enue                   | HB Section  | 7.445  | _            |                  |         |
| 4. FINANCIAL HISTORY                                   |                   |                   |                   |                        |             |        |              |                  |         |
|  | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actu   | ial Expendit | ures (All Funds) |         |
| Appropriation (All Funds)<br>Less Reverted (All Funds) | 50,000<br>0       | 50,000<br>0       | 50,000<br>0       | 50,000<br>0            | 1           |        |              |                  |         |
| Less Restricted (All Funds)                            | 0                 | 0                 | 0                 | 0                      | 1           |        |              |                  |         |
| Budget Authority (All Funds)                           | 50,000            | 50,000            | 50,000            | 50,000                 | 1           |        |              |                  |         |
| Actual Expenditures (All Funds)                        | 0                 | 0                 | 0                 | N/A                    | 1           |        |              |                  |         |
| Unexpended (All Funds)                                 | 50,000            | 50,000            | 50,000            | N/A                    | 1           |        |              |                  |         |
| Unexpended, by Fund:                                   |                   |                   |                   |                        | 0           |        |              |                  |         |
| General Revenue  | 0                 | 0                 | 0                 | N/A                    | 0           |        |              |                  |         |
| Federal  | 0                 | 0                 | 0                 | N/A                    |             |        |              |                  |         |
| Other  | 50,000            | 50,000            | 50,000            | N/A                    | 0           | 0      |              | 0                | 0       |
|  | (1)               | (2)               | (3)               |                        | 0           | FY 20  | 18           | FY 2019          | FY 2020 |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

#### NOTES:

(1) No transfer required for FY 2018.

(2) No transfer required for FY 2019.

(3) No transfer required for FY 2020.

#### CORE RECONCILIATION DETAIL

#### DEPT OF COMMERCE AND INSURANCE

S&L FUND TRANSFER TO GR

#### 5. CORE RECONCILIATION DETAIL

|                         | Budget |      |    |        |   |        |        |     |
|-------------------------|--------|------|----|--------|---|--------|--------|-----|
|                         | Class  | FTE  | GR | Federa |   | Other  | Total  | Ехр |
| TAFP AFTER VETOES       |        |      |    |        |   |        |        |     |
|                         | TRF    | 0.00 | (  |        | 0 | 50,000 | 50,000 |     |
|                         | Total  | 0.00 | C  |        | 0 | 50,000 | 50,000 | -   |
| DEPARTMENT CORE REQUEST |        |      |    |        |   |        |        |     |
|                         | TRF    | 0.00 | C  | )      | 0 | 50,000 | 50,000 |     |
|                         | Total  | 0.00 | C  |        | 0 | 50,000 | 50,000 | -   |
| GOVERNOR'S RECOMMENDED  | CORE   |      |    |        |   |        |        | -   |
|                         | TRF    | 0.00 | (  |        | 0 | 50,000 | 50,000 | )   |
|                         | Total  | 0.00 | C  |        | 0 | 50,000 | 50,000 |     |

| DCI                            |         |         |          |         |          | DEC      | ISION ITEM | SUMMARY |
|--------------------------------|---------|---------|----------|---------|----------|----------|------------|---------|
| Budget Unit                    |         |         |          |         |          |          |            |         |
| Decision Item                  | FY 2020 | FY 2020 | FY 2021  | FY 2021 | FY 2022  | FY 2022  | FY 2022    | FY 2022 |
| Budget Object Summary          | ACTUAL  | ACTUAL  | BUDGET   | BUDGET  | DEPT REQ | DEPT REQ | GOV REC    | GOV REC |
| Fund                           | DOLLAR  | FTE     | DOLLAR   | FTE     | DOLLAR   | FTE      | DOLLAR     | FTE     |
| S&L FUND TRANSFER TO GR        |         |         |          |         |          |          |            |         |
| CORE                           |         |         |          |         |          |          |            |         |
| FUND TRANSFERS                 |         |         |          |         |          |          |            |         |
| DIV SAVINGS & LOAN SUPERVISION |         | 0 0.00  | 50,000   | 0.00    | 50,000   | 0.00     | 50,000     | 0.00    |
| TOTAL - TRF                    |         | 0 0.00  | 50,000   | 0.00    | 50,000   | 0.00     | 50,000     | 0.00    |
| TOTAL                          |         | 0.00    | 50,000   | 0.00    | 50,000   | 0.00     | 50,000     | 0.00    |
| GRAND TOTAL                    | \$      | 60 0.00 | \$50,000 | 0.00    | \$50,000 | 0.00     | \$50,000   | 0.00    |

| DCI                             |                   |                   |                   |                   |                     | 0                   | <b>DECISION ITE</b> | EM DETAIL          |
|---------------------------------|-------------------|-------------------|-------------------|-------------------|---------------------|---------------------|---------------------|--------------------|
| Budget Unit<br>Decision Item    | FY 2020<br>ACTUAL | FY 2020<br>ACTUAL | FY 2021<br>BUDGET | FY 2021<br>BUDGET | FY 2022<br>DEPT REQ | FY 2022<br>DEPT REQ | FY 2022<br>GOV REC  | FY 2022<br>GOV REC |
| Budget Object Class             | DOLLAR            | FTE               | DOLLAR            | FTE               | DOLLAR              | FTE                 | DOLLAR              | FTE                |
| S&L FUND TRANSFER TO GR<br>CORE |                   |                   |                   |                   |                     |                     |                     |                    |
| TRANSFERS OUT                   | 0                 | 0.00              | 50,000            | 0.00              | 50,000              | 0.00                | 50,000              | 0.00               |
| TOTAL - TRF                     | 0                 | 0.00              | 50,000            | 0.00              | 50,000              | 0.00                | 50,000              | 0.00               |
| GRAND TOTAL                     | \$0               | 0.00              | \$50,000          | 0.00              | \$50,000            | 0.00                | \$50,000            | 0.00               |
| GENERAL REVENUE                 | \$0               | 0.00              | \$0               | 0.00              | \$0                 | 0.00                | \$0                 | 0.00               |
| FEDERAL FUNDS                   | \$0               | 0.00              | \$0               | 0.00              | \$0                 | 0.00                | \$0                 | 0.00               |
| OTHER FUNDS                     | \$0               | 0.00              | \$50,000          | 0.00              | \$50,000            | 0.00                | \$50,000            | 0.00               |

|  |   | PROGRAM D                                | ESCRIPTION   |                               |
|--|---|--|--|-------------------------------|
| Department of Commer   | ce and Insurance  |  | HB Section(s)  | ): 7.445                      |
|  | ervision Fund Transfer to (                                       |  | <br>sion Fund Transfer to General Revenu   |                               |
|  |   | · · ·                                    | sion Fund Transfer to General Revent   |                               |
|  | rity does this program add<br>ance program description.           | iress ?                                  |  |                               |
| 1b. What does this pro   | gram do?  |  |  |                               |
|  | ive percent of the amount as                                      |  | the Savings and Loan Supervision Fund<br>associations can be transferred to Genera |                               |
| -  | measure(s) for the progra<br>easures, see Division of Fin         |  | <b>2b. Provide a measure(s) of the pro</b><br>For performance measures, see Divis  |                               |
|  | <b>(s) of the program's impac</b><br>easures, see Division of Fin |  | <b>2d. Provide a measure(s) of the pro</b><br>For performance measures, see Divis  |                               |
| 3. Provide actual exper<br>fringe benefit costs.)  | nditures for the prior three                                      | fiscal years and planned e               | xpenditures for the current fiscal year.   | (Note: Amounts do not include |
|  |   | Program Expend                           | liture History   |                               |
| 40,000   |   |  |  |                               |
| 20,000   |   |  |  |                               |
| 10,000   | 0 0   | 0 0                                      | 0 0  | 0 0                           |
| 0  | FY 2018 Actual  | FY 2019 Actual<br>□GR <b>□</b> FEDERAL ■ | FY 2020 Actual<br>■OTHER ■TOTAL  | FY 2021 Planned               |
| 4. What are the source   | s of the "Other " funds?  |  |  |                               |
| Division of Savings  | and Loan Supervision Fund   | (0549)                                   |  |                               |
| 5. What is the authorization of the second sec | ation for this program, i.e.,                                     | federal or state statute, etc            | c.? (Include the federal program numb  | per, if applicable.)          |
| State Statute: Secti   |   | , i                                      |  | · · · · ·                     |
| 6. Are there federal ma  | tching requirements? If ye  | es, please explain.                      |  |                               |
| N/A  |   |  |  |                               |
| 7. Is this a federally ma  | ndated program? If yes, p   | olease explain.                          |  |                               |
| No   | , <b>5</b> - , ,  | •  |  |                               |
|  |   | 13                                       | 20   |                               |

| Department of Co                           | mmerce and Ins    | surance        |               |           | Budget Unit    | 42640C                            |                |               |           |  |
|--|-------------------|----------------|---------------|-----------|----------------|-----------------------------------|----------------|---------------|-----------|--|
| Professional Regi                          | stration          |                |               |           |                |                                   |                |               |           |  |
| Core - Profession                          | al Registration / | Administrati   | ion           |           | HB Section     | 7.450                             |                |               |           |  |
| 1. CORE FINANC                             | AL SUMMARY        |                |               |           |                |                                   |                |               |           |  |
|  | FY                | ′ 2022 Budg    | et Request    |           |                | FY 2022                           | Governor's     | Recommend     | ation     |  |
|  | GR                | Federal        | Other         | Total     |                | GR                                | Federal        | Other         | Total     |  |
| PS   | 0                 | 0              | 3,900,481     | 3,900,481 | PS             | 0                                 | 0              | 3,900,481     | 3,900,481 |  |
| EE   | 0                 | 0              | 2,067,572     | 2,067,572 | EE             | 0                                 | 0              | 2,067,572     | 2,067,572 |  |
| PSD  | 0                 | 0              | 125,000       | 125,000   | PSD            | 0                                 | 0              | 125,000       | 125,000   |  |
| TRF  | 0                 | 0              | 0             | 0         | TRF            | 0                                 | 0              | 0             | 0         |  |
| Total                                      | 0                 | 0              | 6,093,053     | 6,093,053 | Total          | 0                                 | 0              | 6,093,053     | 6,093,053 |  |
| FTE  | 0.00              | 0.00           | 90.00         | 90.00     | FTE            | 0.00                              | 0.00           | 90.00         | 90.00     |  |
| Est. Fringe                                | 0                 | 0              | 2,556,159     | 2,556,159 | Est. Fringe    | 0                                 | 0              | 2,556,159     | 2,556,159 |  |
| Note: Fringes budg<br>budgeted directly to |                   | •              |               | -         | •              | budgeted in Ho<br>tly to MoDOT, I |                | •             | -         |  |
| Other Funds:                               | Professional Reg  | jistration Fee | es Fund (0689 | 9)        | Other Funds: F | Professional Re                   | gistration Fee | es Fund (0689 | )         |  |

#### 2. CORE DESCRIPTION

This core ensures the Division of Professional Registration Administration can continue to provide the accounting, budgeting, fee collection, building maintenance, and other various services to the boards and commissions which regulate professions within Missouri.

In addition, this core ensures the statutory regulation of boxers, wrestlers, martial arts, amateur kickboxing, professional mixed martial arts, amateur mixed martial arts, judges, referees, timekeepers, announcers, promoters, athlete agents, acupuncturists, behavior analysts, dietitians, endowed care cemeteries, electrical contractors, geologists, hearing instrument specialists, interior designers, interpreters, marital and family therapists, massage therapists, occupational therapists, private investigators, private fire investigators, professional counselors, psychologists, real estate appraisers, respiratory therapists, social workers, tattoo artists, branders, and body piercers licensed in Missouri will continue.

Finally, this core includes funding for board personnel and board member per diem for the following boards: Chiropractic, Cosmetology & Barbers, Embalmers & Funeral Directors, Optometry, Podiatry, and Veterinary.

| Department of Commerce and Insurance                     | Budget Unit 42640C                                 |   |
|--|--|---|
| Professional Registration                                |  | —   |
| Core - Professional Registration Administration          | HB Section 7.450                                   |   |
| 3. PROGRAM LISTING (list programs included in this c     | ore funding)                                       |   |
| Professional Registration Administration                 | Missouri Board of Geologist Registration           | Board of Private Investigator and Private     |
| Missouri Acupuncturist Advisory Committee                | Missouri Board of Examiners for Hearing Instrument | Fire Investigator Examiners                   |
| Missouri Office of Athlete Agents                        | Specialists  | Committee for Professional Counselors         |
| Office of Athletics                                      | Interior Design Council                            | State Committee of Psychologists              |
| Missouri State Board of Chiropractic Examiners (PS Only) | Missouri State Committee of Interpreters           | Missouri Real Estate Appraisers Commission    |
| Board of Cosmetology & Barbers Examiners(PS Only)        | State Committee for Marital & Family Therapists    | Missouri Board for Respiratory Care           |
| State Committee for Dietitians                           | Missouri Board of Occupational Therapy             | State Committee for Social Workers            |
| Office of Statewide Electrical Contractors               | State Board of Optometry (PS Only)                 | Office of Tattooing, Body Piercing & Branding |
| State Board of Embalmers & Funeral Directors (PS Only)   | State Board of Podiatric Medicine (PS Only)        | Board of Therapeutic Massage                  |
| Office of Endowed Care Cemeteries                        |  | Missouri Veterinary Medical Board (PS Only)   |

#### 4. FINANCIAL HISTORY

|                                 | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |           | Actual Expe | nditures (All Funds) |           |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|-----------|-------------|----------------------|-----------|
| Appropriation (All Funds)       | 4,883,226         | 5,847,906         | 6,022,991         | 6,093,053              | 6,000,000 |             |                      |           |
| ess Reverted (All Funds)        | 0                 | 0                 | 0                 | 0                      | 0,000,000 |             |                      |           |
| ess Restricted (All Funds)      | 0                 | 0                 | 0                 | 0                      |           |             |                      |           |
| Budget Authority (All Funds)    | 4,883,226         | 5,847,906         | 6,022,991         | 6,093,053              |           |             |                      |           |
| Actual Expenditures (All Funds) | 4,174,303         | 4,469,185         | 4,473,441         | N/A                    | 5,000,000 |             |                      |           |
| Jnexpended (All Funds)          | 708,923           | 1,378,721         | 1,549,550         | N/A                    |           |             | 4,469,185            | 4,473,441 |
| Jnexpended, by Fund:            |                   |                   |                   |                        | 4,000,000 | 4,174,303   |                      |           |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    | 4,000,000 |             |                      |           |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |           |             |                      |           |
| Other                           | 708,923           | 1,378,721         | 1,549,550         | N/A                    |           |             |                      |           |
|                                 | (1)               | (2)               | (3)               |                        | 3,000,000 | FY 2018     | FY 2019              | FY 2020   |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

# NOTES:

(1) Unexpended amount is due to staff turnover, and less than anticipated expenditures.

(2) Unexpended amount is due to staff turnover, less than anticipated expenditures including the National Registry for Real Estate Appraisers (\$133,920 of \$900,000 expended).

(3) Unexpended amount is due to staff turnover, less than anticipated expenditures including the National Registry for Real Estate Appraisers (\$145,895 of \$900,000 expended).

#### DEPT OF COMMERCE AND INSURANCE

**PR ADMINISTRATION** 

#### 5. CORE RECONCILIATION DETAIL

|                         | Budget<br>Class | FTE   | GR | Federal | Other                 | Total                 | I |
|-------------------------|-----------------|-------|----|---------|-----------------------|-----------------------|---|
| TAFP AFTER VETOES       |                 |       |    |         |                       |                       |   |
|                         | PS              | 90.00 | (  | ) 0     | 3,900,48 <sup>-</sup> | 3,900,48 <sup>,</sup> | 1 |
|                         | EE              | 0.00  | (  |         |                       |                       |   |
|                         | PD              | 0.00  | (  | ) 0     |                       |                       |   |
|                         | Total           | 90.00 | (  | ) 0     | 6,093,053             | 6,093,05              | 3 |
| DEPARTMENT CORE REQUEST |                 |       |    |         |                       |                       | _ |
|                         | PS              | 90.00 | (  | ) 0     | 3,900,48 <sup>,</sup> | 3,900,48              | 1 |
|                         | EE              | 0.00  | (  | ) 0     | 2,067,572             | 2,067,572             | 2 |
|                         | PD              | 0.00  | (  | ) 0     | 125,000               | ) 125,000             | ) |
|                         | Total           | 90.00 |    | ) 0     | 6,093,053             | 6,093,053             | 3 |
| GOVERNOR'S RECOMMENDED  | CORE            |       |    |         |                       |                       | _ |
|                         | PS              | 90.00 | (  | ) 0     | 3,900,48              | 3,900,48              | 1 |
|                         | EE              | 0.00  | (  | ) 0     | 2,067,572             | 2,067,572             | 2 |
|                         | PD              | 0.00  | (  | ) 0     | 125,000               | ) 125,000             | ) |
|                         | Total           | 90.00 | (  | ) 0     | 6,093,05              | 6,093,05              | 3 |

| DCI                            |             |         |             |         |             | DEC      | ISION ITEM  | SUMMAR  |
|--------------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Budget Unit                    |             |         |             |         |             |          |             |         |
| Decision Item                  | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary          | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund                           | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| PR ADMINISTRATION              |             |         |             |         |             |          |             |         |
| CORE                           |             |         |             |         |             |          |             |         |
| PERSONAL SERVICES              |             |         |             |         |             |          |             |         |
| PROFESSIONAL REGISTRATION FEES | 3,584,629   | 91.19   | 3,900,481   | 90.00   | 3,900,481   | 90.00    | 3,900,481   | 90.00   |
| TOTAL - PS                     | 3,584,629   | 91.19   | 3,900,481   | 90.00   | 3,900,481   | 90.00    | 3,900,481   | 90.00   |
| EXPENSE & EQUIPMENT            |             |         |             |         |             |          |             |         |
| PROFESSIONAL REGISTRATION FEES | 857,550     | 0.00    | 2,067,572   | 0.00    | 2,067,572   | 0.00     | 2,067,572   | 0.00    |
| TOTAL - EE                     | 857,550     | 0.00    | 2,067,572   | 0.00    | 2,067,572   | 0.00     | 2,067,572   | 0.00    |
| PROGRAM-SPECIFIC               |             |         |             |         |             |          |             |         |
| PROFESSIONAL REGISTRATION FEES | 31,262      | 0.00    | 125,000     | 0.00    | 125,000     | 0.00     | 125,000     | 0.00    |
| TOTAL - PD                     | 31,262      | 0.00    | 125,000     | 0.00    | 125,000     | 0.00     | 125,000     | 0.00    |
| TOTAL                          | 4,473,441   | 91.19   | 6,093,053   | 90.00   | 6,093,053   | 90.00    | 6,093,053   | 90.00   |
| Pay Plan - 0000012             |             |         |             |         |             |          |             |         |
| PERSONAL SERVICES              |             |         |             |         |             |          |             |         |
| PROFESSIONAL REGISTRATION FEES | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 39,002      | 0.00    |
| TOTAL - PS                     | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 39,002      | 0.00    |
| TOTAL                          | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 39,002      | 0.00    |
| GRAND TOTAL                    | \$4,473,441 | 91.19   | \$6,093,053 | 90.00   | \$6,093,053 | 90.00    | \$6,132,055 | 90.00   |

# FLEXIBILITY REQUEST FORM

| BUDGET UNIT NUMBER:  | 42640C               |                                     | DEPARTMENT:          | Commerce and Insurance  |
|--|----------------------|-------------------------------------|----------------------|---|
| BUDGET UNIT NAME:  |                      | gistration Administration           |                      |   |
| HOUSE BILL SECTION:  | 7.450                |                                     | DIVISION:            | Professional Registration   |
| -  | -                    | -                                   | -                    | expense and equipment flexibility you are requesting in   |
| dollar and percentage terms<br>fund of flexibility you are req           |                      | •                                   | -                    | g requested among divisions, provide the amount by flexibility is needed.                                       |
|  |                      | DEPARTME                            | NT REQUEST           |   |
|  |                      |                                     |                      | xpense and Equipment appropriations in fund 0689<br>immediately address any identified operational needs due to |
| Total PS - \$3,900,481 * 5% = \$19<br>Total EE - \$2,067,572 * 5% = \$10 |                      |                                     |                      |   |
| 2. Estimate how much flexib<br>Budget? Please specify the                | •                    | d for the budget year. How          | much flexibility w   | ras used in the Prior Year Budget and the Current Year  |
|  |                      |                                     |                      | BUDGET REQUEST  |
| PRIOR YEAR<br>ACTUAL AMOUNT OF FLEX                                      | IBILITY USED         | ESTIMATED AMO<br>FLEXIBILITY THAT W |                      | ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED  |
| \$0  |                      | The division has 5% flex in a       | current year budget. | The division will use flexibility only if necessary.  |
| 3. Please explain how flexibility  | / was used in the    | prior and/or current years.         |                      | •   |
|  |                      |                                     |                      |   |
| EXP  | PRIOR YEAR           | SE                                  |                      | CURRENT YEAR<br>EXPLAIN PLANNED USE   |
| Flexibility wa   | as not used in the p | prior year.                         | т                    | he division will use flexibility only if necessary.   |

| DCI<br>Budget Unit             | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022 | FY 2022 |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR  | FTE     |
|                                | DULLAR  | FIE     | DULLAR  | FIE     | DOLLAR   | FIE      | DOLLAR  | FIE     |
| PR ADMINISTRATION              |         |         |         |         |          |          |         |         |
| CORE                           |         |         |         |         |          |          |         |         |
| ADMIN OFFICE SUPPORT ASSISTANT | 5,219   | 0.17    | 0       | 0.00    | 0        | 0.00     | 0       | 0.00    |
| SR OFFICE SUPPORT ASSISTANT    | 14,162  | 0.50    | 31,521  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| PRINTING/MAIL TECHNICIAN III   | 25,664  | 0.85    | 29,500  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| PROCUREMENT OFCR I             | 22,974  | 0.58    | 0       | 0.00    | 0        | 0.00     | 0       | 0.00    |
| BUDGET ANAL II                 | 50,501  | 1.00    | 51,714  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| ACCOUNTING CLERK               | 32,222  | 1.02    | 33,576  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| ACCOUNTING GENERALIST I        | 89,084  | 2.58    | 106,046 | 3.00    | 0        | 0.00     | 0       | 0.00    |
| ACCOUNTING SUPERVISOR          | 21,350  | 0.50    | 48,482  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| RESEARCH ANAL II               | 41,481  | 1.00    | 42,900  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| PUBLIC INFORMATION SPEC II     | 41,564  | 1.00    | 42,963  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| EXECUTIVE I                    | 31,111  | 0.82    | 78,888  | 2.00    | 0        | 0.00     | 0       | 0.00    |
| PERSONNEL CLERK                | 33,909  | 1.00    | 34,890  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| INVESTIGATOR I                 | 134,287 | 3.84    | 108,046 | 3.00    | 0        | 0.00     | 0       | 0.00    |
| INVESTIGATOR II                | 91,185  | 2.17    | 87,452  | 2.00    | 0        | 0.00     | 0       | 0.00    |
| INVESTIGATOR III               | 31,912  | 0.70    | 46,300  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| INSURANCE FINANCIAL ANAL SPEC  | 50,236  | 1.00    | 51,828  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| INSURANCE FINANCIAL ANALYST II | 84,210  | 2.00    | 93,369  | 3.00    | 0        | 0.00     | 0       | 0.00    |
| INSPECTOR (PROF REGISTRATION)  | 364,145 | 11.00   | 375,336 | 11.00   | 0        | 0.00     | 0       | 0.00    |
| INSP SUPV (PROF REGISTRATION)  | 36,840  | 1.00    | 37,989  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| FUNERAL ESTABLISHMENT INSP     | 0       | 0.00    | 43,904  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| PROF REG ADMSTV COOR           | 107,870 | 2.49    | 88,726  | 2.00    | 0        | 0.00     | 0       | 0.00    |
| FISCAL & ADMINISTRATIVE MGR B1 | 138,433 | 2.09    | 68,648  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| FISCAL & ADMINISTRATIVE MGR B2 | 0       | 0.00    | 69,625  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| INVESTIGATION MGR B1           | 56.685  | 1.00    | 59,275  | 1.00    | 0        | 0.00     | 0       | 0.00    |
| PROCESSING TECHNICIAN I        | 39,715  | 1.49    | 83,556  | 3.00    | 0        | 0.00     | 0       | 0.00    |
| PROCESSING TECHNICIAN II       | 639,107 | 21.52   | 633,024 | 22.00   | 0        | 0.00     | 0       | 0.00    |
| PROCESSING TECHNICIAN III      | 166,347 | 5.00    | 169,774 | 5.00    | 0        | 0.00     | 0       | 0.0     |
| PROCESSING TECHNICIAN SUPV     | 82,843  | 2.25    | 75,935  | 2.00    | 0        | 0.00     | 0       | 0.0     |
| DIVISION DIRECTOR              | 31,736  | 0.28    | 118,839 | 1.00    | 118,839  | 1.00     | 118,839 | 1.00    |
| DESIGNATED PRINCIPAL ASST DIV  | 133,578 | 2.06    | 140,757 | 3.00    | 143,757  | 3.00     | 143,757 | 3.00    |
| LEGAL COUNSEL                  | 130,007 | 2.00    | 131,036 | 2.00    | 134,036  | 2.00     | 134,036 | 2.00    |
| BOARD MEMBER                   | 48,593  | 3.50    | 57,325  | 0.00    | 57,325   | 0.00     | 57,325  | 0.0     |

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| DCI<br>Budget Unit             | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022   | FY 2022 |
|--------------------------------|-----------|---------|-----------|---------|-----------|----------|-----------|---------|
| Decision Item                  | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |
| Budget Object Class            | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |
| PR ADMINISTRATION              |           |         |           |         |           |          |           |         |
| CORE                           |           |         |           |         |           |          |           |         |
| CLERK                          | 52,362    | 1.75    | 58,204    | 0.00    | 58,204    | 0.00     | 58,204    | 0.00    |
| MISCELLANEOUS TECHNICAL        | 4,200     | 0.05    | 0         | 0.00    | 0         | 0.00     | 0         | 0.00    |
| INSPECTOR                      | 40,250    | 1.55    | 63,687    | 0.00    | 63,687    | 0.00     | 63,687    | 0.00    |
| CONSULTING PHYSICIAN           | 7,200     | 0.09    | 36,498    | 0.00    | 36,498    | 0.00     | 36,498    | 0.00    |
| SPECIAL ASST OFFICIAL & ADMSTR | 145,816   | 2.32    | 138,589   | 2.00    | 183,071   | 3.00     | 183,071   | 3.00    |
| PRINCIPAL ASST BOARD/COMMISSON | 557,831   | 9.02    | 562,279   | 9.00    | 516,832   | 8.00     | 516,832   | 8.00    |
| ADMIN SUPPORT ASSISTANT        | 0         | 0.00    | 0         | 0.00    | 32,000    | 1.00     | 32,000    | 1.00    |
| ADMIN SUPPORT PROFESSIONAL     | 0         | 0.00    | 0         | 0.00    | 131,944   | 3.00     | 131,944   | 3.00    |
| ADMINISTRATIVE MANAGER         | 0         | 0.00    | 0         | 0.00    | 68,648    | 1.00     | 68,648    | 1.00    |
| ASSOCIATE CUSTOMER SERVICE REP | 0         | 0.00    | 0         | 0.00    | 54,048    | 2.00     | 54,048    | 2.00    |
| CUSTOMER SERVICE REP           | 0         | 0.00    | 0         | 0.00    | 714,935   | 25.00    | 714,935   | 25.00   |
| LEAD CUSTOMER SERVICE REP      | 0         | 0.00    | 0         | 0.00    | 169,774   | 5.00     | 169,774   | 5.00    |
| CUSTOMER SERVICE SUPERVISOR    | 0         | 0.00    | 0         | 0.00    | 75,935    | 2.00     | 75,935    | 2.00    |
| ASSOC RESEARCH/DATA ANALYST    | 0         | 0.00    | 0         | 0.00    | 44,900    | 1.00     | 44,900    | 1.00    |
| SR PUBLIC RELATIONS SPECIALIST | 0         | 0.00    | 0         | 0.00    | 42,963    | 1.00     | 42,963    | 1.00    |
| AGENCY BUDGET ANALYST          | 0         | 0.00    | 0         | 0.00    | 51,714    | 1.00     | 51,714    | 1.00    |
| ACCOUNTS ASSISTANT             | 0         | 0.00    | 0         | 0.00    | 33,576    | 1.00     | 33,576    | 1.00    |
| SENIOR ACCOUNTS ASSISTANT      | 0         | 0.00    | 0         | 0.00    | 106,046   | 3.00     | 106,046   | 3.00    |
| ACCOUNTANT MANAGER             | 0         | 0.00    | 0         | 0.00    | 69,625    | 1.00     | 69,625    | 1.00    |
| PROCUREMENT ANALYST            | 0         | 0.00    | 0         | 0.00    | 39,631    | 1.00     | 39,631    | 1.00    |
| HUMAN RESOURCES ASSISTANT      | 0         | 0.00    | 0         | 0.00    | 34,890    | 1.00     | 34,890    | 1.00    |
| NON-COMMISSIONED INVESTIGATOR  | 0         | 0.00    | 0         | 0.00    | 178,724   | 5.00     | 178,724   | 5.00    |
| SR NON-COMMISSION INVESTIGATOR | 0         | 0.00    | 0         | 0.00    | 87,452    | 2.00     | 87,452    | 2.00    |
| NON-COMMSSN INVESTIGATOR SPV   | 0         | 0.00    | 0         | 0.00    | 47,300    | 1.00     | 47,300    | 1.00    |
| INVESTIGATIONS MANAGER         | 0         | 0.00    | 0         | 0.00    | 59,275    | 1.00     | 59,275    | 1.00    |
| REGULATORY INSPECTOR           | 0         | 0.00    | 0         | 0.00    | 370,336   | 11.00    | 370,336   | 11.00   |
| REGULATORY INSPECTOR SPV       | 0         | 0.00    | 0         | 0.00    | 37,989    | 1.00     | 37,989    | 1.00    |
| REGULATORY AUDITOR             | 0         | 0.00    | 0         | 0.00    | 84,699    | 2.00     | 84,699    | 2.00    |
| SENIOR REGULATORY AUDITOR      | 0         | 0.00    | 0         | 0.00    | 51,828    | 1.00     | 51,828    | 1.00    |
| TOTAL - PS                     | 3,584,629 | 91.19   | 3,900,481 | 90.00   | 3,900,481 | 90.00    | 3,900,481 | 90.00   |
| TRAVEL, IN-STATE               | 93,096    | 0.00    | 141,289   | 0.00    | 141,289   | 0.00     | 141,289   | 0.00    |
| TRAVEL, OUT-OF-STATE           | 29,577    | 0.00    | 53,979    | 0.00    | 53,979    | 0.00     | 53,979    | 0.00    |

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| DCI                            |             |         |             |         |             | C        | ECISION IT  | EM DETAIL |
|--------------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|-----------|
| Budget Unit                    | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                  | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class            | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE       |
| PR ADMINISTRATION              |             |         |             |         |             |          |             |           |
| CORE                           |             |         |             |         |             |          |             |           |
| FUEL & UTILITIES               | 0           | 0.00    | 1           | 0.00    | 1           | 0.00     | 1           | 0.00      |
| SUPPLIES                       | 128,192     | 0.00    | 152,166     | 0.00    | 152,166     | 0.00     | 152,166     | 0.00      |
| PROFESSIONAL DEVELOPMENT       | 192,623     | 0.00    | 952,000     | 0.00    | 952,000     | 0.00     | 952,000     | 0.00      |
| COMMUNICATION SERV & SUPP      | 54,886      | 0.00    | 54,995      | 0.00    | 54,995      | 0.00     | 54,995      | 0.00      |
| PROFESSIONAL SERVICES          | 205,186     | 0.00    | 574,146     | 0.00    | 574,146     | 0.00     | 574,146     | 0.00      |
| HOUSEKEEPING & JANITORIAL SERV | 225         | 0.00    | 0           | 0.00    | 0           | 0.00     | 0           | 0.00      |
| M&R SERVICES                   | 31,138      | 0.00    | 38,445      | 0.00    | 38,445      | 0.00     | 38,445      | 0.00      |
| MOTORIZED EQUIPMENT            | 0           | 0.00    | 1           | 0.00    | 1           | 0.00     | 1           | 0.00      |
| OFFICE EQUIPMENT               | 30,033      | 0.00    | 22,225      | 0.00    | 22,225      | 0.00     | 22,225      | 0.00      |
| OTHER EQUIPMENT                | 7,233       | 0.00    | 550         | 0.00    | 550         | 0.00     | 550         | 0.00      |
| PROPERTY & IMPROVEMENTS        | 46,272      | 0.00    | 25,050      | 0.00    | 25,050      | 0.00     | 25,050      | 0.00      |
| BUILDING LEASE PAYMENTS        | 6,550       | 0.00    | 18,250      | 0.00    | 18,250      | 0.00     | 18,250      | 0.00      |
| EQUIPMENT RENTALS & LEASES     | 5,633       | 0.00    | 2,800       | 0.00    | 2,800       | 0.00     | 2,800       | 0.00      |
| MISCELLANEOUS EXPENSES         | 26,906      | 0.00    | 31,675      | 0.00    | 31,675      | 0.00     | 31,675      | 0.00      |
| TOTAL - EE                     | 857,550     | 0.00    | 2,067,572   | 0.00    | 2,067,572   | 0.00     | 2,067,572   | 0.00      |
| REFUNDS                        | 31,262      | 0.00    | 125,000     | 0.00    | 125,000     | 0.00     | 125,000     | 0.00      |
| TOTAL - PD                     | 31,262      | 0.00    | 125,000     | 0.00    | 125,000     | 0.00     | 125,000     | 0.00      |
| GRAND TOTAL                    | \$4,473,441 | 91.19   | \$6,093,053 | 90.00   | \$6,093,053 | 90.00    | \$6,093,053 | 90.00     |
| GENERAL REVENUE                | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS                  | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                    | \$4,473,441 | 91.19   | \$6,093,053 | 90.00   | \$6,093,053 | 90.00    | \$6,093,053 | 90.00     |

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## **PROGRAM DESCRIPTION** Department of Commerce and Insurance HB Section(s): 7.450 Professional Registration Administration Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public

- Innovate to make it easier to connect and work with us
- Develop our team, reward great performance, and retain top talent

#### 1b. What does this program do?

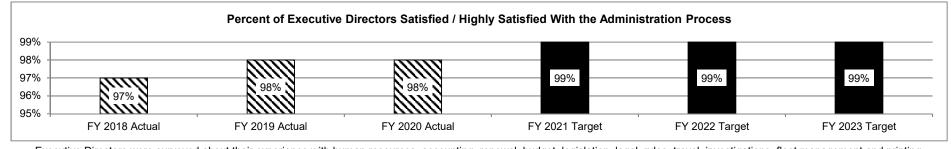
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- Provides administrative functions to the division boards and commissions such as; human resources, accounting, renewal processing, ٠ legislation coordination, legal support, administrative rule submissions, information technology coordination, board meeting scheduling and travel services, board appointments, and financial disclosure forms.
- Also includes the division's Central Investigative Unit for trained investigators and inspectors.
- The core appropriation for Professional Registration Administration includes funding for board personnel and board member per diem for the . following boards: Chiropractic, Cosmetology & Barber, Embalmers & Funeral Directors, Optometry, Podiatry and Veterinary.

#### 2a. Provide an activity measure(s) for the program.

|                                     | FY 2018          | FY 2019 | FY 2020* | FY 2021 | FY 2022 | FY 2023 |
|-------------------------------------|------------------|---------|----------|---------|---------|---------|
|                                     | Actual           | Actual  | Actual   | Target  | Target  | Target  |
| Licensed Professionals              | 485,681          | 492,900 | 507,254  | 507,254 | 507,254 | 507,254 |
| Board Members                       | 239              | 239     | 239      | 239     | 239     | 239     |
| Division Employees                  | 224              | 227     | 227      | 227     | 227     | 227     |
| Renewals Processed*                 | 212,850          | 262,491 | 202,581  | 225,974 | 225,974 | 225,974 |
| *FY 2020 Renewals down because of C | OVID-19 Pandemic |         |          |         |         |         |

#### 2b. Provide a measure(s) of the program's guality.

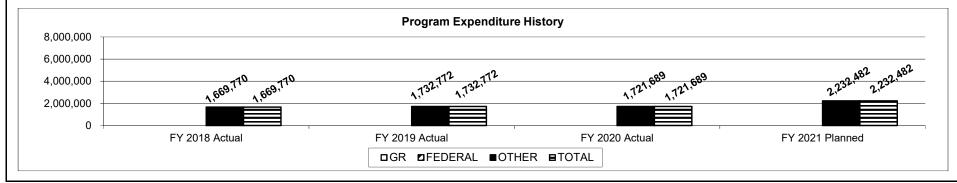


Executive Directors were surveyed about their experience with human resources, accounting, renewal, budget, legislation, legal, rules, travel, investigations, fleet management and printing.

| PROGRAM DESCRIPTION   |                        |                          |                |                       |                       |                       |
|---|------------------------|--------------------------|----------------|-----------------------|-----------------------|-----------------------|
| Department of Commerce and Insurance HB Section(s): 7.450   |                        |                          |                |                       |                       |                       |
|   | nal Registration Adm   |                          |                | <del>.</del> <i></i>  |                       |                       |
| Program is found in the following core budget(s): Professional Registration Administration<br>2c. Provide a measure(s) of the program's impact. |                        |                          |                |                       |                       |                       |
| Employee Turnover Rate  |                        |                          |                |                       |                       |                       |
| 17%<br>16%<br>15%   | 16%                    |                          | 16%            |                       |                       |                       |
| 14%   | FY 2018 Actual         | 15%<br>FY 2019 Actual    | FY 2020 Actual | 15%<br>FY 2021 Target | 15%<br>FY 2022 Target | 15%<br>FY 2023 Target |
| d. Prov   | ide a measure(s) of th | ne program's efficiency. |                |                       |                       |                       |
| Percentage of Renewals Processed Online   |                        |                          |                |                       |                       |                       |
| 100%<br>90%   |                        |                          |                |                       |                       |                       |
| 80%   | 79%                    | 89%                      | 86%            | 87%                   | 87%                   | 87%                   |
| /0% -   | FY 2018 Actual         | FY 2019 Actual           | FY 2020 Actual | FY 2021 Target        | FY 2022 Target        | FY 2023 Target        |

Note: The division is working to update our system to allow licensees to apply online, to more efficiently renew online, and to access application status, including changing addresses, etc.

# 3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



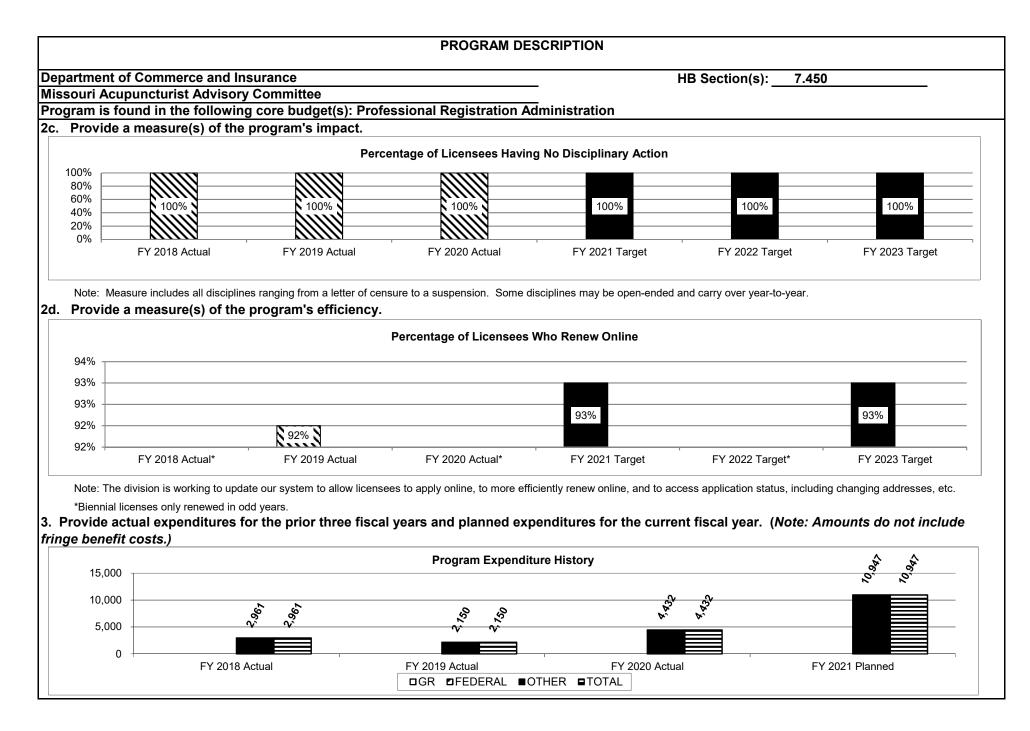
| PROGRAM DESCRIPTION   |   |  |  |  |  |
|---|---|--|--|--|--|
| Department of Commerce and Insurance  | HB Section(s): 7.450                            |  |  |  |  |
| Professional Registration Administration  |   |  |  |  |  |
| Program is found in the following core budget(s): Professional Registration Administ  | ration  |  |  |  |  |
| 4. What are the sources of the "Other " funds?  |   |  |  |  |  |
| Professional Registration Fee Fund (0689)   |   |  |  |  |  |
| <ol> <li>What is the authorization for this program, i.e., federal or state statute, etc.? (Inclu<br/>State Statute: Sections 324.001 - 324.045, RSMo.</li> </ol> | ude the federal program number, if applicable.) |  |  |  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>   |   |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No  |   |  |  |  |  |

#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.450 Missouri Acupuncturist Advisory Committee Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public . Innovate to make it easier to connect and work with us • Develop our team, reward great performance, and retain top talent • 1b. What does this program do? The Acupuncturist Advisory Committee is responsible for protecting the public from unlicensed, negligent, or incompetent treatment by an • acupuncturist. The Advisory Committee, in coordination with the State Board of Chiropractic Examiners, enforces licensure standards through the implementation of ٠ legislation and administrative regulation. Applications are reviewed by the Advisory Committee to ensure an individual is gualified, through education and examination or certification, to . provide acupuncture to Missouri consumers in a safe and sanitary manner. Complaints and corresponding investigations are reviewed by the Advisory Committee to determine if there is a violation of the law or regulations and ٠ make recommendations to the State Board of Chiropractic Examiners regarding complaints that warrant further action. 2a. Provide an activity measure(s) for the program. **FY 2018** FY 2019 FY 2020 FY 2021 FY 2022 FY 2023 Actual Actual Actual Target Target Target **Applications Received** 10 10 5 8\* 8\* 8\* Licensed Professionals 138 150 133 140\* 140\* 140\* \*Target reflects average in new licenses issued in the past three years. 2b. Provide a measure(s) of the program's guality.

| Percentage of Licensees Satisfied / Highly Satisfied With the Licensure Process |                 |                |                 |                |                 |                |
|---|-----------------|----------------|-----------------|----------------|-----------------|----------------|
| 85%   |                 |                |                 |                |                 |                |
| 80%   |                 |                |                 |                |                 |                |
| 75%   |                 | 80%            |                 |                |                 |                |
| 70%   |                 |                |                 |                |                 |                |
| 7078 -  | FY 2018 Actual* | FY 2019 Actual | FY 2020 Actual* | FY 2021 Target | FY 2022 Target* | FY 2023 Target |

\*Biennial licenses only renewed in odd years.

Licensees were surveyed about their experience with the committee's licensure procedures, customer service, website and communications.



| PROGRAM DESCRIPTION   |  |  |  |  |
|---|--|--|--|--|
| Department of Commerce and Insurance  | HB Section(s): 7.450                                 |  |  |  |
| Missouri Acupuncturist Advisory Committee   |  |  |  |  |
| Program is found in the following core budget(s): Professional Registration Adn   | ninistration   |  |  |  |
| 4. What are the sources of the "Other " funds?<br>Acupuncturist Fund (0882)   |  |  |  |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.? State Statute: Sections 324.475-324.635, RSMo. | (Include the federal program number, if applicable.) |  |  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>                                   |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No  |  |  |  |  |

| PROGRAM DESCRIPTION  |                   |                |                |                |                |                 |                    |  |
|--|-------------------|----------------|----------------|----------------|----------------|-----------------|--------------------|--|
| Department of Commerce and Insuran   | се                |                |                | _              | HE             | B Section(s):   | 7.450              |  |
| lissouri Office of Athlete Agents  |                   |                |                | -              |                | _               |                    |  |
| Program is found in the following core   | budget(s): Prof   | fessional Reg  | gistration Ad  | Iministration  |                |                 |                    |  |
| a. What strategic priority does this pr  | ogram address     | ?              |                |                |                |                 |                    |  |
|  | -                 |                | nloving field  | to protoct and | l advagata for | the general p   | ublia              |  |
| <ul> <li>Strengthen our regulatory relation</li> <li>Develop our team, reward great</li> </ul> |                   |                |                | to protect and |                | the general pu  |                    |  |
| <ul> <li>Innovate to make it easier to cor</li> </ul>  |                   |                |                |                |                |                 |                    |  |
|  |                   |                |                |                |                |                 |                    |  |
|  |                   |                |                |                |                |                 |                    |  |
| b. What does this program do?  |                   |                |                |                |                |                 |                    |  |
| The Missouri Office of Athlete Age   | nents regulates i | ndividuals tha | at negotiate w | ith professior | al sports tea  | ms on behalf of | f student athletes |  |
| Licenses athlete agents to ensure  |                   |                |                |                |                |                 |                    |  |
| Determines discipline of licensee  |                   |                |                | nd take corre  | ctive measure  | es.             |                    |  |
|  |                   |                |                |                |                |                 |                    |  |
|  |                   |                |                |                |                |                 |                    |  |
|  |                   |                |                |                |                |                 |                    |  |
| a. Provide an activity measure(s) for  | the program.      |                |                |                |                |                 |                    |  |
|  |                   |                |                |                |                |                 |                    |  |
|  | FY 2018           | FY 2019        | FY 2020        | FY 2021        | FY 2022        | FY 2023         |                    |  |
|  | Actual            | Actual         | Actual         | Target         | Target         | Target          |                    |  |
| Applications Ressived  | 38                | 18             | 13             | 15             | 15             | 15              |                    |  |
| Applications Received<br>Licensed Professionals  | 74                | 89             | 58             | 62             | 62             | 62              |                    |  |

|                           |                 | Percentage of Li | censees Satisfied / Highly | Satisfied With the Licensu | ire Process     |                |
|---------------------------|-----------------|------------------|----------------------------|----------------------------|-----------------|----------------|
| 100%<br>80%<br>60%<br>40% |                 | 100%             |                            | 100%                       |                 | 100%           |
| 20%<br>0%                 | FY 2018 Actual* | FY 2019 Actual   | FY 2020 Actual*            | FY 2021 Target             | FY 2022 Target* | FY 2023 Target |

\*Biennial licenses only renewed in odd years. Licensees were surveyed about their experience with the office's licensure procedures, customer service, website and communications.

|  |   |   | PROGRAM DE  |                                 |   |                             |
|--|---|---|---|---------------------------------|---|-----------------------------|
| epartme                                  | ent of Commerce and In  | surance   |   |                                 | HB Section(s): 7.45   | 0                           |
| issouri                                  | Office of Athlete Agents  | \$  |   | -                               |   |                             |
|  | is found in the following   | z <u>z</u> i i  | essional Registration A   | Iministration                   |   |                             |
| c. Provi                                 | ide a measure(s) of the   | program's impact.   |   |                                 |   |                             |
|  |   | Perc  | centage of Licensees Havin  | g No Disciplinary Action        |   |                             |
| 100%<br>80%<br>60%<br>20%<br>0%          | 100%  | 100%  | 100%  | 100%                            | 100%  | 100%                        |
| 070                                      | FY 2018 Actual  | FY 2019 Actual  | FY 2020 Actual  | FY 2021 Target                  | FY 2022 Target  | FY 2023 Target              |
| 95%<br>90%<br>85%                        |   | 90%   |   | 91%                             |   | 91%                         |
| 0,00/                                    |   |   |   |                                 |   |                             |
| 80%                                      | FY 2018 Actual*   | FY 2019 Actual  | FY 2020 Actual*   | FY 2021 Target                  | FY 2022 Target*   | FY 2023 Target              |
| Note:<br>*Bienr                          | FY 2018 Actual*<br>The division is working to updational licenses only renewed in or<br>le actual expenditures for<br>nefit costs.) | ate our system to allow license<br>dd years.                                      | ees to apply online, to more effi   | ciently renew online, and to ac | cess application status, includi                              | ng changing addresses, etc. |
| Note:<br>*Bieni<br>. Provid<br>ringe ber | The division is working to upda<br>inial licenses only renewed in or<br>le actual expenditures for<br>nefit costs.)                 | ate our system to allow license<br>dd years.<br><b>or the prior three fisca</b> l | ees to apply online, to more effi   | ciently renew online, and to ac | cess application status, includi                              | ng changing addresses, etc. |
| Note:<br>*Bieni<br>• Provid<br>inge ber  | The division is working to upda<br>inial licenses only renewed in or<br>le actual expenditures for<br>nefit costs.)                 | ate our system to allow license<br>dd years.                                      | ees to apply online, to more effi   | ciently renew online, and to ac | cess application status, includi                              | ng changing addresses, etc. |
| Note:<br>*Bieni<br>• Provid<br>inge ber  | The division is working to upda<br>inial licenses only renewed in or<br>le actual expenditures for<br>nefit costs.)                 | ate our system to allow license<br>dd years.<br>or the prior three fiscal         | ees to apply online, to more effi<br>I years and planned exp<br>Program Expendi | ciently renew online, and to ac | cess application status, includi<br>ent fiscal year. (Note: A | ng changing addresses, etc. |

|   | PROGRAM DESCRIPTION  |   |  |  |  |  |
|---|--|---|--|--|--|--|
| D | Department of Commerce and Insurance   | HB Section(s): 7.450                              |  |  |  |  |
| N | lissouri Office of Athlete Agents  |   |  |  |  |  |
| Ρ | Program is found in the following core budget(s): Professional Registration Admir  | nistration  |  |  |  |  |
| 4 | . What are the sources of the "Other " funds?  |   |  |  |  |  |
|   | Athlete Agent Fund (0774)  |   |  |  |  |  |
| 5 | 5. What is the authorization for this program, i.e., federal or state statute, etc.? (In State Statute: Sections 436.218-436.272 RSMo. | clude the federal program number, if applicable.) |  |  |  |  |
| 6 | a. Are there federal matching requirements? If yes, please explain.<br>N/A   |   |  |  |  |  |
| 7 | Is this a federally mandated program? If yes, please explain.<br>No  |   |  |  |  |  |

## **PROGRAM DESCRIPTION** Department of Commerce and Insurance HB Section(s): 7.450 **Office of Athletics** Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public Develop our team, reward great performance, and retain top talent Innovate to make it easier to connect and work with us 1b. What does this program do?

- The Missouri Office of Athletics protects the health and safety of participants in professional boxing, wrestling, martial arts, amateur kickboxing, ٠ professional mixed martial arts, amateur mixed martial arts and full contact karate events.
- Licenses and regulates to ensure adequate education and training, investigates consumer complaints and disciplines those subject to the • office's supervision.
- Investigates complaints about those practicing without a license or in violation of statutory and regulatory authority. •
- The office collects permit and license fees and assesses a tax of five percent of the gross receipts on all contests. .
- Office staff attends every professional boxing and professional mixed martial arts event to monitor and inspect weigh-ins, physicals, safety . equipment such as gloves, rings and cages and to ensure the venue meets requirements and the rules of the ring are followed.
- An inspector attends every professional wrestling match to ensure the event meets state requirements. .

#### 2a. Provide an activity measure(s) for the program.

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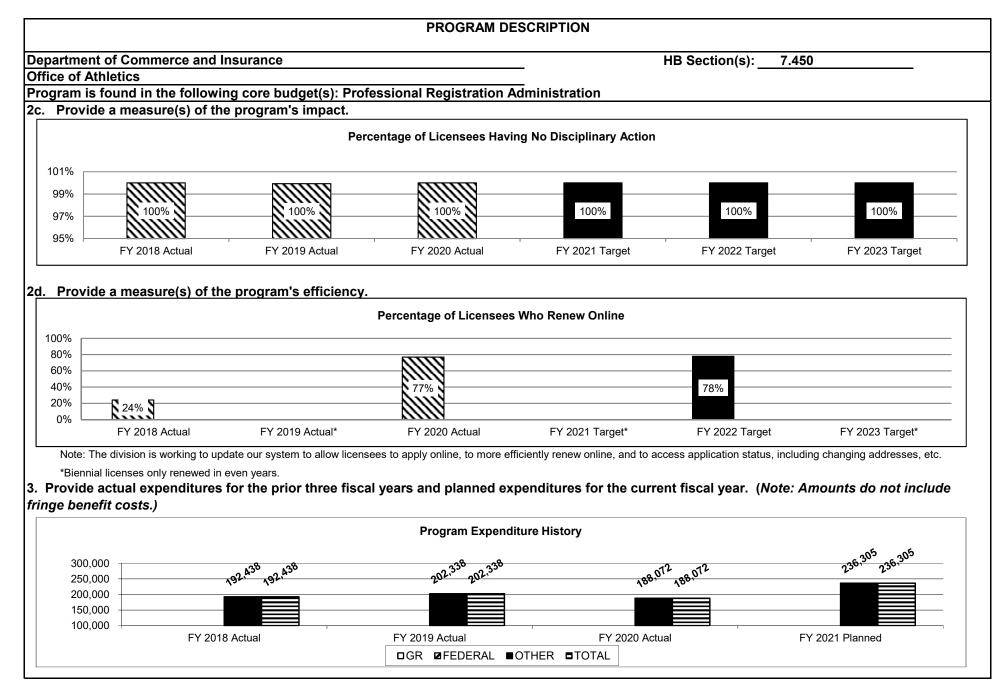
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|                             | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-----------------------------|---------|---------|---------|---------|---------|---------|
|                             | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received       | 968     | 1,557   | 939     | 1,000   | 1,200   | 1,200   |
| Licensed Professionals      | 2,881   | 2,618   | 3,703   | 3,067   | 3,067   | 3,067   |
| Number of Supervised Events | 171     | 184     | 109     | 150     | 175     | 175     |

#### 2b. Provide a measure(s) of the program's quality.

| % ──   |                |                 |                |                 |                |                 |
|--------|----------------|-----------------|----------------|-----------------|----------------|-----------------|
| % —    |                |                 |                |                 |                |                 |
| 6 —    |                |                 |                |                 |                |                 |
| ,      | 98%            |                 |                |                 | 98%            |                 |
| ۰<br>۱ |                |                 | 97%            |                 | 0070           |                 |
| 5      | FY 2018 Actual | FY 2019 Actual* | FY 2020 Actual | FY 2021 Target* | FY 2022 Target | FY 2023 Target* |

Licensees were surveyed about their experience with the office's licensure procedures, customer service, website and communications.

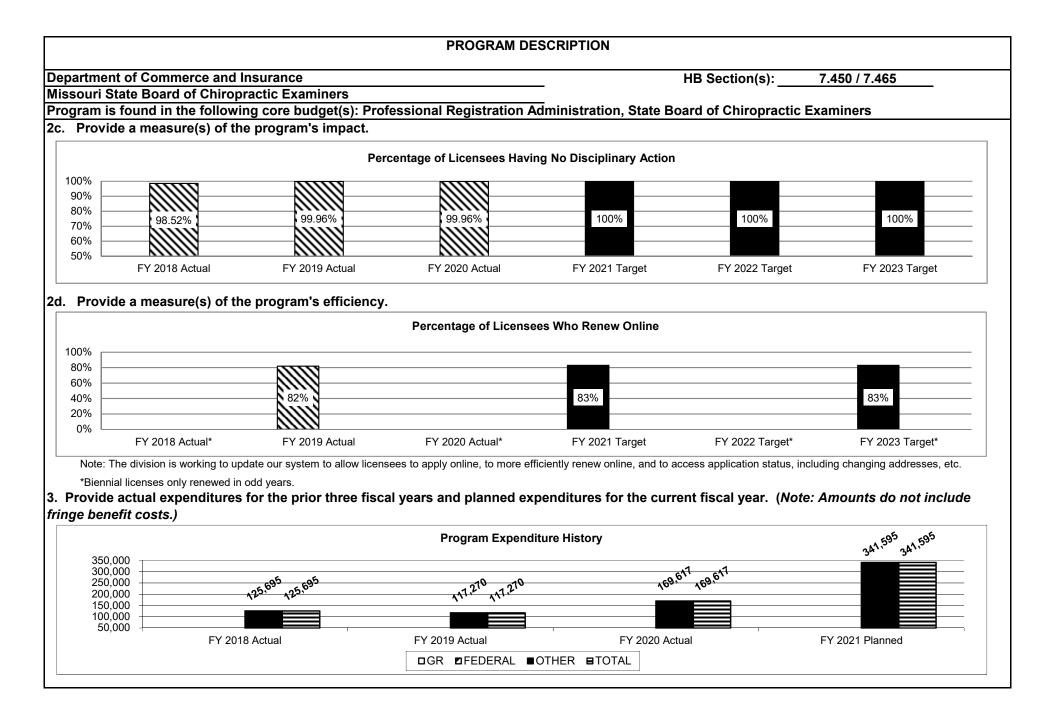


| PROGRAM DESCRIPTION  |   |  |  |  |  |
|--|---|--|--|--|--|
| Department of Commerce and Insurance   | HB Section(s): 7.450                              |  |  |  |  |
| Office of Athletics  |   |  |  |  |  |
| Program is found in the following core budget(s): Professional Registration Admini   | istration   |  |  |  |  |
| 4. What are the sources of the "Other " funds?   |   |  |  |  |  |
| Athletic Fund (0693)   |   |  |  |  |  |
| <ol> <li>What is the authorization for this program, i.e., federal or state statute, etc.? (Ind<br/>State Statute: Sections 317.001-317.021 RSMo.</li> </ol> | clude the federal program number, if applicable.) |  |  |  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>  |   |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No   |   |  |  |  |  |

#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.450 / 7.465 Missouri State Board of Chiropractic Examiners Program is found in the following core budget(s): Professional Registration Administration, State Board of Chiropractic Examiners FY 2021 PLANNED Chiropractic PR Admin TOTAL OTHER 131.983 209.612 341,595 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public • Develop our team, reward great performance, and retain top talent . Innovate to make it easier to connect and work with us . 1b. What does this program do? The board protects the public from unlicensed, negligent, and incompetent treatment by a chiropractic physician. ٠ The board enforces licensure standards by implementing legislation and administrative regulations, along with monitoring changes within the • profession. The board reviews applications to ensure a chiropractic physician is qualified, through education and examination, to provide treatment to Missouri consumers. The board reviews complaints and corresponding investigations to ensure chiropractic physicians practice legally, ethically, and competently. . 2a. Provide an activity measure(s) for the program. FY 2018 FY 2019 FY 2020 FY 2021 FY 2022 **FY 2023** Actual Actual Target Actual Target Target 120 130 128\* 128\* 128\* **Applications Received** 135 Licensed Professionals 2.519 2.438 2.568 2.508\* 2.508\* 2.508\* Outreach Events\*\* 8\*\* 10 10 11 10 10 \*Target reflects average in new licenses issued in the past three years. \*\* 2 events in FY20 were not rescheduled due to COVID-19 Pandemic. 2b. Provide a measure(s) of the program's guality. Percentage of Licensees Satisfied / Highly Satisfied With the Licensure Process 90% 89% 88% 89% 89% 87% 88% 86% 85% FY 2018 Actual\* FY 2019 Actual FY 2021 Target FY 2020 Actual\* FY 2022 Target\* FY 2023 Target

\*Biennial licenses only renewed in odd years.

Licensees were surveyed about their experience with the board's licensure procedures, customer service, website and communications.



| PROGRAM DESCRIPTION  |   |  |  |  |  |
|--|---|--|--|--|--|
| Department of Commerce and Insurance   | HB Section(s): 7.450 / 7.465  |  |  |  |  |
| Missouri State Board of Chiropractic Examiners   |   |  |  |  |  |
| Program is found in the following core budget(s): Professional Re  | gistration Administration, State Board of Chiropractic Examiners    |  |  |  |  |
| 4. What are the sources of the "Other " funds?   |   |  |  |  |  |
| State Board of Chiropractic Examiners Fund (0630)  |   |  |  |  |  |
| 5. What is the authorization for this program, i.e., federal or state State Statute: Sections 331.010-331.115, RSMo. | statute, etc.? (Include the federal program number, if applicable.) |  |  |  |  |
| 6. Are there federal matching requirements? If yes, please explai N/A  | n.  |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No   |   |  |  |  |  |

#### **PROGRAM DESCRIPTION**

Department of Commerce and Insurance

HB Section(s): 7.450 / 7.470

Board of Cosmetology and Barber Examiners

Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

| FY 2021 PLANNED |                    |           |           |  |  |
|-----------------|--------------------|-----------|-----------|--|--|
|                 | Cosmetology Barber | PR Admin  | TOTAL     |  |  |
| OTHER           | 315,657            | 1,128,859 | 1,444,516 |  |  |

#### 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

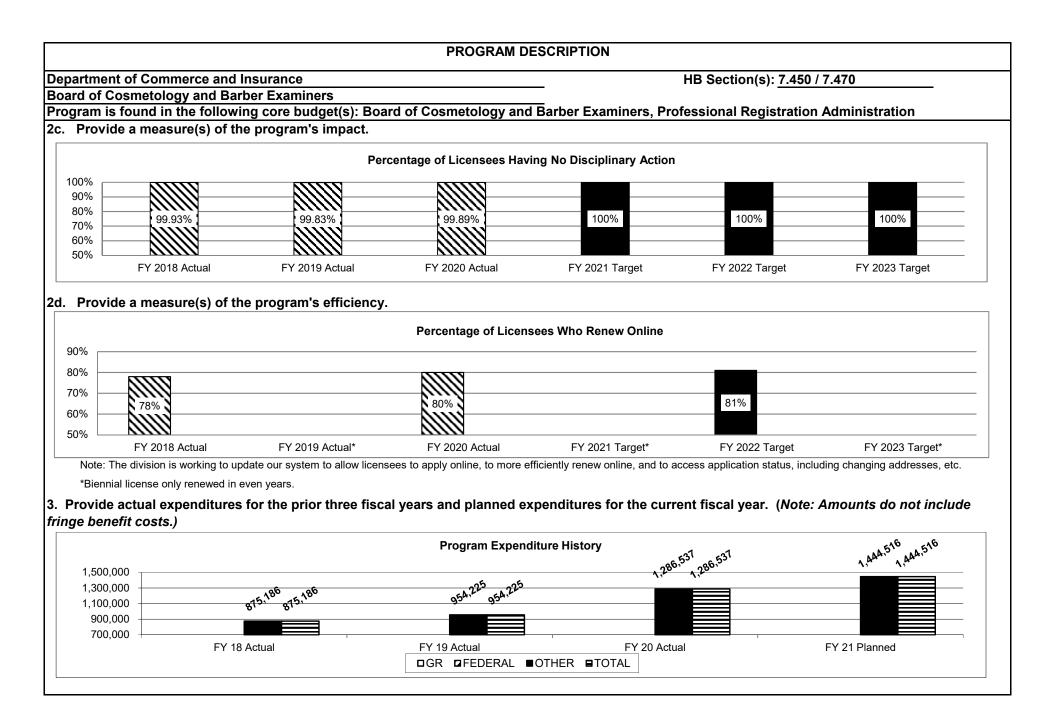
#### 1b. What does this program do?

- The board regulates "Barber", "Class CH hairdresser", "Class MO manicurist", "Class CA hairdressing and manicuring", "Class E estheticians", "Instructor", "Barber establishment", "Cosmetology establishment", "School of cosmetology", "School of barbering", "Apprentice", "Student", and "Cross-over' licensed and by "Hair braider" registered in Missouri.
- The board protects the public's health, safety and welfare by ensuring that only qualified persons are examined and licensed to practice barbering and cosmetology, as well as to strive to reduce the number of instances of incompetent, negligent, fraudulent or dishonest services provided by implementing legislation and administrative rules.

#### 2a. Provide an activity measure(s) for the program.

|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 9,840   | 8,210   | 9,104   | 9,025   | 9,025   | 9,025   |
| Licensed Professionals | 77,145  | 80,722  | 76,911  | 78,259  | 78,259  | 78,259  |
| Outreach Events        | 5       | 4       | 2       | 5       | 5       | 5       |

| %   |                |                 |                |                 |                |                 |
|-----|----------------|-----------------|----------------|-----------------|----------------|-----------------|
| % — |                |                 |                |                 |                |                 |
| % — | 90%            |                 | 96%            |                 | 97%            |                 |
| % — | FY 2018 Actual | FY 2019 Actual* | FY 2020 Actual | FY 2021 Target* | FY 2022 Target | FY 2023 Target* |



| PROGRAM DESCRIPTION   |   |  |  |  |
|---|---|--|--|--|
| Department of Commerce and Insurance  | HB Section(s): 7.450 / 7.470                                  |  |  |  |
| Board of Cosmetology and Barber Examiners   |   |  |  |  |
| Program is found in the following core budget(s): Board of Cosmetology a  | nd Barber Examiners, Professional Registration Administration |  |  |  |
| . What are the sources of the "Other " funds?   |   |  |  |  |
| Board of Cosmetology and Barber Examiners Fund(0785)  |   |  |  |  |
| <ul> <li>What is the authorization for this program, i.e., federal or state statute, e State Statute: Sections 328.010-328.160, and 329.010-329.275, RSMo.</li> <li>Are there federal matching requirements? If yes, please explain.</li> </ul> |   |  |  |  |
| N/A   |   |  |  |  |
|   |   |  |  |  |

## PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.450 State Committee of Dietitians Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public Develop our team, reward great performance, and retain top talent Innovate to make it easier to connect and work with us 1b. What does this program do?

- The State Committee of Dietitians ensures the health, safety and welfare of Missouri citizens by licensing and regulating qualified/competent ٠ dietitians.
- The committee reviews applications for licensure to determine acceptable education and experience. ٠
- Receives complaints and imposes discipline if cause exists. •
- Also regulates the usage of the title "Licensed Dietitian" and/or "LD". •

## 2a. Provide an activity measure(s) for the program.

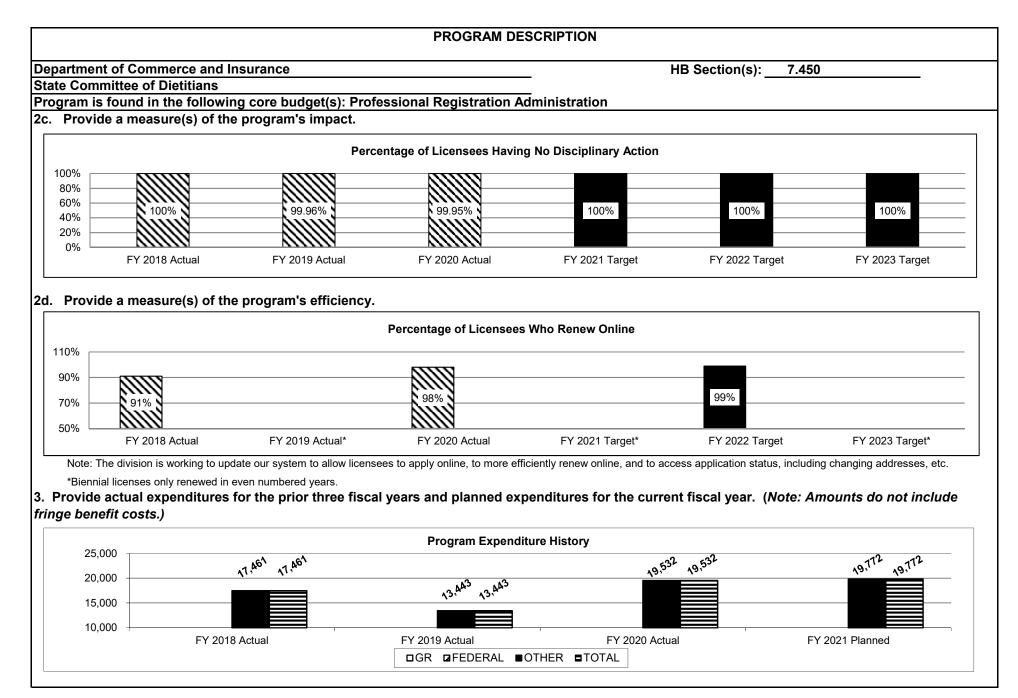
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|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 188     | 203     | 198     | 196     | 196     | 196     |
| Licensed Professionals | 2,035   | 2,284   | 2,122   | 2,147   | 2,147   | 2,147   |
| Outreach Events        | 1       | 4       | 3       | 4       | 4       | 4       |

|        |                             | Percentage of L  | icensees Satisfied / Highly    | y Satisfied With the Licens    | ure Process    |                 |
|--------|-----------------------------|--|--------------------------------|--------------------------------|----------------|-----------------|
| 96%    |                             |  |                                |                                |                |                 |
| 95% —  |                             |  |                                |                                |                |                 |
| 94%    | 95%                         |  | 94%                            |                                | 95%            |                 |
| 3370 4 | FY 2018 Actual              | FY 2019 Actual*  | FY 2020 Actual                 | FY 2021 Target*                | FY 2022 Target | FY 2023 Target* |
|        | al licenses only renewed in | even numbered years.<br>eir experience with the committe | aa'a liconsura procedures, cus | tomor convice, website and con | amunications   |                 |



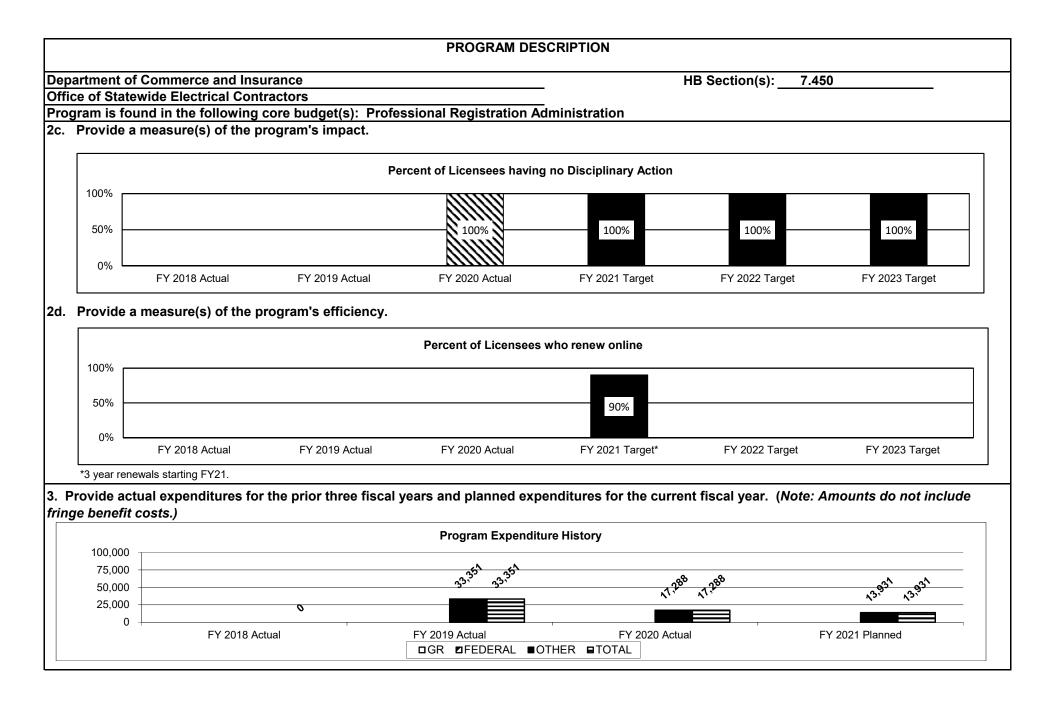
| PROGRAM DESCRIPT  | ION  |
|---|--|
| Department of Commerce and Insurance  | HB Section(s): 7.450                           |
| State Committee of Dietitians   |  |
| Program is found in the following core budget(s): Professional Registration Administ              | ration   |
| 4. What are the sources of the "Other " funds?  |  |
| Dietitian Fund (0857)   |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Inclu       | de the federal program number, if applicable.) |
| State Statute: Sections 324.200-324.228, RSMo.  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol> |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No                            |  |

#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.450 **Office of Statewide Electrical Contractors** Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public ٠ Develop our team, reward great performance, and retain top talent • Innovate to make it easier to connect and work with us . 1b. What does this program do? The Office of Statewide Electrical Contractors protects the citizens of Missouri by ensuring that the licensed electrical contractors have the skills and • competency to practice safely. Determine discipline of licensees in violation of statutes and regulations and take corrective measures in a timely manner which provides consumers a ٠ safe environment. Works to create ongoing communication with political subdivisions to ensure compliance. . 2a. Provide an activity measure(s) for the program. FY 2018 FY 2019 FY 2020 FY 2021 FY 2022 FY 2023 Actual\* Actual Actual Target Target Target **Applications Received** 402 400 400 0 0 400 Licensed Professionals 0 0 382 382 382 382 Informational Meetings Held 0 7 0 2 2 2 \*New program started in FY20.

#### 2b. Provide a measure(s) of the program's quality.

|     |                  | Percent of Lie   | censees Satisfied or Highl | y Satisfied with Licensure | Process         |                 |
|-----|------------------|------------------|----------------------------|----------------------------|-----------------|-----------------|
| 88% |                  |                  |                            |                            |                 |                 |
| 87% |                  |                  |                            |                            |                 |                 |
| 86% |                  |                  |                            | 87%                        |                 |                 |
| 85% | FY 2018 Actual** | FY 2019 Actual** | FY 2020 Actual**           | FY 2021 Target*            | FY 2022 Target* | FY 2023 Target* |

Licensees were surveyed about their experience with the office's licensure procedures, customer service, website and communications.



|    | PROGRAM DESC  | RIPTION   |
|----|---|---|
| D  | epartment of Commerce and Insurance   | HB Section(s): 7.450                                |
| 0  | ffice of Statewide Electrical Contractors   |   |
| Ρ  | rogram is found in the following core budget(s): Professional Registration Adr  | ninistration  |
| 4. | What are the sources of the "Other " funds?   |   |
|    | Office of Statewide Electrical Contractors (0721)   |   |
| 5. | What is the authorization for this program, i.e., federal or state statute, etc.? (<br>State Statute: Sections 324.900 to 324.945 RSMo. | Include the federal program number, if applicable.) |
| 6. | Are there federal matching requirements? If yes, please explain. N/A  |   |
| 7. | Is this a federally mandated program? If yes, please explain.<br>No   |   |

#### **PROGRAM DESCRIPTION**

#### Department of Commerce and Insurance

HB Section(s): 7.450 / 7.480

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): Professional Registration Administration, State Board of Embalmers and Funeral Directors

|       | FY 2021 F | PLANNED  |         |
|-------|-----------|----------|---------|
|       | Emb & FDs | PR Admin | TOTAL   |
| OTHER | 164,518   | 518,414  | 682,932 |

1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

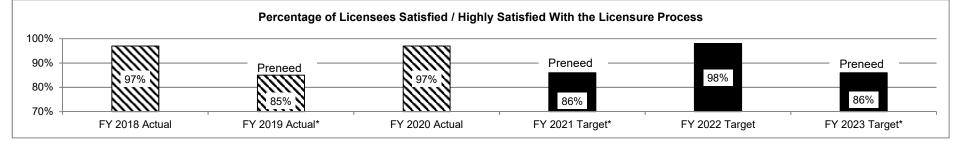
#### 1b. What does this program do?

• The board's rules and regulations require licensure for individuals engaged in the practice of embalming, funeral directing, preneed agent, preneed funeral director agent, funeral establishments, preneed sellers and preneed providers, in order to ensure the good of the public.

#### 2a. Provide an activity measure(s) for the program.

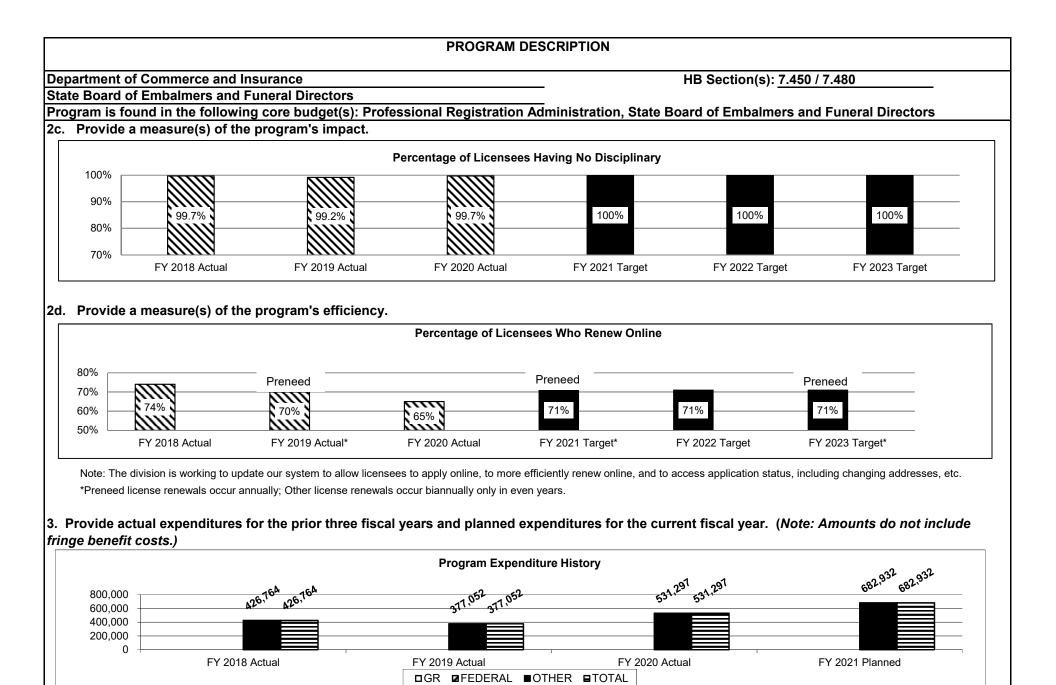
|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 415     | 420     | 368     | 400     | 400     | 400     |
| Licensed Professionals | 6,231   | 6,246   | 5,941   | 6,000   | 6,000   | 6,000   |
| Outreach Events        | 18      | 18      | 7       | 10      | 10      | 10      |

#### 2b. Provide a measure(s) of the program's quality.



\*Preneed license renewals occur annually; Other license renewals occur biannually only in even years.

Licensees were surveyed about their experience with the board's licensure procedures, customer service, website and communications.



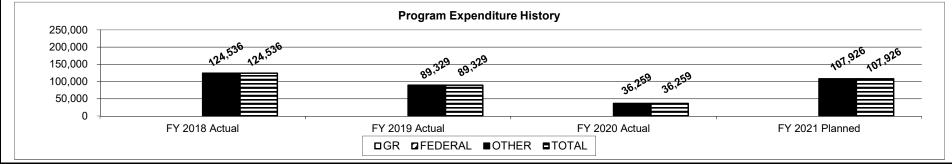
| PROGRAM D  | ESCRIPTION   |
|--|--|
| Department of Commerce and Insurance   | HB Section(s): 7.450 / 7.480                                   |
| State Board of Embalmers and Funeral Directors   |  |
| Program is found in the following core budget(s): Professional Registration  | Administration, State Board of Embalmers and Funeral Directors |
| 4. What are the sources of the "Other " funds?   |  |
| Board of Embalmers and Funeral Directors Fund(0633)  |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc<br>State Statute: Sections 333.011-333.340 and 436.400-436.525, RSMo. | c.? (Include the federal program number, if applicable.)       |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>  |  |
| <ol> <li>Is this a federally mandated program? If yes, please explain.</li> <li>No</li> </ol>  |  |

#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.450 Office of Endowed Care Cemeteries Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public ٠ Develop our team, reward great performance, and retain top talent ٠ Innovate to make it easier to connect and work with us • 1b. What does this program do? The Office of Endowed Care Cemeteries establishes the rules for the qualifications and expectations of endowed care cemeteries. ٠ Licenses for-profit cemeteries and only has oversight of licensed cemetery trust funds. Missouri statutes exempt licensure for all other • cemeteries (approximately 6,000 that are active). Conducts periodic audits of endowed care cemetery trust funds. ٠ Investigates complaints and works in conjunction with law enforcement officials for cemeteries found in violation of the Endowed Care Cemetery • Act. 2a. Provide an activity measure(s) for the program. FY 2020 FY 2019 FY 2022 FY 2023 FY 2018 FY 2021 Actual Actual Target Actual Target Target **Applications Received** 3 3 2 3 3 1 Licensed Professionals 122 119 121 121 121 121

| %    |             |                |                |                |                |                |
|------|-------------|----------------|----------------|----------------|----------------|----------------|
| %    |             |                |                |                |                |                |
| %    |             | 97%            |                | 97%            | 97%            | 97%            |
| %    | 89%         |                | 92%            |                |                |                |
| %    |             |                |                |                |                |                |
| FY ( | 2018 Actual | FY 2019 Actual | FY 2020 Actual | FY 2021 Target | FY 2022 Target | FY 2023 Target |

| artment of Commerce and Ir   |                                       |                           | _                        | HB Section(s): 7.45 | 0               |
|--|---------------------------------------|---------------------------|--------------------------|---------------------|-----------------|
| ce of Endowed Care Cemete  |                                       |                           | _                        |                     |                 |
| gram is found in the followin  |                                       | ssional Registration Ac   | dministration            |                     |                 |
| Provide a measure(s) of the  | program's impact.                     |                           |                          |                     |                 |
|  | Perc                                  | entage of Licensees Havin | g No Disciplinary Action |                     |                 |
| 100%   |                                       |                           |                          |                     |                 |
| 80%  |                                       |                           |                          |                     |                 |
| 60%<br>40%   | 100%                                  | 100%                      | 100%                     | 100%                | 100%            |
| 20%  |                                       |                           |                          |                     |                 |
| 0% FY 2018 Actual  | FY 2019 Actual                        | FY 2020 Actual            | FY 2021 Target           | FY 2022 Target      | FY 2023 Target  |
| 112010710100   | 112010710100                          | 1120207/0000              |                          |                     | 1 1 2020 Tulgot |
| Provide a measure(s) of the  | program's efficiency.                 |                           |                          |                     |                 |
|  | · · · · · · · · · · · · · · · · · · · |                           |                          |                     |                 |
|  |                                       | Percentage of Licensees   | Who Renew Online         |                     |                 |
| 76%  |                                       |                           |                          |                     |                 |
| 75%  |                                       |                           |                          |                     |                 |
| 74%  | 75%                                   |                           |                          |                     |                 |
| 73% 74%  |                                       | 73%                       | 74%                      | 74%                 | 74%             |
| Real Provide P |                                       | N                         | FY 2021 Target           | FY 2022 Target      | FY 2023 Target  |
| 72% FY 2018 Actual   | FY 2019 Actual                        | FY 2020 Actual            |                          |                     |                 |

# 3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (*Note: Amounts do not include fringe benefit costs.*)



|   | PROGRAM DESCRI  | PTION   |
|---|---|---|
| C | Department of Commerce and Insurance  | HB Section(s): 7.450                              |
| С | Office of Endowed Care Cemeteries   |   |
| Ρ | Program is found in the following core budget(s): Professional Registration Admini  | stration  |
| 4 | . What are the sources of the "Other " funds?   |   |
|   | Endowed Care Cemetery Audit Fund (0562)   |   |
| 5 | . What is the authorization for this program, i.e., federal or state statute, etc.? (Ind<br>State Statute: Sections 214.270-214.516 RSMo. | clude the federal program number, if applicable.) |
| 6 | Are there federal matching requirements? If yes, please explain.<br>N/A   |   |
| 7 | Is this a federally mandated program? If yes, please explain.<br>No   |   |

# PROGRAM DESCRIPTION

Department of Commerce and Insurance Missouri Board of Geologist Registration HB Section(s): 7.450

## 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

#### 1b. What does this program do?

- The Missouri Board of Geologist Registration enforces the Missouri's Geologist Registration Act which requires licensure for individuals engaged in the practice of geology having an impact upon public health, safety and welfare.
- The board is responsible for reviewing the qualifications and experience of applicants and administering the Fundamentals of Geology and Principals and Practices of Geology examination as developed by the National Association of State Boards of Geology (ASBOG).
- Enforces the state statutes and regulations concerning the practice of geology in Missouri.

Program is found in the following core budget(s): Professional Registration Administration

#### 2a. Provide an activity measure(s) for the program.

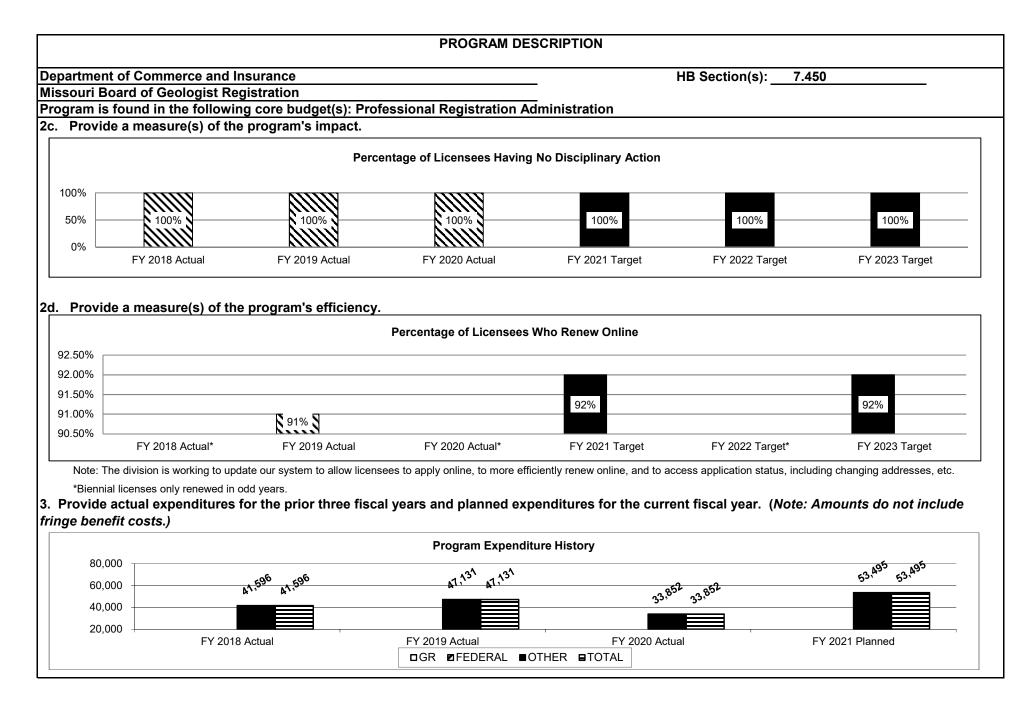
|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |  |
|------------------------|---------|---------|---------|---------|---------|---------|--|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |  |
| Applications Received  | 41      | 36      | 23      | 25      | 25      | 25      |  |
| Licensed Professionals | 874     | 828     | 852     | 851     | 851     | 851     |  |
| Outreach Events        | 8       | 4       | 3       | 5       | 5       | 5       |  |

#### 2b. Provide a measure(s) of the program's quality.

|       |                 | Percentage of Li | censees Satisfied / Highly | Satisfied With the Licens | ure Process     |                |
|-------|-----------------|------------------|----------------------------|---------------------------|-----------------|----------------|
| 96%   |                 |                  |                            |                           |                 |                |
| 94%   |                 |                  |                            |                           |                 |                |
| 92% — |                 | 94%              |                            | 95%                       |                 | 95%            |
| 90%   |                 |                  |                            | 1                         | ıı              |                |
|       | FY 2018 Actual* | FY 2019 Actual   | FY 2020 Actual*            | FY 2021 Target            | FY 2022 Target* | FY 2023 Target |

\*Biennial licenses only renewed in odd years.

Licensees were surveyed about their experience with the board's licensure procedures, customer service, website and communications.



|   | PROGRAM DESCRI  | PTION   |
|---|---|---|
| C | Department of Commerce and Insurance  | HB Section(s): 7.450                              |
| Ν | Aissouri Board of Geologist Registration  |   |
| F | Program is found in the following core budget(s): Professional Registration Admini  | stration  |
| 4 | I. What are the sources of the "Other " funds?  |   |
|   | The Board of Geologists Registration Fund (0263)  |   |
| 5 | 5. What is the authorization for this program, i.e., federal or state statute, etc.? (In State Statute: Sections 256.010-256.453, RSMo. | clude the federal program number, if applicable.) |
| 6 | <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>                                       |   |
| 7 | 7. Is this a federally mandated program? If yes, please explain.<br>No  |   |

#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.450 Missouri Board of Examiners for Hearing Instrument Specialists Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public ٠ Develop our team, reward great performance, and retain top talent • Innovate to make it easier to connect and work with us • 1b. What does this program do? The Missouri Board of Examiners for Hearing Instrument Specialists protects the health and safety of consumers by licensing and regulating ٠ hearing instrument specialists in Missouri. Reviews applicants for licensure and determines discipline for violation of statutes and regulations. ٠ Reviews and approves applicants from other states with equivalent or stricter requirements for reciprocal licenses. . Approves the examination required for licensure and administers the practical portion of the examination. . Audits licensees after the renewal period and reviews the CE they attend to make certain it is acceptable. . 2a. Provide an activity measure(s) for the program. **FY 2018** FY 2019 FY 2020 FY 2021 FY 2022 FY 2023 Actual Actual Actual Target Target Target 55 **Applications Received** 50 46 55 55 55 297 308 287 297 297 297 Licensed Professionals

\*Now have quorum for public meetings held beginning FY19.

Note: In FY2019 meetings were held to establish rule changes, fewer meetings will be held in subsequent years.

N/A

25

#### 2b. Provide a measure(s) of the program's quality.

Public Meetings Held\*

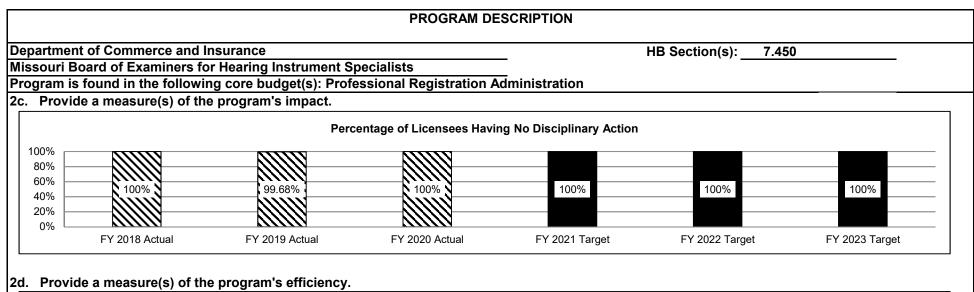
| 6   |                |                 |                |                 |                |                 |
|-----|----------------|-----------------|----------------|-----------------|----------------|-----------------|
| 6 - |                |                 |                |                 |                |                 |
|     | 83%            |                 | 96%            |                 | 100%           |                 |
| , . | FY 2018 Actual | FY 2019 Actual* | FY 2020 Actual | FY 2021 Target* | FY 2022 Target | FY 2023 Target* |

3

5

5

5

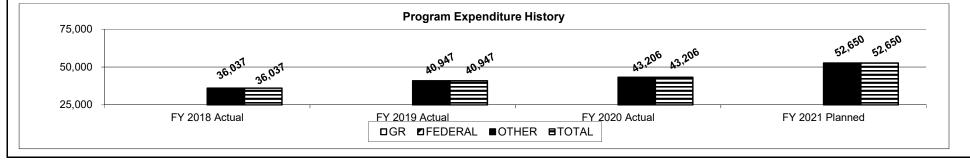


# Percentage of Licensees Who Renew Online 100% 75% 50% 75% 25% 75% 0% FY 2018 Actual\*\* FY 2018 Actual\*\* FY 2019 Actual \*\* FY 2018 Actual\*\* FY 2019 Actual \*\*

\*Biennial license renewed only in even years.

\*\*Online renewals started FY20.

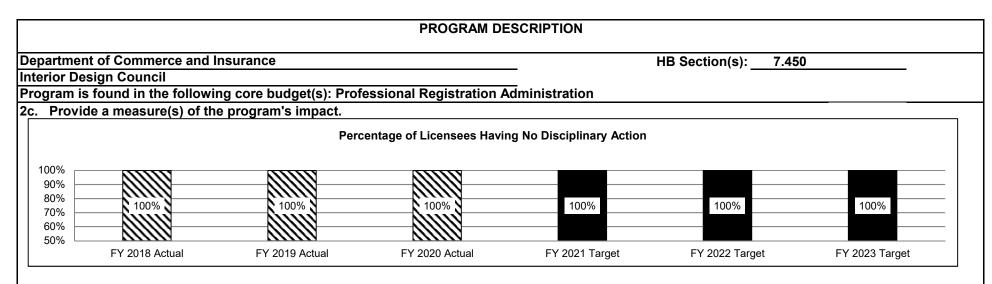
3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



|   | PROGRAM DESCRIPTION  |  |  |  |  |  |
|---|--|--|--|--|--|--|
| D | Department of Commerce and Insurance   | HB Section(s): 7.450                                 |  |  |  |  |
| Ν | lissouri Board of Examiners for Hearing Instrument Specialists   |  |  |  |  |  |
| Ρ | Program is found in the following core budget(s): Professional Registration Adr  | ninistration   |  |  |  |  |
| 4 | . What are the sources of the "Other " funds?  |  |  |  |  |  |
|   | Hearing Instrument Specialists Fund (0247)   |  |  |  |  |  |
| 5 | <b>What is the authorization for this program, i.e., federal or state statute, etc.?</b><br>State Statute: Sections 346.007-346.250, RSMo. | (Include the federal program number, if applicable.) |  |  |  |  |
| 6 | a. Are there federal matching requirements? If yes, please explain.<br>N/A   |  |  |  |  |  |
| 7 | Is this a federally mandated program? If yes, please explain.<br>No  |  |  |  |  |  |

|  |                     | PR              | OGRAM DES     | SCRIPTION     |               |               |                         |
|--|---------------------|-----------------|---------------|---------------|---------------|---------------|-------------------------|
| Department of Commerce and Insur   | ance                |                 |               | _             | HE            | B Section(s): | 7.450                   |
| Interior Design Council  |                     |                 |               | _             |               | _             |                         |
| Program is found in the following co   | ore budget(s): Prof | fessional Re    | gistration Ad | Iministration |               |               |                         |
| 1a. What strategic priority does this  | s program address   | ?               |               |               |               |               |                         |
| <ul> <li>Strengthen our regulatory relation</li> <li>Develop our team, reward gree</li> <li>Innovate to make it easier to open strengthered</li> </ul> | eat performance, an | d retain top ta |               | o protect and | advocate for  | the general p | ublic                   |
| 1b. What does this program do?   |                     |                 |               |               |               |               |                         |
| <ul> <li>The Interior Design Council e experience qualifications for I</li> <li>Verifies maintenance of this c</li> </ul>                              | icensure.           | -               |               | -             | ior Designer" | in Missouri m | eet the educational and |
| 2a. Provide an activity measure(s)   | for the program.    |                 |               |               |               |               |                         |
|  | FY 2018             | FY 2019         | FY 2020       | FY 2021       | FY 2022       | FY 2023       |                         |
|  | Actual              | Actual          | Actual        | Target        | Target        | Target        |                         |
| Applications Received  | 12                  | 8               | 16            | 10            | 10            | 10            |                         |
| Licensed Professionals   | 89                  | 86              | 102           | 92            | 92            | 92            |                         |
| Outreach Events  | 4                   | 4               |               |               |               |               |                         |

| % |                |                 |                |                 |                |                 |
|---|----------------|-----------------|----------------|-----------------|----------------|-----------------|
| % |                |                 | 98%            |                 | 99%            |                 |
| 6 | 94%            |                 |                |                 |                |                 |
| 0 | FY 2018 Actual | FY 2019 Actual* | FY 2020 Actual | FY 2021 Target* | FY 2022 Target | FY 2023 Target* |

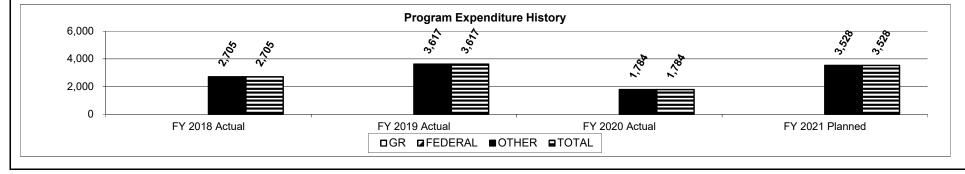


#### 2d. Provide a measure(s) of the program's efficiency.

|   |      |                 |                | Percentage of Licensees | Who Renew Online |                 |                |
|---|------|-----------------|----------------|-------------------------|------------------|-----------------|----------------|
| 1 | 150% |                 |                |                         |                  |                 |                |
| 1 | 100% |                 |                |                         |                  |                 |                |
|   | 50%  | 97%             |                | 74%                     |                  | 97%             |                |
|   | 0% └ | FY 2018 Actual* | FY 2019 Actual | FY 2020 Actual*         | FY 2021 Target   | FY 2022 Target* | FY 2023 Target |

Note: The division is working to update our system to allow licensees to apply online, to more efficiently renew online, and to access application status, including changing addresses, etc. \*Biennial licenses only renewed in even years.

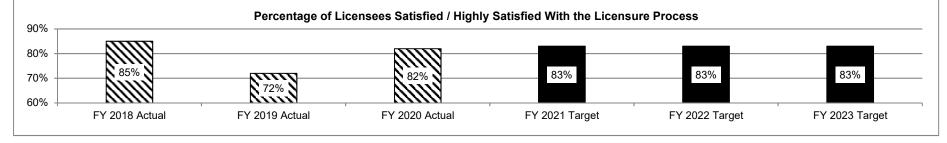
# 3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)

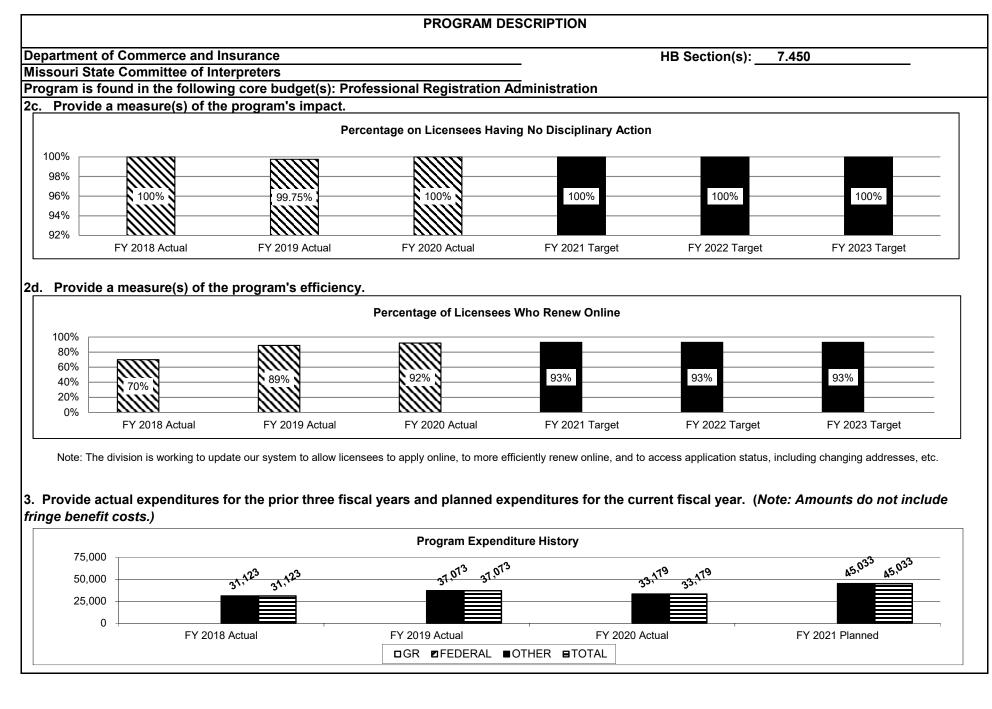


| PROGRAM DESCRIPTION  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|
| Department of Commerce and Insurance   | HB Section(s): 7.450                             |  |  |  |  |  |
| Interior Design Council  |  |  |  |  |  |  |
| Program is found in the following core budget(s): Professional Registration Adminis  | stration   |  |  |  |  |  |
| 4. What are the sources of the "Other " funds?   |  |  |  |  |  |  |
| Interior Design Council Fund(0877)   |  |  |  |  |  |  |
| <ol> <li>What is the authorization for this program, i.e., federal or state statute, etc.? (Incl<br/>State Statute: Sections 324.400-324.439, RSMo.</li> </ol> | lude the federal program number, if applicable.) |  |  |  |  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>  |  |  |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No   |  |  |  |  |  |  |

#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.450 Missouri State Committee of Interpreters Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public • Develop our team, reward great performance, and retain top talent • Innovate to make it easier to connect and work with us • 1b. What does this program do? The Missouri State Committee of Interpreters licenses only qualified sign language interpreters by certification and evaluation of minimum ٠ competency. Investigates complaints of licensees and also investigates complaints about those practicing without a license. . Determines discipline of licensees in violation of statutes and regulations. . 2a. Provide an activity measure(s) for the program. FY 2020 FY 2021 FY 2022 FY 2023 FY 2018 FY 2019 Actual Actual Actual Target Target Target **Applications Received\*** 98 100 137 130 130 130 Licensed Professionals 834 800 800 763 804 800 Outreach Events 4 5 3 5 5 5

### 2b. Provide a measure(s) of the program's quality.





| PROGRAM DESCRIPTION   |                      |  |  |  |  |
|---|----------------------|--|--|--|--|
| Department of Commerce and Insurance  | HB Section(s): 7.450 |  |  |  |  |
| Missouri State Committee of Interpreters  |                      |  |  |  |  |
| Program is found in the following core budget(s): Professional Registration A   | Administration       |  |  |  |  |
| 4. What are the sources of the "Other " funds?  |                      |  |  |  |  |
| State Committee of Interpreters Fund (0256)   |                      |  |  |  |  |
| <ol> <li>What is the authorization for this program, i.e., federal or state statute, etc.<br/>State Statute: Sections 209.319-209.339, RSMo.</li> </ol> |                      |  |  |  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>   |                      |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No  |                      |  |  |  |  |

Department of Commerce and Insurance

State Committee of Marital & Family Therapists

HB Section(s): 7.450

Program is found in the following core budget(s): Professional Registration Administration

### 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance and retain top talent
- Innovate to make it easier to connect and work with us

### 1b. What does this program do?

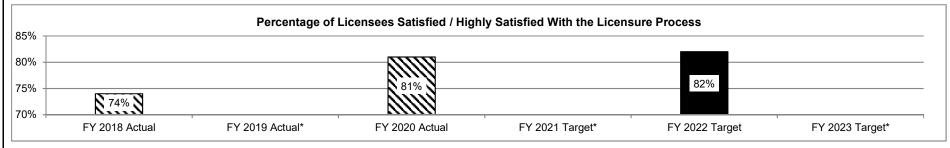
- The State Committee of Marital and Family Therapists protects the public by ensuring a licensee or individual under supervision for licensure is qualified, through education, supervised experience, and examination, to provide marital and family therapy to Missouri consumers.
- The committee enforces licensure standards through the implementation of legislative and administrative regulations and provides guidance to supervisors and applicants for licensure to ensure compliance with Missouri law and regulations.
- Complaints and corresponding investigations are reviewed by the committee to ensure licensees and individuals under supervision for licensure practice, legally, ethically, and competently.

### 2a. Provide an activity measure(s) for the program.

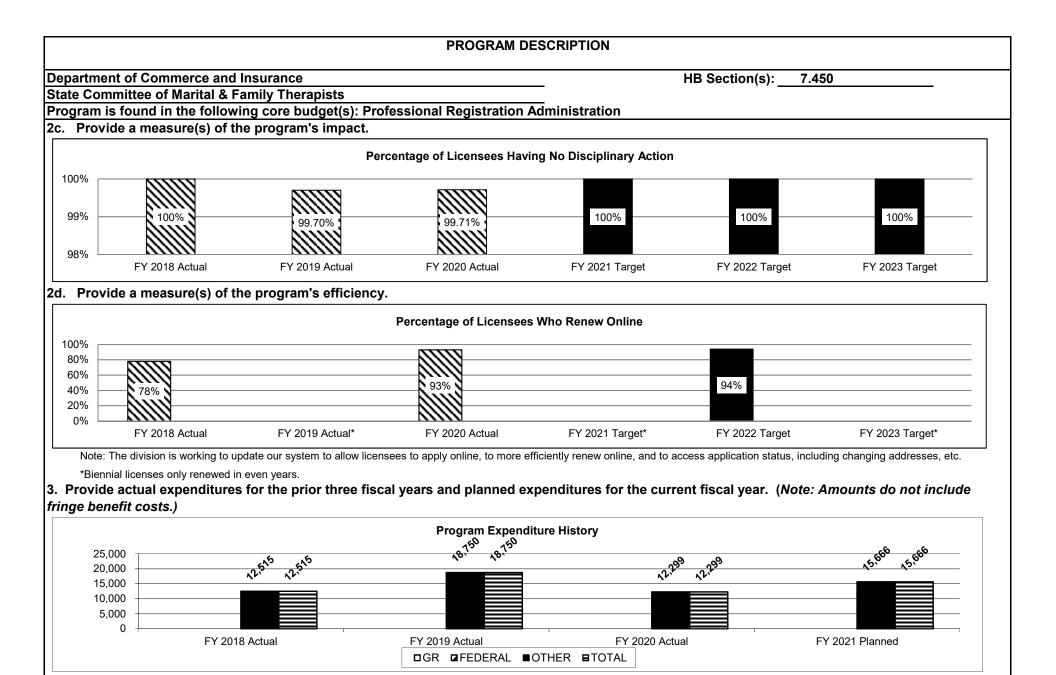
|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 71      | 50      | 81      | 67*     | 67*     | 67*     |
| Licensed Professionals | 292     | 334     | 348     | 325*    | 325*    | 325*    |
| Outreach Events        | 11      | 10      | 10      | 11      | 11      | 11      |

\*Target reflects average in new licenses issued in the past three years.

### 2b. Provide a measure(s) of the program's quality.



\*Biennial licenses only renewed in even years.



|   | PROGRAM DESCRI   | PTION   |
|---|--|---|
| C | Department of Commerce and Insurance   | HB Section(s): 7.450                              |
| S | State Committee of Marital & Family Therapists   |   |
| P | Program is found in the following core budget(s): Professional Registration Admini       | istration   |
| 4 | . What are the sources of the "Other " funds?  |   |
|   | Marital and Family Therapists Fund (0820)  |   |
| 5 | . What is the authorization for this program, i.e., federal or state statute, etc.? (Ind | clude the federal program number, if applicable.) |
|   | State Statute: Sections 337.700-337.750, RSMo.   |   |
| 6 | 5. Are there federal matching requirements? If yes, please explain.<br>N/A               |   |
| 7 | Is this a federally mandated program? If yes, please explain.<br>No                      |   |

### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.450 Missouri Board of Occupational Therapy Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public • Develop our team, reward great performance, and retain top talent • Innovate to make it easier to connect and work with us . 1b. What does this program do? The Missouri Board of Occupational Therapy protects the citizens of the state through the regulation of occupational therapists and assistants. • The board licenses therapists to ensure adequate education, training and qualifications. •

• The board investigates all complaints against its licensees in a fair and equitable manner.

### 2a. Provide an activity measure(s) for the program.

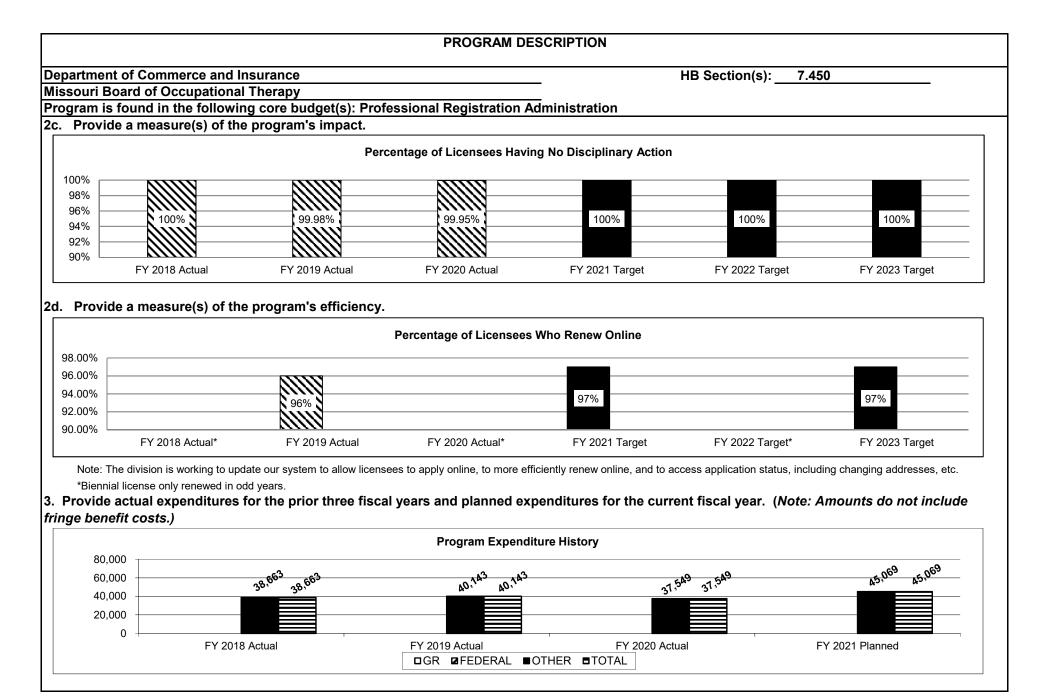
|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |   |
|------------------------|---------|---------|---------|---------|---------|---------|---|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |   |
| Applications Received  | 533     | 682     | 559     | 559     | 559     | 559     | - |
| Licensed Professionals | 5,672   | 6,249   | 6,156   | 6,203** | 6,203** | 6,203** |   |
| Public Meetings Held*  | 0       | 5       | 4       | 4       | 4       | 4       |   |
|                        |         |         |         |         |         |         |   |

\*No Quorum in FY18

\*\*Two year average used to project target.

### 2b. Provide a measure(s) of the program's quality.

|         | Percentage of Licensees Satisfied / Highly Satisfied With the Licensure Process |                |                 |                |                 |                |  |  |
|---------|---|----------------|-----------------|----------------|-----------------|----------------|--|--|
| 100% —  |   |                |                 |                |                 |                |  |  |
| 95%     |   |                |                 |                |                 |                |  |  |
| 90%     |   | 93%            |                 | 94%            |                 | 94%            |  |  |
| 85%     |   |                |                 |                | 1               | , <b>I</b>     |  |  |
|         | FY 2018 Actual*   | FY 2019 Actual | FY 2020 Actual* | FY 2021 Target | FY 2022 Target* | FY 2023 Target |  |  |
| *Bienni | al license only renewed in or   | d vears        |                 |                |                 |                |  |  |



|   | PROGRAM DESCRIP   | TION   |
|---|---|--|
| C | Department of Commerce and Insurance  | HB Section(s): 7.450                             |
| Ν | Aissouri Board of Occupational Therapy  |  |
| F | Program is found in the following core budget(s): Professional Registration Adminis   | stration   |
| 4 | I. What are the sources of the "Other " funds?  |  |
|   | Missouri Board of Occupational Therapy Fund (0845)  |  |
| 5 | 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Inc<br>State Statute: Sections 324.050-324.089, RSMo. | lude the federal program number, if applicable.) |
| 6 | <ol> <li>Are there federal matching requirements? If yes, please explain.<br/>N/A</li> </ol>  |  |
| 7 | 7. Is this a federally mandated program? If yes, please explain.<br>No  |  |

### Department of Commerce and Insurance

HB Section(s): 7.450 / 7.495

### State Board of Optometry

Program is found in the following core budget(s): Professional Registration Administration, State Board of Optometry

| FY 2021 PLANNED          |        |        |         |  |  |
|--------------------------|--------|--------|---------|--|--|
| Optometry PR Admin TOTAL |        |        |         |  |  |
| OTHER                    | 34,957 | 99,695 | 134,652 |  |  |

### 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

### 1b. What does this program do?

• The board licenses qualified optometrists; approves post-graduate education required for re-licensure; certifies qualified optometrists in the administration of pharmaceutical agents; approves professional optometry corporations; establishes standards of professional conduct; investigates consumer and patient complaints and disciplines optometrists for violations of the optometry statutes and/or regulations.

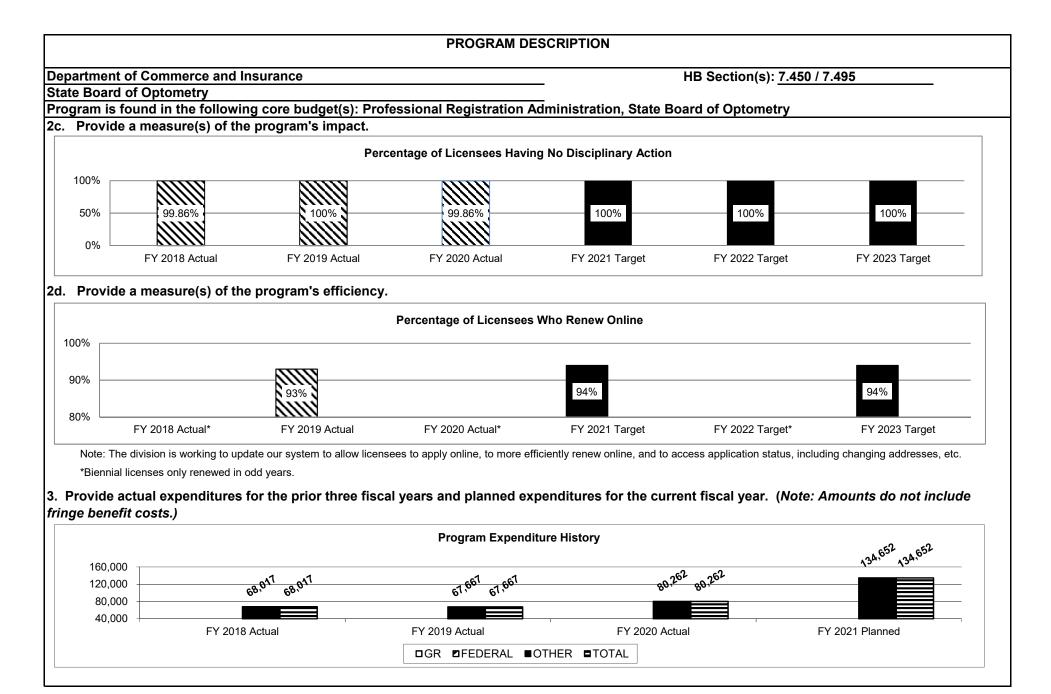
### 2a. Provide an activity measure(s) for the program.

|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 59      | 84      | 60      | 72      | 72      | 72      |
| Licensed Professionals | 1,423   | 1,399   | 1,455   | 1,426   | 1,426   | 1,426   |
| Outreach Events        | 5       | 4       | 4       | 5       | 5       | 5       |

### 2b. Provide a measure(s) of the program's quality.

|       | Percentage of Licensees Satisfied / Highly Satisfied With the Licensure Process |                |                 |                |                 |                |
|-------|---|----------------|-----------------|----------------|-----------------|----------------|
| 95%   |   |                |                 |                |                 |                |
| 94% — |   |                |                 | 95%            |                 | 95%            |
| 93% — |   | 94%            |                 | 9378           |                 |                |
| 92% - | FY 2018 Actual*   | FY 2019 Actual | FY 2020 Actual* | FY 2021 Target | FY 2022 Target* | FY 2023 Target |
|       | FY 2018 Actual"   | FY 2019 Actual | FY 2020 Actual* | FY 2021 Target | FY 2022 Target" | FY 2023 Target |

\*Biennial licenses only renewed in odd years.



| PROGRAM DESCRIPTION   |  |  |  |  |  |
|---|--|--|--|--|--|
| Department of Commerce and Insurance  | HB Section(s): 7.450 / 7.495                         |  |  |  |  |
| State Board of Optometry  |  |  |  |  |  |
| Program is found in the following core budget(s): Professional Registration Adu   | ministration, State Board of Optometry               |  |  |  |  |
| 4. What are the sources of the "Other " funds?  | ····   |  |  |  |  |
| Board of Optometry Fund (0636)  |  |  |  |  |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.? State Statute: Sections 336.010-336.225, RSMo. | (Include the federal program number, if applicable.) |  |  |  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>                                   |  |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No  |  |  |  |  |  |

Department of Commerce and Insurance

HB Section(s): 7.450 / 7.505

State Board of Podiatric Medicine

Program is found in the following core budget(s): Professional Registration Administration, State Board of Podiatric Medicine

| FY 2021 PLANNED         |        |        |        |  |  |  |
|-------------------------|--------|--------|--------|--|--|--|
| Podiatry PR Admin TOTAL |        |        |        |  |  |  |
| OTHER                   | 13,747 | 19,580 | 33,327 |  |  |  |

### 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

### 1b. What does this program do?

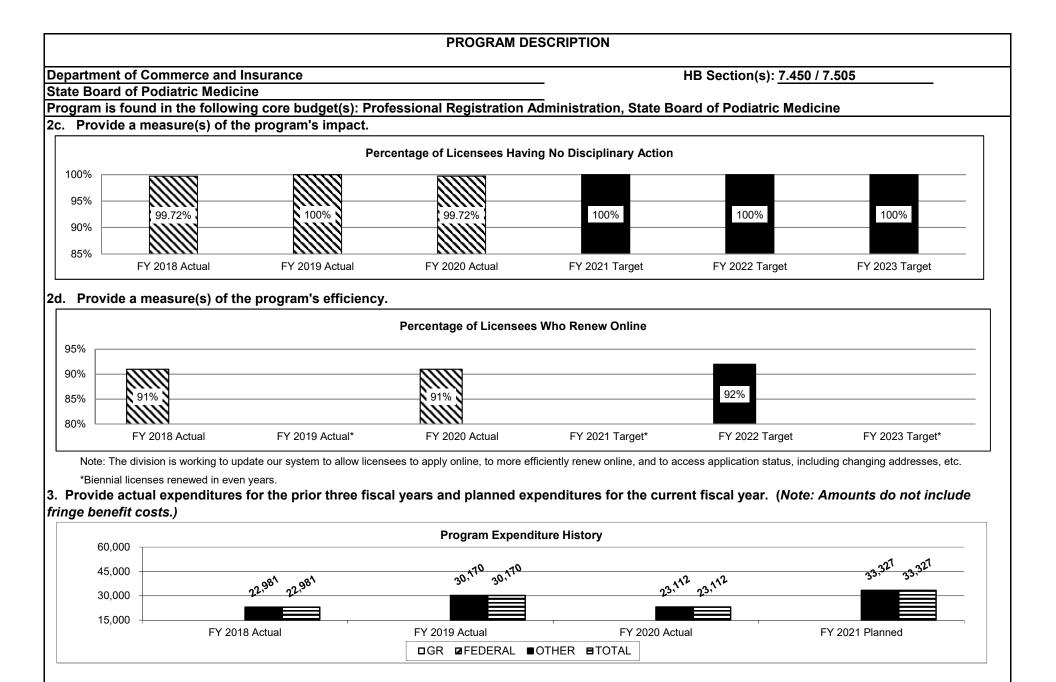
• The board's rules and regulations require licensure (podiatrist, ankle certified podiatrist, temporary podiatrist) for individuals engaged in the practice of podiatric medicine to ensure the health, safety and welfare of the public.

### 2a. Provide an activity measure(s) for the program.

|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 27      | 25      | 46      | 30      | 30      | 30      |
| Licensed Professionals | 363     | 372     | 362     | 366     | 366     | 366     |
| Outreach Events        | 4       | 5       | 3       | 5       | 5       | 5       |

### 2b. Provide a measure(s) of the program's quality.

| muy            |                 | 89%            |                 | 90%            |                |
|----------------|-----------------|----------------|-----------------|----------------|----------------|
| 87%            |                 |                |                 |                |                |
| FY 2018 Actual | FY 2019 Actual* | FY 2020 Actual | FY 2021 Target* | FY 2022 Target | FY 2023 Target |



| PROGRAM DESCRIPTION   |  |  |  |  |  |  |
|---|--|--|--|--|--|--|
| Department of Commerce and Insurance  | HB Section(s): 7.450 / 7.505                         |  |  |  |  |  |
| State Board of Podiatric Medicine   |  |  |  |  |  |  |
| Program is found in the following core budget(s): Professional Registration Adn   | ninistration, State Board of Podiatric Medicine      |  |  |  |  |  |
| 4. What are the sources of the "Other " funds?  |  |  |  |  |  |  |
| State Board of Podiatric Medicine Fund (0629)   |  |  |  |  |  |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.? State Statute: Sections 330.010-330.210, RSMo. | (Include the federal program number, if applicable.) |  |  |  |  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>                                   |  |  |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No  |  |  |  |  |  |  |

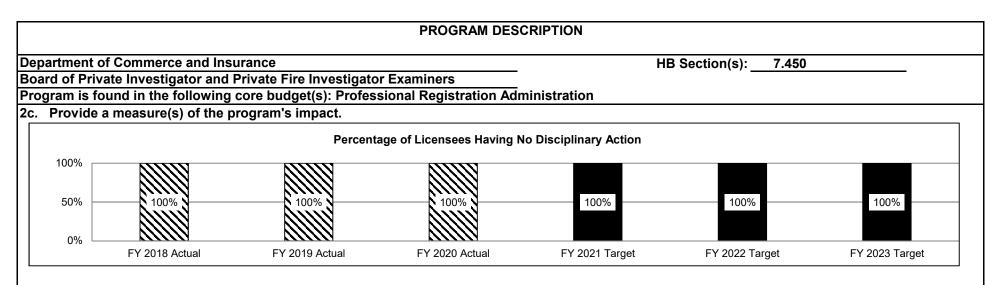
### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.450 Board of Private Investigator and Private Fire Investigator Examiners Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public ٠ Develop our team, reward great performance, and retain top talent ٠ Innovate to make it easier to connect and work with us • 1b. What does this program do? The Missouri Board of Private Investigator and Private Fire Investigator Examiners licenses and regulates Private Investigators, Private Fire ٠ Investigators, Agency Investigator Employees, Agency Fire Investigator Employees, Private Investigator Agencies, and Private Fire Investigator Agencies. The board investigates consumer complaints of those subject to board supervision and also investigate complaints about those practicing ٠ without a license. The board also determines discipline of licensees in violation of statutes and regulations. . 2a. Provide an activity measure(s) for the program.

|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |   |
|------------------------|---------|---------|---------|---------|---------|---------|---|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |   |
| Applications Received  | 175     | 180     | 130     | 150     | 150     | 150     | - |
| Licensed Professionals | 916     | 922     | 859     | 899     | 899     | 899     |   |
| Outreach Events        | 4       | 5       | 4       | 5       | 6       | 5       |   |

### 2b. Provide a measure(s) of the program's quality.

| Percentage of Licensees Satisfied / Highly Satisfied With the Licensure Process |                |                 |                |                 |                |                |  |  |  |  |
|---|----------------|-----------------|----------------|-----------------|----------------|----------------|--|--|--|--|
| T   |                |                 |                |                 |                |                |  |  |  |  |
| -   |                |                 |                |                 |                |                |  |  |  |  |
|   |                |                 |                |                 | 90%            |                |  |  |  |  |
| -   | 88%            |                 | 87%            |                 | 90%            |                |  |  |  |  |
|   |                |                 |                | 1               |                | 1              |  |  |  |  |
|   | FY 2018 Actual | FY 2019 Actual* | FY 2020 Actual | FY 2021 Target* | FY 2022 Target | FY 2023 Target |  |  |  |  |

\*Biennial renewal only in even years.



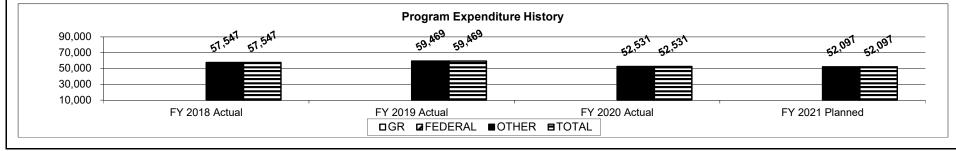
## 2d. Provide a measure(s) of the program's efficiency.

|      | Percentage of Licensees Who Renew Online |                 |                 |                  |                |                  |  |  |  |  |  |
|------|--|-----------------|-----------------|------------------|----------------|------------------|--|--|--|--|--|
| 100% |  |                 |                 |                  |                |                  |  |  |  |  |  |
| 50%  |  |                 |                 |                  | 65%            |                  |  |  |  |  |  |
| 0%   | FY 2018 Actual*                          | FY 2019 Actual* | FY 2020 Actual* | FY 2021 Target** | FY 2022 Target | FY 2023 Target** |  |  |  |  |  |

Note: The division is working to update our system to allow licensees to apply online, to more efficiently renew online, and to access application status, including changing addresses, etc. \*No online renewals allowed. Started when license applicants could attach a photo online.

\*\*Biennial renewal only in even years.

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (*Note: Amounts do not include fringe benefit costs.*)



| PROGRAM DESCRIPTION  |   |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|
| Department of Commerce and Insurance   | HB Section(s): 7.450                            |  |  |  |  |  |  |
| Board of Private Investigator and Private Fire Investigator Examiners  |   |  |  |  |  |  |  |
| Program is found in the following core budget(s): Professional Registration Adminis  | tration   |  |  |  |  |  |  |
| 4. What are the sources of the "Other " funds?   |   |  |  |  |  |  |  |
| Board of Private Investigator and Private Fire Investigator Examiners Fund (0802)  |   |  |  |  |  |  |  |
| <ol> <li>What is the authorization for this program, i.e., federal or state statute, etc.? (Incl<br/>State Statute: Sections 324.1100-324.1148, RSMo.</li> </ol> | ude the federal program number, if applicable.) |  |  |  |  |  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>  |   |  |  |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No   |   |  |  |  |  |  |  |

### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.450 Committee for Professional Counselors Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? • Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public Develop our team, reward great performance, and retain top talent • Innovate to make it easier to connect and work with us • 1b. What does this program do? The Committee for Professional Counselors reviews the educational qualifications and supervised counseling experience of applicants and ٠ investigating complaints relating to the counseling profession. The committee reviews to ensure a licensee or individual under supervision for licensure is qualified, through education, supervised . experience, and examination, to provide mental health services to Missouri consumers. The committee reviews complaints and corresponding investigations to ensure licensees and individuals under supervision for licensure • practice, legally, ethically, and competently. The committee provides information to graduate programs and professional associations, in order to keep students and practitioners apprised ٠ of changes in the law or regulations, as well as solicit input.

### 2a. Provide an activity measure(s) for the program.

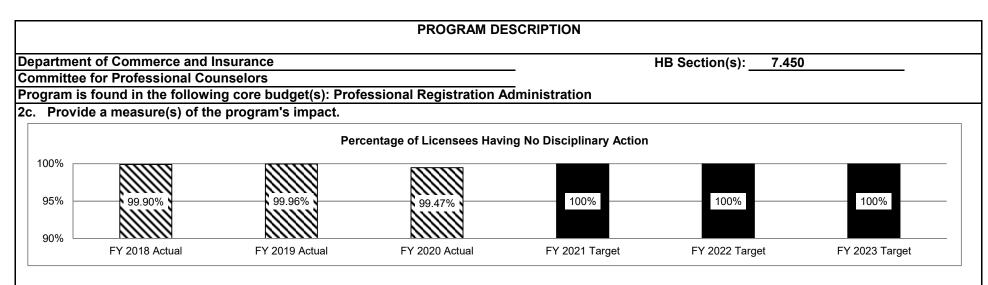
|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 1,035   | 939     | 938     | 970**   | 970**   | 970**   |
| Licensed Professionals | 6,658   | 7,147   | 7,281   | 7,029** | 7,029** | 7,029** |
| Outreach Events*       | 17      | 16      | 14      | 16      | 16      | 16      |

\*FY20 - There are 4 events currently not rescheduled due to COVID-19 Pandemic.

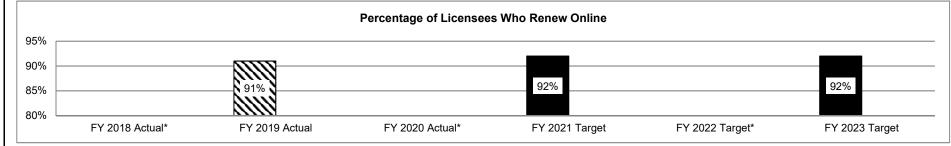
\*\*Target reflects average in new licenses issued in the past three years.

### 2b. Provide a measure(s) of the program's quality.

|        | Percentage of Licensees Satisfied / Highly Satisfied With the Licensure Process |                |                 |                |                 |                                       |  |  |  |  |  |
|--------|---|----------------|-----------------|----------------|-----------------|---------------------------------------|--|--|--|--|--|
| 87% —  |   |                |                 |                |                 |                                       |  |  |  |  |  |
| 85% —  |   |                |                 |                |                 |                                       |  |  |  |  |  |
| 83% —  |   |                |                 | 86%            |                 | 86%                                   |  |  |  |  |  |
| 81% —  |   |                |                 |                |                 |                                       |  |  |  |  |  |
| 79% -  |   |                | 1               | 1              | 1               | · · · · · · · · · · · · · · · · · · · |  |  |  |  |  |
|        | FY 2018 Actual*   | FY 2019 Actual | FY 2020 Actual* | FY 2021 Target | FY 2022 Target* | FY 2023 Target                        |  |  |  |  |  |
| *Bienr | nial license only renewed in c  | odd years.     |                 |                |                 |                                       |  |  |  |  |  |

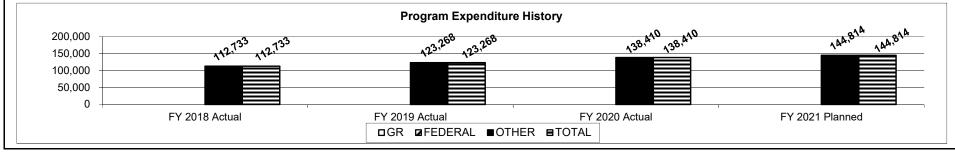


### 2d. Provide a measure(s) of the program's efficiency.



Note: The division is working to update our system to allow licensees to apply online, to more efficiently renew online, and to access application status, including changing addresses, etc. \*Biennial license only renewed in odd years.

# 3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



| PROGRAM DESCRIPTION  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Department of Commerce and Insurance   | HB Section(s): 7.450                               |  |  |  |  |  |  |
| Committee for Professional Counselors  |  |  |  |  |  |  |  |
| Program is found in the following core budget(s): Professional Registration Admin  | nistration   |  |  |  |  |  |  |
| 4. What are the sources of the "Other " funds?   |  |  |  |  |  |  |  |
| Committee for Professional Counselors Fund (0672)  |  |  |  |  |  |  |  |
| <ol> <li>What is the authorization for this program, i.e., federal or state statute, etc.? (In<br/>State Statute: Sections 337.500-337.540, RSMo.</li> </ol> | nclude the federal program number, if applicable.) |  |  |  |  |  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>  |  |  |  |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No   |  |  |  |  |  |  |  |

Department of Commerce and Insurance

State Committee of Psychologists

HB Section(s): 7.450

## 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent

Program is found in the following core budget(s): Professional Registration Administration

Innovate to make it easier to connect and work with us

### 1b. What does this program do?

- The State Committee of Psychologists protects the public by licensing qualified psychologists and behavior analysts by examination and evaluation of minimum competency.
- Enforces standards by implementing legislation and administrative rules.
- Investigates complaints and determines discipline of licensees in violation of statutes and regulations and also investigates complaints about those practicing without a license.
- The committee may promulgate, by rule, Ethical Rules of Conduct governing the practices of psychology, which are based upon the ethical principles promulgated and published by the American Psychological Association.

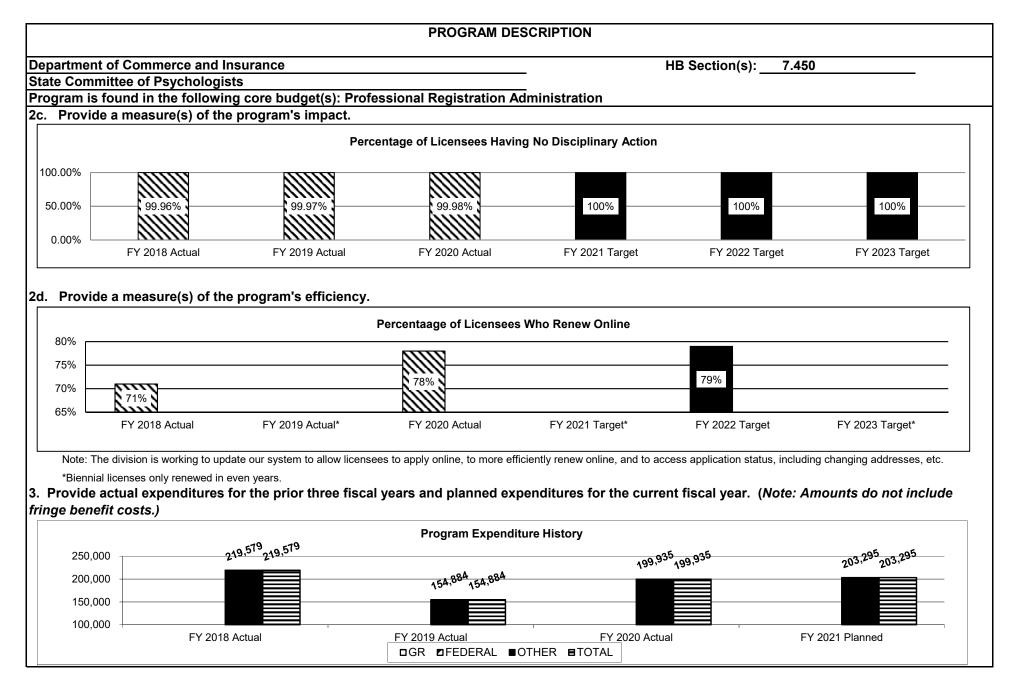
### 2a. Provide an activity measure(s) for the program.

|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 192     | 199     | 206     | 210     | 210     | 210     |
| Licensed Professionals | 2,668   | 2,876   | 2,802   | 2,782   | 2,782   | 2,782   |
| Outreach Events        | 20      | 22      | 17      | 22      | 22      | 22      |

### 2b. Provide a measure(s) of the program's quality.

|       |                | Percentage of Li | censees Satisfied / Highly | / Satisfied With the Licensu | ire Process    |                 |
|-------|----------------|------------------|----------------------------|------------------------------|----------------|-----------------|
| 96%   |                |                  |                            |                              |                |                 |
| 94% — |                |                  |                            |                              |                |                 |
| 92%   |                |                  |                            |                              |                |                 |
| 90%   | 91%            |                  | 91%                        |                              | 93%            |                 |
| 88%   |                | 1                |                            | 8                            |                | 1 1             |
|       | FY 2018 Actual | FY 2019 Actual*  | FY 2020 Actual             | FY 2021 Target*              | FY 2022 Target | FY 2023 Target* |
|       |                |                  |                            |                              |                |                 |

\*Biennial licenses only renewed in even years.



| PROGRAM DESCRIPT   | ION  |
|--|--|
| Department of Commerce and Insurance   | HB Section(s): 7.450                           |
| State Committee of Psychologists   |  |
| Program is found in the following core budget(s): Professional Registration Administ   | ration   |
| 4. What are the sources of the "Other " funds?   |  |
| State Committee of Psychologists Fund (0580)   |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Inclu State Statute: Sections 337.010-337.093 and 337.300-337.345, RSMo. | de the federal program number, if applicable.) |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No   |  |

Department of Commerce and Insurance

### Missouri Real Estate Appraisers Commission

## Program is found in the following core budget(s): Professional Registration Administration

### 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Innovate to make it easier to connect and work with us
- Develop our team, reward great performance, and retain top talent

### 1b. What does this program do?

- The Real Estate Appraiser Commission regulates real estate appraisers in accordance with federal and state laws, rules, and policies in Missouri.
- Examines and licenses appraisers to ensure adequate education, training and qualifications.
- Approves qualifying and continuing education courses.
- Investigates complaints, and administers disciplinary actions to persons in violation of rules, statutes, and uniform standards.

### 2a. Provide an activity measure(s) for the program.

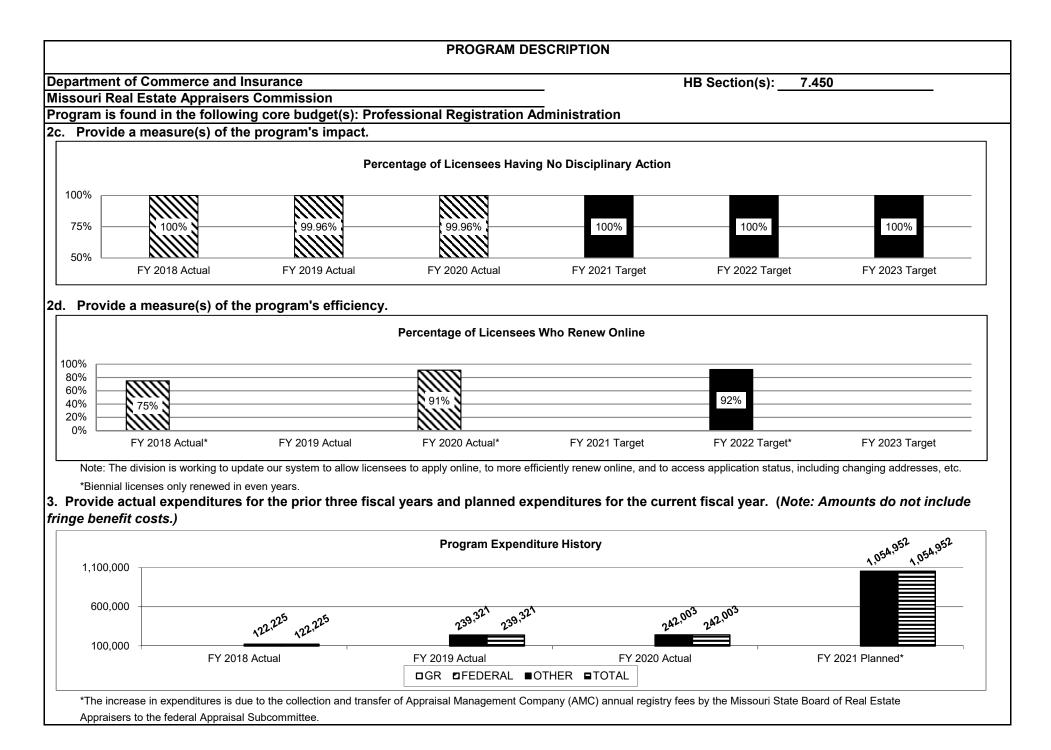
|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |   |
|------------------------|---------|---------|---------|---------|---------|---------|---|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |   |
| Applications Received  | 384     | 395     | 323     | 323     | 323     | 323     | - |
| Licensed Professionals | 2,685   | 2,578   | 2,627   | 2,578*  | 2,578*  | 2,578*  |   |
| Public Meetings Held   | 12      | 13      | 13      | 12      | 12      | 12      |   |
| ***                    |         |         |         |         |         |         |   |

\*Two year average used to project target.

### 2b. Provide a measure(s) of the program's quality.

| T      |                 |                |                 |                |                 |                |
|--------|-----------------|----------------|-----------------|----------------|-----------------|----------------|
| ,      |                 |                |                 |                |                 |                |
| %      | 93%             |                | 93%             |                | 94%             |                |
| ⁄o +── | FY 2018 Actual* | FY 2019 Actual | FY 2020 Actual* | FY 2021 Target | FY 2022 Target* | FY 2023 Target |

HB Section(s): 7.450



Department of Commerce and Insurance

HB Section(s): 7.450

Missouri Real Estate Appraisers Commission

Program is found in the following core budget(s): Professional Registration Administration

4. What are the sources of the "Other " funds? Missouri Real Estate Appraisers Fund (0561)

- 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.) State Statute: Sections 339.500-339.549, RSMo.
- 6. Are there federal matching requirements? If yes, please explain. N/A
- 7. Is this a federally mandated program? If yes, please explain.

Yes. Title XI of the Financial Institutions Reform, Recovery and Enforcement Act of 1989 requires all real estate appraisers to be certified to perform appraisals for federal transactions.

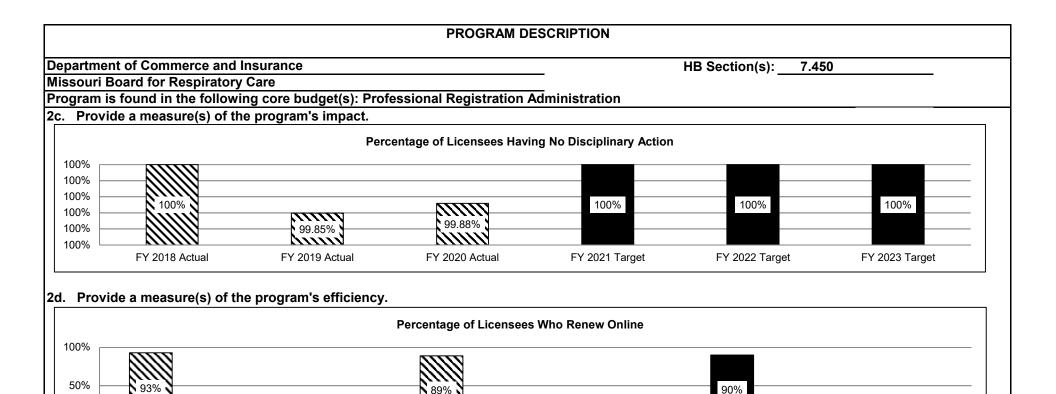
# PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.450 Missouri Board for Respiratory Care Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public ٠ Develop our team, reward great performance, and retain top talent ٠ Innovate to make it easier to connect and work with us 1b. What does this program do? The Board for Respiratory Care is responsible for developing, implementing and administering the rules and regulations necessary to carry out • the Respiratory Care Practice Act for persons holding a permit or license to practice respiratory care in Missouri. This act includes establishing the requirements for licensure, continuing education, as well as the ethical standards of practice for respiratory • care practitioners. The board is also responsible for investigating complaints related to the practice of respiratory care and administering any discipline to ٠ licensees. 2a. Provide an activity measure(s) for the program.

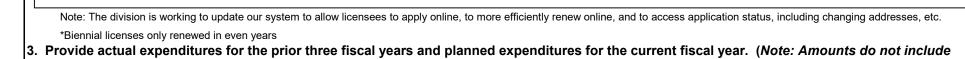
|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |   |
|------------------------|---------|---------|---------|---------|---------|---------|---|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |   |
| Applications Received  | 321     | 390     | 399     | 399     | 399     | 399     | - |
| Licensed Professionals | 4,823   | 4,639   | 4,989   | 4,814*  | 4,814*  | 4,814*  |   |
| Public meetings held   | 4       | 4       | 4       | 4       | 4       | 4       |   |

\*Two year average used to project target.

## 2b. Provide a measure(s) of the program's quality.

|     |                 | Percentage of L | icensees Satisfied / Highly | Satisfied With the Licens | ure Process     |                |
|-----|-----------------|-----------------|-----------------------------|---------------------------|-----------------|----------------|
| 6   |                 |                 |                             |                           |                 |                |
| %   |                 |                 |                             |                           |                 |                |
| %   | 92%             |                 | 92%                         |                           | 93%             |                |
| ) – | FY 2018 Actual* | FY 2019 Actual  | FY 2020 Actual*             | FY 2021 Target            | FY 2022 Target* | FY 2023 Target |





FY 2021 Target

FY 2022 Target\*

FY 2023 Target

89%

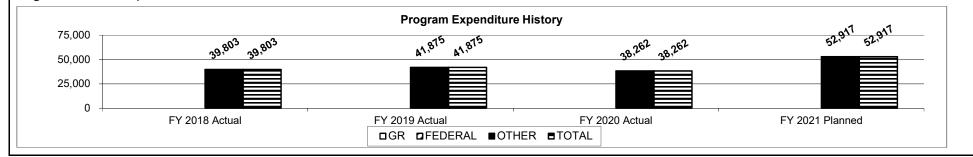
FY 2020 Actual\*

FY 2019 Actual

### fringe benefit costs.)

FY 2018 Actual\*

0%



|   | PROGRAM DES  | SCRIPTION  |
|---|--|--|
| C | Department of Commerce and Insurance   | HB Section(s): 7.450                                   |
| Ν | lissouri Board for Respiratory Care  |  |
| F | Program is found in the following core budget(s): Professional Registration Ad   | Iministration  |
| 4 | . What are the sources of the "Other " funds?  |  |
|   | Respiratory Care Practitioners Fund (0833)   |  |
| 5 | 5. What is the authorization for this program, i.e., federal or state statute, etc.?<br>State Statute: Sections 334.800-334.930, RSMo. | ' (Include the federal program number, if applicable.) |
| 6 | 6. Are there federal matching requirements? If yes, please explain.<br>N/A   |  |
| 7 | Is this a federally mandated program? If yes, please explain. No   |  |

### **PROGRAM DESCRIPTION** Department of Commerce and Insurance HB Section(s): 7.450 State Committee for Social Workers Program is found in the following core budget(s): Professional Registration Administration 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public • Develop our team, reward great performance, and retain top talent • Innovate to make it easier to connect and work with us • 1b. What does this program do? The State Committee for Social Workers protects the citizens of the state through the regulation of social workers in Missouri. ٠ The committee licenses social workers to ensure adequate education and training. • The committee investigates all complaints against its licensees in a fair and equitable manner and administers appropriate discipline to . licensees. 2a. Provide an activity measure(s) for the program. FY 2022 FY 2019 FY 2020 FY 2021 FY 2023 FY 2018 Actual Actual Actual Target Target Target 999 973 999 999 **Applications Received** 950 999 Licensed Professionals 8.875 9.273 9,273 9.273 9,273 9.119 Outreach Events 9 8 8 7 7 8 2b. Provide a measure(s) of the program's quality. Percentage of Licensees Satisfied / Highly Satisfied With the Licensure Process 97% 96% 96% 96% 96% 95%

Licensees were surveyed about their experience with the committee's licensure procedures, customer service, website and communications.

95%

FY 2020 Actual

95%

FY 2019 Actual

95%

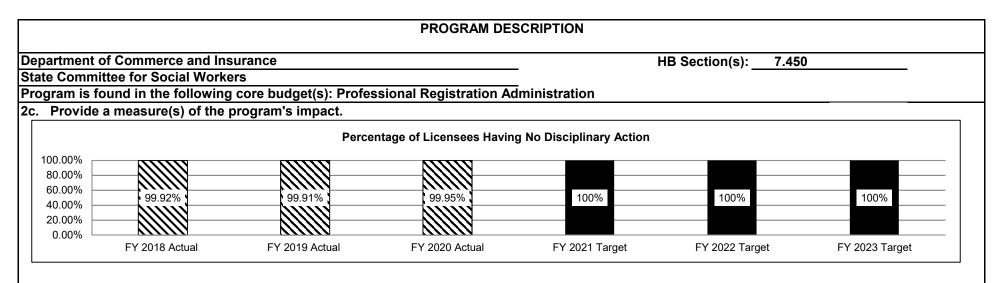
FY 2018 Actual

94%

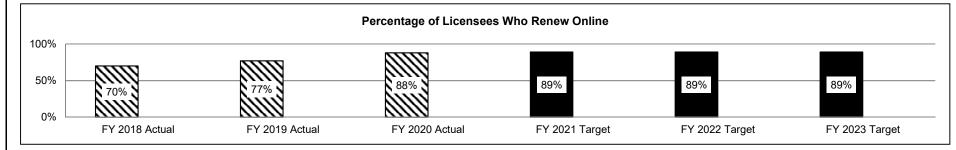
FY 2021 Target

FY 2022 Target

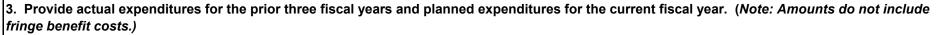
FY 2023 Target

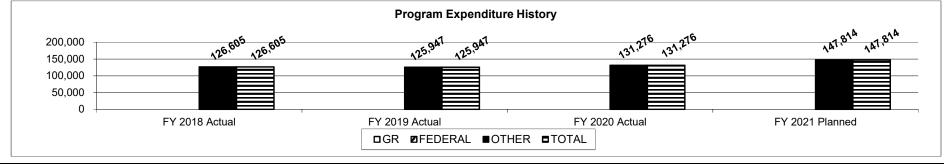


### 2d. Provide a measure(s) of the program's efficiency.



Note: The division is working to update our system to allow licensees to apply online, to more efficiently renew online, and to access application status, including changing addresses, etc.





| PROGF   |   |
|---|---|
| Department of Commerce and Insurance  | HB Section(s): 7.450  |
| State Committee for Social Workers  |   |
| Program is found in the following core budget(s): Professional Registr  | ration Administration   |
| 4. What are the sources of the "Other " funds?  |   |
| Licensed Social Workers Fund (0574)   |   |
| 5. What is the authorization for this program, i.e., federal or state statu<br>State Statute: Sections 337.600-337.689, RSMo. | ute, etc.? (Include the federal program number, if applicable.) |
| 6. Are there federal matching requirements? If yes, please explain. N/A   |   |
| 7. Is this a federally mandated program? If yes, please explain.<br>No  |   |

Department of Commerce and Insurance

HB Section(s): 7.450

Office of Tattooing, Body Piercing and Branding

Program is found in the following core budget(s): Professional Registration Administration

### 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

### 1b. What does this program do?

- The Office of Tattooing, Body Piercing & Branding licenses tattoo, body piercing and branding practitioners and establishments in Missouri to ensure consumers have a safe, sanitary environment when receiving these services.
- Ensures adequate education and training of practitioners.
- Investigates complaints against licensees in a fair and equitable manner and administers appropriate discipline to licensees.

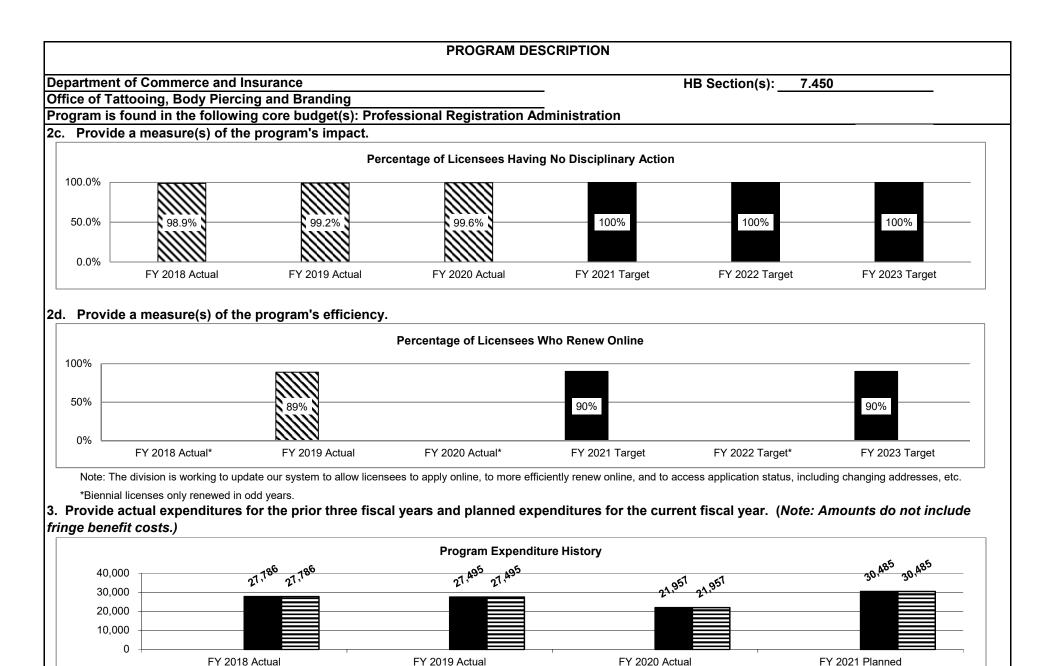
### 2a. Provide an activity measure(s) for the program.

|                        | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Target | FY 2022<br>Target | FY 2023<br>Target |
|------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Applications Received  | 728               | 1,165             | 519               | 519               | 519               | 519               |
| Licensed Professionals | 1,826             | 2,067             | 2,109             | 2,088*            | 2,088*            | 2,088*            |

\*Two year average used to project target.

### 2b. Provide a measure(s) of the program's quality.

| 00/   |                 |                |                 |                |                 |                |
|-------|-----------------|----------------|-----------------|----------------|-----------------|----------------|
| 98%   |                 |                |                 |                |                 |                |
| 96% — |                 |                |                 |                |                 |                |
| 94%   |                 |                |                 | 97%            |                 | 97%            |
| 92%   |                 | 96%            |                 | 97 78          |                 | 97 70          |
| 90%   |                 |                |                 |                |                 |                |
| 90% + | FY 2018 Actual* | FY 2019 Actual | FY 2020 Actual* | FY 2021 Target | FY 2022 Target* | FY 2023 Target |





□GR **□**FEDERAL **■**OTHER **■**TOTAL

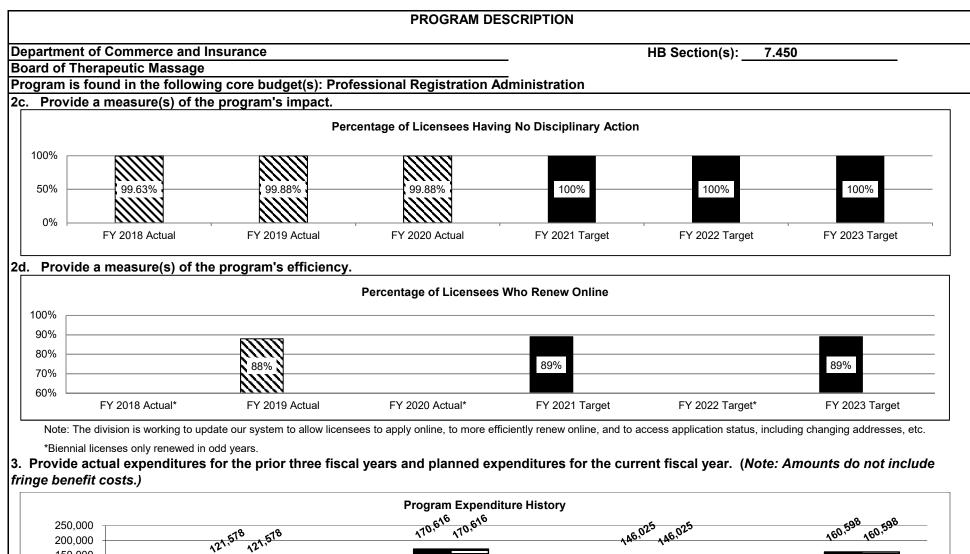
| PROGRAM DESCRIP  | TION   |
|--|--|
| Department of Commerce and Insurance   | HB Section(s): 7.450                             |
| Office of Tattooing, Body Piercing and Branding  |  |
| Program is found in the following core budget(s): Professional Registration Adminis  | stration   |
| 4. What are the sources of the "Other " funds?   |  |
| Tattoo Fund (0883)   |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Inc.<br>State Statute: Sections 324.520-324.524, RSMo. | lude the federal program number, if applicable.) |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No   |  |

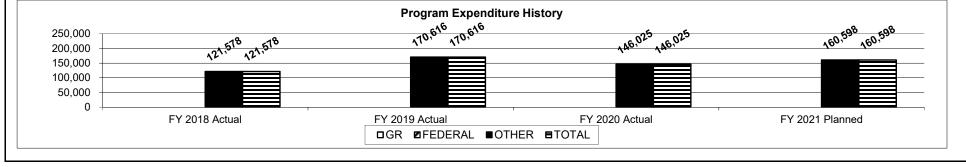
|  |  | PR  | OGRAM DES                                    | SCRIPTION                             |                                       |                                       |                 |
|--|--|---|--|---------------------------------------|---------------------------------------|---------------------------------------|-----------------|
| Department of Commerce and Insura  | ance   |   |  | _                                     | HE                                    | Section(s):                           | 7.450           |
| Board of Therapeutic Massage   |  |   |  |                                       |                                       |                                       |                 |
| Program is found in the following co   | ore budget(s): Prof  | essional Reg  | gistration Ad                                | Iministration                         | 1                                     |                                       |                 |
| a. What strategic priority does this   | program address  | ?   |  |                                       |                                       |                                       |                 |
| <ul> <li>Strengthen our regulatory relation</li> <li>Develop our team, reward gree</li> <li>Innovate to make it easier to our team</li> </ul>  | eat performance, an  | d retain top t  |  | to protect and                        | advocate for                          | the general publi                     | с               |
| Ib. What does this program do?   |  |   |  |                                       |                                       |                                       |                 |
| <ul> <li>The board reviews licensure a<br/>therapy to Missouri consumer</li> <li>Upon request from the Missouri<br/>educational programs to ensure</li> </ul>  | rs.<br>uri Coordinating Bo<br>ure graduates are e  | ard of Higher<br>ligible for lice                                   | Education, th                                | he board revi                         | -                                     |                                       |                 |
| The board reviews inspection<br>competently, in order to provi   | de massage in a sa   |   |  |                                       | icensees and                          | businesses pract                      | ice legally and |
| The board reviews inspection competently, in order to provi  | de massage in a sa   |   |  |                                       | icensees and                          | businesses pract                      | ice legally and |
| The board reviews inspection   | de massage in a sa   |   |  |                                       | icensees and<br>FY 2022<br>Target     | businesses pract<br>FY 2023<br>Target | ice legally and |
| <ul> <li>The board reviews inspection competently, in order to provide</li> <li>Provide an activity measure(s) f</li> <li>Applications Received</li> </ul>   | de massage in a sa<br>for the program.<br>FY 2018<br><u>Actual</u><br>1,083                                      | fe and sanita<br>FY 2019<br><u>Actual</u><br>1,116                  | FY 2020<br>Actual<br>992                     | ent.<br>FY 2021<br>Target<br>1,063*   | FY 2022<br>Target<br>1,063*           | <b>FY 2023</b><br>Target<br>1,063*    | ice legally and |
| <ul> <li>The board reviews inspection<br/>competently, in order to provide</li> <li>Provide an activity measure(s) f</li> <li>Applications Received<br/>Licensed Professionals</li> </ul>                    | de massage in a sa<br>for the program.<br>FY 2018<br><u>Actual</u><br>1,083<br>7,113                             | Ife and sanita<br><b>FY 2019</b><br><u>Actual</u><br>1,116<br>6,620 | FY 2020<br>FY 2020<br>Actual<br>992<br>7,311 | FY 2021<br>Target<br>1,063*<br>7,015* | FY 2022<br>Target<br>1,063*<br>7,015* | FY 2023<br>Target<br>1,063*<br>7,015* | ice legally and |
| <ul> <li>The board reviews inspection<br/>competently, in order to provie</li> <li>Provide an activity measure(s) f</li> <li>Applications Received<br/>Licensed Professionals<br/>Outreach Events</li> </ul> | de massage in a sa<br>for the program.<br>FY 2018<br><u>Actual</u><br>1,083<br>7,113<br>10                       | fe and sanita<br>FY 2019<br><u>Actual</u><br>1,116<br>6,620<br>12   | FY 2020<br>Actual<br>992                     | ent.<br>FY 2021<br>Target<br>1,063*   | FY 2022<br>Target<br>1,063*           | <b>FY 2023</b><br>Target<br>1,063*    | ice legally and |
| <ul> <li>The board reviews inspection<br/>competently, in order to provie</li> <li>Provide an activity measure(s) f</li> <li>Applications Received<br/>Licensed Professionals</li> </ul>                     | de massage in a sa<br>for the program.<br>FY 2018<br><u>Actual</u><br>1,083<br>7,113<br>10                       | fe and sanita<br>FY 2019<br><u>Actual</u><br>1,116<br>6,620<br>12   | FY 2020<br>FY 2020<br>Actual<br>992<br>7,311 | FY 2021<br>Target<br>1,063*<br>7,015* | FY 2022<br>Target<br>1,063*<br>7,015* | FY 2023<br>Target<br>1,063*<br>7,015* | ice legally and |
| <ul> <li>The board reviews inspection<br/>competently, in order to provie</li> <li>Provide an activity measure(s) f</li> <li>Applications Received<br/>Licensed Professionals<br/>Outreach Events</li> </ul> | de massage in a sa<br>for the program.<br>FY 2018<br>Actual<br>1,083<br>7,113<br>10<br>ssued in the past three y | fe and sanita<br>FY 2019<br><u>Actual</u><br>1,116<br>6,620<br>12   | FY 2020<br>FY 2020<br>Actual<br>992<br>7,311 | FY 2021<br>Target<br>1,063*<br>7,015* | FY 2022<br>Target<br>1,063*<br>7,015* | FY 2023<br>Target<br>1,063*<br>7,015* | ice legally and |

|       |                                   | Percentage of L | icensees Satisfied / Highly | Satisfied With the Licens | ure Process     |                |
|-------|-----------------------------------|-----------------|-----------------------------|---------------------------|-----------------|----------------|
| 90%   |                                   |                 |                             |                           |                 |                |
| 88% — |                                   |                 |                             |                           |                 |                |
| 86% — |                                   |                 |                             | 88%                       |                 | 88%            |
| 84% — |                                   | 87%             |                             |                           |                 |                |
| 82% — | FY 2018 Actual*                   | FY 2019 Actual  | FY 2020 Actual*             | FY 2021 Target            | FY 2022 Target* | FY 2023 Target |
| *0'   | Set Bernere en en benere en et be |                 |                             |                           |                 |                |

\*Biennial licenses only renewed in odd years.

Licensees were surveyed about their experience with the board's licensure procedures, customer service, website and communications.





| PROGRAM DESCRIPT   | ION   |
|--|---|
| Department of Commerce and Insurance   | HB Section(s): 7.450                            |
| Board of Therapeutic Massage   |   |
| Program is found in the following core budget(s): Professional Registration Administr  | ration  |
| 4. What are the sources of the "Other " funds?   |   |
| Massage Therapy Fund (0884)  |   |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Inclu State Statute: Sections 324.240-324.275, RSMo. | ide the federal program number, if applicable.) |
| 6. Are there federal matching requirements? If yes, please explain.<br>N/A   |   |
| 7. Is this a federally mandated program? If yes, please explain.<br>No   |   |

#### PROGRAM DESCRIPTION

Department of Commerce and Insurance

HB Section(s): 7.450 / 7.515

#### Missouri Veterinary Medical Board

#### Program is found in the following core budget(s): Professional Registration Administration, Missouri Veterinary Medical Board

| FY 2021 PLANNED |                           |         |         |  |  |  |  |  |
|-----------------|---------------------------|---------|---------|--|--|--|--|--|
|                 | Veterinary PR Admin TOTAL |         |         |  |  |  |  |  |
| OTHER           | 108,317                   | 207,549 | 315,866 |  |  |  |  |  |

#### 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

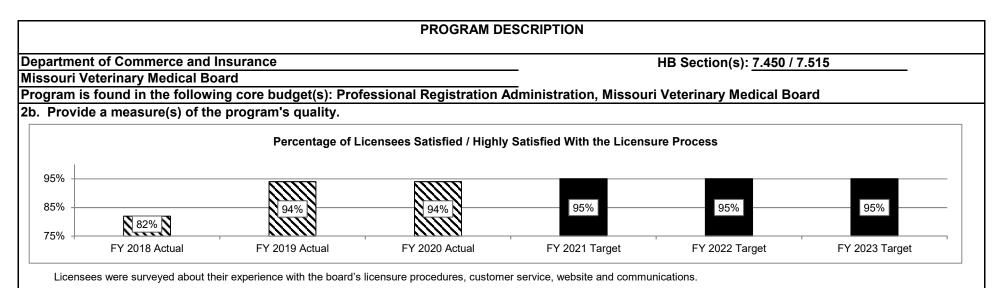
#### 1b. What does this program do?

Duties of the board, include but not limited to:

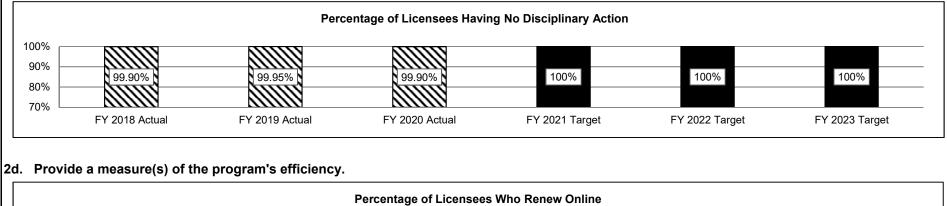
- Examine and determine qualifications for the licensing of veterinarians.
- Provide for the registration of veterinary technicians.
- Issue veterinary facility permits.
- Issue, renew, deny, suspend, revoke, place on probation or otherwise discipline licensees, certificates and permits.
- Maintain annual renewal records.
- Issue temporary licenses under certain conditions.
- Adopt rules and regulations to execute and enforce statutory law.
- Establish fees for licenses and facility permits at a level to produce revenues for the execution of the practice act.
- Investigate complaints based on alleged violations of the practice act.
- Address and dispose of complaints through disciplinary hearings, informal conferences or other legal means if necessary.
- Establish minimum standards for the practice of veterinary medicine.

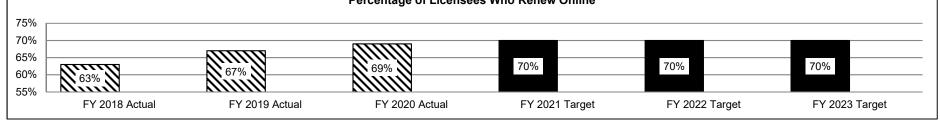
#### 2a. Provide an activity measure(s) for the program.

|                        | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Target | FY 2022<br>Target | FY 2023<br>Target |
|------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Applications Received  | 527               | 532               | 523               | 523               | 523               | 523               |
| Licensed Professionals | 5,760             | 5,855             | 5,964             | 5,964             | 5,964             | 5,964             |
| Public Meetings Held   | 10                | 9                 | 11                | 10                | 10                | 10                |



## 2c. Provide a measure(s) of the program's impact.





Note: The division is working to update our system to allow licensees to apply online, to more efficiently renew online, and to access application status, including changing addresses, etc.

|   |  | PROGRAM DESCRI                      | PTION                            |                                  |
|---|--|-------------------------------------|----------------------------------|----------------------------------|
| Department of Commo                             |  |                                     | HB Section(s                     | s): <u>7.450 / 7.515</u>         |
| Missouri Veterinary M                           |  | Professional Pagistration Admini    | atratian Missouri Vatarinary N   | Andian Board                     |
| Program is found in th                          | ne following core budget(s): P                                     | Professional Registration Admini    | stration, missouri veterinary k  |                                  |
| 3. Provide actual exp<br>fringe benefit costs.) | enditures for the prior three fi                                   | scal years and planned expendi      | tures for the current fiscal yea | r. (Note: Amounts do not include |
| 400.000   |  | Program Expenditure H               | istory                           | 315,860 315,860                  |
| 300,000   | - A1 - A1  | . ng                                | 10,751 170,751                   |                                  |
| 200,000   | 149,3 <sup>11</sup> 149,3 <sup>11</sup>                            | 149,5 <sup>99</sup> 119,599         |                                  |                                  |
| 100,000   |  |                                     |                                  |                                  |
| 0 +   | FY 2018 Actual   | FY 2019 Actual                      | FY 2020 Actual                   | FY 2021 Planned                  |
|   |  | □GR □FEDERAL ■OTHER                 | R BTOTAL                         |                                  |
|   | ces of the "Other " funds?<br>al Board Fund (0639)                 |                                     |                                  |                                  |
|   | ization for this program, i.e., f<br>ctions 340.200-340.396, RSMo. | ederal or state statute, etc.? (Ind | clude the federal program num    | ber, if applicable.)             |
| 6. Are there federal m<br>N/A                   | natching requirements? If yes                                      | , please explain.                   |                                  |                                  |
| 7. Is this a federally n<br>No                  | nandated program? If yes, ple                                      | ease explain.                       |                                  |                                  |

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| Department of Co     | mmerce and Insu     | irance        |                 |         | Budget Unit       | 42650C           |               |                |         |
|----------------------|---------------------|---------------|-----------------|---------|-------------------|------------------|---------------|----------------|---------|
| Professional Regi    | stration            |               |                 |         |                   |                  |               |                |         |
| Core - State Board   | d of Accountancy    | ,             |                 |         | HB Section        | 7.455            |               |                |         |
| 1. CORE FINANCI      | AL SUMMARY          |               |                 |         |                   |                  |               |                |         |
|                      | FY 2                | 2022 Budge    | t Request       |         |                   | FY 2022 G        | overnor's R   | ecommenda      | tion    |
|                      | GR                  | Federal       | Other           | Total   |                   | GR               | Federal       | Other          | Total   |
| PS                   | 0                   | 0             | 316,400         | 316,400 | PS                | 0                | 0             | 316,400        | 316,400 |
| EE                   | 0                   | 0             | 247,808         | 247,808 | EE                | 0                | 0             | 247,808        | 247,808 |
| PSD                  | 0                   | 0             | 0               | 0       | PSD               | 0                | 0             | 0              | 0       |
| TRF                  | 0                   | 0             | 0               | 0       | TRF               | 0                | 0             | 0              | 0       |
| Total =              | 0                   | 0             | 564,208         | 564,208 | Total             | 0                | 0             | 564,208        | 564,208 |
| FTE                  | 0.00                | 0.00          | 7.00            | 7.00    | FTE               | 0.00             | 0.00          | 7.00           | 7.00    |
| Est. Fringe          | 0                   | 0             | 203,128         | 203,128 | Est. Fringe       | 0                | 0             | 203,128        | 203,128 |
| Note: Fringes budg   | geted in House Bill | 5 except fo   | r certain fring | es      | Note: Fringes bu  | udgeted in Hous  | e Bill 5 exce | pt for certain | fringes |
| budgeted directly to | o MoDOT, Highwa     | y Patrol, and | d Conservatio   | n.      | budgeted directly | ∕ to MoDOT, Hig  | ghway Patrol  | l, and Conser  | vation. |
| Other Funds:         | State Board of Acc  | countancv Fi  | und (0627)      |         | Other Funds: Sta  | ate Board of Aco | countancv Fi  | und (0627)     |         |
|                      |                     |               | (               |         |                   |                  |               |                |         |
| 2. CORE DESCRIP      | PTION               |               |                 |         |                   |                  |               |                |         |

This core appropriation is necessary so the Missouri State Board of Accountancy can operate. The board was created in 1909 by Senate Bill 112 and passed by the 44th General Assembly. The board is a state agency and the members are appointed by the Governor and confirmed by the Senate. The board consists of seven members. All members hold office for five years. The board is fee funded agency, supported entirely by CPA candidates' and licensees' fees. The Public Accountancy Act is the set of statutes that govern the ability of the board to regulate certified public accountants, public accountants, limited liability companies, partnerships, and professional corporations in Missouri.

The mission of the State Board of Accountancy is to protect the interests of all the citizens of the State of Missouri, as provided in Chapter 326, RSMo, by examining, certifying, licensing and regulating certified public accountants and public accountants, and firms of certified public accountants and public accountants in the State of Missouri. The board promulgates rules necessary to administer the provisions of Chapter 326 to ensure the competence and ethical standards of practitioners; regulates and enforces the practice of public accounting; investigates complaints and violations of Chapter 326 and related rules; and determines appropriate discipline for those who are found to have violated the statutes or regulations.

3. PROGRAM LISTING (list programs included in this core funding)

State Board of Accountancy

| Department of Commerce and Ir   | nsurance          |                   |                   |                        | Budget Unit | 42650C     |                        |         |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|-------------|------------|------------------------|---------|
| Professional Registration       |                   |                   |                   |                        |             |            |                        |         |
| Core - State Board of Accountar | псу               |                   |                   |                        | HB Section  | 7.455      |                        |         |
| 4. FINANCIAL HISTORY            |                   |                   |                   |                        |             |            |                        |         |
|                                 | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2020<br>Current Yr. |             | Actual Exp | penditures (All Funds) |         |
| Appropriation (All Funds)       | 542,259           | 544,876           | 555,442           | 564,208                | 700,000 -   |            |                        |         |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |             |            |                        |         |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |             |            |                        |         |
| Budget Authority (All Funds)    | 542,259           | 544,876           | 555,442           | 564,208                | 600,000 -   |            |                        |         |
| Actual Expenditures (All Funds) | 521,745           | 532,954           | 498,257           | N/A                    |             | 521,745    | 532,954                | 400.057 |
| Unexpended (All Funds)          | 20,514            | 11,922            | 57,185            | N/A                    | 500,000 -   |            |                        | 498,257 |
| Unexpended, by Fund:            |                   |                   |                   |                        |             |            |                        |         |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    | 400,000 -   |            |                        |         |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |             |            |                        |         |
| Other                           | 20,514            | 11,922            | 57,185            | N/A                    |             |            |                        |         |
|                                 | (1)               | (2)               | (3)               |                        | 300,000 -   | FY 2018    | FY 2019                | FY 2020 |
|                                 |                   |                   |                   |                        |             | FT 2010    | FT ZUIS                | FT 2020 |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

(1) Unexpended amount is due to staff turnover and less than anticipated expenditures.

(2) Unexpended amount is due to staff turnover and less than anticipated expenditures.

(3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

#### CORE RECONCILIATION DETAIL

#### DEPT OF COMMERCE AND INSURANCE

STATE BOARD OF ACCOUNTANCY

#### 5. CORE RECONCILIATION DETAIL

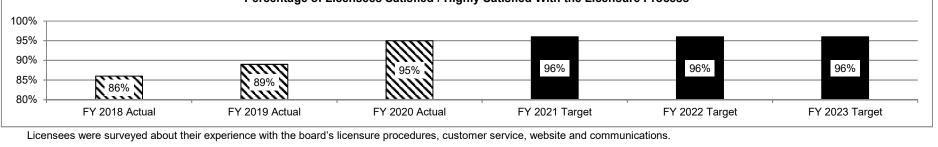
|                         | Budget |      |    |         |   |         |         |   |
|-------------------------|--------|------|----|---------|---|---------|---------|---|
|                         | Class  | FTE  | GR | Federal | 0 | ther    | Total   | E |
| TAFP AFTER VETOES       |        |      |    |         |   |         |         |   |
|                         | PS     | 7.00 | (  | ) 0     | ) | 316,400 | 316,400 |   |
|                         | EE     | 0.00 | (  | ) 0     | ) | 247,808 | 247,808 |   |
|                         | Total  | 7.00 |    | ) 0     | ) | 564,208 | 564,208 | - |
| DEPARTMENT CORE REQUEST |        |      |    |         |   |         |         |   |
|                         | PS     | 7.00 | (  | ) 0     | ) | 316,400 | 316,400 | ) |
|                         | EE     | 0.00 | (  | ) 0     | ) | 247,808 | 247,808 |   |
|                         | Total  | 7.00 | (  | ) 0     |   | 564,208 | 564,208 | - |
| GOVERNOR'S RECOMMENDED  | CORE   |      |    |         |   |         |         |   |
|                         | PS     | 7.00 | (  | ) 0     | ) | 316,400 | 316,400 | ) |
|                         | EE     | 0.00 | (  | ) 0     | ) | 247,808 | 247,808 |   |
|                         | Total  | 7.00 | (  | ) 0     |   | 564,208 | 564,208 | - |

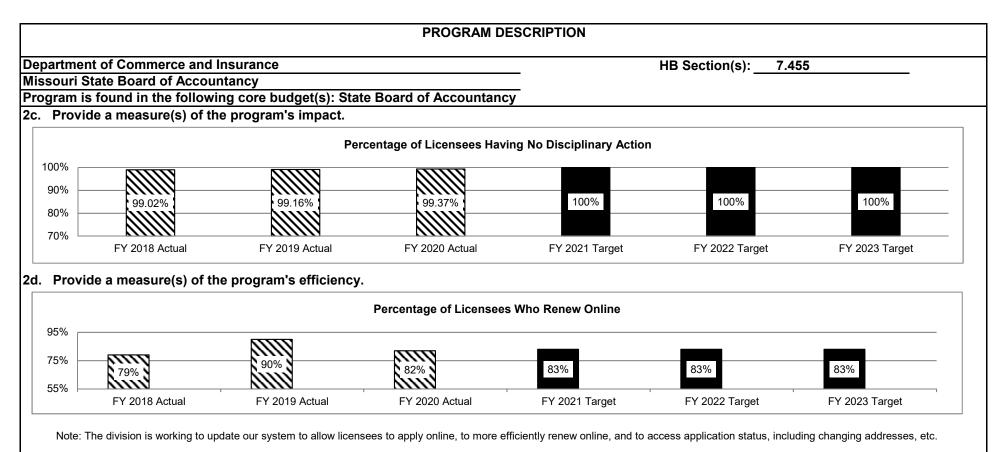
| DCI   |                             |                          |                             |                          |                               | DEC                        | ISION ITEM                   | SUMMAR                    |
|---|-----------------------------|--------------------------|-----------------------------|--------------------------|-------------------------------|----------------------------|------------------------------|---------------------------|
| Budget Unit<br>Decision Item<br>Budget Object Summary<br>Fund | FY 2020<br>ACTUAL<br>DOLLAR | FY 2020<br>ACTUAL<br>FTE | FY 2021<br>BUDGET<br>DOLLAR | FY 2021<br>BUDGET<br>FTE | FY 2022<br>DEPT REQ<br>DOLLAR | FY 2022<br>DEPT REQ<br>FTE | FY 2022<br>GOV REC<br>DOLLAR | FY 2022<br>GOV REC<br>FTE |
| STATE BOARD OF ACCOUNTANCY                                    | -                           |                          | -                           |                          | -                             |                            | -                            |                           |
| CORE  |                             |                          |                             |                          |                               |                            |                              |                           |
| PERSONAL SERVICES<br>BOARD OF ACCOUNTANCY                     | 295,936                     | 7.76                     | 316,400                     | 7.00                     | 316,400                       | 7.00                       | 316,400                      | 7.00                      |
| TOTAL - PS  | 295,936                     | 7.76                     | 316,400                     | 7.00                     | 316,400                       | 7.00                       | 316,400                      | 7.00                      |
| EXPENSE & EQUIPMENT<br>BOARD OF ACCOUNTANCY                   | 202,321                     | 0.00                     | 247,808                     | 0.00                     | 247,808                       | 0.00                       | 247,808                      | 0.00                      |
| TOTAL - EE  | 202,321                     | 0.00                     | 247,808                     | 0.00                     | 247,808                       | 0.00                       | 247,808                      | 0.00                      |
| TOTAL   | 498,257                     | 7.76                     | 564,208                     | 7.00                     | 564,208                       | 7.00                       | 564,208                      | 7.00                      |
| Pay Plan - 0000012  |                             |                          |                             |                          |                               |                            |                              |                           |
| PERSONAL SERVICES<br>BOARD OF ACCOUNTANCY                     | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 3,164                        | 0.00                      |
| TOTAL - PS  | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 3,164                        | 0.00                      |
| TOTAL   | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 3,164                        | 0.00                      |
| GRAND TOTAL   | \$498,257                   | 7.76                     | \$564,208                   | 7.00                     | \$564,208                     | 7.00                       | \$567,372                    | 7.00                      |

| DCI                            |           |         |           |         |           | C        | ECISION ITI | EM DETAI |
|--------------------------------|-----------|---------|-----------|---------|-----------|----------|-------------|----------|
| Budget Unit                    | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022     | FY 2022  |
| Decision Item                  | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC     | GOV REC  |
| Budget Object Class            | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR      | FTE      |
| STATE BOARD OF ACCOUNTANCY     |           |         |           |         |           |          |             |          |
| CORE                           |           |         |           |         |           |          |             |          |
| SR OFFICE SUPPORT ASSISTANT    | 8,261     | 0.29    | 29,793    | 1.00    | 0         | 0.00     | 0           | 0.00     |
| SENIOR AUDITOR                 | 45,978    | 0.99    | 54,671    | 1.00    | 0         | 0.00     | 0           | 0.00     |
| PROCESSING TECHNICIAN I        | 6,598     | 0.25    | 27,636    | 1.00    | 0         | 0.00     | 0           | 0.00     |
| PROCESSING TECHNICIAN II       | 95,071    | 3.19    | 61,337    | 2.00    | 0         | 0.00     | 0           | 0.00     |
| PROCESSING TECHNICIAN SUPV     | 36,232    | 1.00    | 37,431    | 1.00    | 0         | 0.00     | 0           | 0.00     |
| BOARD MEMBER                   | 5,390     | 0.30    | 7,339     | 0.00    | 7,339     | 0.00     | 7,339       | 0.00     |
| CLERK                          | 27,443    | 0.74    | 24,274    | 0.00    | 24,274    | 0.00     | 24,274      | 0.00     |
| PRINCIPAL ASST BOARD/COMMISSON | 70,963    | 1.00    | 73,919    | 1.00    | 73,919    | 1.00     | 73,919      | 1.00     |
| CUSTOMER SERVICE REP           | 0         | 0.00    | 0         | 0.00    | 83,771    | 3.00     | 83,771      | 3.00     |
| CUSTOMER SERVICE SUPERVISOR    | 0         | 0.00    | 0         | 0.00    | 37,431    | 1.00     | 37,431      | 1.00     |
| NON-COMMISSIONED INVESTIGATOR  | 0         | 0.00    | 0         | 0.00    | 34,995    | 1.00     | 34,995      | 1.00     |
| REGULATORY AUDITOR             | 0         | 0.00    | 0         | 0.00    | 54,671    | 1.00     | 54,671      | 1.00     |
| TOTAL - PS                     | 295,936   | 7.76    | 316,400   | 7.00    | 316,400   | 7.00     | 316,400     | 7.00     |
| TRAVEL, IN-STATE               | 8,918     | 0.00    | 14,016    | 0.00    | 14,016    | 0.00     | 14,016      | 0.00     |
| TRAVEL, OUT-OF-STATE           | 0         | 0.00    | 1,720     | 0.00    | 1,720     | 0.00     | 1,720       | 0.00     |
| SUPPLIES                       | 16,268    | 0.00    | 32,625    | 0.00    | 32,625    | 0.00     | 32,625      | 0.00     |
| PROFESSIONAL DEVELOPMENT       | 7,708     | 0.00    | 8,550     | 0.00    | 8,550     | 0.00     | 8,550       | 0.00     |
| COMMUNICATION SERV & SUPP      | 2,157     | 0.00    | 5,000     | 0.00    | 5,000     | 0.00     | 5,000       | 0.00     |
| PROFESSIONAL SERVICES          | 157,864   | 0.00    | 173,657   | 0.00    | 173,657   | 0.00     | 173,657     | 0.00     |
| M&R SERVICES                   | 661       | 0.00    | 3,000     | 0.00    | 3,000     | 0.00     | 3,000       | 0.00     |
| OFFICE EQUIPMENT               | 4,399     | 0.00    | 3,000     | 0.00    | 3,000     | 0.00     | 3,000       | 0.00     |
| OTHER EQUIPMENT                | 274       | 0.00    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00     |
| BUILDING LEASE PAYMENTS        | 1,850     | 0.00    | 620       | 0.00    | 620       | 0.00     | 620         | 0.00     |
| EQUIPMENT RENTALS & LEASES     | 270       | 0.00    | 620       | 0.00    | 620       | 0.00     | 620         | 0.00     |
| MISCELLANEOUS EXPENSES         | 1,952     | 0.00    | 5,000     | 0.00    | 5,000     | 0.00     | 5,000       | 0.00     |
| TOTAL - EE                     | 202,321   | 0.00    | 247,808   | 0.00    | 247,808   | 0.00     | 247,808     | 0.00     |
| GRAND TOTAL                    | \$498,257 | 7.76    | \$564,208 | 7.00    | \$564,208 | 7.00     | \$564,208   | 7.00     |
| GENERAL REVENUE                | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0         | 0.00     |
| FEDERAL FUNDS                  | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0         | 0.00     |
| OTHER FUNDS                    | \$498,257 | 7.76    | \$564,208 | 7.00    | \$564,208 | 7.00     | \$564,208   | 7.00     |

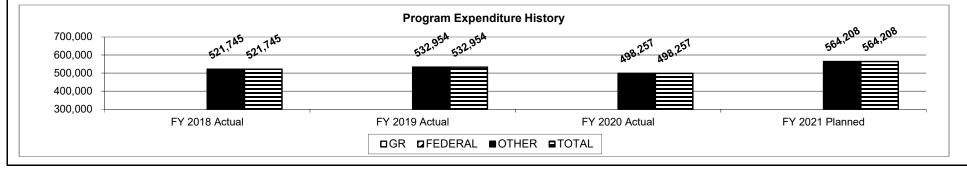
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#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.455 Missouri State Board of Accountancy Program is found in the following core budget(s): State Board of Accountancy 1a. What strategic priority does this program address? ٠ Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public Develop our team, reward great performance, and retain top talent • Innovate to make it easier to connect and work with us . 1b. What does this program do? The mission of the Missouri State Board of Accountancy is to protect the interests of all the citizens of the State of Missouri, as provided in • Chapter 326, RSMo, by examining, certifying, licensing and regulating certified public accountants and public accountants as well as firms of certified public accountants and public accountants in the State of Missouri. The board promulgates rules necessary to administer the provisions of Chapter 326 to ensure the competence and ethical standards of • practitioners; regulates and enforces the practice of public accounting; investigates complaints and violations of Chapter 326 and related rules; and determines appropriate discipline for those who are found to have violated the statutes or regulations. 2a. Provide an activity measure(s) for the program. FY 2021 FY 2022 FY 2022 FY 2018 FY 2019 FY 2020 Actual Actual Actual Target Target Target 1,531 1,249 1,531 1,531 1,531 Applications Received 1,348 Licensed Professionals 22.622 22,600 22,716 22,800 22,800 22,800 Outreach Events 18 18 17 21 18 18 2b. Provide a measure(s) of the program's quality. Percentage of Licensees Satisfied / Highly Satisfied With the Licensure Process





# 3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (*Note: Amounts do not include fringe benefit costs.*)



| PROGRAM DESCRIPT  | ION  |
|---|--|
| Department of Commerce and Insurance  | HB Section(s): 7.455                           |
| Missouri State Board of Accountancy   |  |
| Program is found in the following core budget(s): State Board of Accountancy  |  |
| 4. What are the sources of the "Other " funds?  |  |
| State Board of Accountancy Fund (0627)  |  |
| <ol> <li>What is the authorization for this program, i.e., federal or state statute, etc.? (Inclu<br/>State Statute: Sections 326.250-326.331, RSMo.</li> </ol> | de the federal program hamber, il applicable.) |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>   |  |
| 7. Is this a federally mandated program? If yes, please explain.  |  |

| Department of C   | ommerce and Ins   | urance          |                 |                   | Budget Unit        | 42660C            |                     |                |           |
|-------------------|-------------------|-----------------|-----------------|-------------------|--------------------|-------------------|---------------------|----------------|-----------|
| Professional Reg  | gistration        |                 |                 |                   | HB Section         | 7.460             |                     |                |           |
| Core - Missouri I | Board for Archite | cts, Professi   | onal Engine     | ers, Professional | Land Surveyors and | Professional L    | andscape Ar         | chitects       |           |
|                   |                   |                 |                 |                   |                    |                   |                     |                |           |
| 1. CORE FINAN     | CIAL SUMMARY      |                 |                 |                   |                    |                   |                     |                |           |
|                   | FY                | 2022 Budge      | t Request       |                   |                    | FY 2022           | Governor's <b>F</b> | ecommenda      | tion      |
|                   | GR                | Federal         | Other           | Total             |                    | GR                | Federal             | Other          | Total     |
| PS                | 0                 | 0               | 387,319         | 387,319           | PS                 | 0                 | 0                   | 387,319        | 387,319   |
| EE                | 0                 | 0               | 302,396         | 302,396           | EE                 | 0                 | 0                   | 302,396        | 302,396   |
| PSD               | 0                 | 0               | 0               | 0                 | PSD                | 0                 | 0                   | 0              | 0         |
| TRF               | 0                 | 0               | 0               | 0                 | TRF                | 0                 | 0                   | 0              | 0         |
| Total             | 0                 | 0               | 689,715         | 689,715           | Total              | 0                 | 0                   | 689,715        | 689,715   |
| FTE               | 0.00              | 0.00            | 9.00            | 9.00              | FTE                | 0.00              | 0.00                | 9.00           | 9.00      |
| Est. Fringe       | 0                 | 0               | 254,712         | 254,712           | Est. Fringe        | 0                 | 0                   | 254,712        | 254,712   |
| Note: Fringes bu  | dgeted in House B | ill 5 except fo | r certain fring | es                | Note: Fringes      | budgeted in Hou   | use Bill 5 exce     | pt for certain | fringes   |
| budgeted directly | to MoDOT, Highwa  | ay Patrol, and  | l Conservatio   | n.                | budgeted direc     | tly to MoDOT, H   | lighway Patro       | l, and Conser  | vation.   |
| Other Funds:      | State Board for A | rchitects Pro   | f Engineers     | Prof Land         | Other Funds: S     | State Board for A | Architects Pro      | f Engineers    | Prof Land |
|                   | Surveyors & Land  |                 | -               |                   |                    | Surveyors & Lan   |                     | •              |           |
| 2 CORE DESCR      | •                 | iscape Archit   |                 | 576)              |                    | Surveyors & Lan   | uscape Archin       |                | 576)      |

This core appropriation is necessary so the Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Professional Landscape Architects can operate. The board's mission is to protect the inhabitants of the State of Missouri in the enjoyment of life, health, peace and safety, and to protect their property from damage or destruction through dangerous, dishonest, incompetent or unlawful architectural, professional engineering, land surveying or landscape architectural practice and generally to conserve the public welfare. The board licenses architects, architects, architectural corporations, professional engineers, engineering corporations, professional land surveyors, land surveying corporations, professional landscape architects and landscape architectural corporations. The board also enrolls engineer interns and land surveyors-in-training.

The board protects the public; licenses only qualified professionals by examination and evaluation of minimum competency and enforces standards by implementing legislation and administrative rules.

The board consists of fifteen members. Board members are appointed by the Governor and must be approved by the Missouri Senate. All members hold office for four years or until their successor has been appointed and qualified.

3. PROGRAM LISTING (list programs included in this core funding)

Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Professional Landscape Architects

| -                              | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |           | Actual Expe | nditures (All Funds) |         |
|--------------------------------|-------------------|-------------------|-------------------|------------------------|-----------|-------------|----------------------|---------|
| Appropriation (All Funds)      | 699,996           | 703,496           | 683,075           | 689,715                | 750,000 🖵 |             |                      |         |
| ess Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |           |             |                      |         |
| ess Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |           |             |                      |         |
| Budget Authority (All Funds)   | 699,996           | 703,496           | 683,075           | 689,715                |           | E7E 747     | 585,037              |         |
|                                |                   |                   |                   |                        | 600,000 — | 575,717     | 505,057              |         |
| ctual Expenditures (All Funds) | 575,717           | 585,037           | 509,875           | N/A                    |           |             |                      |         |
| nexpended (All Funds)          | 124,279           | 118,459           | 173,200           | N/A                    |           |             |                      | 509,875 |
| Inexpended, by Fund:           |                   |                   |                   |                        | 450,000 — |             |                      |         |
| General Revenue                | 0                 | 0                 | 0                 | N/A                    |           |             |                      |         |
| Federal                        | 0                 | 0                 | 0                 | N/A                    |           |             |                      |         |
| Other                          | 124,279           | 118,459           | 173,200           | N/A                    |           |             |                      |         |
|                                | (1)               | (2)               | (3)               |                        | 300,000   | FY 2018     | FY 2019              | FY 2020 |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

#### NOTES:

(1) Unexpended amount is due to staff turnover and less than anticipated expenditures.

(2) Unexpended amount is due to staff turnover and less than anticipated expenditures.

(3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

#### DEPT OF COMMERCE AND INSURANCE

ARCHITECTS, P.E. & LAND SURV.

#### 5. CORE RECONCILIATION DETAIL

|                         | Budget |      |    |         |   |         |         |   |
|-------------------------|--------|------|----|---------|---|---------|---------|---|
|                         | Class  | FTE  | GR | Federal |   | Other   | Total   | Ε |
| TAFP AFTER VETOES       |        |      |    |         |   |         |         |   |
|                         | PS     | 9.00 |    | D       | 0 | 387,319 | 387,319 | ) |
|                         | EE     | 0.00 |    | 0       | 0 | 302,396 | 302,396 | 6 |
|                         | Total  | 9.00 |    | 0       | 0 | 689,715 | 689,715 | 5 |
| DEPARTMENT CORE REQUEST |        |      |    |         |   |         |         |   |
|                         | PS     | 9.00 |    | D       | 0 | 387,319 | 387,319 | ) |
|                         | EE     | 0.00 |    | 0       | 0 | 302,396 | 302,396 | 6 |
|                         | Total  | 9.00 |    | 0       | 0 | 689,715 | 689,715 | 5 |
| GOVERNOR'S RECOMMENDED  | CORE   |      |    |         |   |         |         |   |
|                         | PS     | 9.00 |    | D       | 0 | 387,319 | 387,319 | ) |
|                         | EE     | 0.00 |    | 0       | 0 | 302,396 | 302,396 | 6 |
|                         | Total  | 9.00 |    | 0       | 0 | 689,715 | 689,715 | 5 |

| DCI   |                             |                          |                             |                          |                               | DEC                        | ISION ITEM                   | SUMMARY                   |
|---|-----------------------------|--------------------------|-----------------------------|--------------------------|-------------------------------|----------------------------|------------------------------|---------------------------|
| Budget Unit<br>Decision Item<br>Budget Object Summary<br>Fund | FY 2020<br>ACTUAL<br>DOLLAR | FY 2020<br>ACTUAL<br>FTE | FY 2021<br>BUDGET<br>DOLLAR | FY 2021<br>BUDGET<br>FTE | FY 2022<br>DEPT REQ<br>DOLLAR | FY 2022<br>DEPT REQ<br>FTE | FY 2022<br>GOV REC<br>DOLLAR | FY 2022<br>GOV REC<br>FTE |
| ARCHITECTS, P.E. & LAND SURV.                                 |                             |                          |                             |                          |                               |                            |                              |                           |
| CORE  |                             |                          |                             |                          |                               |                            |                              |                           |
| PERSONAL SERVICES<br>BRD OF ARCH.ENG.LND SUR.LND AR           | 298,482                     | 8.41                     | 387,319                     | 9.00                     | 387,319                       | 9.00                       | 387,319                      | 9.00                      |
| TOTAL - PS  | 298,482                     | 8.41                     | 387,319                     | 9.00                     | 387,319                       | 9.00                       | 387,319                      | 9.00                      |
| EXPENSE & EQUIPMENT<br>BRD OF ARCH,ENG,LND SUR,LND AR         | 211,393                     | 0.00                     | 302,396                     | 0.00                     | 302,396                       | 0.00                       | 302,396                      | 0.00                      |
| TOTAL - EE  | 211,393                     | 0.00                     | 302,396                     | 0.00                     | 302,396                       | 0.00                       | 302,396                      | 0.00                      |
| TOTAL   | 509,875                     | 8.41                     | 689,715                     | 9.00                     | 689,715                       | 9.00                       | 689,715                      | 9.00                      |
| Pay Plan - 0000012<br>PERSONAL SERVICES                       |                             |                          |                             |                          |                               |                            |                              |                           |
| BRD OF ARCH, ENG, LND SUR, LND AR                             | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 3,874                        | 0.00                      |
| TOTAL - PS  | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 3,874                        | 0.00                      |
| TOTAL   | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 3,874                        | 0.00                      |
| GRAND TOTAL   | \$509,875                   | 8.41                     | \$689,715                   | 9.00                     | \$689,715                     | 9.00                       | \$693,589                    | 9.00                      |

| DCI                            |         |         |         |         |          | C        | ECISION ITI | EM DETAI |
|--------------------------------|---------|---------|---------|---------|----------|----------|-------------|----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022     | FY 2022  |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC  |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE      |
| ARCHITECTS, P.E. & LAND SURV.  |         |         |         |         |          |          |             |          |
| CORE                           |         |         |         |         |          |          |             |          |
| ADMIN OFFICE SUPPORT ASSISTANT | 51,430  | 1.60    | 68,784  | 2.00    | 0        | 0.00     | 0           | 0.00     |
| INVESTIGATOR II                | 41,681  | 1.00    | 44,645  | 1.00    | 0        | 0.00     | 0           | 0.00     |
| PROF REG ADMSTV COOR           | 43,302  | 1.00    | 44,967  | 1.00    | 0        | 0.00     | 0           | 0.00     |
| PROCESSING TECHNICIAN II       | 56,330  | 1.90    | 96,666  | 3.00    | 0        | 0.00     | 0           | 0.00     |
| PROCESSING TECHNICIAN III      | 32,168  | 1.00    | 35,786  | 1.00    | 0        | 0.00     | 0           | 0.00     |
| BOARD MEMBER                   | 15,874  | 0.81    | 24,793  | 0.00    | 24,793   | 0.00     | 24,793      | 0.00     |
| CLERK                          | 16,364  | 0.61    | 28,008  | 0.00    | 28,008   | 0.00     | 28,008      | 0.00     |
| MISCELLANEOUS PROFESSIONAL     | 41,333  | 0.49    | 43,200  | 0.00    | 43,200   | 0.00     | 43,200      | 0.00     |
| PRINCIPAL ASST BOARD/COMMISSON | 0       | 0.00    | 470     | 1.00    | 470      | 1.00     | 470         | 1.00     |
| LEAD ADMIN SUPPORT ASSISTANT   | 0       | 0.00    | 0       | 0.00    | 68,784   | 2.00     | 68,784      | 2.00     |
| ADMIN SUPPORT PROFESSIONAL     | 0       | 0.00    | 0       | 0.00    | 44,967   | 1.00     | 44,967      | 1.00     |
| CUSTOMER SERVICE REP           | 0       | 0.00    | 0       | 0.00    | 96,666   | 3.00     | 96,666      | 3.00     |
| LEAD CUSTOMER SERVICE REP      | 0       | 0.00    | 0       | 0.00    | 35,786   | 1.00     | 35,786      | 1.00     |
| SR NON-COMMISSION INVESTIGATOR | 0       | 0.00    | 0       | 0.00    | 44,645   | 1.00     | 44,645      | 1.00     |
| TOTAL - PS                     | 298,482 | 8.41    | 387,319 | 9.00    | 387,319  | 9.00     | 387,319     | 9.00     |
| TRAVEL, IN-STATE               | 22,286  | 0.00    | 30,933  | 0.00    | 30,933   | 0.00     | 30,933      | 0.00     |
| TRAVEL, OUT-OF-STATE           | 0       | 0.00    | 10,066  | 0.00    | 10,066   | 0.00     | 10,066      | 0.00     |
| FUEL & UTILITIES               | 0       | 0.00    | 100     | 0.00    | 100      | 0.00     | 100         | 0.00     |
| SUPPLIES                       | 33,631  | 0.00    | 35,000  | 0.00    | 35,000   | 0.00     | 35,000      | 0.00     |
| PROFESSIONAL DEVELOPMENT       | 26,573  | 0.00    | 30,000  | 0.00    | 30,000   | 0.00     | 30,000      | 0.00     |
| COMMUNICATION SERV & SUPP      | 3,769   | 0.00    | 6,984   | 0.00    | 6,984    | 0.00     | 6,984       | 0.00     |
| PROFESSIONAL SERVICES          | 108,976 | 0.00    | 155,000 | 0.00    | 155,000  | 0.00     | 155,000     | 0.00     |
| HOUSEKEEPING & JANITORIAL SERV | 0       | 0.00    | 100     | 0.00    | 100      | 0.00     | 100         | 0.00     |
| M&R SERVICES                   | 3,245   | 0.00    | 3,508   | 0.00    | 3,508    | 0.00     | 3,508       | 0.00     |
| OFFICE EQUIPMENT               | 209     | 0.00    | 2,000   | 0.00    | 2,000    | 0.00     | 2,000       | 0.00     |
| OTHER EQUIPMENT                | 0       | 0.00    | 500     | 0.00    | 500      | 0.00     | 500         | 0.00     |
| PROPERTY & IMPROVEMENTS        | 0       | 0.00    | 1,000   | 0.00    | 1,000    | 0.00     | 1,000       | 0.00     |
| BUILDING LEASE PAYMENTS        | 4,840   | 0.00    | 8,000   | 0.00    | 8,000    | 0.00     | 8,000       | 0.00     |
| EQUIPMENT RENTALS & LEASES     | 2,701   | 0.00    | 8,000   | 0.00    | 8,000    | 0.00     | 8,000       | 0.00     |

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| DCI                                  |                  |               |                  |               |                    | [               | DECISION IT       | EM DETAIL      |
|--------------------------------------|------------------|---------------|------------------|---------------|--------------------|-----------------|-------------------|----------------|
| Budget Unit                          | FY 2020          | FY 2020       | FY 2021          | FY 2021       | FY 2022            | FY 2022         | FY 2022           | FY 2022        |
| Decision Item<br>Budget Object Class | ACTUAL<br>DOLLAR | ACTUAL<br>FTE | BUDGET<br>DOLLAR | BUDGET<br>FTE | DEPT REQ<br>DOLLAR | DEPT REQ<br>FTE | GOV REC<br>DOLLAR | GOV REC<br>FTE |
| ARCHITECTS, P.E. & LAND SURV.        |                  |               |                  |               |                    |                 |                   |                |
| CORE                                 |                  |               |                  |               |                    |                 |                   |                |
| MISCELLANEOUS EXPENSES               | 5,163            | 0.00          | 11,205           | 0.00          | 11,205             | 0.00            | 11,205            | 0.00           |
| TOTAL - EE                           | 211,393          | 0.00          | 302,396          | 0.00          | 302,396            | 0.00            | 302,396           | 0.00           |
| GRAND TOTAL                          | \$509,875        | 8.41          | \$689,715        | 9.00          | \$689,715          | 9.00            | \$689,715         | 9.00           |
| GENERAL REVENUE                      | \$0              | 0.00          | \$0              | 0.00          | \$0                | 0.00            | \$0               | 0.00           |
| FEDERAL FUNDS                        | \$0              | 0.00          | \$0              | 0.00          | \$0                | 0.00            | \$0               | 0.00           |
| OTHER FUNDS                          | \$509,875        | 8.41          | \$689,715        | 9.00          | \$689,715          | 9.00            | \$689,715         | 9.00           |

#### PROGRAM DESCRIPTION

Department of Commerce and Insurance

HB Section(s): 7.460

Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and Professional Landscape Architects Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land Surveyors and Prof. Landscape Architects

## 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Provide help and educate stakeholders so they are better informed problem solvers
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

#### 1b. What does this program do?

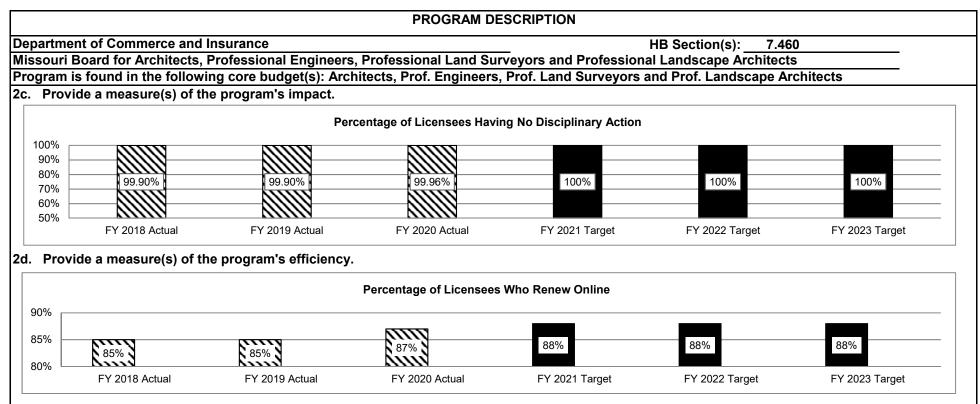
- The board's mission is to protect the inhabitants of the State of Missouri in the enjoyment of life, health, peace and safety, as well as to protect their property from damage or destruction through dangerous, dishonest, incompetent or unlawful architectural, professional engineering, land surveying or landscape architectural practice and generally to conserve the public welfare.
- The board licenses architects, architectural corporations, professional engineers, engineering corporations, professional land surveyors, land surveying corporations, professional landscape architects and landscape architectural corporations. The board also enrolls engineer interns and land surveyors-in-training.
- The board protects the public, licenses only qualified professionals by examination and evaluation of minimum competency and enforces standards by implementing legislation and administrative rules.

#### 2a. Provide an activity measure(s) for the program.

|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 1,667   | 1,859   | 1,425   | 1,650   | 1,650   | 1,650   |
| Licensed Professionals | 29,466  | 29,810  | 30,235  | 30,235  | 30,235  | 30,235  |
| Outreach Events        | 28      | 32      | 32      | 32      | 32      | 32      |

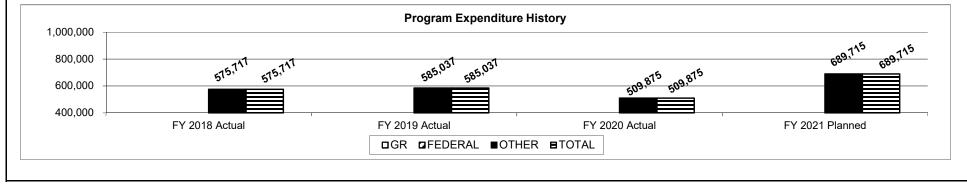
#### 2b. Provide a measure(s) of the program's quality.





Note: The division is working to update our system to allow licensees to apply online, to more efficiently renew online, and to access application status, including changing addresses, etc.

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (*Note: Amounts do not include fringe benefit costs.*)



| PROGRAM DESCRIPTION  |   |
|--|---|
| Department of Commerce and Insurance   | HB Section(s): 7.460                      |
| Missouri Board for Architects, Professional Engineers, Professional Land Surveyors and P   | rofessional Landscape Architects          |
| Program is found in the following core budget(s): Architects, Prof. Engineers, Prof. Land S  | urveyors and Prof. Landscape Architects   |
| 4. What are the sources of the "Other " funds?   |   |
| State Board for Architects, Professional Engineers, Professional Land Surveyors and Profes   | sional Landscape Architects Fund (0678)   |
| <ol> <li>What is the authorization for this program, i.e., federal or state statute, etc.? (Include th<br/>State Statute: Sections 327.011-327.635, RSMo.</li> </ol> | e federal program number, if applicable.) |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>  |   |
| 7. Is this a federally mandated program? If yes, please explain.<br>No   |   |

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| Department of C   | ommerce and Ins  | urance                                       |  |   | Budget Unit  | 42680C  |   |  |   |                                    |
|---|--|--|--|---|--|---|---|--|---|------------------------------------|
| Professional Reg  |  |  |  |   |  |   |   |  |   |                                    |
| Core - State Boa  | rd of Chiropractic   | Examiners                                    |  |   | HB Section   | 7.465   |   |  |   |                                    |
| 1. CORE FINANC  |  |  |  |   |  |   |   |  |   |                                    |
|   |  | 2022 Budge                                   | t Request  |   |  | FY 2022 G   | overnor's R                                   | Recommenda                                       | tion  |                                    |
|   | GR   | Federal                                      | Other  | Total   |  | GR  | Federal                                       | Other  | Total   |                                    |
| PS  | 0  | 0  | 0  | 0   | PS -   | 0   | 0   | 0  | 0   |                                    |
| EE  | 0  | 0  | 131,983  | 131,983   | EE   | 0   | 0   | 131,983  | 131,983   |                                    |
| PSD   | 0  | 0  | 0  | 0   | PSD  | 0   | 0   | 0  | 0   |                                    |
| TRF   | 0  | 0  | 0  | 0   | TRF  | 0   | 0   | 0  | 0   |                                    |
| Total   | 0  | 0  | 131,983  | 131,983   | Total  | 0   | 0   | 131,983  | 131,983   |                                    |
| FTE   | 0.00   | 0.00   | 0.00   | 0.00  | FTE  | 0.00  | 0.00  | 0.00   | 0.00  |                                    |
| Est. Fringe   | 0  | 0  | 0  | 0   | Est. Fringe  | 0   | 0   | 0  | 0   |                                    |
|   | dgeted in House Bi   | Il 5 except fo                               | r certain fring                                  | es  |  | budgeted in Hou   | se Bill 5 exce                                | pt for certain                                   | fringes   |                                    |
| •   | to MoDOT, Highwa   | •  | -  |   | •  | ctly to MoDOT, Hi   |   | •  | -   |                                    |
| Other Funds:<br>2. CORE DESCR   | State Board of Ch  | iropractic Ex                                | aminers Fund                                     | d (0630)  | Other Funds:   | State Board of Ch   | iropractic Ex                                 | aminers Fund                                     | d (0630)  |                                    |
| treatment by a c<br>changes within t<br>to Missouri cons<br>and competently | thiropractic physicia<br>the profession. The<br>sumers and reviews<br>y. | an. The Boar<br>board reviev<br>s complaints | d enforces lid<br>ws application<br>and correspo | censure standa<br>ns to ensure a<br>onding investig | Examiners. The board pro<br>ards by implementing legis<br>chiropractic physician is o<br>jations are reviewed by the | lation and admini<br>ualified, through<br>board to ensure | strative regu<br>education ar<br>chiropractic | lations, along<br>id examinatio<br>physicians pr | with monitor<br>n, to provide<br>actice legally | ring<br>treatment<br>/, ethically, |
| The board consi<br>years.   | ists of six members  | s. Board men                                 | nbers are app                                    | pointed by the                                      | Governor and must be ap  | proved by the Mis   | souri Senate                                  | e. All member                                    | s hold office                                   | for four                           |
| 3. PROGRAM LI   | STING (list progra   | ims include                                  | d in this core                                   | e funding)  |  |   |   |  |   |                                    |
| State Board of (  | Chiropractic Exami   | ners   |  |   |  |   |   |  |   |                                    |

| Department of Commerce and I    | nsurance          |                   |                   |                        | Budget Unit | 42680C     |                       |                |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|-------------|------------|-----------------------|----------------|
| Professional Registration       |                   |                   |                   |                        | <u> </u>    |            |                       |                |
| Core - State Board of Chiroprac | tic Examiners     | 5                 |                   | -                      | HB Section  | 7.465      |                       |                |
| 4. FINANCIAL HISTORY            |                   |                   |                   |                        |             |            |                       |                |
|                                 | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actual Exp | enditures (All Funds) |                |
| Appropriation (All Funds)       | 131,820           | 131,820           | 131,820           | 131,983                | 100,000     |            |                       |                |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |             |            |                       |                |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      | 90,000      |            |                       |                |
| Budget Authority (All Funds)    | 131,820           | 131,820           | 131,820           | 131,983                | 80,000      |            |                       |                |
| Actual Expenditures (All Funds) | 53,431            | 45,533            | 50,081            | N/A                    | 70,000      |            |                       |                |
| Unexpended (All Funds)          | 78,389            | 86,287            | 81,739            | N/A                    |             |            |                       |                |
|                                 |                   |                   |                   |                        | 60,000      | 53,431     |                       |                |
| Unexpended, by Fund:            |                   |                   |                   |                        | 50.000      |            |                       | <b>5</b> 0,081 |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    | 50,000      |            | 45,533                |                |
| Federal                         | 0                 | 0                 | 0                 | N/A                    | 40,000      |            | _                     |                |
| Other                           | 78,389            | 86,287            | 81,739            | N/A                    |             |            |                       |                |
|                                 | (1)               | (2)               | (3)               |                        | 30,000      | FY 2018    | FY 2019               | FY 2020        |
|                                 |                   |                   |                   |                        |             | 112010     | 112010                | 112020         |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

#### NOTES:

(1) Unexpended amount is due to staff turnover and less than anticipated expenditures.

(2) Unexpended amount is due to staff turnover and less than anticipated expenditures.

(3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

#### CORE RECONCILIATION DETAIL

#### DEPT OF COMMERCE AND INSURANCE

**BD OF CHIROPRACTIC EXAMINERS** 

#### 5. CORE RECONCILIATION DETAIL

|                         | Budget |      |    |         |   | • •     |         | _ |
|-------------------------|--------|------|----|---------|---|---------|---------|---|
|                         | Class  | FTE  | GR | Federal |   | Other   | Total   | Ε |
| TAFP AFTER VETOES       |        |      |    |         |   |         |         |   |
|                         | EE     | 0.00 | (  | )       | 0 | 131,983 | 131,983 | 3 |
|                         | Total  | 0.00 | (  |         | 0 | 131,983 | 131,983 |   |
| DEPARTMENT CORE REQUEST |        |      |    |         |   |         |         |   |
|                         | EE     | 0.00 | (  | ) (     | 0 | 131,983 | 131,983 | 3 |
|                         | Total  | 0.00 | C  |         | 0 | 131,983 | 131,983 | 5 |
| GOVERNOR'S RECOMMENDED  | CORE   |      |    |         |   |         |         |   |
|                         | EE     | 0.00 | (  | ) (     | 0 | 131,983 | 131,983 | 3 |
|                         | Total  | 0.00 | (  |         | 0 | 131,983 | 131,983 | 5 |

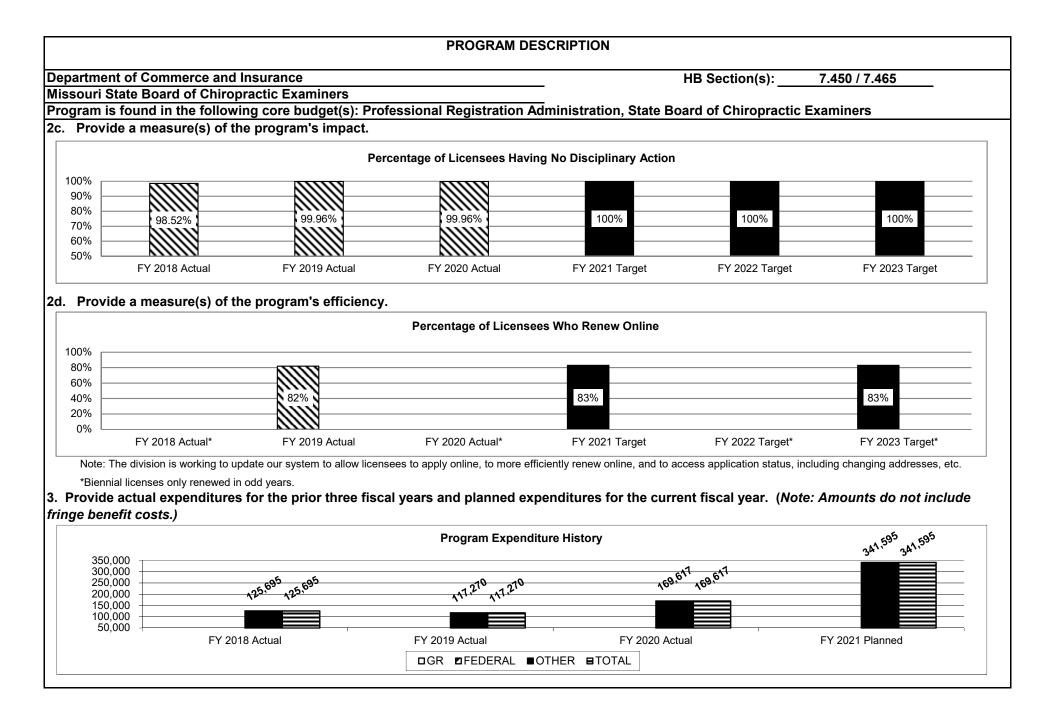
| DCI                            |          |         |           |         |           | DEC      | ISION ITEM | SUMMARY |
|--------------------------------|----------|---------|-----------|---------|-----------|----------|------------|---------|
| Budget Unit                    |          |         |           |         |           |          |            |         |
| Decision Item                  | FY 2020  | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022    | FY 2022 |
| Budget Object Summary          | ACTUAL   | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC    | GOV REC |
| Fund                           | DOLLAR   | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR     | FTE     |
| BD OF CHIROPRACTIC EXAMINERS   |          |         |           |         |           |          |            |         |
| CORE                           |          |         |           |         |           |          |            |         |
| EXPENSE & EQUIPMENT            |          |         |           |         |           |          |            |         |
| BOARD OF CHIROPRACTIC EXAMINER | 50,081   | 0.00    | 131,983   | 0.00    | 131,983   | 0.00     | 131,983    | 0.00    |
| TOTAL - EE                     | 50,081   | 0.00    | 131,983   | 0.00    | 131,983   | 0.00     | 131,983    | 0.00    |
| TOTAL                          | 50,081   | 0.00    | 131,983   | 0.00    | 131,983   | 0.00     | 131,983    | 0.00    |
| GRAND TOTAL                    | \$50,081 | 0.00    | \$131,983 | 0.00    | \$131,983 | 0.00     | \$131,983  | 0.00    |

| DCI                          |          |         |           |         |           | C        | ECISION IT | EM DETAIL |
|------------------------------|----------|---------|-----------|---------|-----------|----------|------------|-----------|
| Budget Unit                  | FY 2020  | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022    | FY 2022   |
| Decision Item                | ACTUAL   | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC    | GOV REC   |
| Budget Object Class          | DOLLAR   | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR     | FTE       |
| BD OF CHIROPRACTIC EXAMINERS |          |         |           |         |           |          |            |           |
| CORE                         |          |         |           |         |           |          |            |           |
| TRAVEL, IN-STATE             | 3,534    | 0.00    | 5,204     | 0.00    | 5,204     | 0.00     | 5,204      | 0.00      |
| TRAVEL, OUT-OF-STATE         | 4,267    | 0.00    | 7,007     | 0.00    | 7,007     | 0.00     | 7,007      | 0.00      |
| FUEL & UTILITIES             | 0        | 0.00    | 60        | 0.00    | 60        | 0.00     | 60         | 0.00      |
| SUPPLIES                     | 2,217    | 0.00    | 8,030     | 0.00    | 8,030     | 0.00     | 8,030      | 0.00      |
| PROFESSIONAL DEVELOPMENT     | 2,754    | 0.00    | 6,980     | 0.00    | 6,980     | 0.00     | 6,980      | 0.00      |
| COMMUNICATION SERV & SUPP    | 1,737    | 0.00    | 4,000     | 0.00    | 4,000     | 0.00     | 4,000      | 0.00      |
| PROFESSIONAL SERVICES        | 33,943   | 0.00    | 87,000    | 0.00    | 87,000    | 0.00     | 87,000     | 0.00      |
| M&R SERVICES                 | 659      | 0.00    | 4,502     | 0.00    | 4,502     | 0.00     | 4,502      | 0.00      |
| OFFICE EQUIPMENT             | 790      | 0.00    | 4,600     | 0.00    | 4,600     | 0.00     | 4,600      | 0.00      |
| OTHER EQUIPMENT              | 0        | 0.00    | 2,000     | 0.00    | 2,000     | 0.00     | 2,000      | 0.00      |
| BUILDING LEASE PAYMENTS      | 0        | 0.00    | 600       | 0.00    | 600       | 0.00     | 600        | 0.00      |
| MISCELLANEOUS EXPENSES       | 180      | 0.00    | 2,000     | 0.00    | 2,000     | 0.00     | 2,000      | 0.00      |
| TOTAL - EE                   | 50,081   | 0.00    | 131,983   | 0.00    | 131,983   | 0.00     | 131,983    | 0.00      |
| GRAND TOTAL                  | \$50,081 | 0.00    | \$131,983 | 0.00    | \$131,983 | 0.00     | \$131,983  | 0.00      |
| GENERAL REVENUE              | \$0      | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0        | 0.00      |
| FEDERAL FUNDS                | \$0      | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0        | 0.00      |
| OTHER FUNDS                  | \$50,081 | 0.00    | \$131,983 | 0.00    | \$131,983 | 0.00     | \$131,983  | 0.00      |

#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.450 / 7.465 Missouri State Board of Chiropractic Examiners Program is found in the following core budget(s): Professional Registration Administration, State Board of Chiropractic Examiners FY 2021 PLANNED Chiropractic PR Admin TOTAL OTHER 131.983 209.612 341,595 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public • Develop our team, reward great performance, and retain top talent . Innovate to make it easier to connect and work with us . 1b. What does this program do? The board protects the public from unlicensed, negligent, and incompetent treatment by a chiropractic physician. ٠ The board enforces licensure standards by implementing legislation and administrative regulations, along with monitoring changes within the • profession. The board reviews applications to ensure a chiropractic physician is qualified, through education and examination, to provide treatment to Missouri consumers. The board reviews complaints and corresponding investigations to ensure chiropractic physicians practice legally, ethically, and competently. . 2a. Provide an activity measure(s) for the program. **FY 2018** FY 2019 FY 2020 FY 2021 FY 2022 **FY 2023** Actual Actual Target Actual Target Target 120 130 128\* 128\* 128\* **Applications Received** 135 Licensed Professionals 2.519 2.438 2.568 2.508\* 2.508\* 2.508\* Outreach Events\*\* 8\*\* 10 10 11 10 10 \*Target reflects average in new licenses issued in the past three years. \*\* 2 events in FY20 were not rescheduled due to COVID-19 Pandemic. 2b. Provide a measure(s) of the program's guality. Percentage of Licensees Satisfied / Highly Satisfied With the Licensure Process 90% 89% 88% 89% 89% 87% 88% 86% 85% FY 2018 Actual\* FY 2019 Actual FY 2021 Target FY 2020 Actual\* FY 2022 Target\* FY 2023 Target

\*Biennial licenses only renewed in odd years.

Licensees were surveyed about their experience with the board's licensure procedures, customer service, website and communications.



#### 

| PF   | OGRAM DESCRIPTION   |
|--|---|
| Department of Commerce and Insurance   | HB Section(s): 7.450 / 7.465  |
| Missouri State Board of Chiropractic Examiners   |   |
| Program is found in the following core budget(s): Professional Re  | gistration Administration, State Board of Chiropractic Examiners    |
| 4. What are the sources of the "Other " funds?   |   |
| State Board of Chiropractic Examiners Fund (0630)  |   |
| 5. What is the authorization for this program, i.e., federal or state State Statute: Sections 331.010-331.115, RSMo. | statute, etc.? (Include the federal program number, if applicable.) |
| 6. Are there federal matching requirements? If yes, please explain N/A   | n.  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No   |   |

| Department of Co  | ommerce and In    | surance           |               |                                   | Budget Unit | 42695C          |                 |                |         |  |
|---|-------------------|-------------------|---------------|-----------------------------------|-------------|-----------------|-----------------|----------------|---------|--|
| Professional Registration   |                   |                   |               |                                   |             |                 |                 |                |         |  |
| Core - State Board of Cosmetology and Barber Examiners  |                   |                   | HB Section    | 7.470                             |             |                 |                 |                |         |  |
|   |                   |                   |               |                                   | _           |                 |                 |                |         |  |
| 1. CORE FINANC  | CIAL SUMMARY      |                   |               |                                   |             |                 |                 |                |         |  |
| FY 2022 Budget Request  |                   |                   |               | FY 2022 Governor's Recommendation |             |                 |                 |                |         |  |
|   | GR                | Federal           | Other         | Total                             | _           | GR              | Federal         | Other          | Total   |  |
| PS  | 0                 | 0                 | 0             | 0                                 | PS          | 0               | 0               | 0              | 0       |  |
| EE  | 0                 | 0                 | 315,657       | 315,657                           | EE          | 0               | 0               | 315,657        | 315,657 |  |
| PSD   | 0                 | 0                 | 0             | 0                                 | PSD         | 0               | 0               | 0              | 0       |  |
| TRF   | 0                 | 0                 | 0             | 0                                 | TRF         | 0               | 0               | 0              | 0       |  |
| Total   | 0                 | 0                 | 315,657       | 315,657                           | Total =     | 0               | 0               | 315,657        | 315,657 |  |
| FTE   | 0.00              | 0.00              | 0.00          | 0.00                              | FTE         | 0.00            | 0.00            | 0.00           | 0.00    |  |
| Est. Fringe   | 0                 | 0                 | 0             | 0                                 | Est. Fringe | 0               | 0               | 0              | 0       |  |
| Note: Fringes bud   | lgeted in House E | Bill 5 except for | certain fring | es                                |             | budgeted in Hol | use Bill 5 exce | pt for certain | fringes |  |
| budgeted directly t   | -                 | •                 | -             |                                   |             | tly to MoDOT, F |                 | •              | -       |  |
| Other Funds: Board of Cosmetology and Barber Examiners Fund Other Funds: Board (0785)   |                   |                   |               |                                   |             |                 | tology and Bai  | ber Examine    | rs Fund |  |
| 2. CORE DESCRI  | PTION             |                   |               |                                   |             |                 |                 |                |         |  |
| This core appropriation supports the State Board of Cosmetology and Barber Examiners. The board regulates "Barber", "Class CH - hairdresser", "Class MO - manicurist", "Class CA - hairdressing and manicuring", "Class E - estheticians", "Instructor", "Barber establishment", "Cosmetology establishment", "School of cosmetology", "School of barbering", "Apprentice", "Student", and "Cross-over' licensed and "Hair braider" registered in Missouri. The board protects the public's health, safety and welfare by ensuring that only qualified persons are examined and licensed to practice barbering and cosmetology, as well as to strive to reduce the number of instances of incompetent, negligent, fraudulent or dishonest services provided by implementing legislation and administrative rules. |                   |                   |               |                                   |             |                 |                 |                |         |  |
| 3. PROGRAM LISTING (list programs included in this core funding)  |                   |                   |               |                                   |             |                 |                 |                |         |  |
| State Board of Cosmetology and Barber Examiners   |                   |                   |               |                                   |             |                 |                 |                |         |  |

| Department of Commerce and Insurance                   |                   |                   |                   |                        | Budget Unit | 42695C                          |
|--|-------------------|-------------------|-------------------|------------------------|-------------|---------------------------------|
| Professional Registration                              |                   |                   |                   |                        |             |                                 |
| Core - State Board of Cosmetology and Barber Examiners |                   |                   | -                 | HB Section             | 7.470       |                                 |
| 4. FINANCIAL HISTORY                                   |                   |                   |                   |                        |             |                                 |
|  | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actual Expenditures (All Funds) |
| Appropriation (All Funds)                              | 273,899           | 273,899           | 363,934           | 315,657                | 300,000     | 0                               |
| Less Reverted (All Funds)                              | 0                 | 0                 | 0                 | 0                      |             |                                 |
| Less Restricted (All Funds)                            | 0                 | 0                 | 0                 | 0                      |             |                                 |
| Budget Authority (All Funds)                           | 273,899           | 273,899           | 363,934           | 315,657                |             | 247,530                         |
|  |                   |                   |                   |                        | 250,000     | 247,330                         |
| Actual Expenditures (All Funds)                        | 226,304           | 195,572           | 247,530           | N/A                    |             | 226,304                         |
| Unexpended (All Funds)                                 | 47,595            | 78,327            | 116,404           | N/A                    |             |                                 |
| Unexpended, by Fund:                                   |                   |                   |                   |                        | 200,000     | 195,572                         |
| General Revenue  | 0                 | 0                 | 0                 | N/A                    |             | T                               |
| Federal  | 0                 | 0                 | 0                 | N/A                    |             |                                 |
| Other  | 47,595            | 78,327            | 116,404           | N/A                    |             |                                 |
|  | (1)               | (2)               | (3)               |                        | 150,000     | 0                               |
|  | (')               | (_)               | (0)               |                        |             | FY 2018 FY 2019 FY 2020         |
|  |                   |                   |                   |                        |             |                                 |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

#### NOTES:

- (1) Unexpended amount is due to less than anticipated expenditures.
- (2) Unexpended amount is due to less than anticipated expenditures.
- (3) Unexpended amount is due to less than anticipated expenditures.

#### CORE RECONCILIATION DETAIL

# DEPT OF COMMERCE AND INSURANCE BD COSMETOLOGY & BARBERS

|                         | Budget |      |    |         |         |         |   |
|-------------------------|--------|------|----|---------|---------|---------|---|
|                         | Class  | FTE  | GR | Federal | Other   | Total   | Ε |
| TAFP AFTER VETOES       |        |      |    |         |         |         |   |
|                         | EE     | 0.00 | 0  | 0       | 315,657 | 315,657 | 7 |
|                         | Total  | 0.00 | 0  | 0       | 315,657 | 315,657 | 7 |
| DEPARTMENT CORE REQUEST |        |      |    |         |         |         |   |
|                         | EE     | 0.00 | 0  | 0       | 315,657 | 315,657 | 7 |
|                         | Total  | 0.00 | 0  | 0       | 315,657 | 315,657 | 7 |
| GOVERNOR'S RECOMMENDED  | CORE   |      |    |         |         |         | _ |
|                         | EE     | 0.00 | 0  | 0       | 315,657 | 315,657 | 7 |
|                         | Total  | 0.00 | 0  | 0       | 315,657 | 315,657 | 7 |

| DCI                            |           |         |           |         |           | DECISION ITEM SUMMARY |           |         |  |
|--------------------------------|-----------|---------|-----------|---------|-----------|-----------------------|-----------|---------|--|
| Budget Unit                    |           |         |           |         |           |                       |           |         |  |
| Decision Item                  | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022               | FY 2022   | FY 2022 |  |
| Budget Object Summary          | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ              | GOV REC   | GOV REC |  |
| Fund                           | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE                   | DOLLAR    | FTE     |  |
| BD COSMETOLOGY & BARBERS       |           |         |           |         |           |                       |           |         |  |
| CORE                           |           |         |           |         |           |                       |           |         |  |
| EXPENSE & EQUIPMENT            |           |         |           |         |           |                       |           |         |  |
| BRD OF COSMETOLOGY & BARBER EX | 247,530   | 0.00    | 315,657   | 0.00    | 315,657   | 0.00                  | 315,657   | 0.00    |  |
| TOTAL - EE                     | 247,530   | 0.00    | 315,657   | 0.00    | 315,657   | 0.00                  | 315,657   | 0.00    |  |
| TOTAL                          | 247,530   | 0.00    | 315,657   | 0.00    | 315,657   | 0.00                  | 315,657   | 0.00    |  |
| GRAND TOTAL                    | \$247,530 | 0.00    | \$315,657 | 0.00    | \$315,657 | 0.00                  | \$315,657 | 0.00    |  |

| DCI                        |           |         |           |         |           | C        | ECISION ITI | EM DETAIL |
|----------------------------|-----------|---------|-----------|---------|-----------|----------|-------------|-----------|
| Budget Unit                | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022     | FY 2022   |
| Decision Item              | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class        | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR      | FTE       |
| BD COSMETOLOGY & BARBERS   |           |         |           |         |           |          |             |           |
| CORE                       |           |         |           |         |           |          |             |           |
| TRAVEL, IN-STATE           | 4,076     | 0.00    | 45,013    | 0.00    | 45,013    | 0.00     | 45,013      | 0.00      |
| TRAVEL, OUT-OF-STATE       | 4,167     | 0.00    | 3,337     | 0.00    | 3,337     | 0.00     | 3,337       | 0.00      |
| SUPPLIES                   | 106,730   | 0.00    | 72,159    | 0.00    | 72,159    | 0.00     | 72,159      | 0.00      |
| PROFESSIONAL DEVELOPMENT   | 2,324     | 0.00    | 5,581     | 0.00    | 5,581     | 0.00     | 5,581       | 0.00      |
| COMMUNICATION SERV & SUPP  | 24,722    | 0.00    | 29,013    | 0.00    | 29,013    | 0.00     | 29,013      | 0.00      |
| PROFESSIONAL SERVICES      | 87,429    | 0.00    | 92,854    | 0.00    | 92,854    | 0.00     | 92,854      | 0.00      |
| M&R SERVICES               | 6,449     | 0.00    | 13,000    | 0.00    | 13,000    | 0.00     | 13,000      | 0.00      |
| MOTORIZED EQUIPMENT        | 0         | 0.00    | 45,000    | 0.00    | 45,000    | 0.00     | 45,000      | 0.00      |
| OFFICE EQUIPMENT           | 8,499     | 0.00    | 200       | 0.00    | 200       | 0.00     | 200         | 0.00      |
| BUILDING LEASE PAYMENTS    | 0         | 0.00    | 1,000     | 0.00    | 1,000     | 0.00     | 1,000       | 0.00      |
| EQUIPMENT RENTALS & LEASES | 0         | 0.00    | 50        | 0.00    | 50        | 0.00     | 50          | 0.00      |
| MISCELLANEOUS EXPENSES     | 3,134     | 0.00    | 8,450     | 0.00    | 8,450     | 0.00     | 8,450       | 0.00      |
| TOTAL - EE                 | 247,530   | 0.00    | 315,657   | 0.00    | 315,657   | 0.00     | 315,657     | 0.00      |
| GRAND TOTAL                | \$247,530 | 0.00    | \$315,657 | 0.00    | \$315,657 | 0.00     | \$315,657   | 0.00      |
| GENERAL REVENUE            | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS              | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                | \$247,530 | 0.00    | \$315,657 | 0.00    | \$315,657 | 0.00     | \$315,657   | 0.00      |

### **PROGRAM DESCRIPTION**

Department of Commerce and Insurance

HB Section(s): 7.450 / 7.470

Board of Cosmetology and Barber Examiners

Program is found in the following core budget(s): Board of Cosmetology and Barber Examiners, Professional Registration Administration

| FY 2021 PLANNED |                                   |           |           |  |  |  |  |  |  |
|-----------------|-----------------------------------|-----------|-----------|--|--|--|--|--|--|
|                 | Cosmetology Barber PR Admin TOTAL |           |           |  |  |  |  |  |  |
| OTHER           | 315,657                           | 1,128,859 | 1,444,516 |  |  |  |  |  |  |

#### 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

#### 1b. What does this program do?

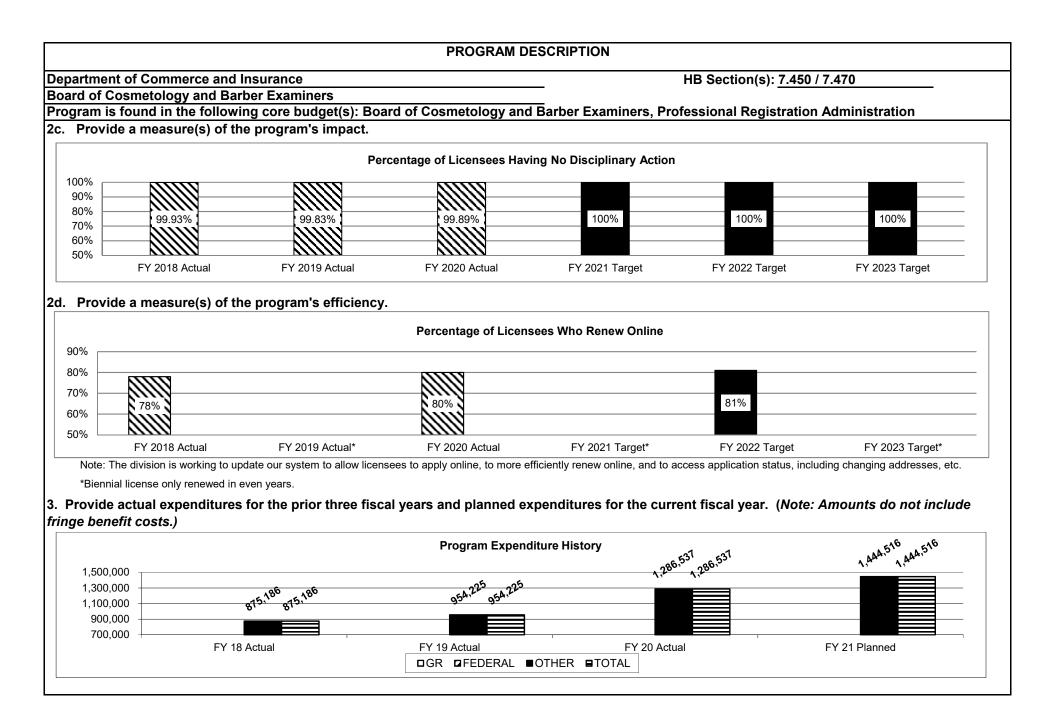
- The board regulates "Barber", "Class CH hairdresser", "Class MO manicurist", "Class CA hairdressing and manicuring", "Class E estheticians", "Instructor", "Barber establishment", "Cosmetology establishment", "School of cosmetology", "School of barbering", "Apprentice", "Student", and "Cross-over' licensed and by "Hair braider" registered in Missouri.
- The board protects the public's health, safety and welfare by ensuring that only qualified persons are examined and licensed to practice barbering and cosmetology, as well as to strive to reduce the number of instances of incompetent, negligent, fraudulent or dishonest services provided by implementing legislation and administrative rules.

#### 2a. Provide an activity measure(s) for the program.

|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 9,840   | 8,210   | 9,104   | 9,025   | 9,025   | 9,025   |
| Licensed Professionals | 77,145  | 80,722  | 76,911  | 78,259  | 78,259  | 78,259  |
| Outreach Events        | 5       | 4       | 2       | 5       | 5       | 5       |

#### 2b. Provide a measure(s) of the program's quality.

| %   |                |                 |                |                 |                |                 |
|-----|----------------|-----------------|----------------|-----------------|----------------|-----------------|
| % — |                |                 |                |                 |                |                 |
| %   |                |                 | 96%            |                 | 97%            |                 |
| %   | 90%            |                 |                |                 |                |                 |
| /0  | FY 2018 Actual | FY 2019 Actual* | FY 2020 Actual | FY 2021 Target* | FY 2022 Target | FY 2023 Target* |



| PROGRAM DESCRIPTION  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
| epartment of Commerce and Insurance  | HB Section(s): 7.450 / 7.470                               |  |  |  |  |  |  |  |  |
| Board of Cosmetology and Barber Examiners  |  |  |  |  |  |  |  |  |  |
| rogram is found in the following core budget(s): Board of Cosmetology and  | Barber Examiners, Professional Registration Administration |  |  |  |  |  |  |  |  |
| . What are the sources of the "Other " funds?  |  |  |  |  |  |  |  |  |  |
| Board of Cosmetology and Barber Examiners Fund(0785)   |  |  |  |  |  |  |  |  |  |
| State Statute: Sections 328.010-328.160, and 329.010-329.275, RSMo. Are there federal matching requirements? If yes, please explain. |  |  |  |  |  |  |  |  |  |
| N/A  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |

| Department of Co                       | ommerce and Insu                               | rance                      |                                  |                                    | Budget Unit   | 42710C                       |               |                 |              |        |
|--|--|----------------------------|----------------------------------|------------------------------------|---|------------------------------|---------------|-----------------|--------------|--------|
| Professional Reg                       |  | unoc                       |                                  |                                    |   | 427 100                      |               |                 |              |        |
| Core - Missouri E                      |  |                            |                                  |                                    | HB Section  | 7.475                        |               |                 |              |        |
|  |  |                            |                                  |                                    |   |                              |               |                 |              |        |
| 1. CORE FINANC                         | CIAL SUMMARY                                   |                            |                                  |                                    |   |                              |               |                 |              |        |
|  | FY 2   | 022 Budge                  | t Request                        |                                    |   | FY 2022 G                    | overnor's R   | Recommenda      | tion         |        |
|  | GR F   | ederal                     | Other                            | Total                              |   | GR                           | Federal       | Other           | Total        |        |
| PS                                     | 0  | 0                          | 379,020                          | 379,020                            | PS  | 0                            | 0             | 379,020         | 379,020      |        |
| EE                                     | 0  | 0                          | 237,918                          | 237,918                            | EE  | 0                            | 0             | 237,918         | 237,918      |        |
| PSD                                    | 0  | 0                          | 0                                | 0                                  | PSD   | 0                            | 0             | 0               | 0            |        |
| TRF                                    | 0  | 0                          | 0                                | 0                                  | TRF   | 0                            | 0             | 0               | 0            |        |
| Total                                  | 0  | 0                          | 616,938                          | 616,938                            | Total _   | 0                            | 0             | 616,938         | 616,938      |        |
| FTE                                    | 0.00   | 0.00                       | 7.50                             | 7.50                               | FTE   | 0.00                         | 0.00          | 7.50            | 7.50         |        |
| Est. Fringe                            | 0  | 0                          | 230,891                          | 230,891                            | Est. Fringe   | 0                            | 0             | 230,891         | 230,891      |        |
| Note: Fringes bud                      | dgeted in House Bill                           | 5 except fo                | r certain fring                  | es                                 | Note: Fringes   | budgeted in Hous             | e Bill 5 exce | ept for certain | fringes      |        |
| budgeted directly                      | to MoDOT, Highway                              | Patrol, and                | l Conservatio                    | n.                                 | budgeted direc  | tly to MoDOT, Hig            | hway Patro    | l, and Conser   | vation.      |        |
| Other Funds:                           | Dental Board Fund                              | (0677)                     |                                  |                                    | Other Funds: D  | Dental Board Fund            | l (0677)      |                 |              |        |
| 2. CORE DESCRI                         | IPTION   |                            |                                  |                                    |   |                              |               |                 |              |        |
| dentists, dental s<br>upon receiving p | specialists, and den<br>proper training and is | al hygienist<br>ssues perm | ts. The Board<br>its to properly | l also issues p<br>/ trained denta | erate. The board regulates<br>ermits to expanded functio<br>al sedation/anesthesia prov<br>the Governor and must be | n dental assistant<br>iders. | s allowing t  | hem to perfor   | m additional | duties |
| 3. PROGRAM LIS<br>Missouri Dental      | <b>STING (list progran</b><br>Board            | ns included                | d in this core                   | e funding)                         |   |                              |               |                 |              |        |
|  |  |                            |                                  |                                    |   |                              |               |                 |              |        |

| Department of Commerce and I    | nsurance          |                   |                   |                        | Budget Unit | 42710C             |          |                     |         |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|-------------|--------------------|----------|---------------------|---------|
| Professional Registration       |                   |                   |                   |                        |             |                    | —        |                     |         |
| Core - Missouri Dental Board    |                   |                   |                   |                        | HB Section  | 7.475              | _        |                     |         |
| 4. FINANCIAL HISTORY            |                   |                   |                   |                        |             |                    |          |                     |         |
|                                 | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. | _           | Actu               | al Expen | ditures (All Funds) |         |
| Appropriation (All Funds)       | 632,117           | 635,456           | 610,976           | 616,938                | 600,000     | 1                  |          |                     |         |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |             |                    |          |                     |         |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      |             |                    |          |                     |         |
| Budget Authority (All Funds)    | 632,117           | 635,456           | 610,976           | 616,938                | 500,000     |                    |          |                     |         |
| Actual Expenditures (All Funds) | 356,372           | 365,265           | 344,235           | N/A                    |             |                    |          |                     |         |
| Unexpended (All Funds)          | 275,745           | 270,191           | 266,741           | N/A                    | 400,000     | 356,37             | 2        | 365,265             |         |
| Unexpended, by Fund:            |                   |                   |                   |                        |             |                    |          |                     | 344,235 |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    | 300,000     | -                  |          |                     |         |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |             |                    |          |                     |         |
| Other                           | 275,745           | 270,191           | 266,741           | N/A                    |             |                    |          |                     |         |
|                                 | (1)               | (2)               | (3)               |                        | 200,000     | FY 20 <sup>2</sup> | 18       | FY 2019             | FY 2020 |
| Other                           |                   |                   |                   |                        |             | FY 207             | 18       | FY 2019             | FY 2020 |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

# NOTES:

(1) Unexpended amount is due to staff turnover and less than anticipated expenditures.

(2) Unexpended amount is due to staff turnover and less than anticipated expenditures.

(3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

#### DEPT OF COMMERCE AND INSURANCE

MISSOURI DENTAL BOARD

|                         | Budget |      |    |         |         |         |   |
|-------------------------|--------|------|----|---------|---------|---------|---|
|                         | Class  | FTE  | GR | Federal | Other   | Total   | Ε |
| TAFP AFTER VETOES       |        |      |    |         |         |         |   |
|                         | PS     | 7.50 | (  | ) 0     | 379,020 | 379,020 | ) |
|                         | EE     | 0.00 | (  | ) 0     | 237,918 | 237,918 | 3 |
|                         | Total  | 7.50 |    | ) 0     | 616,938 | 616,938 | 3 |
| DEPARTMENT CORE REQUEST |        |      |    |         |         |         |   |
|                         | PS     | 7.50 | (  | ) 0     | 379,020 | 379,020 | ) |
|                         | EE     | 0.00 | (  | ) 0     | 237,918 | 237,918 | 3 |
|                         | Total  | 7.50 | (  | ) 0     | 616,938 | 616,938 | 3 |
| GOVERNOR'S RECOMMENDED  | CORE   |      |    |         |         |         |   |
|                         | PS     | 7.50 | (  | ) 0     | 379,020 | 379,020 | ) |
|                         | EE     | 0.00 | (  | 0 0     | 237,918 | 237,918 | 3 |
|                         | Total  | 7.50 | (  | ) 0     | 616,938 | 616,938 | 3 |

| DCI   |                             |                          |                             |                          |                               | DEC                        | ISION ITEM                   | SUMMARY                   |
|---|-----------------------------|--------------------------|-----------------------------|--------------------------|-------------------------------|----------------------------|------------------------------|---------------------------|
| Budget Unit<br>Decision Item<br>Budget Object Summary<br>Fund | FY 2020<br>ACTUAL<br>DOLLAR | FY 2020<br>ACTUAL<br>FTE | FY 2021<br>BUDGET<br>DOLLAR | FY 2021<br>BUDGET<br>FTE | FY 2022<br>DEPT REQ<br>DOLLAR | FY 2022<br>DEPT REQ<br>FTE | FY 2022<br>GOV REC<br>DOLLAR | FY 2022<br>GOV REC<br>FTE |
| MISSOURI DENTAL BOARD   |                             |                          |                             |                          |                               |                            |                              |                           |
| CORE  |                             |                          |                             |                          |                               |                            |                              |                           |
| PERSONAL SERVICES<br>DENTAL BOARD FUND                        | 268,940                     | 7.38                     | 379,020                     | 7.50                     | 379,020                       | 7.50                       | 379,020                      | 7.50                      |
| TOTAL - PS  | 268,940                     | 7.38                     | 379,020                     | 7.50                     | 379,020                       | 7.50                       | 379,020                      | 7.50                      |
| EXPENSE & EQUIPMENT<br>DENTAL BOARD FUND                      | 75,295                      | 0.00                     | 237,918                     | 0.00                     | 237,918                       | 0.00                       | 237,918                      | 0.00                      |
| TOTAL - EE  | 75,295                      | 0.00                     | 237,918                     | 0.00                     | 237,918                       | 0.00                       | 237,918                      | 0.00                      |
| TOTAL   | 344,235                     | 7.38                     | 616,938                     | 7.50                     | 616,938                       | 7.50                       | 616,938                      | 7.50                      |
| Pay Plan - 0000012  |                             |                          |                             |                          |                               |                            |                              |                           |
| PERSONAL SERVICES<br>DENTAL BOARD FUND                        | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 3,790                        | 0.00                      |
| TOTAL - PS  | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 3,790                        | 0.00                      |
| TOTAL   | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 3,790                        | 0.00                      |
| GRAND TOTAL   | \$344,235                   | 7.38                     | \$616,938                   | 7.50                     | \$616,938                     | 7.50                       | \$620,728                    | 7.50                      |

| DCI                            |           |         |           |         |           | D        | ECISION ITI | EM DETAII |
|--------------------------------|-----------|---------|-----------|---------|-----------|----------|-------------|-----------|
| Budget Unit                    | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                  | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class            | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR      | FTE       |
| MISSOURI DENTAL BOARD          |           |         |           |         |           |          |             |           |
| CORE                           |           |         |           |         |           |          |             |           |
| ACCOUNTING GENERALIST I        | 5,840     | 0.17    | 0         | 0.00    | 0         | 0.00     | 0           | 0.00      |
| INVESTIGATOR I                 | 66,906    | 1.88    | 76,926    | 2.00    | 0         | 0.00     | 0           | 0.00      |
| INVESTIGATOR II                | 35,630    | 0.84    | 51,144    | 1.00    | 0         | 0.00     | 0           | 0.00      |
| PROCESSING TECHNICIAN II       | 69,344    | 2.34    | 76,499    | 2.50    | 0         | 0.00     | 0           | 0.00      |
| PROCESSING TECHNICIAN SUPV     | 27,176    | 0.75    | 41,333    | 1.00    | 0         | 0.00     | 0           | 0.00      |
| BOARD MEMBER                   | 4,391     | 0.34    | 37,486    | 0.00    | 37,486    | 0.00     | 37,486      | 0.00      |
| CLERK                          | 7,606     | 0.31    | 19,353    | 0.00    | 19,353    | 0.00     | 19,353      | 0.00      |
| PRINCIPAL ASST BOARD/COMMISSON | 52,047    | 0.75    | 76,279    | 1.00    | 76,279    | 1.00     | 76,279      | 1.00      |
| CUSTOMER SERVICE REP           | 0         | 0.00    | 0         | 0.00    | 76,499    | 2.50     | 76,499      | 2.50      |
| CUSTOMER SERVICE SUPERVISOR    | 0         | 0.00    | 0         | 0.00    | 41,333    | 1.00     | 41,333      | 1.00      |
| NON-COMMISSIONED INVESTIGATOR  | 0         | 0.00    | 0         | 0.00    | 76,926    | 2.00     | 76,926      | 2.00      |
| SR NON-COMMISSION INVESTIGATOR | 0         | 0.00    | 0         | 0.00    | 51,144    | 1.00     | 51,144      | 1.00      |
| TOTAL - PS                     | 268,940   | 7.38    | 379,020   | 7.50    | 379,020   | 7.50     | 379,020     | 7.50      |
| TRAVEL, IN-STATE               | 8,185     | 0.00    | 11,406    | 0.00    | 11,406    | 0.00     | 11,406      | 0.00      |
| TRAVEL, OUT-OF-STATE           | 2,967     | 0.00    | 3,500     | 0.00    | 3,500     | 0.00     | 3,500       | 0.00      |
| SUPPLIES                       | 7,822     | 0.00    | 19,000    | 0.00    | 19,000    | 0.00     | 19,000      | 0.00      |
| PROFESSIONAL DEVELOPMENT       | 5,433     | 0.00    | 10,500    | 0.00    | 10,500    | 0.00     | 10,500      | 0.00      |
| COMMUNICATION SERV & SUPP      | 1,286     | 0.00    | 6,000     | 0.00    | 6,000     | 0.00     | 6,000       | 0.00      |
| PROFESSIONAL SERVICES          | 45,259    | 0.00    | 170,362   | 0.00    | 170,362   | 0.00     | 170,362     | 0.00      |
| M&R SERVICES                   | 393       | 0.00    | 3,500     | 0.00    | 3,500     | 0.00     | 3,500       | 0.00      |
| OFFICE EQUIPMENT               | 241       | 0.00    | 1,300     | 0.00    | 1,300     | 0.00     | 1,300       | 0.00      |
| OTHER EQUIPMENT                | 79        | 0.00    | 1,000     | 0.00    | 1,000     | 0.00     | 1,000       | 0.00      |
| BUILDING LEASE PAYMENTS        | 1,011     | 0.00    | 4,000     | 0.00    | 4,000     | 0.00     | 4,000       | 0.00      |
| EQUIPMENT RENTALS & LEASES     | 0         | 0.00    | 350       | 0.00    | 350       | 0.00     | 350         | 0.00      |
| MISCELLANEOUS EXPENSES         | 2,619     | 0.00    | 7,000     | 0.00    | 7,000     | 0.00     | 7,000       | 0.00      |
| TOTAL - EE                     | 75,295    | 0.00    | 237,918   | 0.00    | 237,918   | 0.00     | 237,918     | 0.00      |
| GRAND TOTAL                    | \$344,235 | 7.38    | \$616,938 | 7.50    | \$616,938 | 7.50     | \$616,938   | 7.50      |
| GENERAL REVENUE                | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS                  | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                    | \$344,235 | 7.38    | \$616,938 | 7.50    | \$616,938 | 7.50     | \$616,938   | 7.50      |

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# PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.475 Missouri Dental Board Program is found in the following core budget(s): Missouri Dental Board 1a. What strategic priority does this program address? Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public Develop our team, reward great performance, and retain top talent Innovate to make it easier to connect and work with us

# 1b. What does this program do?

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- The board regulates the practice of dentistry in Missouri. ٠
- The board issues licenses to dentists, dental specialists, and dental hygienists. •
- The board issues permits to expanded function dental assistants allowing them to perform additional duties upon receiving proper training and • issues permits to properly trained dental sedation/anesthesia providers.

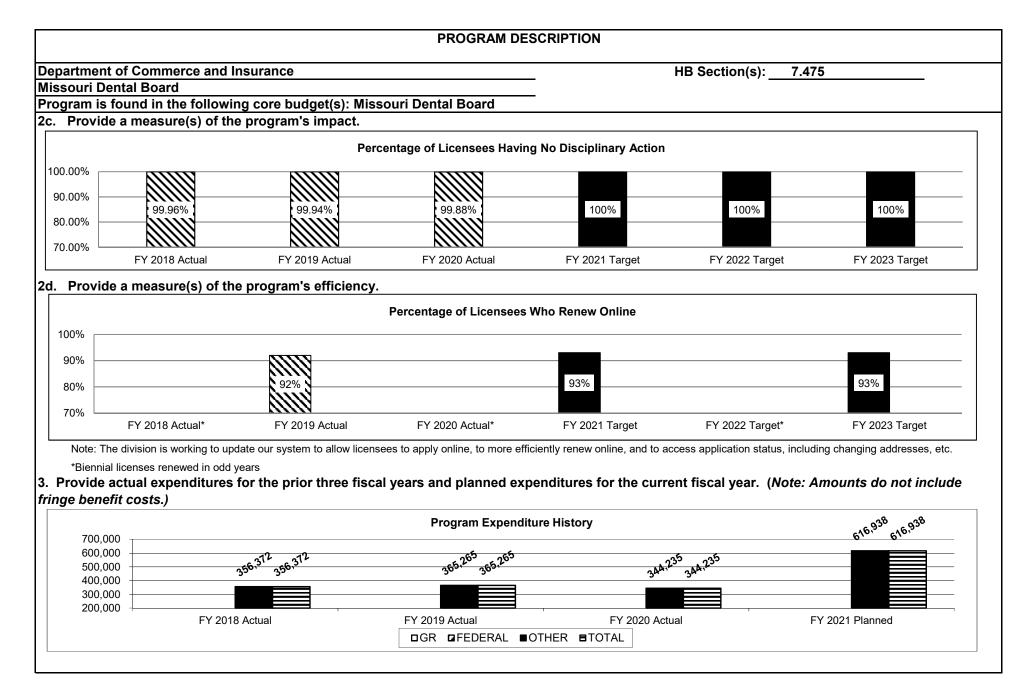
## 2a. Provide an activity measure(s) for the program.

|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2022 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 1,664   | 1,587   | 1,488   | 1,600   | 1,600   | 1,600   |
| Licensed Professionals | 16,459  | 17,248  | 18,529  | 17,412  | 17,412  | 17,412  |
| Outreach Events        | 8       | 6       | 5       | 7       | 7       | 7       |

#### 2b. Provide a measure(s) of the program's quality.

|          |                              | Percentage of Licen | sees Satisfied / Highly Sat | isfied With the Current Lic | ensure Process  |                |
|----------|------------------------------|---------------------|-----------------------------|-----------------------------|-----------------|----------------|
| 88%      |                              |                     |                             |                             |                 |                |
| 87% —    |                              |                     |                             |                             |                 |                |
| 87%      |                              |                     |                             | 070/                        |                 | 87%            |
| 86%      |                              | 86% N               |                             | 87%                         |                 | 87 %           |
| 86% —    | 1                            |                     | 1                           |                             | 1               | 1              |
|          | FY 2018 Actual*              | FY 2019 Actual      | FY 2020 Actual*             | FY 2021 Target              | FY 2022 Target* | FY 2023 Target |
| *Biennia | al licenses renewed in odd y | /ears               |                             |                             |                 |                |

Note: Licensees were surveyed about their experience with the board's licensure procedures, customer service, website and communications.



| PROGRAM DESCRIPTION  |   |  |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|--|
| Department of Commerce and Insurance   | HB Section(s): 7.475                                    |  |  |  |  |  |  |  |
| Missouri Dental Board  |   |  |  |  |  |  |  |  |
| Program is found in the following core budget(s): Missouri Dental Board  | —   |  |  |  |  |  |  |  |
| 4. What are the sources of the "Other " funds?   |   |  |  |  |  |  |  |  |
| Dental Board Fund (0677)   |   |  |  |  |  |  |  |  |
| <ol> <li>What is the authorization for this program, i.e., federal or state statute, etc<br/>State Statute: Sections 332.011-332.425, RSMo.</li> </ol> | .? (Include the federal program number, if applicable.) |  |  |  |  |  |  |  |
| 6. Are there federal matching requirements? If yes, please explain.<br>N/A   |   |  |  |  |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No   |   |  |  |  |  |  |  |  |

| Department of Co                                       | ommerce and Insu                           | irance                                       |                                |  | Budget Unit   | 42720C                               |                                  |                                  |                                  |                        |
|--|--|--|--------------------------------|--|---|--------------------------------------|----------------------------------|----------------------------------|----------------------------------|------------------------|
| Professional Reg                                       | istration                                  |  |                                |  |   |                                      |                                  |                                  |                                  |                        |
| Core - State Boar                                      | rd of Embalmers a                          | nd Funeral                                   | Directors                      |  | HB Section  | 7.480                                |                                  |                                  |                                  |                        |
| 1. CORE FINANC   | CIAL SUMMARY                               |  |                                |  |   |                                      |                                  |                                  |                                  |                        |
|  |  | 2022 Budge                                   | t Request                      |  |   | FY 2022 (                            | Governor's R                     | ecommenda                        | ition                            |                        |
|  |  | Federal                                      | Other                          | Total                                  |   | GR                                   | Federal                          | Other                            | Total                            |                        |
| PS   | 0  | 0  | 0                              | 0                                      | PS  | 0                                    | 0                                | 0                                | 0                                |                        |
| EE   | 0  | 0  | 164,518                        | 164,518                                | EE  | 0                                    | 0                                | 164,518                          | 164,518                          |                        |
| PSD  | 0  | 0  | 0                              | 0                                      | PSD   | 0                                    | 0                                | 0                                | 0                                |                        |
| TRF  | 0  | 0  | 0                              | 0                                      | TRF   | 0                                    | 0                                | 0                                | 0                                |                        |
| Total  | 0  | 0  | 164,518                        | 164,518                                | Total   | 0                                    | 0                                | 164,518                          | 164,518                          |                        |
| FTE  | 0.00                                       | 0.00   | 0.00                           | 0.00                                   | FTE   | 0.00                                 | 0.00                             | 0.00                             | 0.00                             |                        |
| Est. Fringe  | 0  | 0  | 0                              | 0                                      | Est. Fringe   | 0                                    | 0                                | 0                                | 0                                |                        |
|  | lgeted in House Bill                       | v  | U U                            | -                                      |   | budgeted in Hou                      | •                                | •                                | •                                |                        |
| -  | to MoDOT, Highwa                           |  | -                              |  | -   | tly to MoDOT, H                      |                                  | •                                | -                                |                        |
| Other Funds:<br>2. CORE DESCRI                         | Board of Embalme                           | ers & Funera                                 | Il Directors Fu                | und (0633)                             | Other Funds: E  | Board of Embalm                      | ers & Funera                     | ll Directors Fu                  | ınd (0633)                       |                        |
| Assembly. The<br>director agent, fu<br>five members po | board's rules and re<br>uneral establishme | egulations re<br>nts, preneed<br>to practice | quire licensu<br>sellers and p | re for individuals<br>preneed provider | Directors. The board wa<br>engaged in the practice<br>rs, in order to ensure the<br>acting and one voting put | of embalming, fu<br>good of the publ | uneral directin<br>ic. The board | ng, preneed a<br>I shall consist | igent, preneed<br>t of six membe | d funeral<br>ers, with |
| 3. PROGRAM LI  | STING (list progra                         | ms include                                   | d in this core                 | e funding)                             |   |                                      |                                  |                                  |                                  |                        |
| State Board of E                                       | Embalmers and Fur                          | neral Directo                                | ors                            |  |   |                                      |                                  |                                  |                                  |                        |
|  |  |  |                                |  |   |                                      |                                  |                                  |                                  |                        |

| Department of Commerce and I    | nsurance          |                   |                   |                        | Budget Unit | 42720C  |                             |         |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|-------------|---------|-----------------------------|---------|
| Professional Registration       |                   |                   |                   |                        |             |         | -                           |         |
| Core - State Board of Embalmer  | s and Funera      | I Directors       |                   |                        | HB Section  | 7.480   | _                           |         |
| 4. FINANCIAL HISTORY            |                   |                   |                   |                        |             |         |                             |         |
|                                 | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. | -           | Actua   | al Expenditures (All Funds) |         |
| Appropriation (All Funds)       | 164,200           | 164,200           | 164,200           | 164,518                | 200,000     |         |                             |         |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |             |         |                             |         |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      | _           | 140,159 |                             |         |
| Budget Authority (All Funds)    | 164,200           | 164,200           | 164,200           | 164,518                | 150,000     | 140,139 |                             |         |
| Actual Expenditures (All Funds) | 140,159           | 117,236           | 106,092           | N/A                    |             |         | 117,236                     | 106,092 |
| Unexpended (All Funds)          | 24,041            | 46,964            | 58,108            | N/A                    | 100,000     |         |                             |         |
| Unexpended, by Fund:            |                   |                   |                   |                        |             |         |                             |         |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    | 50,000      |         |                             |         |
| Federal                         | 0                 | 0                 | 0                 | N/A                    |             |         |                             |         |
| Other                           | 24,041            | 46,964            | 58,108            | N/A                    |             |         |                             |         |
|                                 | (1)               | (2)               | (3)               |                        | 0           | -       |                             |         |
|                                 | (1)               | (2)               | (0)               |                        |             | FY 2018 | 8 FY 2019                   | FY 2020 |
|                                 |                   |                   |                   |                        |             |         |                             |         |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

# NOTES:

(1) Unexpended amount is due to staff turnover and less than anticipated expenditures.

(2) Unexpended amount is due to staff turnover and less than anticipated expenditures.

(3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

#### CORE RECONCILIATION DETAIL

# DEPT OF COMMERCE AND INSURANCE

**BD OF EMBALMERS & FUNERAL DIR** 

|                             | Budget | FTF  | <b>C</b> D | Federal | Other   | Total   | - |  |  |
|-----------------------------|--------|------|------------|---------|---------|---------|---|--|--|
|                             | Class  | FTE  | GR         | Federal | Other   | Total   | E |  |  |
| TAFP AFTER VETOES           |        |      |            |         |         |         |   |  |  |
|                             | EE     | 0.00 | C          | 0       | 164,518 | 164,518 | 3 |  |  |
|                             | Total  | 0.00 | 0          | 0       | 164,518 | 164,518 | 3 |  |  |
| DEPARTMENT CORE REQUEST     |        |      |            |         |         |         |   |  |  |
|                             | EE     | 0.00 | C          | 0       | 164,518 | 164,518 | 3 |  |  |
|                             | Total  | 0.00 | C          | 0       | 164,518 | 164,518 | 3 |  |  |
| GOVERNOR'S RECOMMENDED CORE |        |      |            |         |         |         |   |  |  |
|                             | EE     | 0.00 | C          | 0       | 164,518 | 164,518 | 3 |  |  |
|                             | Total  | 0.00 | C          | 0       | 164,518 | 164,518 | 3 |  |  |

| DCI DECISION ITEM SUM         |           |         |           |         |           |          |           |         |  |  |
|-------------------------------|-----------|---------|-----------|---------|-----------|----------|-----------|---------|--|--|
| Budget Unit                   |           |         |           |         |           |          |           |         |  |  |
| Decision Item                 | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022   | FY 2022 |  |  |
| Budget Object Summary         | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |  |  |
| Fund                          | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |  |  |
| BD OF EMBALMERS & FUNERAL DIR |           |         |           |         |           |          |           |         |  |  |
| CORE                          |           |         |           |         |           |          |           |         |  |  |
| EXPENSE & EQUIPMENT           |           |         |           |         |           |          |           |         |  |  |
| BOARD OF EMBALM & FUN DIR     | 106,092   | 0.00    | ) 164,518 | 0.00    | 164,518   | 0.00     | 164,518   | 0.00    |  |  |
| TOTAL - EE                    | 106,092   | 0.00    | 164,518   | 0.00    | 164,518   | 0.00     | 164,518   | 0.00    |  |  |
| TOTAL                         | 106,092   | 0.00    | 164,518   | 0.00    | 164,518   | 0.00     | 164,518   | 0.00    |  |  |
| GRAND TOTAL                   | \$106,092 | 0.00    | \$164,518 | 0.00    | \$164,518 | 0.00     | \$164,518 | 0.00    |  |  |

| DCI                           |           |         |           |         |           | C        | <b>DECISION IT</b> | EM DETAIL |
|-------------------------------|-----------|---------|-----------|---------|-----------|----------|--------------------|-----------|
| Budget Unit                   | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022            | FY 2022   |
| Decision Item                 | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC            | GOV REC   |
| Budget Object Class           | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR             | FTE       |
| BD OF EMBALMERS & FUNERAL DIR |           |         |           |         |           |          |                    |           |
| CORE                          |           |         |           |         |           |          |                    |           |
| TRAVEL, IN-STATE              | 18,884    | 0.00    | 24,613    | 0.00    | 24,613    | 0.00     | 24,613             | 0.00      |
| TRAVEL, OUT-OF-STATE          | 7,000     | 0.00    | 2,374     | 0.00    | 2,374     | 0.00     | 2,374              | 0.00      |
| SUPPLIES                      | 12,501    | 0.00    | 28,500    | 0.00    | 28,500    | 0.00     | 28,500             | 0.00      |
| PROFESSIONAL DEVELOPMENT      | 4,322     | 0.00    | 14,250    | 0.00    | 14,250    | 0.00     | 14,250             | 0.00      |
| COMMUNICATION SERV & SUPP     | 6,612     | 0.00    | 9,500     | 0.00    | 9,500     | 0.00     | 9,500              | 0.00      |
| PROFESSIONAL SERVICES         | 47,819    | 0.00    | 73,731    | 0.00    | 73,731    | 0.00     | 73,731             | 0.00      |
| M&R SERVICES                  | 941       | 0.00    | 2,000     | 0.00    | 2,000     | 0.00     | 2,000              | 0.00      |
| OFFICE EQUIPMENT              | 3,024     | 0.00    | 1,000     | 0.00    | 1,000     | 0.00     | 1,000              | 0.00      |
| OTHER EQUIPMENT               | 315       | 0.00    | 0         | 0.00    | 0         | 0.00     | 0                  | 0.00      |
| BUILDING LEASE PAYMENTS       | 3,302     | 0.00    | 1,550     | 0.00    | 1,550     | 0.00     | 1,550              | 0.00      |
| EQUIPMENT RENTALS & LEASES    | 0         | 0.00    | 500       | 0.00    | 500       | 0.00     | 500                | 0.00      |
| MISCELLANEOUS EXPENSES        | 1,372     | 0.00    | 6,500     | 0.00    | 6,500     | 0.00     | 6,500              | 0.00      |
| TOTAL - EE                    | 106,092   | 0.00    | 164,518   | 0.00    | 164,518   | 0.00     | 164,518            | 0.00      |
| GRAND TOTAL                   | \$106,092 | 0.00    | \$164,518 | 0.00    | \$164,518 | 0.00     | \$164,518          | 0.00      |
| GENERAL REVENUE               | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0                | 0.00      |
| FEDERAL FUNDS                 | \$0       | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0                | 0.00      |
| OTHER FUNDS                   | \$106,092 | 0.00    | \$164,518 | 0.00    | \$164,518 | 0.00     | \$164,518          | 0.00      |

# **PROGRAM DESCRIPTION**

### Department of Commerce and Insurance

HB Section(s): 7.450 / 7.480

State Board of Embalmers and Funeral Directors

Program is found in the following core budget(s): Professional Registration Administration, State Board of Embalmers and Funeral Directors

| FY 2021 PLANNED          |         |         |         |  |  |  |  |  |  |
|--------------------------|---------|---------|---------|--|--|--|--|--|--|
| Emb & FDs PR Admin TOTAL |         |         |         |  |  |  |  |  |  |
| OTHER                    | 164,518 | 518,414 | 682,932 |  |  |  |  |  |  |

1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

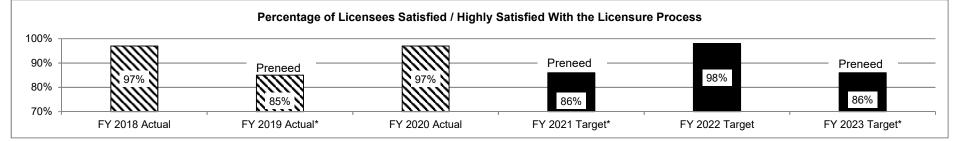
### 1b. What does this program do?

• The board's rules and regulations require licensure for individuals engaged in the practice of embalming, funeral directing, preneed agent, preneed funeral director agent, funeral establishments, preneed sellers and preneed providers, in order to ensure the good of the public.

## 2a. Provide an activity measure(s) for the program.

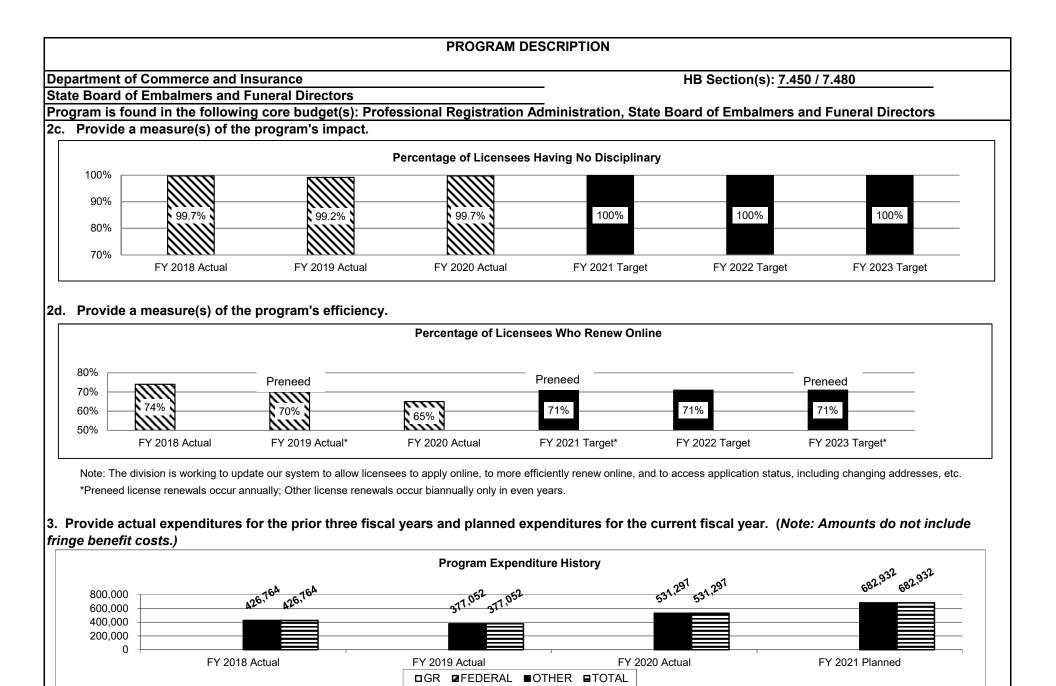
|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 415     | 420     | 368     | 400     | 400     | 400     |
| Licensed Professionals | 6,231   | 6,246   | 5,941   | 6,000   | 6,000   | 6,000   |
| Outreach Events        | 18      | 18      | 7       | 10      | 10      | 10      |

## 2b. Provide a measure(s) of the program's quality.



\*Preneed license renewals occur annually; Other license renewals occur biannually only in even years.

Licensees were surveyed about their experience with the board's licensure procedures, customer service, website and communications.



| PROGRAM DESCRIPTION   |  |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|--|
| Department of Commerce and Insurance  | HB Section(s): 7.450 / 7.480                                   |  |  |  |  |  |  |  |  |
| State Board of Embalmers and Funeral Directors  |  |  |  |  |  |  |  |  |  |
| Program is found in the following core budget(s): Professional Registration A   | Administration, State Board of Embalmers and Funeral Directors |  |  |  |  |  |  |  |  |
| 4. What are the sources of the "Other " funds?  |  |  |  |  |  |  |  |  |  |
| Board of Embalmers and Funeral Directors Fund(0633)   |  |  |  |  |  |  |  |  |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.<br>State Statute: Sections 333.011-333.340 and 436.400-436.525, RSMo. | .? (Include the federal program number, if applicable.)        |  |  |  |  |  |  |  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>   |  |  |  |  |  |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No  |  |  |  |  |  |  |  |  |  |

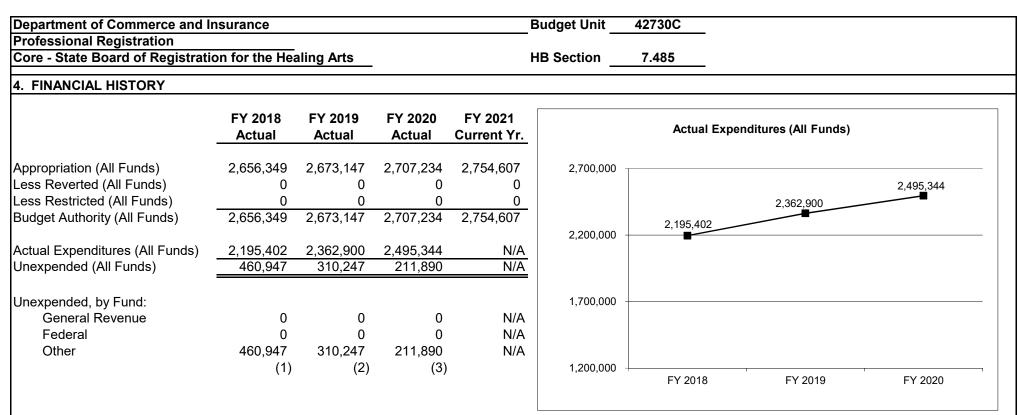
| Department of Co                      | ommerce and Ins                          | surance         |                                  |                                | Budget Unit   | 42730C                            |              |                |               |         |
|---------------------------------------|--|-----------------|----------------------------------|--------------------------------|---|-----------------------------------|--------------|----------------|---------------|---------|
| Professional Reg                      |  | n far tha lla   | alina Anta                       |                                |   | 7 495                             |              |                |               |         |
| Core - State Boar                     | d of Registratio                         | n for the Hea   | aling Arts                       |                                | HB Section  | 7.485                             |              |                |               |         |
| 1. CORE FINANC                        | CIAL SUMMARY                             |                 |                                  |                                |   |                                   |              |                |               |         |
|                                       | F۱                                       | / 2022 Budg     | et Request                       |                                |   | FY 2022 Governor's Recommendation |              |                |               |         |
|                                       | GR                                       | Federal         | Other                            | Total                          |   | GR I                              | Federal      | Other          | Total         |         |
| PS                                    | 0  | 0               | 2,000,970                        | 2,000,970                      | PS  | 0                                 | 0            | 2,000,970      | 2,000,970     |         |
| EE                                    | 0  | 0               | 753,637                          | 753,637                        | EE  | 0                                 | 0            | 753,637        | 753,637       |         |
| PSD                                   | 0  | 0               | 0                                | 0                              | PSD   | 0                                 | 0            | 0              | 0             |         |
| TRF                                   | 0  | 0               | 0                                | 0                              | TRF   | 0                                 | 0            | 0              | 0             |         |
| Total                                 | 0  | 0               | 2,754,607                        | 2,754,607                      | Total   | 0                                 | 0            | 2,754,607      | 2,754,607     |         |
| FTE                                   | 0.00                                     | 0.00            | 44.00                            | 44.00                          | FTE   | 0.00                              | 0.00         | 44.00          | 44.00         |         |
| Est. Fringe                           | 0  | 0               | 1,280,833                        | 1,280,833                      | Est. Fringe   | 0                                 | 0            | 1,280,833      | 1,280,833     |         |
| Note: Fringes bud                     | lgeted in House E                        | Bill 5 except f | or certain fring                 | ges                            | Note: Fringes   | budgeted in House                 | e Bill 5 exc | ept for certai | n fringes     |         |
| budgeted directly t                   | to MoDOT, Highw                          | /ay Patrol, ar  | nd Conservati                    | on.                            | budgeted dire   | ctly to MoDOT, Hig                | hway Patro   | ol, and Conse  | ervation.     |         |
| Other Funds:                          | Board of Registra                        | ation for the l | Healing Arts F                   | <sup>-</sup> und (0634)        | Other Funds:  | Board of Registration             | on for the I | Healing Arts F | und (0634)    |         |
| 2. CORE DESCRI                        | PTION                                    |                 |                                  |                                |   |                                   |              |                |               |         |
| Healing Arts was<br>professionals, as | s created in 1939.<br>ssessing their cor | . The missior   | n of the board<br>practice and t | is to protect<br>heir moral ch | stration for the Healing Arts<br>he citizens of the Missouri<br>aracter. The board is comp<br>the advice and consent of t | through the licensin              | ng of physi  | cians and oth  | er health des | ignated |

It is the duty of the board to administer and execute the statutes, rules and regulations of the Healing Arts Practice Act. Responsibilities of the Board include: promoting ethical standards, examination, licensure, regulation, investigation of complaints and discipline of individuals practicing in the field. It is also the board's duty to investigate all complaints against its licensees in a fair and equitable manner.

Profession/s regulated (types of licenses): Physicians (MD/DO's) – physician and surgeon, temporary (residency), contiguous, conditional, visiting professor, limited license (retirement); Anesthesiologist Assistant – anesthesiologist assistant; Assistant Physician - assistant physician; Athletic Trainer - athletic trainer; Speech Language Pathologist and Audiologist – speech language pathologist, speech language pathologist/audiologist; Clinical Perfusionist – clinical perfusionist, provisional; Physician Assistant – physician assistant, controlled substance certificate; Physical Therapist - physical therapist - temporary, physical therapist assistant.

3. PROGRAM LISTING (list programs included in this core funding)

State Board of Registration for the Healing Arts



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

### DEPT OF COMMERCE AND INSURANCE

BD OF REG FOR THE HEALING ART

|                         | Budget |       |    |         |   |           |           |   |
|-------------------------|--------|-------|----|---------|---|-----------|-----------|---|
|                         | Class  | FTE   | GR | Federal |   | Other     | Total     | E |
| TAFP AFTER VETOES       |        |       |    |         |   |           |           |   |
|                         | PS     | 44.00 | (  | ) (     | ) | 2,000,970 | 2,000,970 | ) |
|                         | EE     | 0.00  |    | ) (     | ) | 753,637   | 753,637   | , |
|                         | Total  | 44.00 |    | ) (     | ) | 2,754,607 | 2,754,607 | - |
| DEPARTMENT CORE REQUEST |        |       |    |         |   |           |           |   |
|                         | PS     | 44.00 | (  | ) (     | ) | 2,000,970 | 2,000,970 | ) |
|                         | EE     | 0.00  | (  | ) (     | ) | 753,637   | 753,637   | , |
|                         | Total  | 44.00 |    | ) (     | ) | 2,754,607 | 2,754,607 | - |
| GOVERNOR'S RECOMMENDED  | CORE   |       |    |         |   |           |           |   |
|                         | PS     | 44.00 |    | ) (     | ) | 2,000,970 | 2,000,970 | ) |
|                         | EE     | 0.00  |    | ) (     | ) | 753,637   | 753,637   |   |
|                         | Total  | 44.00 |    | ) (     | ) | 2,754,607 | 2,754,607 | • |

| DCI                           |             |         |             |         |             | DEC      | ISION ITEM  | SUMMARY |
|-------------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Budget Unit                   |             |         |             |         |             |          |             |         |
| Decision Item                 | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary         | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund                          | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| BD OF REG FOR THE HEALING ART |             |         |             |         |             |          |             |         |
| CORE                          |             |         |             |         |             |          |             |         |
| PERSONAL SERVICES             |             |         |             |         |             |          |             |         |
| BOARD OF REG FOR HEALING ARTS | 1,807,234   | 41.04   | 2,000,970   | 44.00   | 2,000,970   | 44.00    | 2,000,970   | 44.00   |
| TOTAL - PS                    | 1,807,234   | 41.04   | 2,000,970   | 44.00   | 2,000,970   | 44.00    | 2,000,970   | 44.00   |
| EXPENSE & EQUIPMENT           |             |         |             |         |             |          |             |         |
| BOARD OF REG FOR HEALING ARTS | 688,110     | 0.00    | 753,637     | 0.00    | 753,637     | 0.00     | 753,637     | 0.00    |
| TOTAL - EE                    | 688,110     | 0.00    | 753,637     | 0.00    | 753,637     | 0.00     | 753,637     | 0.00    |
| TOTAL                         | 2,495,344   | 41.04   | 2,754,607   | 44.00   | 2,754,607   | 44.00    | 2,754,607   | 44.00   |
| Pay Plan - 0000012            |             |         |             |         |             |          |             |         |
| PERSONAL SERVICES             |             |         |             |         |             |          |             |         |
| BOARD OF REG FOR HEALING ARTS | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 20,009      | 0.00    |
| TOTAL - PS                    | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 20,009      | 0.00    |
| TOTAL                         | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 20,009      | 0.00    |
| GRAND TOTAL                   | \$2,495,344 | 41.04   | \$2,754,607 | 44.00   | \$2,754,607 | 44.00    | \$2,774,616 | 44.00   |

| DCI<br>Budget Unit             | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | ECISION ITI | FY 2022 |
|--------------------------------|---------|---------|---------|---------|----------|----------|-------------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE     |
|                                | DOLLAR  |         | DOLLAR  |         | DOLLAR   |          | DOLLAR      |         |
| BD OF REG FOR THE HEALING ART  |         |         |         |         |          |          |             |         |
| CORE                           |         |         |         |         |          |          |             |         |
| ADMIN OFFICE SUPPORT ASSISTANT | 27,187  | 0.88    | 31,956  | 1.00    | 0        | 0.00     | 0           | 0.00    |
| SR OFC SUPPORT ASST (STENO)    | 31,122  | 1.00    | 32,098  | 1.00    | 0        | 0.00     | 0           | 0.00    |
| SR OFFICE SUPPORT ASSISTANT    | 68,893  | 2.40    | 74,006  | 2.50    | 0        | 0.00     | 0           | 0.00    |
| INFORMATION SUPPORT COOR       | 32,263  | 1.00    | 33,203  | 1.00    | 0        | 0.00     | 0           | 0.00    |
| EXECUTIVE I                    | 37,814  | 1.00    | 37,376  | 1.00    | 0        | 0.00     | 0           | 0.00    |
| PHYSICIAN                      | 151,657 | 1.17    | 0       | 0.00    | 0        | 0.00     | 0           | 0.00    |
| MEDICAL CNSLT                  | 51,282  | 0.42    | 138,284 | 1.00    | 0        | 0.00     | 0           | 0.00    |
| MEDICAL DIR                    | 54,550  | 0.42    | 152,645 | 1.00    | 0        | 0.00     | 0           | 0.00    |
| INVESTIGATOR II                | 444,035 | 10.66   | 598,062 | 14.00   | 0        | 0.00     | 0           | 0.00    |
| INVESTIGATOR III               | 32,724  | 0.72    | 0       | 0.00    | 0        | 0.00     | 0           | 0.00    |
| PROF REG ADMSTV COOR           | 44,648  | 1.03    | 44,491  | 1.00    | 0        | 0.00     | 0           | 0.00    |
| INVESTIGATION MGR B1           | 70,103  | 1.23    | 58,287  | 1.00    | 0        | 0.00     | 0           | 0.00    |
| PROCESSING TECHNICIAN I        | 27,118  | 1.02    | 28,645  | 1.00    | 0        | 0.00     | 0           | 0.00    |
| PROCESSING TECHNICIAN II       | 173,248 | 5.87    | 247,030 | 8.50    | 0        | 0.00     | 0           | 0.00    |
| PROCESSING TECHNICIAN III      | 83,228  | 2.58    | 66,358  | 2.00    | 0        | 0.00     | 0           | 0.00    |
| PROCESSING TECHNICIAN SUPV     | 74,867  | 2.07    | 74,174  | 2.00    | 0        | 0.00     | 0           | 0.00    |
| PARALEGAL                      | 73,304  | 2.04    | 79,977  | 2.00    | 0        | 0.00     | 0           | 0.00    |
| LEGAL COUNSEL                  | 106,127 | 1.79    | 122,204 | 2.00    | 187,204  | 3.00     | 187,204     | 3.00    |
| BOARD MEMBER                   | 3,002   | 0.23    | 4,426   | 0.00    | 4,426    | 0.00     | 4,426       | 0.00    |
| SENIOR COUNSEL                 | 63,562  | 1.00    | 65,582  | 1.00    | 65,582   | 1.00     | 65,582      | 1.00    |
| CLERK                          | 75,558  | 1.51    | 30,020  | 0.00    | 30,020   | 0.00     | 30,020      | 0.00    |
| PRINCIPAL ASST BOARD/COMMISSON | 80,942  | 1.00    | 82,146  | 1.00    | 84,000   | 1.00     | 84,000      | 1.00    |
| ADMIN SUPPORT ASSISTANT        | 0       | 0.00    | 0       | 0.00    | 139,307  | 4.50     | 139,307     | 4.50    |
| LEAD ADMIN SUPPORT ASSISTANT   | 0       | 0.00    | 0       | 0.00    | 31,956   | 1.00     | 31,956      | 1.00    |
| ADMIN SUPPORT PROFESSIONAL     | 0       | 0.00    | 0       | 0.00    | 39,665   | 1.00     | 39,665      | 1.00    |
| ASSOCIATE CUSTOMER SERVICE REP | 0       | 0.00    | 0       | 0.00    | 28,645   | 1.00     | 28,645      | 1.00    |
| CUSTOMER SERVICE REP           | 0       | 0.00    | 0       | 0.00    | 247,030  | 8.50     | 247,030     | 8.50    |
| LEAD CUSTOMER SERVICE REP      | 0       | 0.00    | 0       | 0.00    | 99,042   | 3.00     | 99,042      | 3.00    |
| CUSTOMER SERVICE SUPERVISOR    | 0       | 0.00    | 0       | 0.00    | 37,087   | 1.00     | 37,087      | 1.00    |
| CUSTOMER SERVICE SOFERVISOR    | 0       | 0.00    | 0       | 0.00    | 46,578   | 2.00     | 46,578      | 2.00    |
| PHYSICIAN                      | 0       | 0.00    | 0       | 0.00    | 138,284  | 1.00     | 138,284     | 1.00    |
| CHIEF PHYSICIAN                | 0       | 0.00    | 0       | 0.00    | 137,645  | 1.00     | 136,284     | 1.00    |

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|                                |             |         |             |         |             |          |             | EM DETAIL |
|--------------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|-----------|
| Budget Unit                    | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022   |
| Decision Item                  | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class            | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE       |
| BD OF REG FOR THE HEALING ART  |             |         |             |         |             |          |             |           |
| CORE                           |             |         |             |         |             |          |             |           |
| PARALEGAL                      | 0           | 0.00    | 0           | 0.00    | 79,977      | 2.00     | 79,977      | 2.00      |
| SR NON-COMMISSION INVESTIGATOR | 0           | 0.00    | 0           | 0.00    | 465,839     | 10.00    | 465,839     | 10.00     |
| NON-COMMSSN INVESTIGATOR SPV   | 0           | 0.00    | 0           | 0.00    | 22,109      | 1.00     | 22,109      | 1.00      |
| INVESTIGATIONS MANAGER         | 0           | 0.00    | 0           | 0.00    | 116,574     | 2.00     | 116,574     | 2.00      |
| TOTAL - PS                     | 1,807,234   | 41.04   | 2,000,970   | 44.00   | 2,000,970   | 44.00    | 2,000,970   | 44.00     |
| TRAVEL, IN-STATE               | 22,226      | 0.00    | 20,506      | 0.00    | 20,506      | 0.00     | 20,506      | 0.00      |
| TRAVEL, OUT-OF-STATE           | 16,198      | 0.00    | 10,016      | 0.00    | 10,016      | 0.00     | 10,016      | 0.00      |
| SUPPLIES                       | 100,629     | 0.00    | 95,500      | 0.00    | 95,500      | 0.00     | 95,500      | 0.00      |
| PROFESSIONAL DEVELOPMENT       | 20,475      | 0.00    | 8,787       | 0.00    | 8,787       | 0.00     | 8,787       | 0.00      |
| COMMUNICATION SERV & SUPP      | 56,248      | 0.00    | 39,324      | 0.00    | 39,324      | 0.00     | 39,324      | 0.00      |
| PROFESSIONAL SERVICES          | 393,846     | 0.00    | 525,404     | 0.00    | 525,404     | 0.00     | 525,404     | 0.00      |
| M&R SERVICES                   | 16,464      | 0.00    | 16,000      | 0.00    | 16,000      | 0.00     | 16,000      | 0.00      |
| MOTORIZED EQUIPMENT            | 34,825      | 0.00    | 22,000      | 0.00    | 22,000      | 0.00     | 22,000      | 0.00      |
| OFFICE EQUIPMENT               | 2,046       | 0.00    | 1,000       | 0.00    | 1,000       | 0.00     | 1,000       | 0.00      |
| OTHER EQUIPMENT                | 2,415       | 0.00    | 0           | 0.00    | 0           | 0.00     | 0           | 0.00      |
| BUILDING LEASE PAYMENTS        | 8,725       | 0.00    | 3,500       | 0.00    | 3,500       | 0.00     | 3,500       | 0.00      |
| EQUIPMENT RENTALS & LEASES     | 790         | 0.00    | 1,600       | 0.00    | 1,600       | 0.00     | 1,600       | 0.00      |
| MISCELLANEOUS EXPENSES         | 13,223      | 0.00    | 10,000      | 0.00    | 10,000      | 0.00     | 10,000      | 0.00      |
| TOTAL - EE                     | 688,110     | 0.00    | 753,637     | 0.00    | 753,637     | 0.00     | 753,637     | 0.00      |
| GRAND TOTAL                    | \$2,495,344 | 41.04   | \$2,754,607 | 44.00   | \$2,754,607 | 44.00    | \$2,754,607 | 44.00     |
| GENERAL REVENUE                | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS                  | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                    | \$2,495,344 | 41.04   | \$2,754,607 | 44.00   | \$2,754,607 | 44.00    | \$2,754,607 | 44.00     |

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#### PROGRAM DESCRIPTION

Department of Commerce and Insurance

HB Section(s): 7.485

State Board of Registration for the Healing Arts

Program is found in the following core budget(s): State Board of Registration for the Healing Arts

#### 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

## 1b. What does this program do?

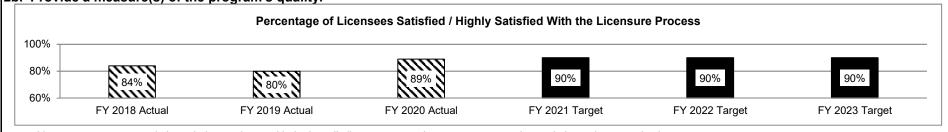
- The mission of the board is to protect the citizens of the Missouri through the licensing of physicians and other health designated professionals, assessing their competence to practice and their moral character.
- It is the duty of the board to administer and execute the statutes, rules and regulations of Sections 324.125 through 324.183 RSMo. and Chapters 334 and 345 RSMo. Responsibilities of the board include: promoting ethical standards, examination, licensure, regulation, investigation of complaints and discipline of individuals practicing in the field. It is also the board's duty to investigate all complaints against its licensees in a fair and equitable manner.
- Profession/s regulated (types of licenses): Physicians (MD/DO's) physician and surgeon, temporary (residency), contiguous, conditional, visiting professor, limited license (retirement); Anesthesiologist Assistant anesthesiologist assistant; Assistant Physician assistant physician; Athletic Trainer athletic trainer; Speech Language Pathologist and Audiologist speech language pathologist, audiologist, speech language pathologist assistant, speech language pathology and speech language pathologist/audiologist; Clinical Perfusionist clinical perfusionist, provisional; Physician Assistant physician assistant, controlled substance certificate; Physical Therapist physical therapist, physical therapist temporary, physical therapist assistant.

# 2a. Provide an activity measure(s) for the program.

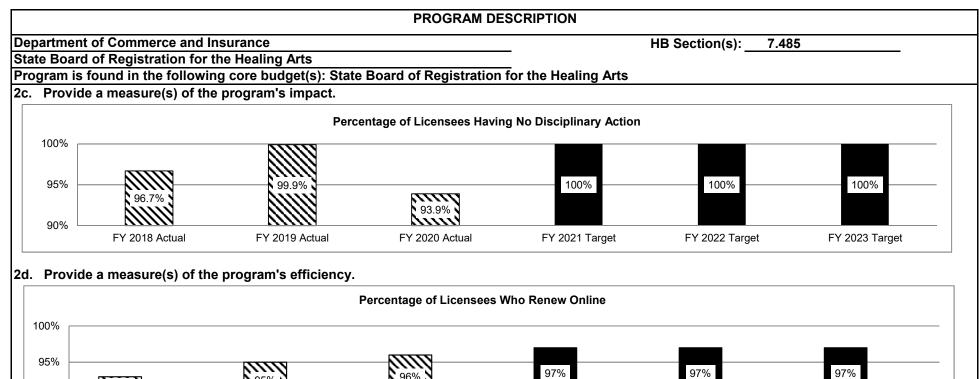
|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 4,892   | 5,340   | 5,029   | 5,116   | 5,116   | 5,116   |
| Licensed Professionals | 47,460  | 49,125  | 50,203  | 50,300  | 50,300  | 50,300  |
| Outreach Events        | 25      | 32      | 17*     | 32      | 32      | 32      |

\*Decrease was due to COVID-19 Pandemic.

## 2b. Provide a measure(s) of the program's quality.



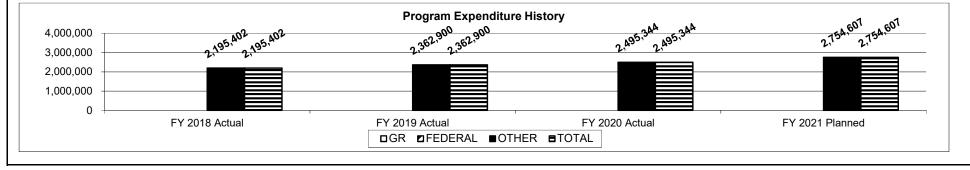
Licensees were surveyed about their experience with the board's licensure procedures, customer service, website and communications.



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Note: The division is working to update our system to allow licensees to apply online, to more efficiently renew online, and to access application status, including changing addresses, etc.

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (*Note: Amounts do not include fringe benefit costs.*)



| PROGRAM DESCRIPTION   |  |  |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|--|--|
| Department of Commerce and Insurance  | HB Section(s): 7.485                                     |  |  |  |  |  |  |  |  |  |
| State Board of Registration for the Healing Arts  |  |  |  |  |  |  |  |  |  |  |
| Program is found in the following core budget(s): State Board of Registratio  | on for the Healing Arts                                  |  |  |  |  |  |  |  |  |  |
| . What are the sources of the "Other " funds?   |  |  |  |  |  |  |  |  |  |  |
| Board of Registration for the Healing Arts Fund (0634)  |  |  |  |  |  |  |  |  |  |  |
| 5. What is the authorization for this program, i.e., federal or state statute, et   | c.? (Include the federal program number, if applicable.) |  |  |  |  |  |  |  |  |  |
| <ul> <li>What is the authorization for this program, i.e., federal or state statute, etc. State Statutes: Sections 324.125-324.183, 334.002-334.749, 334.1200-334.</li> <li>Are there federal matching requirements? If yes, please explain. N/A</li> </ul> |  |  |  |  |  |  |  |  |  |  |

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| Department of C                      | ommerce and Ins   | urance        |               |           | Budget Unit     | 42740C                            |                |                 |           |  |  |  |
|--------------------------------------|-------------------|---------------|---------------|-----------|-----------------|-----------------------------------|----------------|-----------------|-----------|--|--|--|
| Professional Reg<br>Core - State Boa |                   |               |               |           | HB Section      | 7.490                             |                |                 |           |  |  |  |
| . CORE FINAN                         | CIAL SUMMARY      |               |               |           |                 |                                   |                |                 |           |  |  |  |
|                                      | FY                | 2022 Budg     | et Request    |           |                 | FY 2022 Governor's Recommendation |                |                 |           |  |  |  |
|                                      | GR                | Federal       | Other         | Total     |                 | GR                                | Federal        | Other           | Total     |  |  |  |
| PS                                   | 0                 | 0             | 1,339,829     | 1,339,829 | PS              | 0                                 | 0              | 1,339,829       | 1,339,829 |  |  |  |
| EE                                   | 0                 | 0             | 578,015       | 578,015   | EE              | 0                                 | 0              | 578,015         | 578,015   |  |  |  |
| PSD                                  | 0                 | 0             | 2,000,000     | 2,000,000 | PSD             | 0                                 | 0              | 2,000,000       | 2,000,000 |  |  |  |
| ſRF                                  | 0                 | 0             | 0             | 0         | TRF             | 0                                 | 0              | 0               | 0         |  |  |  |
| Total                                | 0                 | 0             | 3,917,844     | 3,917,844 | Total           | 0                                 | 0              | 3,917,844       | 3,917,844 |  |  |  |
| FTE                                  | 0.00              | 0.00          | 28.00         | 28.00     | FTE             | 0.00                              | 0.00           | 28.00           | 28.0      |  |  |  |
| Est. Fringe                          | 0                 | 0             | 837,095       | 837,095   | Est. Fringe     | 0                                 | 0              | 837,095         | 837,095   |  |  |  |
| •                                    | dgeted in House B |               |               |           | Note: Fringes k | budgeted in Hou                   | ise Bill 5 exc | ept for certair | n fringes |  |  |  |
| budgeted directly                    | to MoDOT, Highwa  | ay Patrol, an | d Conservatio | on.       | budgeted direct | ly to MoDOT, H                    | lighway Patro  | ol, and Conse   | rvation.  |  |  |  |
| Other Funds:                         | State Board of Nu | ursing Fund   | (0635)        |           | Other Funds: S  | tate Board of N                   | ursing Fund    | (0635)          |           |  |  |  |

# 2. CORE DESCRIPTION

This core appropriation is necessary so the Missouri State Board of Nursing may continue to operate. In 1909, the Legislature created the Missouri State Board of Nursing to safeguard the public health and safety by regulating nurses and nursing education programs in Missouri. The board's public protection role is critical; nursing touches virtually every citizen of Missouri. Missourians expect nurses to obtain an adequate level of educational preparation, follow established practice standards and provide competent nursing care. They also expect the board to address unsafe practitioners so vulnerable populations are protected. Board operations are designed to meet these public and professional expectations. The board receive no General Revenue funds. The board is funded by license fees paid by those regulated by Board. The current fees are not only lower than any in our surrounding states, but currently the lowest in the country.

The Nurse Practice Act (NPA) is the accumulation of statutes, Chapter 335, RSMo. The NPA exists to govern and regulate the profession of licensed nurses, set standards and approve nursing programs; determine the scope of practice of licensed nurses; define who may use the title of registered nurse (RN) and licensed practical nurse (LPN) and Advanced Practice Registered Nurse (APRN) within the State of Missouri; administer the nurse licensure compact; award grants to nursing education programs; investigate complaints against nurses; take disciplinary actions against violators; monitor compliance of disciplined nurses; and collect and analyze nursing workforce information. Rules are promulgated to provide guidance for the board to carry out the mandate of the NPA.

The nine gubernatorial appointed members of the board are entrusted with the duty of ensuring that the APRNs, RNs and LPNs licensed in Missouri comply with Chapter 335 thus creating an atmosphere of safe and effective nursing care in the interest of public protection. The members of the board, along with its staff and general counsel are entrusted with the legal responsibility to see that the provisions of the law are carried out effectively, in addition to serving as a policy making and planning group. When administering the NPA and establishing policy, the board considers the licensee, the patient, the community, the State of Missouri and programs of professional and practical nursing.

This core appropriation also supports the Nursing Education Incentive Program.

| Department of Commerce and I    | nsurance          |                   |                   |                        | Budget Unit | 42740C    |                          |           |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|-------------|-----------|--------------------------|-----------|
| Professional Registration       |                   |                   |                   |                        |             |           |                          |           |
| Core - State Board of Nursing   |                   |                   |                   |                        | HB Section  | 7.490     |                          |           |
| 3. PROGRAM LISTING (list prog   | grams include     | d in this cor     | e funding)        |                        |             |           |                          |           |
| State Board of Nursing          |                   |                   |                   |                        |             |           |                          |           |
| 4. FINANCIAL HISTORY            |                   |                   |                   |                        |             |           |                          |           |
|                                 | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actual    | Expenditures (All Funds) |           |
| Appropriation (All Funds)       | 3,845,989         | 3,856,060         | 3,891,739         | 3,917,844              | 3,500,000   |           |                          |           |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |             |           |                          |           |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      | 3,000,000   |           |                          |           |
| Budget Authority (All Funds)    | 3,845,989         | 3,856,060         | 3,891,739         | 3,917,844              | 0,000,000   |           | 2,621,518                |           |
|                                 | 0.070.400         | 0 004 540         | 0.000.047         |                        | 2 500 000   | 2,376,168 | 2,021,010                |           |
| Actual Expenditures (All Funds) | 2,376,168         | 2,621,518         | 2,022,917         | N/A                    |             |           |                          |           |
| Unexpended (All Funds)          | 1,469,821         | 1,234,542         | 1,868,822         | N/A                    |             |           |                          | 2,022,917 |
|                                 |                   |                   |                   |                        | 2,000,000   |           |                          |           |
| Unexpended, by Fund:            |                   |                   |                   |                        |             |           |                          |           |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    | 1,500,000   |           |                          |           |
| Federal                         | 0                 | 0                 | 0                 | N/A                    | 1,000,000   |           |                          |           |
| Other                           | 1,469,821         | 1,234,542         | 1,868,822         | N/A                    |             |           |                          |           |
|                                 | (1)               | (2)               | (3)               |                        | 1,000,000   | FY 2018   | FY 2019                  | FY 2020   |
|                                 |                   |                   |                   |                        |             | FT 2018   | FT 2019                  | r'î 2020  |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## NOTES:

(1) By statute the board has authority to award up to \$2 million to nursing programs, \$693,353 was applied for and awarded in FY 2018.

(2) By statute the board has authority to award up to \$2 million to nursing programs, \$827,462 was applied for and awarded in FY 2019.

(3) By statute the board has authority to award up to \$2 million to nursing programs, \$389,351 was applied for and awarded in FY 2020.

#### DEPT OF COMMERCE AND INSURANCE

**BOARD OF NURSING** 

|                         | Budget<br>Class | FTE   | GR | Federal |   | Other     | Total     | E |
|-------------------------|-----------------|-------|----|---------|---|-----------|-----------|---|
|                         | 01000           |       | OK | recerci |   | Other     | Total     | - |
| TAFP AFTER VETOES       |                 |       |    |         |   |           |           |   |
|                         | PS              | 28.00 | (  | ) (     | ) | 1,339,829 | 1,339,829 | ) |
|                         | EE              | 0.00  | (  | ) (     | ) | 578,015   | 578,015   | ; |
|                         | PD              | 0.00  | (  | ) (     | ) | 2,000,000 | 2,000,000 | ) |
|                         | Total           | 28.00 |    | ) (     | ) | 3,917,844 | 3,917,844 | - |
| DEPARTMENT CORE REQUEST |                 |       |    |         |   |           |           | _ |
|                         | PS              | 28.00 | (  | ) (     | ) | 1,339,829 | 1,339,829 | ) |
|                         | EE              | 0.00  | (  | ) (     | ) | 578,015   | 578,015   | 5 |
|                         | PD              | 0.00  | (  | ) (     | ) | 2,000,000 | 2,000,000 | ) |
|                         | Total           | 28.00 |    | ) (     | ) | 3,917,844 | 3,917,844 | - |
| GOVERNOR'S RECOMMENDED  | CORE            |       |    |         |   |           |           |   |
|                         | PS              | 28.00 | (  | ) (     | ) | 1,339,829 | 1,339,829 | ) |
|                         | EE              | 0.00  | (  | ) (     | ) | 578,015   | 578,015   | ; |
|                         | PD              | 0.00  | (  | ) (     | ) | 2,000,000 | 2,000,000 | ) |
|                         | Total           | 28.00 |    | ) (     | ) | 3,917,844 | 3,917,844 | • |

| DCI                   |             |         |             |         |             | DEC      | ISION ITEM  | SUMMAR  |
|-----------------------|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Budget Unit           |             |         |             |         |             |          |             |         |
| Decision Item         | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund                  | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| BOARD OF NURSING      |             |         |             |         |             |          |             |         |
| CORE                  |             |         |             |         |             |          |             |         |
| PERSONAL SERVICES     |             |         |             |         |             |          |             |         |
| BOARD OF NURSING      | 1,209,928   | 27.57   | 1,339,829   | 28.00   | 1,339,829   | 28.00    | 1,339,829   | 28.00   |
| TOTAL - PS            | 1,209,928   | 27.57   | 1,339,829   | 28.00   | 1,339,829   | 28.00    | 1,339,829   | 28.00   |
| EXPENSE & EQUIPMENT   |             |         |             |         |             |          |             |         |
| BOARD OF NURSING      | 423,638     | 0.00    | 578,015     | 0.00    | 578,015     | 0.00     | 578,015     | 0.00    |
| TOTAL - EE            | 423,638     | 0.00    | 578,015     | 0.00    | 578,015     | 0.00     | 578,015     | 0.00    |
| PROGRAM-SPECIFIC      |             |         |             |         |             |          |             |         |
| BOARD OF NURSING      | 389,351     | 0.00    | 2,000,000   | 0.00    | 2,000,000   | 0.00     | 2,000,000   | 0.00    |
| TOTAL - PD            | 389,351     | 0.00    | 2,000,000   | 0.00    | 2,000,000   | 0.00     | 2,000,000   | 0.00    |
| TOTAL                 | 2,022,917   | 27.57   | 3,917,844   | 28.00   | 3,917,844   | 28.00    | 3,917,844   | 28.00   |
| Pay Plan - 0000012    |             |         |             |         |             |          |             |         |
| PERSONAL SERVICES     |             |         |             |         |             |          |             |         |
| BOARD OF NURSING      | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 13,399      | 0.00    |
| TOTAL - PS            | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 13,399      | 0.00    |
| TOTAL                 | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 13,399      | 0.00    |
| GRAND TOTAL           | \$2,022,917 | 27.57   | \$3,917,844 | 28.00   | \$3,917,844 | 28.00    | \$3,931,243 | 28.00   |

| Budget Unit                    | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022   | FY 2022 |
|--------------------------------|-----------|---------|-----------|---------|-----------|----------|-----------|---------|
| Decision Item                  | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |
| Budget Object Class            | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |
| BOARD OF NURSING               |           |         |           |         |           |          |           |         |
| CORE                           |           |         |           |         |           |          |           |         |
| RESEARCH ANAL II               | 78,728    | 1.91    | 0         | 0.00    | 0         | 0.00     | 0         | 0.00    |
| ADMINISTRATIVE ANAL II         | 38,196    | 1.01    | 39,208    | 1.00    | 0         | 0.00     | 0         | 0.00    |
| REGISTERED NURSE - CLIN OPERS  | 16,783    | 0.29    | 60,584    | 1.00    | 0         | 0.00     | 0         | 0.00    |
| INVESTIGATOR I                 | 34,443    | 1.00    | 35,887    | 1.00    | 0         | 0.00     | 0         | 0.00    |
| INVESTIGATOR II                | 166,729   | 4.00    | 172,355   | 4.00    | 0         | 0.00     | 0         | 0.00    |
| PROF REG ADMSTV COOR           | 44,374    | 1.01    | 46,478    | 1.00    | 0         | 0.00     | 0         | 0.00    |
| INVESTIGATION MGR B1           | 56,681    | 1.00    | 60,144    | 1.00    | 0         | 0.00     | 0         | 0.00    |
| REGISTERED NURSE MANAGER B1    | 209,807   | 3.01    | 216,121   | 3.00    | 0         | 0.00     | 0         | 0.00    |
| PROCESSING TECHNICIAN II       | 145,396   | 4.92    | 183,939   | 6.00    | 0         | 0.00     | 0         | 0.00    |
| PROCESSING TECHNICIAN III      | 96,469    | 2.96    | 101,568   | 3.00    | 0         | 0.00     | 0         | 0.00    |
| PARALEGAL                      | 83,845    | 2.29    | 124,086   | 3.00    | 0         | 0.00     | 0         | 0.00    |
| LEGAL COUNSEL                  | 64,845    | 1.09    | 123,592   | 2.00    | 123,592   | 2.00     | 123,592   | 2.00    |
| BOARD MEMBER                   | 8,927     | 0.69    | 10,751    | 0.00    | 10,751    | 0.00     | 10,751    | 0.00    |
| SENIOR COUNSEL                 | 72,896    | 1.14    | 67,012    | 1.00    | 67,012    | 1.00     | 67,012    | 1.00    |
| CLERK                          | 5,593     | 0.23    | 10,272    | 0.00    | 10,272    | 0.00     | 10,272    | 0.00    |
| PRINCIPAL ASST BOARD/COMMISSON | 86,216    | 1.02    | 87,832    | 1.00    | 87,832    | 1.00     | 87,832    | 1.00    |
| ADMIN SUPPORT PROFESSIONAL     | 0         | 0.00    | 0         | 0.00    | 39,208    | 1.00     | 39,208    | 1.00    |
| CUSTOMER SERVICE REP           | 0         | 0.00    | 0         | 0.00    | 163,939   | 5.00     | 163,939   | 5.00    |
| LEAD CUSTOMER SERVICE REP      | 0         | 0.00    | 0         | 0.00    | 101,568   | 3.00     | 101,568   | 3.00    |
| CUSTOMER SERVICE MANAGER       | 0         | 0.00    | 0         | 0.00    | 46,478    | 1.00     | 46,478    | 1.00    |
| NURSE MANAGER                  | 0         | 0.00    | 0         | 0.00    | 216,121   | 3.00     | 216,121   | 3.00    |
| PARALEGAL                      | 0         | 0.00    | 0         | 0.00    | 162,086   | 4.00     | 162,086   | 4.00    |
| NON-COMMISSIONED INVESTIGATOR  | 0         | 0.00    | 0         | 0.00    | 35,887    | 1.00     | 35,887    | 1.00    |
| SR NON-COMMISSION INVESTIGATOR | 0         | 0.00    | 0         | 0.00    | 172,355   | 4.00     | 172,355   | 4.00    |
| INVESTIGATIONS MANAGER         | 0         | 0.00    | 0         | 0.00    | 60,144    | 1.00     | 60,144    | 1.00    |
| REGULATORY AUDITOR             | 0         | 0.00    | 0         | 0.00    | 42,584    | 1.00     | 42,584    | 1.00    |
| TOTAL - PS                     | 1,209,928 | 27.57   | 1,339,829 | 28.00   | 1,339,829 | 28.00    | 1,339,829 | 28.00   |
| TRAVEL, IN-STATE               | 13,610    | 0.00    | 20,398    | 0.00    | 20,398    | 0.00     | 20,398    | 0.00    |
| TRAVEL, OUT-OF-STATE           | 1,653     | 0.00    | 10,099    | 0.00    | 10,099    | 0.00     | 10,099    | 0.00    |
| SUPPLIES                       | 35,615    | 0.00    | 78,250    | 0.00    | 78,250    | 0.00     | 78,250    | 0.00    |
| PROFESSIONAL DEVELOPMENT       | 18,916    | 0.00    | 28,500    | 0.00    | 28,500    | 0.00     | 28,500    | 0.00    |
| COMMUNICATION SERV & SUPP      | 20,243    | 0.00    | 20,000    | 0.00    | 20,000    | 0.00     | 20,000    | 0.00    |

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| DCI                        |             |         |             |         |             | C        | ECISION IT  | EM DETAIL |
|----------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|-----------|
| Budget Unit                | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022   |
| Decision Item              | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class        | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE       |
| BOARD OF NURSING           |             |         |             |         |             |          |             |           |
| CORE                       |             |         |             |         |             |          |             |           |
| PROFESSIONAL SERVICES      | 315,041     | 0.00    | 381,767     | 0.00    | 381,767     | 0.00     | 381,767     | 0.00      |
| M&R SERVICES               | 2,988       | 0.00    | 5,000       | 0.00    | 5,000       | 0.00     | 5,000       | 0.00      |
| MOTORIZED EQUIPMENT        | 0           | 0.00    | 1           | 0.00    | 1           | 0.00     | 1           | 0.00      |
| OFFICE EQUIPMENT           | 1,731       | 0.00    | 5,000       | 0.00    | 5,000       | 0.00     | 5,000       | 0.00      |
| OTHER EQUIPMENT            | 1,470       | 0.00    | 5,000       | 0.00    | 5,000       | 0.00     | 5,000       | 0.00      |
| BUILDING LEASE PAYMENTS    | 5,100       | 0.00    | 10,000      | 0.00    | 10,000      | 0.00     | 10,000      | 0.00      |
| EQUIPMENT RENTALS & LEASES | 2,220       | 0.00    | 4,000       | 0.00    | 4,000       | 0.00     | 4,000       | 0.00      |
| MISCELLANEOUS EXPENSES     | 5,051       | 0.00    | 10,000      | 0.00    | 10,000      | 0.00     | 10,000      | 0.00      |
| TOTAL - EE                 | 423,638     | 0.00    | 578,015     | 0.00    | 578,015     | 0.00     | 578,015     | 0.00      |
| PROGRAM DISTRIBUTIONS      | 389,351     | 0.00    | 2,000,000   | 0.00    | 2,000,000   | 0.00     | 2,000,000   | 0.00      |
| TOTAL - PD                 | 389,351     | 0.00    | 2,000,000   | 0.00    | 2,000,000   | 0.00     | 2,000,000   | 0.00      |
| GRAND TOTAL                | \$2,022,917 | 27.57   | \$3,917,844 | 28.00   | \$3,917,844 | 28.00    | \$3,917,844 | 28.00     |
| GENERAL REVENUE            | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS              | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                | \$2,022,917 | 27.57   | \$3,917,844 | 28.00   | \$3,917,844 | 28.00    | \$3,917,844 | 28.00     |

# PROGRAM DESCRIPTION

Department of Commerce and Insurance Missouri State Board of Nursing HB Section(s): 7.490

### 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent

Program is found in the following core budget(s): State Board of Nursing

• Innovate to make it easier to connect and work with us

### 1b. What does this program do?

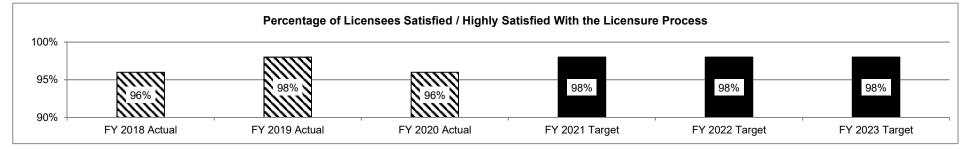
- Govern and regulate the profession of licensed nurses; set standards and approve nursing programs; determine the scope of practice of licensed nurses; define who may use the title of registered nurse (RN) and licensed practical nurse (LPN) and Advanced Practice Registered Nurse (APRN) within the State of Missouri.
- Administer the nurse licensure compact; award grants to nursing education programs; investigate complaints against nurses; take disciplinary actions against violators; monitor compliance of disciplined nurses; and collect and analyze nursing workforce information.
- Educate licensees so they are better informed practitioners.

# 2a. Provide an activity measure(s) for the program.

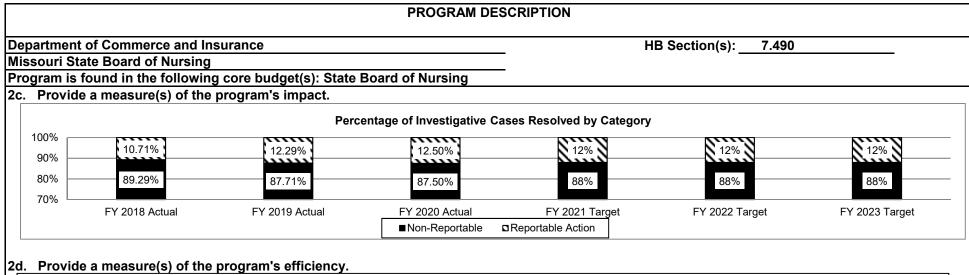
|                        | FY 2018 | FY 2019 | FY 2020* | FY 2021 | FY 2022 | FY 2023 |   |
|------------------------|---------|---------|----------|---------|---------|---------|---|
|                        | Actual  | Actual  | Actual   | Target  | Target  | Target  | _ |
| Applications Received  | 12,767  | 12,305  | 10,940   | 10,000  | 10,000  | 10,000  |   |
| Licensed Professionals | 144,680 | 145,518 | 136,479  | 130,000 | 125,000 | 125,000 |   |
| Outreach Events        | 48      | 48      | 32       | 30      | 30      | 30      |   |

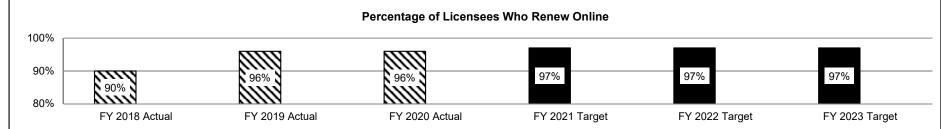
\*New methodology: APRN's 12,362 are issued a document of recognition (not a license) and RNs as well.

#### 2b. Provide a measure(s) of the program's quality.



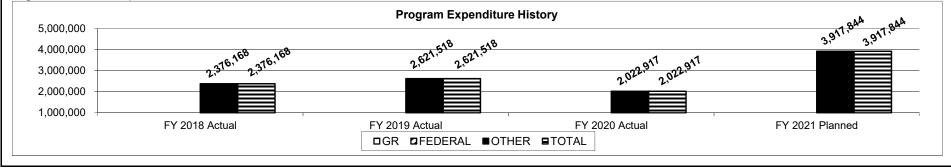
Note: Registered Nurses only renew in odd years, Practical Nurses only renew in even years Licensees were surveyed about their experience with the board's online renewal process.





Note: The division is working to update our system to allow licensees to apply online, to more efficiently renew online, and to access application status, including changing addresses, etc. Note: LPNs renew in even-numbered years and have a lower percent of online renewals than RNs that renew in odd-numbered years.

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



| PROGRAM DESCRI  | PTION   |
|---|---|
| Department of Commerce and Insurance  | HB Section(s): 7.490                              |
| Missouri State Board of Nursing   |   |
| Program is found in the following core budget(s): State Board of Nursing  |   |
| 4. What are the sources of the "Other " funds?  |   |
| State Board of Nursing Fund (0635)  |   |
| <ol> <li>What is the authorization for this program, i.e., federal or state statute, etc.? (Inc<br/>State Statute: Sections 335.011-335.420, RSMo.</li> </ol> | clude the federal program number, if applicable.) |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>   |   |
| 7. Is this a federally mandated program? If yes, please explain.<br>No  |   |

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|                         | Commerce and Insu       | rance        |                |                   | Budget Unit  | 42750C             |              |                |                   |        |
|-------------------------|-------------------------|--------------|----------------|-------------------|--|--------------------|--------------|----------------|-------------------|--------|
| Professional Re         | egistration             |              |                |                   |  |                    |              |                |                   |        |
| Core - State Bo         | ard of Optometry        |              |                |                   | HB Section   | 7.495              |              |                |                   |        |
| 1. CORE FINAN           | NCIAL SUMMARY           |              |                |                   |  |                    |              |                |                   |        |
|                         | FY 2                    | 022 Budge    | et Request     |                   |  | FY 2022 Go         | overnor's R  | ecommenda      | tion              |        |
|                         | GR I                    | ederal       | Other          | Total             |  | GR I               | Federal      | Other          | Total             |        |
| PS                      | 0                       | 0            | 0              | 0                 | PS   | 0                  | 0            | 0              | 0                 |        |
| EE                      | 0                       | 0            | 34,957         | 34,957            | EE   | 0                  | 0            | 34,957         | 34,957            |        |
| PSD                     | 0                       | 0            | 0              | 0                 | PSD  | 0                  | 0            | 0              | 0                 |        |
| TRF                     | 0                       | 0            | 0              | 0                 | TRF  | 0                  | 0            | 0              | 0                 |        |
| Total                   | 0                       | 0            | 34,957         | 34,957            | Total  | 0                  | 0            | 34,957         | 34,957            |        |
| FTE                     | 0.00                    | 0.00         | 0.00           | 0.00              | FTE  | 0.00               | 0.00         | 0.00           | 0.00              |        |
| Est. Fringe             | 0                       | 0            | 0              | 0                 | Est. Fringe  | 0                  | 0            | 0              | 0                 |        |
|                         | udgeted in House Bill   | •            | -              |                   |  | budgeted in House  | -            | -              | -                 |        |
| •                       | y to MoDOT, Highway     | •            | -              |                   | •  | tly to MoDOT, Hig  |              | •              | -                 |        |
|                         |                         |              |                |                   | <u> </u>   |                    |              | ,              |                   |        |
| Other Funds:            | Optometry Fund (0       | 636)         |                |                   | Other Funds: C   | optometry Fund (0  | 636)         |                |                   |        |
| 2. CORE DESC            | RIPTION                 |              |                |                   |  |                    |              |                |                   |        |
| qualified opton         | netrists in the adminis | tration of p | harmaceutica   | l agents; approve | ualified optometrists; ap<br>s professional optometr<br>violations of the optome | y corporations; es | tablishes st | andards of pr  |                   |        |
| The board con<br>years. | sists of six members.   | Board mer    | nbers are app  | ointed by the Gov | vernor and must be app   | roved by the Miss  | ouri Senate  | e. All members | s hold office for | r five |
|                         |                         |              |                |                   |  |                    |              |                |                   |        |
| 3. PROGRAM L            | ISTING (list program    | ns include   | d in this core | funding)          |  |                    |              |                |                   |        |
| State Board of          | · • •                   |              |                |                   |  |                    |              |                |                   |        |
|                         |                         |              |                |                   |  |                    |              |                |                   |        |
|                         |                         |              |                |                   |  |                    |              |                |                   |        |
|                         |                         |              |                |                   |  |                    |              |                |                   |        |
|                         |                         |              |                |                   |  |                    |              |                |                   |        |

| Department of Commerce and I    | nsurance          |                   |                   |                        | Budget Unit | 42750C |           |                     |         |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|-------------|--------|-----------|---------------------|---------|
| Professional Registration       |                   |                   |                   |                        |             |        |           |                     |         |
| Core - State Board of Optometry | /                 |                   |                   |                        | HB Section  | 7.495  |           |                     |         |
| 4. FINANCIAL HISTORY            |                   |                   |                   |                        |             |        |           |                     |         |
|                                 | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. | -           | Act    | ual Expen | ditures (All Funds) |         |
| Appropriation (All Funds)       | 34,726            | 34,726            | 34,726            | 34,957                 | 35,000      | 1      |           |                     |         |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      |             |        |           |                     |         |
| Less Restricted (All Funds)     | 0                 | 0                 | 0                 | 0                      | 30,000      |        |           |                     |         |
| Budget Authority (All Funds)    | 34,726            | 34,726            | 34,726            | 34,957                 | 25,000      |        |           |                     |         |
| Actual Expenditures (All Funds) | 9,281             | 8,150             | 9,315             | N/A                    | 20,000      |        |           |                     |         |
| Unexpended (All Funds)          | 25,445            | 26,576            | 25,411            | N/A                    |             |        |           |                     |         |
|                                 |                   |                   |                   |                        | 15,000      | -      |           |                     |         |
| Unexpended, by Fund:            |                   |                   |                   |                        | 10.000      | 9,28   | 81        | 8.150               | 9,315   |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    | 10,000      | -      |           | 0,130               |         |
| Federal                         | 0                 | 0                 | 0                 | N/A                    | 5,000       |        |           |                     |         |
| Other                           | 25,445            | 26,576            | 25,411            | N/A                    |             |        |           |                     |         |
|                                 | (1)               | (2)               | (3)               |                        | 0           |        |           | · · · · · · · · ·   |         |
|                                 |                   |                   |                   |                        |             | FY 20  | )18       | FY 2019             | FY 2020 |
|                                 |                   |                   |                   |                        |             |        |           |                     |         |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

# NOTES:

- (1) Unexpended amount is due to less than anticipated expenditures.
- (2) Unexpended amount is due to less than anticipated expenditures.
- (3) Unexpended amount is due to less than anticipated expenditures.

#### DEPT OF COMMERCE AND INSURANCE

**BOARD OF OPTOMETRY** 

# 5. CORE RECONCILIATION DETAIL

|                         | Budget |      |    |         |   |        |        |        |
|-------------------------|--------|------|----|---------|---|--------|--------|--------|
|                         | Class  | FTE  | GR | Federal |   | Other  | Total  | Ex     |
| TAFP AFTER VETOES       |        |      |    |         |   |        |        |        |
|                         | EE     | 0.00 | (  |         | 0 | 34,957 | 34,957 | ,<br>_ |
|                         | Total  | 0.00 | (  |         | 0 | 34,957 | 34,957 |        |
| DEPARTMENT CORE REQUEST |        |      |    |         |   |        |        |        |
|                         | EE     | 0.00 | C  | )       | 0 | 34,957 | 34,957 |        |
|                         | Total  | 0.00 | C  |         | 0 | 34,957 | 34,957 | -      |
| GOVERNOR'S RECOMMENDED  | ORE    |      |    |         |   |        |        |        |
|                         | EE     | 0.00 | C  | )       | 0 | 34,957 | 34,957 | ,      |
|                         | Total  | 0.00 | C  |         | 0 | 34,957 | 34,957 | -      |

| DCI                   |         |         |          |         |          | DEC      | ISION ITEM | SUMMARY |
|-----------------------|---------|---------|----------|---------|----------|----------|------------|---------|
| Budget Unit           |         |         |          |         |          |          |            |         |
| Decision Item         | FY 2020 | FY 2020 | FY 2021  | FY 2021 | FY 2022  | FY 2022  | FY 2022    | FY 2022 |
| Budget Object Summary | ACTUAL  | ACTUAL  | BUDGET   | BUDGET  | DEPT REQ | DEPT REQ | GOV REC    | GOV REC |
| Fund                  | DOLLAR  | FTE     | DOLLAR   | FTE     | DOLLAR   | FTE      | DOLLAR     | FTE     |
| BOARD OF OPTOMETRY    |         |         |          |         |          |          |            |         |
| CORE                  |         |         |          |         |          |          |            |         |
| EXPENSE & EQUIPMENT   |         |         |          |         |          |          |            |         |
| OPTOMETRY FUND        | 9,315   | 0.00    | 34,957   | 0.00    | 34,957   | 0.00     | 34,957     | 0.00    |
| TOTAL - EE            | 9,315   | 0.00    | 34,957   | 0.00    | 34,957   | 0.00     | 34,957     | 0.00    |
| TOTAL                 | 9,315   | 0.00    | 34,957   | 0.00    | 34,957   | 0.00     | 34,957     | 0.00    |
| GRAND TOTAL           | \$9,315 | 0.00    | \$34,957 | 0.00    | \$34,957 | 0.00     | \$34,957   | 0.00    |

| DCI                       |         |         |          |         |          | 0        | ECISION IT | EM DETAIL |
|---------------------------|---------|---------|----------|---------|----------|----------|------------|-----------|
| Budget Unit               | FY 2020 | FY 2020 | FY 2021  | FY 2021 | FY 2022  | FY 2022  | FY 2022    | FY 2022   |
| Decision Item             | ACTUAL  | ACTUAL  | BUDGET   | BUDGET  | DEPT REQ | DEPT REQ | GOV REC    | GOV REC   |
| Budget Object Class       | DOLLAR  | FTE     | DOLLAR   | FTE     | DOLLAR   | FTE      | DOLLAR     | FTE       |
| BOARD OF OPTOMETRY        |         |         |          |         |          |          |            |           |
| CORE                      |         |         |          |         |          |          |            |           |
| TRAVEL, IN-STATE          | 4,290   | 0.00    | 2,781    | 0.00    | 2,781    | 0.00     | 2,781      | 0.00      |
| TRAVEL, OUT-OF-STATE      | 0       | 0.00    | 2,712    | 0.00    | 2,712    | 0.00     | 2,712      | 0.00      |
| SUPPLIES                  | 1,463   | 0.00    | 4,225    | 0.00    | 4,225    | 0.00     | 4,225      | 0.00      |
| PROFESSIONAL DEVELOPMENT  | 1,056   | 0.00    | 2,850    | 0.00    | 2,850    | 0.00     | 2,850      | 0.00      |
| COMMUNICATION SERV & SUPP | 374     | 0.00    | 800      | 0.00    | 800      | 0.00     | 800        | 0.00      |
| PROFESSIONAL SERVICES     | 235     | 0.00    | 17,500   | 0.00    | 17,500   | 0.00     | 17,500     | 0.00      |
| M&R SERVICES              | 130     | 0.00    | 800      | 0.00    | 800      | 0.00     | 800        | 0.00      |
| OFFICE EQUIPMENT          | 402     | 0.00    | 400      | 0.00    | 400      | 0.00     | 400        | 0.00      |
| OTHER EQUIPMENT           | 26      | 0.00    | 0        | 0.00    | 0        | 0.00     | 0          | 0.00      |
| BUILDING LEASE PAYMENTS   | 739     | 0.00    | 900      | 0.00    | 900      | 0.00     | 900        | 0.00      |
| MISCELLANEOUS EXPENSES    | 600     | 0.00    | 1,989    | 0.00    | 1,989    | 0.00     | 1,989      | 0.00      |
| TOTAL - EE                | 9,315   | 0.00    | 34,957   | 0.00    | 34,957   | 0.00     | 34,957     | 0.00      |
| GRAND TOTAL               | \$9,315 | 0.00    | \$34,957 | 0.00    | \$34,957 | 0.00     | \$34,957   | 0.00      |
| GENERAL REVENUE           | \$0     | 0.00    | \$0      | 0.00    | \$0      | 0.00     | \$0        | 0.00      |
| FEDERAL FUNDS             | \$0     | 0.00    | \$0      | 0.00    | \$0      | 0.00     | \$0        | 0.00      |
| OTHER FUNDS               | \$9,315 | 0.00    | \$34,957 | 0.00    | \$34,957 | 0.00     | \$34,957   | 0.00      |

# **PROGRAM DESCRIPTION**

#### Department of Commerce and Insurance

HB Section(s): 7.450 / 7.495

# State Board of Optometry

Program is found in the following core budget(s): Professional Registration Administration, State Board of Optometry

| FY 2021 PLANNED |                          |        |         |  |  |  |  |  |
|-----------------|--------------------------|--------|---------|--|--|--|--|--|
|                 | Optometry PR Admin TOTAL |        |         |  |  |  |  |  |
| OTHER           | 34,957                   | 99,695 | 134,652 |  |  |  |  |  |

## 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

### 1b. What does this program do?

• The board licenses qualified optometrists; approves post-graduate education required for re-licensure; certifies qualified optometrists in the administration of pharmaceutical agents; approves professional optometry corporations; establishes standards of professional conduct; investigates consumer and patient complaints and disciplines optometrists for violations of the optometry statutes and/or regulations.

# 2a. Provide an activity measure(s) for the program.

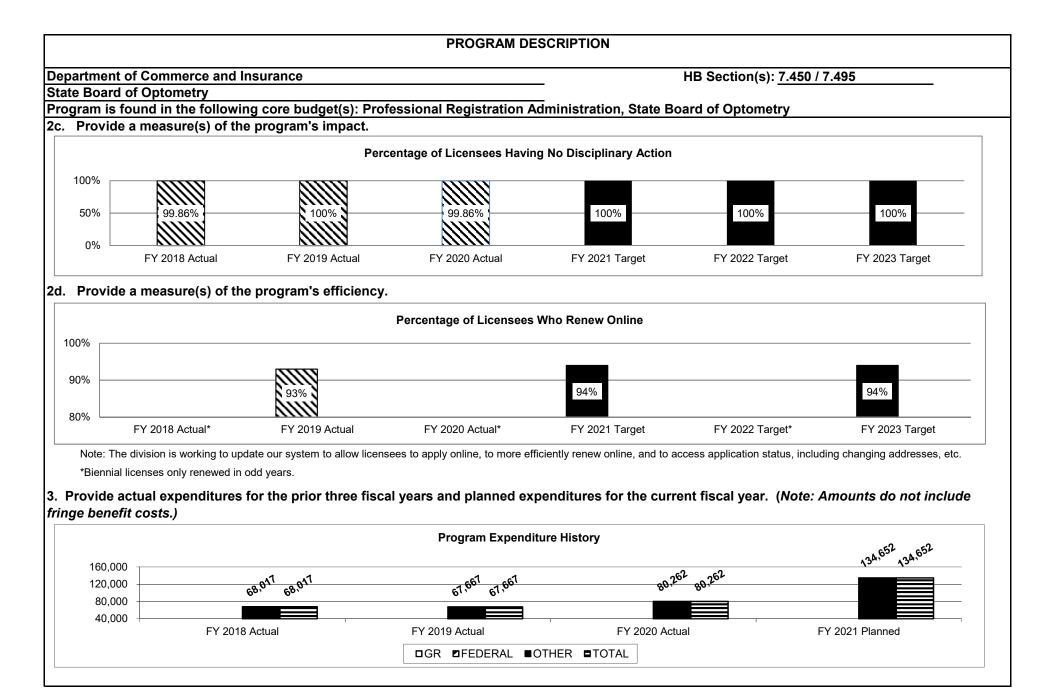
|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 59      | 84      | 60      | 72      | 72      | 72      |
| Licensed Professionals | 1,423   | 1,399   | 1,455   | 1,426   | 1,426   | 1,426   |
| Outreach Events        | 5       | 4       | 4       | 5       | 5       | 5       |

## 2b. Provide a measure(s) of the program's quality.

|       |                 | Percentage of L | censees Satisfied / Highly | Satisfied With the Licens        | ure Process     |                |
|-------|-----------------|-----------------|----------------------------|----------------------------------|-----------------|----------------|
| 95%   |                 |                 |                            |                                  |                 |                |
| 94% — |                 |                 |                            | 95%                              |                 | 95%            |
| 93% — |                 | 94%             |                            | 93 /0                            |                 |                |
| 92% - |                 |                 |                            | <b>E</b> )/ 0004 <b>T</b> errest |                 |                |
|       | FY 2018 Actual* | FY 2019 Actual  | FY 2020 Actual*            | FY 2021 Target                   | FY 2022 Target* | FY 2023 Target |

\*Biennial licenses only renewed in odd years.

Licensees were surveyed about their experience with the board's licensure procedures, customer service, website and communications.



| PROGRAM DES   | CRIPTION   |
|---|--|
| Department of Commerce and Insurance  | HB Section(s): 7.450 / 7.495                         |
| State Board of Optometry  |  |
| Program is found in the following core budget(s): Professional Registration Adu   | ministration, State Board of Optometry               |
| 4. What are the sources of the "Other " funds?  | ····   |
| Board of Optometry Fund (0636)  |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.? State Statute: Sections 336.010-336.225, RSMo. | (Include the federal program number, if applicable.) |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>                                   |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No  |  |

| Department of Co     | mmerce and Ins   | surance        |                  |           | Budget Unit     | 42760C          |                |                 |           |
|----------------------|------------------|----------------|------------------|-----------|-----------------|-----------------|----------------|-----------------|-----------|
| Professional Regi    | istration        |                |                  |           |                 |                 |                |                 |           |
| Core - Missouri B    | oard of Pharma   | су             |                  |           | HB Section      | 7.500           |                |                 |           |
| 1. CORE FINANC       | IAL SUMMARY      | -              |                  |           |                 |                 |                |                 |           |
|                      | FY               | 2022 Budg      | et Request       |           |                 | FY 2022         | Governor's     | Recommend       | ation     |
|                      | GR               | Federal        | Other            | Total     |                 | GR              | Federal        | Other           | Total     |
| PS                   | 0                | 0              | 1,239,241        | 1,239,241 | PS              | 0               | 0              | 1,239,241       | 1,239,241 |
| EE                   | 0                | 0              | 653,974          | 653,974   | EE              | 0               | 0              | 653,974         | 653,974   |
| PSD                  | 0                | 0              | 770,000          | 770,000   | PSD             | 0               | 0              | 770,000         | 770,000   |
| TRF                  | 0                | 0              | 0                | 0         | TRF             | 0               | 0              | 0               | 0         |
| Total                | 0                | 0              | 2,663,215        | 2,663,215 | Total           | 0               | 0              | 2,663,215       | 2,663,215 |
| FTE                  | 0.00             | 0.00           | 16.00            | 16.00     | FTE             | 0.00            | 0.00           | 16.00           | 16.00     |
| Est. Fringe          | 0                | 0              | 635,205          | 635,205   | Est. Fringe     | 0               | 0              | 635,205         | 635,205   |
| Note: Fringes budg   | geted in House B | ill 5 except f | or certain fring | ges       | Note: Fringes   | budgeted in Ho  | use Bill 5 exc | ept for certair | n fringes |
| budgeted directly to | o MoDOT, Highw   | ay Patrol, an  | d Conservati     | on.       | budgeted direct | tly to MoDOT, I | Highway Patro  | ol, and Conse   | ervation. |
| Other Funds:         | Board of Pharma  | cy Fund (06    | 37)              |           | Other Funds: B  | loard of Pharma | acy Fund (06   | 37)             |           |
|                      |                  |                |                  |           |                 |                 |                |                 |           |

## 2. CORE DESCRIPTION

This core supports the Missouri Board of Pharmacy. The Missouri Board of Pharmacy was statutorily created in 1909 by House Bill 87 and has proudly served the citizens of Missouri for over 100 years. It is the mission of the board to serve and protect the public by providing an accessible, responsible and accountable regulatory system that protects the public safety, licenses only qualified professionals by examination and evaluation of minimum competency and enforces standards by implementing legislation and administrative rules.

The board consists of seven members. Board members are appointed by the Governor and must be approved by the Missouri Senate. All members hold office for five years from the date of their appointment and until their successors have been appointed and qualified.

The board is principally governed by the Missouri Pharmacy Practice Act contained in Missouri law. The Board has superintending control over the practice of pharmacy in Missouri and its primary duties consist of:

- Examining and licensing pharmacist applicants;
- Ensuring compliance with Chapter 338, RSMo, and the rules of the Board;
- Investigating complaints involving unlicensed activity or against any licensee or registrant. Investigations may be based on public complaints, information from other state and/or federal agencies, or violations discovered by the Board;
- Inspection of pharmacies and drug distributors; and
- Licensing/registering pharmacy technicians, intern pharmacists, pharmacies and drug distributors;
- Biennially renewing the licenses of qualified pharmacists, pharmacy interns, pharmacies, and drug distributors;
- Disciplining licensees which may include, public censure, probation, suspension or revocation of a licensee/registrant;
- Maintaining the Technician Employment Disqualification List for pharmacy technicians found to be in violation of Chapter 338, RSMo;
- Approval of preceptors and intern training facilities.

The board currently meets in-person or via conference call approximately eleven times per year. Meeting dates and agenda information are available on the board's website.

#### Department of Commerce and Insurance **Budget Unit** 42760C Professional Registration Core - Missouri Board of Pharmacy 7.500 **HB** Section 3. PROGRAM LISTING (list programs included in this core funding) Missouri Board of Pharmacy 4. FINANCIAL HISTORY FY 2018 FY 2019 FY 2020 FY 2021 Actual Expenditures (All Funds) Actual Current Yr. Actual Actual Appropriation (All Funds) 1.763.217 2,623,891 2,644,612 2,663,215 2,000,000 Less Reverted (All Funds) 0 0 0 0 1,779,821 1,746,405 Less Restricted (All Funds) 0 0 0 0 1,800,000 2,623,891 2,644,612 2,663,215 Budget Authority (All Funds) 1,763,217 1,600,000 1,495,613 Actual Expenditures (All Funds) 1,495,613 1,779,821 1,746,405 N/A Unexpended (All Funds) 267,604 844,070 898,207 N/A 1.400.000 Unexpended, by Fund: 1,200,000 **General Revenue** 0 0 0 N/A Federal 0 0 0 N/A 1,000,000 Other 267.604 844.070 898.207 N/A (2) (1) (3)800.000 FY 2018 FY 2019 FY 2020

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

# NOTES:

- (1) Unexpended amount is due to staff turnover and less than anticipated expenditures.
- (2) Unexpended amount is due to staff turnover, less than anticipated expenditures and bidding/award process time for the RX Cares for Missouri program. The RX Cares contract has now been awarded and the division anticipates to expend more in FY2020.

(3) Unexpended amount is due to staff turnover, less than anticipated expenditures including the RX Cares for Missouri program (\$220,385 of \$750,000 expended). The RX Cares program is now fully implemented and the division anticipates an increase in program participants and expenditures in FY2021.

# CORE DECISION ITEM

#### DEPT OF COMMERCE AND INSURANCE

**BOARD OF PHARMACY** 

# 5. CORE RECONCILIATION DETAIL

|                         | Budget<br>Class | FTE   | GR | Federal | Other     | Total             | E |
|-------------------------|-----------------|-------|----|---------|-----------|-------------------|---|
| TAFP AFTER VETOES       |                 |       |    |         |           |                   |   |
| TAFP AFTER VETUES       | PS              | 16.00 | (  | ) 0     | 1,239,241 | 1,239,24 <i>°</i> |   |
|                         | EE              | 0.00  | (  |         | 653,974   | 653,974           |   |
|                         | PD              | 0.00  | (  |         | 770,000   | 770,000           |   |
|                         | Total           | 16.00 | (  |         | 2,663,215 | 2,663,21          | - |
| DEPARTMENT CORE REQUEST |                 |       |    |         |           |                   | = |
|                         | PS              | 16.00 | (  | ) 0     | 1,239,241 | 1,239,24          |   |
|                         | EE              | 0.00  | (  | 0 0     | 653,974   | 653,974           | ŀ |
|                         | PD              | 0.00  | (  | ) 0     | 770,000   | 770,000           | ) |
|                         | Total           | 16.00 | (  | ) 0     | 2,663,215 | 2,663,21          | 5 |
| GOVERNOR'S RECOMMENDED  | CORE            |       |    |         |           |                   | - |
|                         | PS              | 16.00 | (  | ) 0     | 1,239,241 | 1,239,24          |   |
|                         | EE              | 0.00  | (  | ) 0     | 653,974   | 653,974           | ł |
|                         | PD              | 0.00  | (  | ) 0     | 770,000   | 770,000           | ) |
|                         | Total           | 16.00 | (  | ) 0     | 2,663,215 | 2,663,21          | 5 |

| DCI                                      |             |         |             |         |             | DEC      | ISION ITEM  | SUMMARY |
|--|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Budget Unit                              |             |         |             |         |             |          |             |         |
| Decision Item                            | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary                    | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund                                     | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| BOARD OF PHARMACY                        |             |         |             |         |             |          |             |         |
| CORE                                     |             |         |             |         |             |          |             |         |
| PERSONAL SERVICES                        |             |         |             |         |             |          |             |         |
| BOARD OF PHARMACY                        | 1,166,531   | 16.55   | 1,239,241   | 16.00   | 1,239,241   | 16.00    | 1,239,241   | 16.00   |
| TOTAL - PS                               | 1,166,531   | 16.55   | 1,239,241   | 16.00   | 1,239,241   | 16.00    | 1,239,241   | 16.00   |
| EXPENSE & EQUIPMENT<br>BOARD OF PHARMACY | 579,412     | 0.00    | 653,974     | 0.00    | 653,974     | 0.00     | 653,974     | 0.00    |
| TOTAL - EE                               | 579,412     | 0.00    | 653,974     | 0.00    | 653,974     | 0.00     | 653,974     | 0.00    |
| PROGRAM-SPECIFIC<br>BOARD OF PHARMACY    | 462         | 0.00    | 770.000     | 0.00    | 770,000     | 0.00     | 770,000     | 0.00    |
| TOTAL - PD                               | 462         | 0.00    | 770,000     | 0.00    | 770,000     | 0.00     | 770,000     | 0.00    |
| TOTAL                                    | 1,746,405   | 16.55   | 2,663,215   | 16.00   | 2,663,215   | 16.00    | 2,663,215   | 16.00   |
| Pay Plan - 0000012                       |             |         |             |         |             |          |             |         |
| PERSONAL SERVICES                        |             |         |             |         |             |          |             |         |
| BOARD OF PHARMACY                        | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 12,393      | 0.00    |
| TOTAL - PS                               | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 12,393      | 0.00    |
| TOTAL                                    | 0           | 0.00    | 0           | 0.00    | 0           | 0.00     | 12,393      | 0.00    |
| GRAND TOTAL                              | \$1,746,405 | 16.55   | \$2,663,215 | 16.00   | \$2,663,215 | 16.00    | \$2,675,608 | 16.00   |

| DCI                            |           |         |           |         |           | 0        | <b>DECISION ITI</b> | EM DETAIL |
|--------------------------------|-----------|---------|-----------|---------|-----------|----------|---------------------|-----------|
| Budget Unit                    | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022             | FY 2022   |
| Decision Item                  | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC             | GOV REC   |
| Budget Object Class            | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR              | FTE       |
| BOARD OF PHARMACY              |           |         |           |         |           |          |                     |           |
| CORE                           |           |         |           |         |           |          |                     |           |
| SR OFFICE SUPPORT ASSISTANT    | 7,364     | 0.26    | 30,062    | 1.00    | 0         | 0.00     | 0                   | 0.00      |
| PHARMACEUTICAL CNSLT           | 884,265   | 9.12    | 913,205   | 9.00    | 0         | 0.00     | 0                   | 0.00      |
| INVESTIGATOR I                 | 25,740    | 0.75    | 35,504    | 1.00    | 0         | 0.00     | 0                   | 0.00      |
| PROF REG ADMSTV COOR           | 43,636    | 1.01    | 45,062    | 1.00    | 0         | 0.00     | 0                   | 0.00      |
| PROCESSING TECHNICIAN II       | 73,017    | 2.46    | 61,122    | 2.00    | 0         | 0.00     | 0                   | 0.00      |
| PROCESSING TECHNICIAN III      | 33,847    | 1.00    | 35,733    | 1.00    | 0         | 0.00     | 0                   | 0.00      |
| BOARD MEMBER                   | 3,650     | 0.28    | 12,331    | 0.00    | 12,331    | 0.00     | 12,331              | 0.00      |
| CLERK                          | 19,207    | 0.67    | 29,791    | 0.00    | 29,791    | 0.00     | 29,791              | 0.00      |
| PRINCIPAL ASST BOARD/COMMISSON | 75,805    | 1.00    | 76,431    | 1.00    | 76,431    | 1.00     | 76,431              | 1.00      |
| ADMIN SUPPORT PROFESSIONAL     | 0         | 0.00    | 0         | 0.00    | 45,062    | 1.00     | 45,062              | 1.00      |
| CUSTOMER SERVICE REP           | 0         | 0.00    | 0         | 0.00    | 91,184    | 3.00     | 91,184              | 3.00      |
| LEAD CUSTOMER SERVICE REP      | 0         | 0.00    | 0         | 0.00    | 35,733    | 1.00     | 35,733              | 1.00      |
| PHARMACIST                     | 0         | 0.00    | 0         | 0.00    | 805,354   | 8.00     | 805,354             | 8.00      |
| CHIEF PHARMACIST               | 0         | 0.00    | 0         | 0.00    | 107,851   | 1.00     | 107,851             | 1.00      |
| NON-COMMISSIONED INVESTIGATOR  | 0         | 0.00    | 0         | 0.00    | 35,504    | 1.00     | 35,504              | 1.00      |
| TOTAL - PS                     | 1,166,531 | 16.55   | 1,239,241 | 16.00   | 1,239,241 | 16.00    | 1,239,241           | 16.00     |
| TRAVEL, IN-STATE               | 21,027    | 0.00    | 25,550    | 0.00    | 25,550    | 0.00     | 25,550              | 0.00      |
| TRAVEL, OUT-OF-STATE           | 18,498    | 0.00    | 20,006    | 0.00    | 20,006    | 0.00     | 20,006              | 0.00      |
| SUPPLIES                       | 271,450   | 0.00    | 61,190    | 0.00    | 61,190    | 0.00     | 61,190              | 0.00      |
| PROFESSIONAL DEVELOPMENT       | 13,886    | 0.00    | 27,000    | 0.00    | 27,000    | 0.00     | 27,000              | 0.00      |
| COMMUNICATION SERV & SUPP      | 23,526    | 0.00    | 25,000    | 0.00    | 25,000    | 0.00     | 25,000              | 0.00      |
| PROFESSIONAL SERVICES          | 190,531   | 0.00    | 428,380   | 0.00    | 428,380   | 0.00     | 428,380             | 0.00      |
| M&R SERVICES                   | 3,720     | 0.00    | 13,000    | 0.00    | 13,000    | 0.00     | 13,000              | 0.00      |
| MOTORIZED EQUIPMENT            | 17,361    | 0.00    | 32,000    | 0.00    | 32,000    | 0.00     | 32,000              | 0.00      |
| OFFICE EQUIPMENT               | 82        | 0.00    | 3,000     | 0.00    | 3,000     | 0.00     | 3,000               | 0.00      |
| OTHER EQUIPMENT                | 315       | 0.00    | 0         | 0.00    | 0         | 0.00     | 0                   | 0.00      |
| PROPERTY & IMPROVEMENTS        | 0         | 0.00    | 5,000     | 0.00    | 5,000     | 0.00     | 5,000               | 0.00      |
| BUILDING LEASE PAYMENTS        | 2,335     | 0.00    | 3,000     | 0.00    | 3,000     | 0.00     | 3,000               | 0.00      |
| EQUIPMENT RENTALS & LEASES     | 1,724     | 0.00    | 500       | 0.00    | 500       | 0.00     | 500                 | 0.00      |
| MISCELLANEOUS EXPENSES         | 14,957    | 0.00    | 10,348    | 0.00    | 10,348    | 0.00     | 10,348              | 0.00      |
| TOTAL - EE                     | 579,412   | 0.00    | 653,974   | 0.00    | 653,974   | 0.00     | 653,974             | 0.00      |

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| DCI                          |                   |                   |                   |                   |                     | [                   | DECISION IT        | EM DETAIL          |
|------------------------------|-------------------|-------------------|-------------------|-------------------|---------------------|---------------------|--------------------|--------------------|
| Budget Unit<br>Decision Item | FY 2020<br>ACTUAL | FY 2020<br>ACTUAL | FY 2021<br>BUDGET | FY 2021<br>BUDGET | FY 2022<br>DEPT REQ | FY 2022<br>DEPT REQ | FY 2022<br>GOV REC | FY 2022<br>GOV REC |
| Budget Object Class          | DOLLAR            | FTE               | DOLLAR            | FTE               | DOLLAR              | FTE                 | DOLLAR             | FTE                |
| BOARD OF PHARMACY            |                   |                   |                   |                   |                     |                     |                    |                    |
| CORE                         |                   |                   |                   |                   |                     |                     |                    |                    |
| PROGRAM DISTRIBUTIONS        | 462               | 0.00              | 770,000           | 0.00              | 770,000             | 0.00                | 770,000            | 0.00               |
| TOTAL - PD                   | 462               | 0.00              | 770,000           | 0.00              | 770,000             | 0.00                | 770,000            | 0.00               |
| GRAND TOTAL                  | \$1,746,405       | 16.55             | \$2,663,215       | 16.00             | \$2,663,215         | 16.00               | \$2,663,215        | 16.00              |
| GENERAL REVENUE              | \$0               | 0.00              | \$0               | 0.00              | \$0                 | 0.00                | \$0                | 0.00               |
| FEDERAL FUNDS                | \$0               | 0.00              | \$0               | 0.00              | \$0                 | 0.00                | \$0                | 0.00               |
| OTHER FUNDS                  | \$1,746,405       | 16.55             | \$2,663,215       | 16.00             | \$2,663,215         | 16.00               | \$2,663,215        | 16.00              |

#### PROGRAM DESCRIPTION

Department of Commerce and Insurance

#### Missouri Board of Pharmacy

Program is found in the following core budget(s): Missouri Board of Pharmacy

#### 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

# 1b. What does this program do?

The Board has superintending control over the practice of pharmacy in Missouri and its primary duties consist of:

- Examining and licensing pharmacist applicants.
- Ensuring compliance with Chapter 338, RSMo, and the rules of the board.
- Investigating complaints involving unlicensed activity or against any licensee or registrant. Investigations may be based on public complaints, information from other state and/or federal agencies, or violations discovered by the board.
- Inspection of pharmacies and drug distributors.
- Licensing/registering pharmacy technicians, intern pharmacists, pharmacies and drug distributors.
- Biennially renewing the licenses of qualified pharmacists, pharmacy interns, pharmacies, third-party logistics providers, drug outsourcers and drug distributors.
- Disciplining licensees which may include, public censure, probation, suspension or revocation of a licensee/registrant.
- Maintaining the Technician Employment Disqualification List for pharmacy technicians found to be in violation of Chapter 338, RSMo.
- Approval of preceptors and intern training facilities.
- Overseeing the Rx Cares statewide medication destruction program.

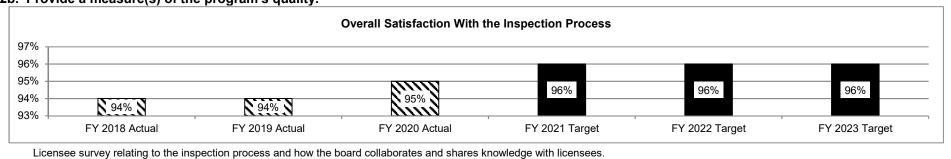
# 2a. Provide an activity measure(s) for the program.

|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Licensed Professionals | 37,907  | 37,274  | 42,086  | 39,089  | 39,089  | 39,089  |
| Outreach Events*       | 43      | 36      | 54      | 30**    | 30**    | 30**    |

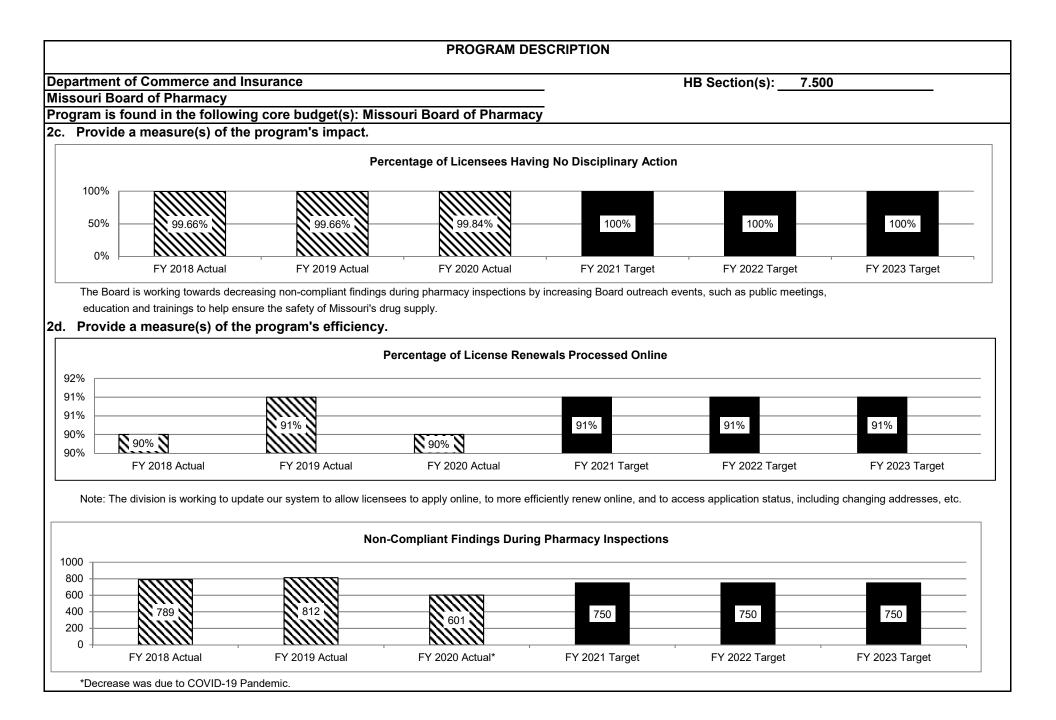
\*Outreach Events include board meetings, public meetings, education and trainings conducted by the board.

\*\* Effective 8/29/20, Missouri law has been amended to prohibit the Board from using funds to sponsor educational programs that the Board sponsored in prior fiscal years.

# 2b. Provide a measure(s) of the program's quality.



HB Section(s): 7.500



#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.500 Missouri Board of Pharmacy Program is found in the following core budget(s): Missouri Board of Pharmacy 3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.) 2,663,215 Program Expenditure History 215 3.000.000 1,779,821 1,746,405 1,746,405 1,779,821 1,495,613 2,000,000 1,000,000 FY 2018 Actual FY 2019 Actual FY 2020 Actual FY 2021 Planned □GR □FEDERAL ■OTHER □TOTAL 4. What are the sources of the "Other " funds? Board of Pharmacy Fund (0637) 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.) State Statute: Sections 338.010-338.710 RSMo. 6. Are there federal matching requirements? If yes, please explain. N/A 7. Is this a federally mandated program? If yes, please explain. No

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| Department of Co                    |   | surance           |                |                     | Budget Unit   | 42770C           |                |                 |              |
|-------------------------------------|---|-------------------|----------------|---------------------|---|------------------|----------------|-----------------|--------------|
| rofessional Reg<br>ore - State Boar |   | ledicine          |                |                     | HB Section  | 7.505            |                |                 |              |
| . CORE FINANC                       | IAL SUMMARY                             |                   |                |                     |   |                  |                |                 |              |
|                                     | F                                       | Y 2022 Budge      | t Request      |                     |   | FY 2022 0        | overnor's R    | ecommendat      | ion          |
|                                     | GR                                      | Federal           | Other          | Total               |   | GR               | Federal        | Other           | Total        |
| PS                                  | 0                                       | 0                 | 0              | 0                   | PS  | 0                | 0              | 0               | 0            |
| E                                   | 0                                       | 0                 | 13,747         | 13,747              | EE  | 0                | 0              | 13,747          | 13,747       |
| SD                                  | 0                                       | 0                 | 0              | 0                   | PSD   | 0                | 0              | 0               | 0            |
| ſRF                                 | 0                                       | 0                 | 0              | 0                   | TRF   | 0                | 0              | 0               | 0            |
| otal                                | 0                                       | 0                 | 13,747         | 13,747              | Total   | 0                | 0              | 13,747          | 13,747       |
| TE                                  | 0.00                                    | 0.00              | 0.00           | 0.00                | FTE   | 0.00             | 0.00           | 0.00            | 0.00         |
| Est. Fringe                         | 0                                       | 0                 | 0              | 0                   | Est. Fringe   | 0                | 0              | 0               | 0            |
| Note: Fringes bud                   | Ŭ,                                      | v                 | -              | -                   |   | oudgeted in Hou  | •              | Ŭ               | Ŭ,           |
| budgeted directly t                 | •                                       |                   | -              |                     | -   | ly to MoDOT, H   |                |                 | -            |
| regulations requi                   | orts the Missouri<br>ire licensure (poo | liatrist, ankle c | ertified podia | trist, temporary po | l was established in 19<br>diatrist) for individuals<br>rd members are appoin | engaged in the p | practice of po | diatric medicir | ne to ensure |
|                                     |   |                   |                |                     |   |                  |                |                 |              |

| surance           |   |   |  | Budget Unit  | 42770C  |  |  |  |
|-------------------|---|---|--|--|---|--|--|--|
|                   |   |   |  |  |   | -  |  |  |
| ledicine          |   |   |  | HB Section   | 7.505   | -  |  |  |
|                   |   |   |  |  |   |  |  |  |
| FY 2018<br>Actual | FY 2019<br>Actual   | FY 2020<br>Actual   | FY 2021<br>Current Yr.   |  | Actua   | al Expendit  | ures (All Funds)   |  |
| 13,734            | 13,734  | 13,734  | 13,747   | 10,000   | 1   |  |  |  |
| 0                 | 0   | 0   | 0  |  |   |  |  |  |
| 0                 | 0   | 0   | 0  | 8 000  |   |  |  |  |
| 13,734            | 13,734  | 13,734  | 13,747   | 0,000  |   |  |  |  |
| 4,324             | 4,507   | 3,787   | N/A  | 6,000  |   |  |  |  |
| 9,410             | 9,227   | 9,947   | N/A  |  | 4 324   |  | 4,507  |  |
|                   |   |   |  | 4.000  |   |  |  | 3,787  |
|                   |   |   |  | ,  |   |  |  |  |
| 0                 | 0   | 0   | N/A  |  |   |  |  |  |
| 0                 | 0   | 0   |  | 2 000  | 1   |  |  |  |
| 9,410             | 9,227   | 9,947   |  |  |   |  |  |  |
|                   |   |   |  | 0  |   |  |  |  |
| (-)               | (-)   | (-)   |  |  | FY 201  | 8  | FY 2019  | FY 2020  |
|                   | <b>FY 2018</b><br><b>Actual</b><br>13,734<br>0<br>0<br>13,734<br>4,324<br>9,410 | FY 2018         FY 2019           Actual         Actual           13,734         13,734           0         0           0         0           13,734         13,734           13,734         13,734           4,324         4,507           9,410         9,227           0         0           9,410         9,227 | FY 2018         FY 2019         FY 2020           Actual         Actual         Actual           13,734         13,734         13,734           13,734         13,734         13,734           0         0         0           0         0         0           13,734         13,734         13,734           13,734         13,734         13,734           4,324         4,507         3,787           9,410         9,227         9,947           0         0         0           0         0         0           9,410         9,227         9,947 | FY 2018         FY 2019         FY 2020         FY 2021           Actual         Actual         Actual         Current Yr.           13,734         13,734         13,734         13,747           0         0         0         0           0         0         0         0           13,734         13,734         13,734         13,747           0         0         0         0         0           13,734         13,734         13,734         13,747           4,324         4,507         3,787         N/A           9,410         9,227         9,947         N/A           0         0         0         N/A           9,410         9,227         9,947         N/A | Iedicine         HB Section           FY 2018         FY 2019         FY 2020         FY 2021           Actual         Actual         Current Yr.           13,734         13,734         13,734         13,747           13,734         13,734         13,734         13,747           0         0         0         0         0           0         0         0         0         8,000           13,734         13,734         13,734         13,747         6,000           13,734         13,734         13,734         13,747         4,000           4,324         4,507         3,787         N/A         6,000           9,410         9,227         9,947         N/A         4,000           0         0         0         N/A         2,000           9,410         9,227         9,947         N/A         2,000 | $\begin{array}{c c c c c c c c c c c c c c c c c c c $ | Iedicine         HB Section         7.505           FY 2018         FY 2019         FY 2020         FY 2021         Actual         Actual         Current Yr.           13,734         13,734         13,734         13,734         13,747         10,000 $actual$ | Iedicine         HB Section         7.505           FY 2018         FY 2019         FY 2020         FY 2021         Actual         Current Yr.           13,734         13,734         13,734         13,734         13,747         10,000           0         0         0         0         0         8,000           13,734         13,734         13,734         13,747         10,000           0         0         0         0         8,000           13,734         13,734         13,734         13,747           4,324         4,507         3,787         N/A           9,410         9,227         9,947         N/A           0         0         0         N/A           9,410         9,227         9,947         N/A           1(1)         (2)         (3)         0 |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

# NOTES:

- (1) Unexpended amount due to less than anticipated expenditures.(2) Unexpended amount due to less than anticipated expenditures.
- (3) Unexpended amount due to less than anticipated expenditures.

## DEPT OF COMMERCE AND INSURANCE

BOARD OF PODIATRIC MEDICINE

# 5. CORE RECONCILIATION DETAIL

|                         | Budget<br>Class | FTF  | <b>CD</b> | Fadava |   | Other  | Tatal  |        |
|-------------------------|-----------------|------|-----------|--------|---|--------|--------|--------|
|                         | Class           | FTE  | GR        | Federa | 1 | Other  | Total  | E      |
| TAFP AFTER VETOES       |                 |      |           |        |   |        |        |        |
|                         | EE              | 0.00 | C         |        | 0 | 13,747 | 13,747 | -      |
|                         | Total           | 0.00 | C         |        | 0 | 13,747 | 13,747 | ,<br>= |
| DEPARTMENT CORE REQUEST |                 |      |           |        |   |        |        |        |
|                         | EE              | 0.00 | C         | )      | 0 | 13,747 | 13,747 | ,      |
|                         | Total           | 0.00 | C         |        | 0 | 13,747 | 13,747 | -      |
| GOVERNOR'S RECOMMENDED  | ORE             |      |           |        |   |        |        |        |
|                         | EE              | 0.00 | C         | )      | 0 | 13,747 | 13,747 |        |
|                         | Total           | 0.00 | C         |        | 0 | 13,747 | 13,747 | -      |

| DCI                         |         |         |          |         |          | DEC      | ISION ITEM | SUMMARY |
|-----------------------------|---------|---------|----------|---------|----------|----------|------------|---------|
| Budget Unit                 |         |         |          |         |          |          |            |         |
| Decision Item               | FY 2020 | FY 2020 | FY 2021  | FY 2021 | FY 2022  | FY 2022  | FY 2022    | FY 2022 |
| Budget Object Summary       | ACTUAL  | ACTUAL  | BUDGET   | BUDGET  | DEPT REQ | DEPT REQ | GOV REC    | GOV REC |
| Fund                        | DOLLAR  | FTE     | DOLLAR   | FTE     | DOLLAR   | FTE      | DOLLAR     | FTE     |
| BOARD OF PODIATRIC MEDICINE |         |         |          |         |          |          |            |         |
| CORE                        |         |         |          |         |          |          |            |         |
| EXPENSE & EQUIPMENT         |         |         |          |         |          |          |            |         |
| BOARD OF PODIATRIC MEDICINE | 3,787   | 0.00    | 13,747   | 0.00    | 13,747   | 0.00     | 13,747     | 0.00    |
| TOTAL - EE                  | 3,787   | 0.00    | 13,747   | 0.00    | 13,747   | 0.00     | 13,747     | 0.00    |
| TOTAL                       | 3,787   | 0.00    | 13,747   | 0.00    | 13,747   | 0.00     | 13,747     | 0.00    |
| GRAND TOTAL                 | \$3,787 | 0.00    | \$13,747 | 0.00    | \$13,747 | 0.00     | \$13,747   | 0.00    |

| DCI                         |         |         |          |         |          | 0        | <b>DECISION ITI</b> | EM DETAIL |
|-----------------------------|---------|---------|----------|---------|----------|----------|---------------------|-----------|
| Budget Unit                 | FY 2020 | FY 2020 | FY 2021  | FY 2021 | FY 2022  | FY 2022  | FY 2022             | FY 2022   |
| Decision Item               | ACTUAL  | ACTUAL  | BUDGET   | BUDGET  | DEPT REQ | DEPT REQ | GOV REC             | GOV REC   |
| Budget Object Class         | DOLLAR  | FTE     | DOLLAR   | FTE     | DOLLAR   | FTE      | DOLLAR              | FTE       |
| BOARD OF PODIATRIC MEDICINE |         |         |          |         |          |          |                     |           |
| CORE                        |         |         |          |         |          |          |                     |           |
| TRAVEL, IN-STATE            | 138     | 0.00    | 328      | 0.00    | 328      | 0.00     | 328                 | 0.00      |
| SUPPLIES                    | 903     | 0.00    | 1,900    | 0.00    | 1,900    | 0.00     | 1,900               | 0.00      |
| PROFESSIONAL DEVELOPMENT    | 1,793   | 0.00    | 2,850    | 0.00    | 2,850    | 0.00     | 2,850               | 0.00      |
| COMMUNICATION SERV & SUPP   | 219     | 0.00    | 720      | 0.00    | 720      | 0.00     | 720                 | 0.00      |
| PROFESSIONAL SERVICES       | 472     | 0.00    | 5,499    | 0.00    | 5,499    | 0.00     | 5,499               | 0.00      |
| M&R SERVICES                | 7       | 0.00    | 300      | 0.00    | 300      | 0.00     | 300                 | 0.00      |
| OFFICE EQUIPMENT            | 68      | 0.00    | 1,000    | 0.00    | 1,000    | 0.00     | 1,000               | 0.00      |
| OTHER EQUIPMENT             | 105     | 0.00    | 0        | 0.00    | 0        | 0.00     | 0                   | 0.00      |
| PROPERTY & IMPROVEMENTS     | 0       | 0.00    | 1,000    | 0.00    | 1,000    | 0.00     | 1,000               | 0.00      |
| MISCELLANEOUS EXPENSES      | 82      | 0.00    | 150      | 0.00    | 150      | 0.00     | 150                 | 0.00      |
| TOTAL - EE                  | 3,787   | 0.00    | 13,747   | 0.00    | 13,747   | 0.00     | 13,747              | 0.00      |
| GRAND TOTAL                 | \$3,787 | 0.00    | \$13,747 | 0.00    | \$13,747 | 0.00     | \$13,747            | 0.00      |
| GENERAL REVENUE             | \$0     | 0.00    | \$0      | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| FEDERAL FUNDS               | \$0     | 0.00    | \$0      | 0.00    | \$0      | 0.00     | \$0                 | 0.00      |
| OTHER FUNDS                 | \$3,787 | 0.00    | \$13,747 | 0.00    | \$13,747 | 0.00     | \$13,747            | 0.00      |

# PROGRAM DESCRIPTION

Department of Commerce and Insurance

HB Section(s): 7.450 / 7.505

State Board of Podiatric Medicine

Program is found in the following core budget(s): Professional Registration Administration, State Board of Podiatric Medicine

| FY 2021 PLANNED |                         |        |        |  |  |  |  |  |  |
|-----------------|-------------------------|--------|--------|--|--|--|--|--|--|
|                 | Podiatry PR Admin TOTAL |        |        |  |  |  |  |  |  |
| OTHER           | 13,747                  | 19,580 | 33,327 |  |  |  |  |  |  |

## 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

## 1b. What does this program do?

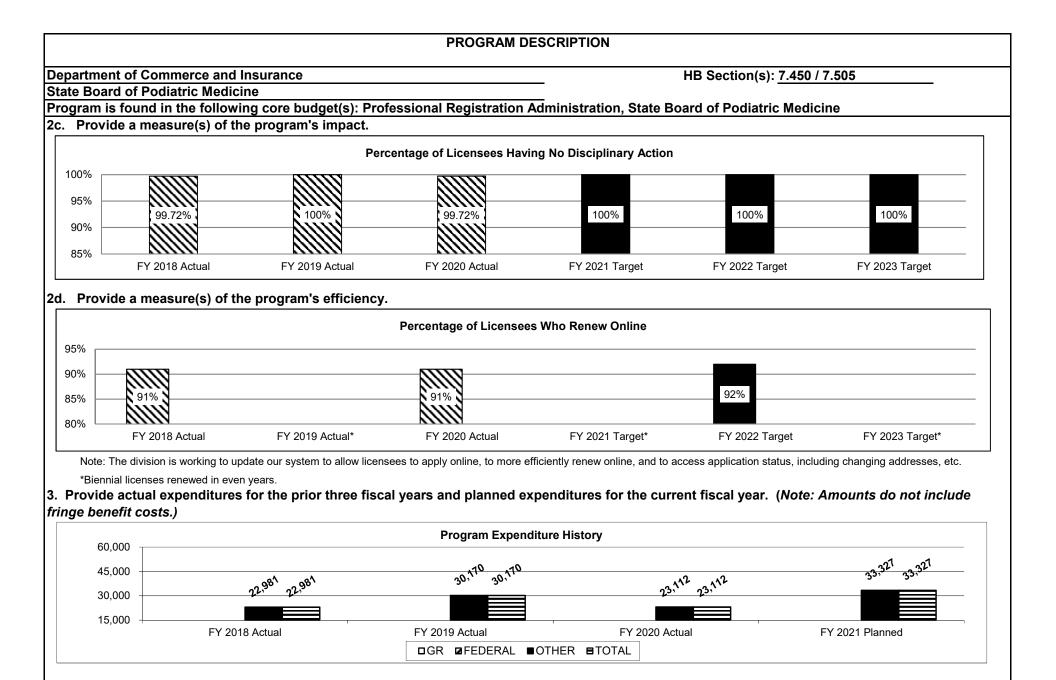
• The board's rules and regulations require licensure (podiatrist, ankle certified podiatrist, temporary podiatrist) for individuals engaged in the practice of podiatric medicine to ensure the health, safety and welfare of the public.

# 2a. Provide an activity measure(s) for the program.

|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 27      | 25      | 46      | 30      | 30      | 30      |
| Licensed Professionals | 363     | 372     | 362     | 366     | 366     | 366     |
| Outreach Events        | 4       | 5       | 3       | 5       | 5       | 5       |

# 2b. Provide a measure(s) of the program's quality.

| muy            |                 | 89%            |                 | 90%            |                |
|----------------|-----------------|----------------|-----------------|----------------|----------------|
| 87%            |                 |                |                 |                |                |
| FY 2018 Actual | FY 2019 Actual* | FY 2020 Actual | FY 2021 Target* | FY 2022 Target | FY 2023 Target |



| PROGRAM DESCRIPTION   |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|
| Department of Commerce and Insurance  | HB Section(s): 7.450 / 7.505                           |  |  |  |  |  |  |  |
| State Board of Podiatric Medicine   |  |  |  |  |  |  |  |  |
| Program is found in the following core budget(s): Professional Registration A   | dministration, State Board of Podiatric Medicine       |  |  |  |  |  |  |  |
| 4. What are the sources of the "Other " funds?  |  |  |  |  |  |  |  |  |
| State Board of Podiatric Medicine Fund (0629)   |  |  |  |  |  |  |  |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.<br>State Statute: Sections 330.010-330.210, RSMo. | ? (Include the federal program number, if applicable.) |  |  |  |  |  |  |  |
| <ol> <li>Are there federal matching requirements? If yes, please explain.</li> <li>N/A</li> </ol>                                     |  |  |  |  |  |  |  |  |
| 7. Is this a federally mandated program? If yes, please explain.<br>No  |  |  |  |  |  |  |  |  |

| •                 | ommerce and Insu    | irance       |                  |           | Budget Unit    | 42780C           |                 |                 |           |
|-------------------|---------------------|--------------|------------------|-----------|----------------|------------------|-----------------|-----------------|-----------|
| Professional Reg  |                     |              |                  |           |                |                  |                 |                 |           |
| Core - Missouri I | Real Estate Comm    | ission       |                  |           | HB Section     | 7.510            | -               |                 |           |
| 1. CORE FINAN     | CIAL SUMMARY        |              |                  |           |                |                  |                 |                 |           |
|                   | FY                  | 2022 Budg    | et Request       |           |                | FY 2022          | Governor's      | Recommend       | ation     |
|                   |                     | Federal      | Other            | Total     |                | GR               | Federal         | Other           | Total     |
| PS                | 0                   | 0            | 1,001,491        | 1,001,491 | PS –           | 0                | 0               | 1,001,491       | 1,001,491 |
| EE                | 0                   | 0            | 277,160          | 277,160   | EE             | 0                | 0               | 277,160         | 277,160   |
| PSD               | 0                   | 0            | 0                | 0         | PSD            | 0                | 0               | 0               | 0         |
| TRF               | 0                   | 0            | 0                | 0         | TRF            | 0                | 0               | 0               | 0         |
| Total             | 0                   | 0            | 1,278,651        | 1,278,651 | Total =        | 0                | 0               | 1,278,651       | 1,278,651 |
| FTE               | 0.00                | 0.00         | 25.00            | 25.00     | FTE            | 0.00             | 0.00            | 25.00           | 25.00     |
| Est. Fringe       | 0                   | 0            | 682,894          | 682,894   | Est. Fringe    | 0                | 0               | 682,894         | 682,894   |
| Note: Fringes bu  | dgeted in House Bil | l 5 except f | or certain fring | ges       | Note: Fringes  | budgeted in Ho   | buse Bill 5 exc | ept for certaii | n fringes |
| budgeted directly | to MoDOT, Highwa    | y Patrol, an | d Conservati     | on.       | budgeted direc | tly to MoDOT,    | Highway Patro   | ol, and Conse   | ervation. |
| Other Funds:      | Missouri Real Esta  | ate Commis   | sion Fund (06    | 538)      | Other Funds: I | /lissouri Real E | state Commis    | sion Fund (0    | 638)      |
| 2. CORE DESCR     | IPTION              |              |                  |           |                |                  |                 |                 |           |
|                   | -                   |              |                  |           |                |                  |                 |                 |           |

This core supports the Missouri Real Estate Commission as it performs the duties necessary to carry out the provisions of Missouri's real estate license law. The Missouri Real Estate Commission was created by an act of the 61st General Assembly and approved by the governor on July 31, 1941. The Commission consists of seven voting members. Each commissioner is appointed for a five-year term.

The commission is responsible for the examination, licensing, and regulation of persons and firms engaged in the real estate business in Missouri. In addition to issuing temporary work permits, the commission issues thirteen types of real estate licenses (salesperson, broker, inactive salesperson, inactive broker, corporation, association, partnership, broker-salesperson, broker-officer, broker-associate, broker-partner, professional corporation-salesperson, and professional corporation-broker-salesperson).

Other responsibilities include investigating complaints generated by consumers against the acts of a real estate licensee and auditing real estate escrow accounts to verify proper handling of buyers' earnest money and renters' deposit money. The commission also approves all real estate prelicensing and continuing education courses, and accredits real estate schools approved to instruct courses.

The commission meets regularly to review complaints, investigations and audits and to take up other matters.

| Department of Commerce and I  | nsurance                  |                      |                    |                        | Budget Unit | 42780C    |                         |                     |
|---|---------------------------|----------------------|--------------------|------------------------|-------------|-----------|-------------------------|---------------------|
| Professional Registration<br>Core - Missouri Real Estate Commission |                           |                      |                    |                        | HB Section  | 7.510     |                         |                     |
| 3. PROGRAM LISTING (list pro  | grams include             | ed in this cor       | e funding)         |                        |             |           |                         |                     |
| Missouri Real Estate Commiss  | ion                       |                      |                    |                        |             |           |                         |                     |
| 4. FINANCIAL HISTORY  |                           |                      |                    |                        |             |           |                         |                     |
|   | FY 2018<br>Actual         | FY 2019<br>Actual    | FY 2020<br>Actual  | FY 2021<br>Current Yr. |             | Actual Ex | xpenditures (All Funds) |                     |
| Appropriation (All Funds)<br>Less Reverted (All Funds)              | 1,231,154<br>0            | 1,240,071<br>0       | 1,263,574<br>0     | 1,278,651<br>0         | 1,200,000   |           |                         |                     |
| Less Restricted (All Funds)<br>Budget Authority (All Funds)         | 0<br>1,231,154            | 0<br>1,240,071       | 0<br>1,263,574     | 0<br>1,278,651         | 1,000,000   | 926,379   | 1,001,570               | 995,753<br><b>-</b> |
| Actual Expenditures (All Funds)<br>Unexpended (All Funds)           | <u>926,379</u><br>304,775 | 1,001,570<br>238,501 | 995,753<br>267,821 | N/A<br>N/A             | 800,000     |           |                         |                     |
| Unexpended, by Fund:  |                           | 200,001              | 201,021            |                        | 600,000     |           |                         |                     |
| General Revenue<br>Federal  | 0<br>0                    | 0<br>0               | 0<br>0             | N/A<br>N/A             | 400,000     |           |                         |                     |
| Other   | 304,775<br>(1)            | 238,501<br>(2)       | 267,821<br>(3)     | N/A                    | 200,000     | FY 2018   | FY 2019                 | FY 2020             |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

# NOTES:

(1) Unexpended amount is due to staff turnover and less than anticipated expenditures.

(2) Unexpended amount is due to staff turnover and less than anticipated expenditures.
 (3) Unexpended amount is due to staff turnover and less than anticipated expenditures.

## DEPT OF COMMERCE AND INSURANCE

# MO REAL ESTATE COMMISSION

# 5. CORE RECONCILIATION DETAIL

|                         | Budget |       |    |     |      |           |           |   |
|-------------------------|--------|-------|----|-----|------|-----------|-----------|---|
|                         | Class  | FTE   | GR | Fed | eral | Other     | Total     | Ε |
| TAFP AFTER VETOES       |        |       |    |     |      |           |           |   |
|                         | PS     | 25.00 |    | 0   | 0    | 1,001,491 | 1,001,491 |   |
|                         | EE     | 0.00  |    | 0   | 0    | 277,160   | 277,160   | ) |
|                         | Total  | 25.00 |    | 0   | 0    | 1,278,651 | 1,278,651 | - |
| DEPARTMENT CORE REQUEST |        |       |    |     |      |           |           |   |
|                         | PS     | 25.00 |    | 0   | 0    | 1,001,491 | 1,001,491 |   |
|                         | EE     | 0.00  |    | 0   | 0    | 277,160   | 277,160   | ) |
|                         | Total  | 25.00 |    | 0   | 0    | 1,278,651 | 1,278,651 | - |
| GOVERNOR'S RECOMMENDED  | CORE   |       |    |     |      |           |           |   |
|                         | PS     | 25.00 |    | 0   | 0    | 1,001,491 | 1,001,491 |   |
|                         | EE     | 0.00  |    | 0   | 0    | 277,160   | 277,160   |   |
|                         | Total  | 25.00 |    | 0   | 0    | 1,278,651 | 1,278,651 | _ |

| DCI   | DCI DECISION ITEM SUMMARY   |                          |                             |                          |                               |                            |                              |                           |  |  |  |  |
|---|-----------------------------|--------------------------|-----------------------------|--------------------------|-------------------------------|----------------------------|------------------------------|---------------------------|--|--|--|--|
| Budget Unit<br>Decision Item<br>Budget Object Summary<br>Fund | FY 2020<br>ACTUAL<br>DOLLAR | FY 2020<br>ACTUAL<br>FTE | FY 2021<br>BUDGET<br>DOLLAR | FY 2021<br>BUDGET<br>FTE | FY 2022<br>DEPT REQ<br>DOLLAR | FY 2022<br>DEPT REQ<br>FTE | FY 2022<br>GOV REC<br>DOLLAR | FY 2022<br>GOV REC<br>FTE |  |  |  |  |
| MO REAL ESTATE COMMISSION                                     |                             |                          |                             |                          |                               |                            |                              |                           |  |  |  |  |
| CORE  |                             |                          |                             |                          |                               |                            |                              |                           |  |  |  |  |
| PERSONAL SERVICES<br>MO REAL ESTATE COMMISSION                | 897,463                     | 22.04                    | 1,001,491                   | 25.00                    | 1,001,491                     | 25.00                      | 1,001,491                    | 25.00                     |  |  |  |  |
| TOTAL - PS  | 897,463                     | 22.04                    | 1,001,491                   | 25.00                    | 1,001,491                     | 25.00                      | 1,001,491                    | 25.00                     |  |  |  |  |
| EXPENSE & EQUIPMENT<br>MO REAL ESTATE COMMISSION              | 98,290                      | 0.00                     | 277,160                     | 0.00                     | 277,160                       | 0.00                       | 277,160                      | 0.00                      |  |  |  |  |
| TOTAL - EE  | 98,290                      | 0.00                     | 277,160                     | 0.00                     | 277,160                       | 0.00                       | 277,160                      | 0.00                      |  |  |  |  |
| TOTAL   | 995,753                     | 22.04                    | 1,278,651                   | 25.00                    | 1,278,651                     | 25.00                      | 1,278,651                    | 25.00                     |  |  |  |  |
| Pay Plan - 0000012  |                             |                          |                             |                          |                               |                            |                              |                           |  |  |  |  |
| PERSONAL SERVICES<br>MO REAL ESTATE COMMISSION                | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 10,014                       | 0.00                      |  |  |  |  |
| TOTAL - PS  | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 10,014                       | 0.00                      |  |  |  |  |
| TOTAL   | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 10,014                       | 0.00                      |  |  |  |  |
| GRAND TOTAL   | \$995,753                   | 22.04                    | \$1,278,651                 | 25.00                    | \$1,278,651                   | 25.00                      | \$1,288,665                  | 25.00                     |  |  |  |  |

| DCI<br>Budget Unit             | FY 2020 | FY 2020 | FY 2021   | FY 2021 | FY 2022   |          | ECISION ITE | FY 2022 |
|--------------------------------|---------|---------|-----------|---------|-----------|----------|-------------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR      | FTE     |
|                                | DOLLAR  | FIE     | DOLLAR    | FIE     | DOLLAR    | FIE      | DOLLAR      | FIE     |
| MO REAL ESTATE COMMISSION      |         |         |           |         |           |          |             |         |
| CORE                           |         |         |           |         |           |          |             |         |
| INVESTIGATOR I                 | 0       | 0.00    | 1,526     | 1.00    | 0         | 0.00     | 0           | 0.00    |
| INVESTIGATOR II                | 128,256 | 3.00    | 133,238   | 4.00    | 0         | 0.00     | 0           | 0.0     |
| PROF REG ADMSTV COOR           | 44,132  | 1.00    | 45,642    | 1.00    | 0         | 0.00     | 0           | 0.00    |
| REAL ESTATE EXAMINER I         | 29,423  | 0.83    | 37,169    | 1.00    | 0         | 0.00     | 0           | 0.00    |
| REAL ESTATE EXAMINER II        | 210,558 | 4.96    | 218,268   | 5.00    | 0         | 0.00     | 0           | 0.00    |
| REAL ESTATE EXAMINER FIELD SPV | 94,798  | 2.00    | 97,707    | 2.00    | 0         | 0.00     | 0           | 0.00    |
| REAL ESTATE EDUCATION SPEC     | 36,840  | 1.00    | 38,103    | 1.00    | 0         | 0.00     | 0           | 0.0     |
| INVESTIGATION MGR B1           | 56,681  | 1.00    | 58,552    | 1.00    | 0         | 0.00     | 0           | 0.00    |
| PROCESSING TECHNICIAN II       | 137,795 | 4.66    | 152,314   | 5.00    | 0         | 0.00     | 0           | 0.0     |
| PROCESSING TECHNICIAN III      | 66,621  | 2.02    | 68,105    | 2.00    | 0         | 0.00     | 0           | 0.0     |
| LEGAL COUNSEL                  | 0       | 0.00    | 57,000    | 1.00    | 57,000    | 1.00     | 57,000      | 1.00    |
| BOARD MEMBER                   | 3,495   | 0.18    | 5,071     | 0.00    | 5,071     | 0.00     | 5,071       | 0.0     |
| CLERK                          | 9,492   | 0.39    | 6,777     | 0.00    | 6,777     | 0.00     | 6,777       | 0.0     |
| PRINCIPAL ASST BOARD/COMMISSON | 79,372  | 1.00    | 82,019    | 1.00    | 82,019    | 1.00     | 82,019      | 1.00    |
| ADMIN SUPPORT PROFESSIONAL     | 0       | 0.00    | 0         | 0.00    | 83,745    | 2.00     | 83,745      | 2.00    |
| CUSTOMER SERVICE REP           | 0       | 0.00    | 0         | 0.00    | 152,314   | 5.00     | 152,314     | 5.00    |
| LEAD CUSTOMER SERVICE REP      | 0       | 0.00    | 0         | 0.00    | 68,105    | 2.00     | 68,105      | 2.00    |
| NON-COMMISSIONED INVESTIGATOR  | 0       | 0.00    | 0         | 0.00    | 1,526     | 1.00     | 1,526       | 1.00    |
| SR NON-COMMISSION INVESTIGATOR | 0       | 0.00    | 0         | 0.00    | 133,238   | 4.00     | 133,238     | 4.00    |
| INVESTIGATIONS MANAGER         | 0       | 0.00    | 0         | 0.00    | 58,552    | 1.00     | 58,552      | 1.00    |
| REGULATORY AUDITOR             | 0       | 0.00    | 0         | 0.00    | 255,437   | 6.00     | 255,437     | 6.00    |
| REGULATORY AUDITOR SUPERVISOR  | 0       | 0.00    | 0         | 0.00    | 97,707    | 2.00     | 97,707      | 2.00    |
| TOTAL - PS                     | 897,463 | 22.04   | 1,001,491 | 25.00   | 1,001,491 | 25.00    | 1,001,491   | 25.0    |
| TRAVEL, IN-STATE               | 17,386  | 0.00    | 23,277    | 0.00    | 23,277    | 0.00     | 23,277      | 0.0     |
| TRAVEL, OUT-OF-STATE           | 2,173   | 0.00    | 6,089     | 0.00    | 6,089     | 0.00     | 6,089       | 0.0     |
| SUPPLIES                       | 33,812  | 0.00    | 84,000    | 0.00    | 84,000    | 0.00     | 84,000      | 0.00    |
| PROFESSIONAL DEVELOPMENT       | 6,484   | 0.00    | 8,750     | 0.00    | 8,750     | 0.00     | 8,750       | 0.00    |
| COMMUNICATION SERV & SUPP      | 18,287  | 0.00    | 27,044    | 0.00    | 27,044    | 0.00     | 27,044      | 0.0     |
| PROFESSIONAL SERVICES          | 7,019   | 0.00    | 58,500    | 0.00    | 58,500    | 0.00     | 58,500      | 0.00    |
| M&R SERVICES                   | 5,884   | 0.00    | 15,500    | 0.00    | 15,500    | 0.00     | 15,500      | 0.0     |
| MOTORIZED EQUIPMENT            | 0       | 0.00    | 31,000    | 0.00    | 31,000    | 0.00     | 31,000      | 0.0     |
| OFFICE EQUIPMENT               | 61      | 0.00    | 7,500     | 0.00    | 7,500     | 0.00     | 7,500       | 0.0     |

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| DCI                        |           |         |             |         |             | 0        | DECISION IT | EM DETAIL |
|----------------------------|-----------|---------|-------------|---------|-------------|----------|-------------|-----------|
| Budget Unit                | FY 2020   | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022   |
| Decision Item              | ACTUAL    | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class        | DOLLAR    | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE       |
| MO REAL ESTATE COMMISSION  |           |         |             |         |             |          |             |           |
| CORE                       |           |         |             |         |             |          |             |           |
| OTHER EQUIPMENT            | 1,365     | 0.00    | 1,000       | 0.00    | 1,000       | 0.00     | 1,000       | 0.00      |
| PROPERTY & IMPROVEMENTS    | 0         | 0.00    | 1,000       | 0.00    | 1,000       | 0.00     | 1,000       | 0.00      |
| BUILDING LEASE PAYMENTS    | 1,154     | 0.00    | 2,500       | 0.00    | 2,500       | 0.00     | 2,500       | 0.00      |
| EQUIPMENT RENTALS & LEASES | 270       | 0.00    | 1,000       | 0.00    | 1,000       | 0.00     | 1,000       | 0.00      |
| MISCELLANEOUS EXPENSES     | 4,395     | 0.00    | 10,000      | 0.00    | 10,000      | 0.00     | 10,000      | 0.00      |
| TOTAL - EE                 | 98,290    | 0.00    | 277,160     | 0.00    | 277,160     | 0.00     | 277,160     | 0.00      |
| GRAND TOTAL                | \$995,753 | 22.04   | \$1,278,651 | 25.00   | \$1,278,651 | 25.00    | \$1,278,651 | 25.00     |
| GENERAL REVENUE            | \$0       | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS              | \$0       | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| OTHER FUNDS                | \$995,753 | 22.04   | \$1,278,651 | 25.00   | \$1,278,651 | 25.00    | \$1,278,651 | 25.00     |

#### PROGRAM DESCRIPTION

**Department of Commerce and Insurance** 

Missouri Real Estate Commission

•

## Program is found in the following core budget(s): Missouri Real Estate Commission

## 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

### 1b. What does this program do?

- The commission is responsible for the examination, licensing, and regulation of persons and firms engaged in the real estate business in Missouri.
- In addition to issuing temporary work permits, the commission issues thirteen types of real estate licenses (salesperson, broker, inactive salesperson, inactive broker, corporation, association, partnership, broker-salesperson, broker-officer, broker-associate, broker-partner, professional corporation-salesperson, and professional corporation-broker-salesperson).
- Other responsibilities include investigating complaints filed against real estate licensees and auditing real estate escrow accounts to verify proper accounting of consumers' earnest money and rental deposits.
- The commission also approves all real estate pre-licensing and continuing education courses, and accredits real estate schools approved to administer courses.
- The commission meets regularly to review complaints, investigations and audits and to address other matters.

### 2a. Provide an activity measure(s) for the program.

|                        | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------|---------|---------|---------|---------|---------|---------|
|                        | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Applications Received  | 8,805   | 8,980   | 7,061   | 7,414   | 7,784   | 8,173   |
| Licensed Professionals | 44,845  | 44,456  | 46,895  | 46,895  | 46,895  | 46,895  |
| Outreach Events        | 17      | 15      | 6       | 10      | 10      | 10      |

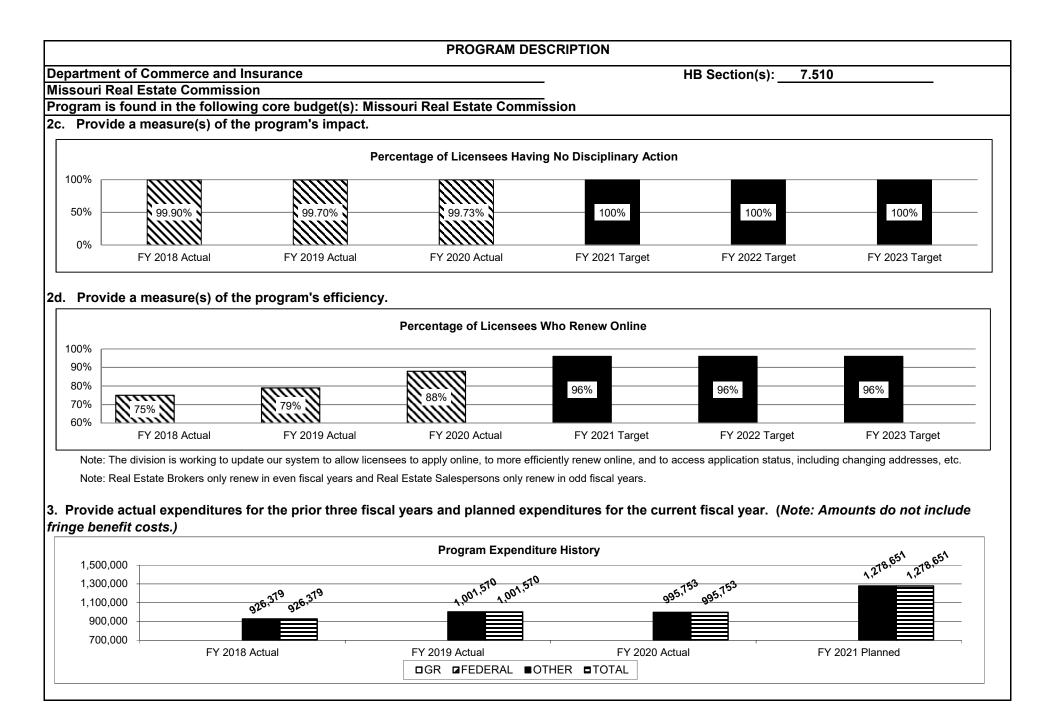
### 2b. Provide a measure(s) of the program's quality.

|         |                | Percentage of Lice | ensees Satisfied / Highly S | ausned with the Licensur | e Flocess      |                |
|---------|----------------|--------------------|-----------------------------|--------------------------|----------------|----------------|
| 01.00%  |                |                    |                             |                          |                |                |
| 100.00% |                |                    |                             |                          |                |                |
| 99.00%  | 99.09%         | 99.33%             | 99.28%                      | 100%                     | 100%           | 100%           |
| 98.00%  | 11111          |                    |                             |                          |                |                |
|         | FY 2018 Actual | FY 2019 Actual     | FY 2020 Actual              | FY 2021 Target           | FY 2022 Target | FY 2023 Target |

Note: Real Estate Brokers only renew in even fiscal years and Real Estate Salespersons only renew in odd fiscal years.

Licensees were surveyed about their experience with the commission's licensure procedures, customer service, website and communications.

HB Section(s): 7.510



| PROGRAM DES  | CRIPTION   |
|--|--|
| Department of Commerce and Insurance   | HB Section(s): 7.510                                 |
| Missouri Real Estate Commission  |  |
| Program is found in the following core budget(s): Missouri Real Estate Commis        | ssion  |
| 4. What are the sources of the "Other " funds?                                       |  |
| Missouri Real Estate Commission Fund (0638)  |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.? | (Include the federal program number, if applicable.) |
| State Statute: Sections 339.010-339.205 and 339.710-339.855, RSMo.                   |  |
| 6. Are there federal matching requirements? If yes, please explain.                  |  |
| N/A  |  |
| 7 le this a faderally mandated program? If you places avalain                        |  |
| <ol> <li>Is this a federally mandated program? If yes, please explain.</li> </ol>    |  |
| NO   |  |

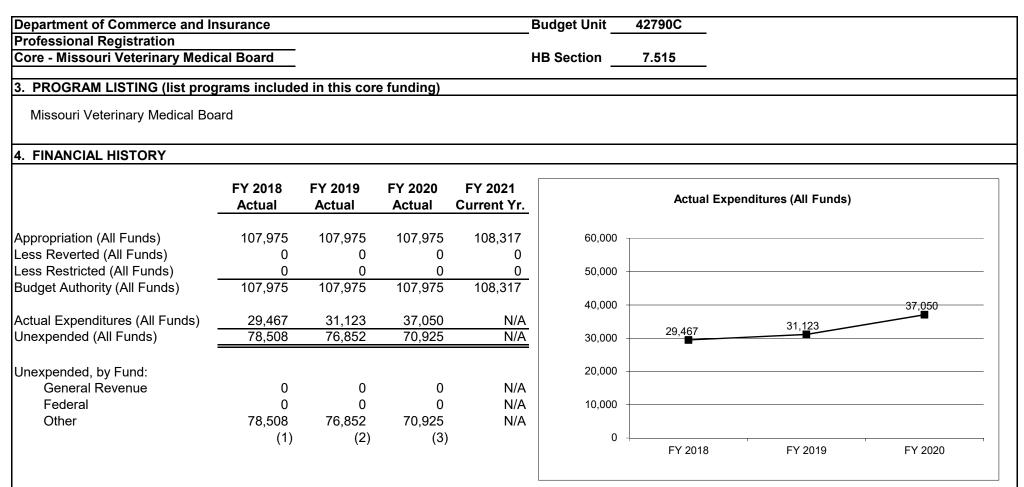
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| Department of Co    | ommerce and Insur    | ance        |                 |         | Budget Unit      | 42790C            |               |                |         |
|---------------------|----------------------|-------------|-----------------|---------|------------------|-------------------|---------------|----------------|---------|
| Professional Reg    | istration            |             |                 |         |                  |                   |               |                |         |
| Core - Missouri V   | eterinary Medical    | Board       |                 |         | HB Section       | 7.515             |               |                |         |
| I. CORE FINANC      | IAL SUMMARY          |             |                 |         |                  |                   |               |                |         |
|                     | FY 2                 | 022 Budge   | et Request      |         |                  | FY 2022 Go        | overnor's R   | ecommenda      | tion    |
|                     | GR F                 | ederal      | Other           | Total   |                  | GR                | Federal       | Other          | Total   |
| PS                  | 0                    | 0           | 0               | 0       | PS               | 0                 | 0             | 0              | 0       |
| E                   | 0                    | 0           | 108,317         | 108,317 | EE               | 0                 | 0             | 108,317        | 108,317 |
| PSD                 | 0                    | 0           | 0               | 0       | PSD              | 0                 | 0             | 0              | 0       |
| ſRF                 | 0                    | 0           | 0               | 0       | TRF              | 0                 | 0             | 0              | 0       |
| ſotal               | 0                    | 0           | 108,317         | 108,317 | Total            | 0                 | 0             | 108,317        | 108,317 |
| TE                  | 0.00                 | 0.00        | 0.00            | 0.00    | FTE              | 0.00              | 0.00          | 0.00           | 0.00    |
| Est. Fringe         | 0                    | 0           | 0               | 0       | Est. Fringe      | 0                 | 0             | 0              | 0       |
| Vote: Fringes bud   | lgeted in House Bill | 5 except fo | r certain fring | es      | Note: Fringes b  | udgeted in Hous   | e Bill 5 exce | pt for certain | fringes |
| oudgeted directly t | to MoDOT, Highway    | Patrol, and | d Conservatio   | n.      | budgeted directl | y to MoDOT, Hig   | hway Patro    | l, and Conser  | vation. |
| Other Funds:        | Veterinary Medical   | Board Fund  | d (0639)        |         | Other Funds: Ve  | eterinary Medical | Board Fund    | d (0639)       |         |
| 2. CORE DESCRI      | ΡΤΙΟΝ                |             |                 |         |                  |                   |               |                |         |

This core supports the Missouri Veterinary Medical Board which regulates veterinarians, veterinary technicians and veterinary facilities in Missouri. The board was established in 1905 by the general assembly. The board is composed of the state veterinarian who serves as ex officio and five appointed members. Board members are appointed by the Governor with the advice and consent of the Senate.

Duties of the board, including but not limited to, are to:

- Examine and determine qualifications for the licensing of veterinarians
- Provide for the registration of veterinary technicians
- Issue veterinary facility permits
- Issue, renew, deny, suspend, revoke, place on probation or otherwise discipline licenses, certificates and permits
- Maintain annual renewal records
- Issue temporary licenses under certain conditions
- Adopt rules and regulations to execute and enforce statutory law
- Establish fees for licenses and facility permits at a level to produce revenues for the execution of the practice act
- Investigate complaints based on alleged violations of the practice act
- Address and dispose of complaints through disciplinary hearings, informal conferences or other legal means if necessary
- Establish minimum standards for the practice of veterinary medicine



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## NOTES:

- (1) Unexpended amount is due to less than anticipated expenditures.
- (2) Unexpended amount is due to less than anticipated expenditures.
- (3) Unexpended amount is due to less than anticipated expenditures.

#### CORE RECONCILIATION DETAIL

### DEPT OF COMMERCE AND INSURANCE

MO VETERINARY MEDICAL BOARD

### 5. CORE RECONCILIATION DETAIL

|                         | Budget |      | 05 |         |   | 04      |         | _ |  |  |
|-------------------------|--------|------|----|---------|---|---------|---------|---|--|--|
|                         | Class  | FTE  | GR | Federal |   | Other   | Total   | E |  |  |
| TAFP AFTER VETOES       |        |      |    |         |   |         |         |   |  |  |
|                         | EE     | 0.00 | C  | (       | 0 | 108,317 | 108,317 |   |  |  |
|                         | Total  | 0.00 | C  |         | 0 | 108,317 | 108,317 | , |  |  |
| DEPARTMENT CORE REQUEST |        |      |    |         |   |         |         |   |  |  |
|                         | EE     | 0.00 | C  | (       | C | 108,317 | 108,317 | , |  |  |
|                         | Total  | 0.00 | C  |         | 0 | 108,317 | 108,317 | - |  |  |
| GOVERNOR'S RECOMMENDED  | CORE   |      |    |         |   |         |         |   |  |  |
|                         | EE     | 0.00 | C  | (       | ) | 108,317 | 108,317 |   |  |  |
|                         | Total  | 0.00 | C  |         | 0 | 108,317 | 108,317 | - |  |  |

| DCI                         |          |         |           |         |           | DEC      | ISION ITEM | SUMMARY |
|-----------------------------|----------|---------|-----------|---------|-----------|----------|------------|---------|
| Budget Unit                 |          |         |           |         |           |          |            |         |
| Decision Item               | FY 2020  | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022    | FY 2022 |
| Budget Object Summary       | ACTUAL   | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC    | GOV REC |
| Fund                        | DOLLAR   | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR     | FTE     |
| MO VETERINARY MEDICAL BOARD |          |         |           |         |           |          |            |         |
| CORE                        |          |         |           |         |           |          |            |         |
| EXPENSE & EQUIPMENT         |          |         |           |         |           |          |            |         |
| VETERINARY MEDICAL BOARD    | 37,050   | 0.00    | 108,317   | 0.00    | 108,317   | 0.00     | 108,317    | 0.00    |
| TOTAL - EE                  | 37,050   | 0.00    | 108,317   | 0.00    | 108,317   | 0.00     | 108,317    | 0.00    |
| TOTAL                       | 37,050   | 0.00    | 108,317   | 0.00    | 108,317   | 0.00     | 108,317    | 0.00    |
| GRAND TOTAL                 | \$37,050 | 0.00    | \$108,317 | 0.00    | \$108,317 | 0.00     | \$108,317  | 0.00    |

| DCI                         |          |         |           |         |           | C        | <b>DECISION ITE</b> | EM DETAIL |
|-----------------------------|----------|---------|-----------|---------|-----------|----------|---------------------|-----------|
| Budget Unit                 | FY 2020  | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022             | FY 2022   |
| Decision Item               | ACTUAL   | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC             | GOV REC   |
| Budget Object Class         | DOLLAR   | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR              | FTE       |
| MO VETERINARY MEDICAL BOARD |          |         |           |         |           |          |                     |           |
| CORE                        |          |         |           |         |           |          |                     |           |
| TRAVEL, IN-STATE            | 5,842    | 0.00    | 6,742     | 0.00    | 6,742     | 0.00     | 6,742               | 0.00      |
| TRAVEL, OUT-OF-STATE        | 0        | 0.00    | 50        | 0.00    | 50        | 0.00     | 50                  | 0.00      |
| SUPPLIES                    | 13,931   | 0.00    | 12,350    | 0.00    | 12,350    | 0.00     | 12,350              | 0.00      |
| PROFESSIONAL DEVELOPMENT    | 900      | 0.00    | 2,450     | 0.00    | 2,450     | 0.00     | 2,450               | 0.00      |
| COMMUNICATION SERV & SUPP   | 1,460    | 0.00    | 2,000     | 0.00    | 2,000     | 0.00     | 2,000               | 0.00      |
| PROFESSIONAL SERVICES       | 13,246   | 0.00    | 77,225    | 0.00    | 77,225    | 0.00     | 77,225              | 0.00      |
| M&R SERVICES                | 590      | 0.00    | 1,500     | 0.00    | 1,500     | 0.00     | 1,500               | 0.00      |
| OFFICE EQUIPMENT            | 0        | 0.00    | 1,000     | 0.00    | 1,000     | 0.00     | 1,000               | 0.00      |
| OTHER EQUIPMENT             | 0        | 0.00    | 100       | 0.00    | 100       | 0.00     | 100                 | 0.00      |
| BUILDING LEASE PAYMENTS     | 0        | 0.00    | 1,000     | 0.00    | 1,000     | 0.00     | 1,000               | 0.00      |
| EQUIPMENT RENTALS & LEASES  | 0        | 0.00    | 700       | 0.00    | 700       | 0.00     | 700                 | 0.00      |
| MISCELLANEOUS EXPENSES      | 1,081    | 0.00    | 3,200     | 0.00    | 3,200     | 0.00     | 3,200               | 0.00      |
| TOTAL - EE                  | 37,050   | 0.00    | 108,317   | 0.00    | 108,317   | 0.00     | 108,317             | 0.00      |
| GRAND TOTAL                 | \$37,050 | 0.00    | \$108,317 | 0.00    | \$108,317 | 0.00     | \$108,317           | 0.00      |
| GENERAL REVENUE             | \$0      | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0                 | 0.00      |
| FEDERAL FUNDS               | \$0      | 0.00    | \$0       | 0.00    | \$0       | 0.00     | \$0                 | 0.00      |
| OTHER FUNDS                 | \$37,050 | 0.00    | \$108,317 | 0.00    | \$108,317 | 0.00     | \$108,317           | 0.00      |

### PROGRAM DESCRIPTION

Department of Commerce and Insurance

HB Section(s): 7.450 / 7.515

#### Missouri Veterinary Medical Board

#### Program is found in the following core budget(s): Professional Registration Administration, Missouri Veterinary Medical Board

| FY 2021 PLANNED           |         |         |         |  |  |  |  |
|---------------------------|---------|---------|---------|--|--|--|--|
| Veterinary PR Admin TOTAL |         |         |         |  |  |  |  |
| OTHER                     | 108,317 | 207,549 | 315,866 |  |  |  |  |

#### 1a. What strategic priority does this program address?

- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

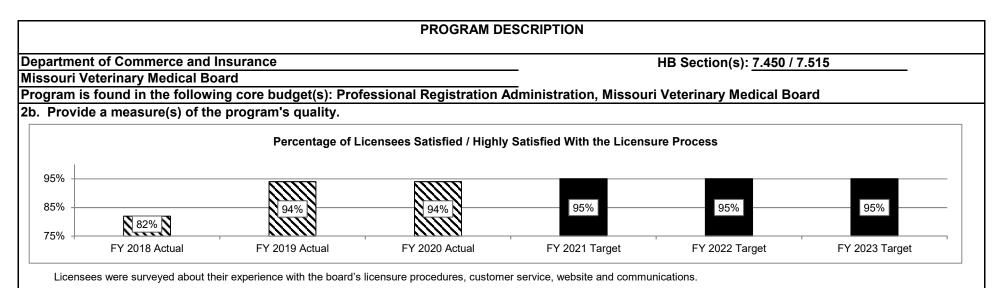
#### 1b. What does this program do?

Duties of the board, include but not limited to:

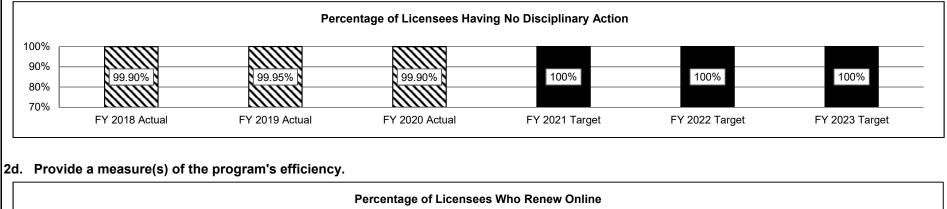
- Examine and determine qualifications for the licensing of veterinarians.
- Provide for the registration of veterinary technicians.
- Issue veterinary facility permits.
- Issue, renew, deny, suspend, revoke, place on probation or otherwise discipline licensees, certificates and permits.
- Maintain annual renewal records.
- Issue temporary licenses under certain conditions.
- Adopt rules and regulations to execute and enforce statutory law.
- Establish fees for licenses and facility permits at a level to produce revenues for the execution of the practice act.
- Investigate complaints based on alleged violations of the practice act.
- Address and dispose of complaints through disciplinary hearings, informal conferences or other legal means if necessary.
- Establish minimum standards for the practice of veterinary medicine.

#### 2a. Provide an activity measure(s) for the program.

|                        | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Target | FY 2022<br>Target | FY 2023<br>Target |
|------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Applications Received  | 527               | 532               | 523               | 523               | 523               | 523               |
| Licensed Professionals | 5,760             | 5,855             | 5,964             | 5,964             | 5,964             | 5,964             |
| Public Meetings Held   | 10                | 9                 | 11                | 10                | 10                | 10                |



# 2c. Provide a measure(s) of the program's impact.



|   |                |                | T creentage of Electisee |                |                |                |
|---|----------------|----------------|--------------------------|----------------|----------------|----------------|
|   | 75%            |                |                          |                |                |                |
|   | 70%            |                |                          |                |                |                |
|   | 65%            |                |                          |                |                |                |
|   |                | 67%            | 69%                      | 70%            | 70%            | 70%            |
|   | 60% 63%        |                |                          |                |                |                |
|   | 55%            |                |                          |                |                |                |
|   | FY 2018 Actual | FY 2019 Actual | FY 2020 Actual           | FY 2021 Target | FY 2022 Target | FY 2023 Target |
| 5 |                |                |                          |                |                |                |

Note: The division is working to update our system to allow licensees to apply online, to more efficiently renew online, and to access application status, including changing addresses, etc.

|   | PROGRAM DESC                        | RIPTION                                 |   |
|---|-------------------------------------|---|---|
| Department of Commerce and Insurance<br>Missouri Veterinary Medical Board   |                                     |   | s): <u>7.450 / 7.515</u>                |
| Program is found in the following core budget(s):<br>3. Provide actual expenditures for the prior three<br>fringe benefit costs.) | 2                                   |   |   |
| 400.000   | Program Expenditure                 | History                                 | 345,86 <sup>6</sup> 345,86 <sup>6</sup> |
| 300,000<br>200,000<br>100,000   | 149,599 119,599                     | 470,75 <sup>1</sup> 470,75 <sup>1</sup> |   |
| 0 FY 2018 Actual  | FY 2019 Actual<br>□GR □FEDERAL ■OTH | FY 2020 Actual<br>ER ■TOTAL             | FY 2021 Planned                         |
| 4. What are the sources of the "Other " funds?<br>Veterinary Medical Board Fund (0639)  |                                     |   |   |
| 5. What is the authorization for this program, i.e.,<br>State Statute: Sections 340.200-340.396, RSM                              | · · · ·                             | nclude the federal program nun          | nber, if applicable.)                   |
| 6. Are there federal matching requirements? If ye N/A   | es, please explain.                 |   |   |
| 7. Is this a federally mandated program? If yes, p<br>No  | blease explain.                     |   |   |

|   | nerce and Insu                         | rance                   |                                  |                                       | Budget Unit  | 42820C                                  |              |                |                 |
|---|--|-------------------------|----------------------------------|---------------------------------------|--|---|--------------|----------------|-----------------|
| Professional Registr<br>Core - Transfers to G   |  | 10                      |                                  |                                       | HB Section   | 7.520                                   |              |                |                 |
|   |  | le                      |                                  |                                       | HE Section _   | 7.520                                   |              |                |                 |
| 1. CORE FINANCIAL                               | SUMMARY                                |                         |                                  |                                       |  |   |              |                |                 |
|   | FY 2                                   | 022 Budg                | et Request                       |                                       |  | FY 2022 Go                              | vernor's l   | Recommend      | ation           |
|   | GR                                     | Federal                 | Other                            | Total                                 |  | GR F                                    | ederal       | Other          | Total           |
| PS  | 0                                      | 0                       | 0                                | 0                                     | PS   | 0                                       | 0            | 0              | 0               |
| EE  | 0                                      | 0                       | 0                                | 0                                     | EE   | 0                                       | 0            | 0              | 0               |
| PSD   | 0                                      | 0                       | 0                                | 0                                     | PSD  | 0                                       | 0            | 0              | 0               |
| TRF   | 0                                      | 0                       | 1,461,218                        | 1,461,218                             | TRF  | 0                                       | 0            | 1,461,218      | 1,461,218       |
| Total   | 0                                      | 0                       | 1,461,218                        | 1,461,218                             | Total  | 0                                       | 0            | 1,461,218      | 1,461,218       |
| FTE   | 0.00                                   | 0.00                    | 0.00                             | 0.00                                  | FTE  | 0.00                                    | 0.00         | 0.00           | 0.00            |
| Est. Fringe                                     | 0                                      | 0                       | 0                                | 0                                     | Est. Fringe  | 0                                       | 0            | 0              | 0               |
| Note: Fringes budgete<br>budgeted directly to M |  | ,                       |                                  |                                       | ÷  | budgeted in House<br>tly to MoDOT, Higl |              | •              | -               |
| Other Funds: Var                                | ious Profession                        | al Registra             | tion Funds                       |                                       | Other Funds: \   | /arious Professiona                     | al Registra  | tion Funds     |                 |
| 2. CORE DESCRIPTI                               | ON                                     |                         |                                  |                                       |  |   |              |                |                 |
| agencies from each                              | board's funds, i<br>ers allow for reir | moneys sut<br>nbursemer | ficient to rein<br>nt to General | nburse those othe<br>Revenue supporte | 01.5 RSMo., which state<br>r state agencies for all s<br>ed agencies (i.e. Attorne | services rendered a                     | and all faci | lities and sup | plies furnished |

Professional Registration Funds Transfer to General Revenue

| Department of Commerce and I                                | nsurance          |                   |                   |                        | Budget Unit | 42820C     |                       |         |
|---|-------------------|-------------------|-------------------|------------------------|-------------|------------|-----------------------|---------|
| Professional Registration                                   |                   |                   |                   |                        |             |            |                       |         |
| Core - Transfers to General Rev                             | enue              |                   |                   |                        | HB Section  | 7.520      |                       |         |
| 4. FINANCIAL HISTORY  |                   |                   |                   |                        |             |            |                       |         |
|   | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actual Exp | enditures (All Funds) |         |
| Appropriation (All Funds)<br>Less Reverted (All Funds)      | 1,461,218<br>0    | 1,461,218<br>0    | 1,461,218<br>0    | 1,461,218<br>0         | 1,000,000   |            |                       |         |
| Less Restricted (All Funds)<br>Budget Authority (All Funds) | 0<br>1,461,218    | 0<br>1,461,218    | 0                 | 0<br>1,461,218         | 800,000 -   |            |                       |         |
| Actual Expenditures (All Funds)                             | 367,017           | 358,950           | 275,010           | N/A                    | 600,000 -   |            |                       |         |
| Unexpended (All Funds)                                      | 1,094,201         | 1,102,268         | 1,186,208         | N/A                    |             | 367,017    | 358,950               |         |
| Unexpended, by Fund:  |                   |                   |                   |                        | 400,000 -   | <b>—</b>   |                       | 275,010 |
| General Revenue<br>Federal                                  | 0<br>0            | 0<br>0            | 0<br>0            | N/A<br>N/A             | 200,000 -   |            |                       |         |
| Other   | 1,094,201<br>(1)  | 1,102,268<br>(2)  | 1,186,208<br>(3)  | N/A                    | 0 +         |            | 1 1                   | 1       |
|   | ( )               | ( )               | (-)               |                        |             | FY 2018    | FY 2019               | FY 2020 |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

### NOTES:

(1) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.

(2) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.

(3) Unexpended amounts reflect variations in actual expenditures for services provided from General Revenue funded agencies.

#### CORE RECONCILIATION DETAIL

#### DEPT OF COMMERCE AND INSURANCE

PR FUND TRANSFER TO GR

### 5. CORE RECONCILIATION DETAIL

|                         | Budget |      |    |         |   |           |           |       |
|-------------------------|--------|------|----|---------|---|-----------|-----------|-------|
|                         | Class  | FTE  | GR | Federal |   | Other     | Total     | E     |
| TAFP AFTER VETOES       |        |      |    |         |   |           |           |       |
|                         | TRF    | 0.00 | C  |         | 0 | 1,461,218 | 1,461,218 | 3     |
|                         | Total  | 0.00 | C  |         | 0 | 1,461,218 | 1,461,218 | -<br> |
| DEPARTMENT CORE REQUEST |        |      |    |         |   |           |           |       |
|                         | TRF    | 0.00 | C  |         | 0 | 1,461,218 | 1,461,218 | }     |
|                         | Total  | 0.00 | C  |         | 0 | 1,461,218 | 1,461,218 | -     |
| GOVERNOR'S RECOMMENDED  | ORE    |      |    |         |   |           |           |       |
|                         | TRF    | 0.00 | C  |         | 0 | 1,461,218 | 1,461,218 | }     |
|                         | Total  | 0.00 | C  |         | 0 | 1,461,218 | 1,461,218 | -     |

| ıdget Unit                     |         |         |         |         |          |          |         |         |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------|---------|
| ecision Item                   | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022 | FY 2022 |
| Budget Object Summary          | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC | GOV REC |
| Fund                           | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR  | FTE     |
| FUND TRANSFER TO GR            |         |         |         |         |          |          |         |         |
| ORE                            |         |         |         |         |          |          |         |         |
| FUND TRANSFERS                 |         |         |         |         |          |          |         |         |
| HEARING INSTRUMENT SPECIALIST  | 0       | 0.00    | 17,500  | 0.00    | 17,500   | 0.00     | 17,500  | 0.00    |
| STATE COMMITTEE OF INTERPRETER | 0       | 0.00    | 7,800   | 0.00    | 7.800    | 0.00     | 7,800   | 0.00    |
| BRD OF GEOLOGIST REGISTRATION  | 0       | 0.00    | 7,200   | 0.00    | 7,200    | 0.00     | 7,200   | 0.00    |
| MO RE APPRS AND APPRMGMT COMPS | 14,014  | 0.00    | 155,000 | 0.00    | 155,000  | 0.00     | 155,000 | 0.00    |
| ENDOWED CARE CEMETERY AUDIT    | 69      | 0.00    | 10,500  | 0.00    | 10,500   | 0.00     | 10,500  | 0.00    |
| LICENSED SOCIAL WORKERS        | 21,031  | 0.00    | 22,500  | 0.00    | 22,500   | 0.00     | 22,500  | 0.00    |
| STATE COMMITTEE OF PSYCHOLOGST | 11.841  | 0.00    | 33,500  | 0.00    | 33,500   | 0.00     | 33,500  | 0.00    |
| BOARD OF ACCOUNTANCY           | 11,146  | 0.00    | 19,000  | 0.00    | 19,000   | 0.00     | 19,000  | 0.00    |
| BOARD OF PODIATRIC MEDICINE    | 7,004   | 0.00    | 15,999  | 0.00    | 15,999   | 0.00     | 15,999  | 0.00    |
| BOARD OF CHIROPRACTIC EXAMINER | 0       | 0.00    | 8,000   | 0.00    | 8,000    | 0.00     | 8,000   | 0.0     |
| BOARD OF EMBALM & FUN DIR      | 1,632   | 0.00    | 85,000  | 0.00    | 85,000   | 0.00     | 85,000  | 0.00    |
| BOARD OF REG FOR HEALING ARTS  | 5,441   | 0.00    | 100,000 | 0.00    | 100,000  | 0.00     | 100,000 | 0.00    |
| BOARD OF NURSING               | 17,939  | 0.00    | 135,000 | 0.00    | 135,000  | 0.00     | 135,000 | 0.00    |
| OPTOMETRY FUND                 | 0       | 0.00    | 13,408  | 0.00    | 13,408   | 0.00     | 13,408  | 0.00    |
| BOARD OF PHARMACY              | 19,686  | 0.00    | 119,000 | 0.00    | 119,000  | 0.00     | 119,000 | 0.0     |
| MO REAL ESTATE COMMISSION      | 111,840 | 0.00    | 250,000 | 0.00    | 250,000  | 0.00     | 250,000 | 0.00    |
| VETERINARY MEDICAL BOARD       | 16,609  | 0.00    | 55,000  | 0.00    | 55,000   | 0.00     | 55,000  | 0.00    |
| COMMITTEE OF PROF COUNSELORS   | 1,108   | 0.00    | 40,000  | 0.00    | 40,000   | 0.00     | 40,000  | 0.00    |
| DENTAL BOARD FUND              | 184     | 0.00    | 31,200  | 0.00    | 31,200   | 0.00     | 31,200  | 0.00    |
| BRD OF ARCH,ENG,LND SUR,LND AR | 190     | 0.00    | 122,100 | 0.00    | 122,100  | 0.00     | 122,100 | 0.0     |
| ATHLETIC FUND                  | 1,270   | 0.00    | 14,400  | 0.00    | 14,400   | 0.00     | 14,400  | 0.0     |
| MO ELECTRICAL INDUSTRY LIC     | 0       | 0.00    | 1       | 0.00    | 1        | 0.00     | 1       | 0.0     |
| ATHLETIC AGENT                 | 0       | 0.00    | 1,000   | 0.00    | 1,000    | 0.00     | 1,000   | 0.0     |
| BRD OF COSMETOLOGY & BARBER EX | 110     | 0.00    | 91,250  | 0.00    | 91,250   | 0.00     | 91,250  | 0.0     |
| BOARD OF PI&PI FIRE EXAMINERS  | 13,957  | 0.00    | 16,500  | 0.00    | 16,500   | 0.00     | 16,500  | 0.0     |
| MARITAL & FAMILY THERAPISTS    | 0       | 0.00    | 6,000   | 0.00    | 6,000    | 0.00     | 6,000   | 0.0     |
| RESPIRATORY CARE PRACTITIONERS | 2,861   | 0.00    | 28,000  | 0.00    | 28,000   | 0.00     | 28,000  | 0.0     |
| MO BRD OCCUPATIONAL THERAPY    | 0       | 0.00    | 8,960   | 0.00    | 8,960    | 0.00     | 8,960   | 0.0     |
| DIETITIAN                      | 0       | 0.00    | 1,200   | 0.00    | 1,200    | 0.00     | 1,200   | 0.0     |
| INTERIOR DESIGNER COUNCIL      | 0       | 0.00    | 1,200   | 0.00    | 1,200    | 0.00     | 1,200   | 0.0     |
| ACUPUNCTURIST                  | 0       | 0.00    | 1,000   | 0.00    | 1,000    | 0.00     | 1,000   | 0.00    |
| ΤΑΤΤΟΟ                         | 17.078  | 0.00    | 31,000  | 0.00    | 31,000   | 0.00     | 31,000  | 0.00    |

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im\_disummary

| DCI                    |           |         |             |         |             | DEC      | ISION ITEM  | SUMMARY |
|------------------------|-----------|---------|-------------|---------|-------------|----------|-------------|---------|
| Budget Unit            |           |         |             |         |             |          |             |         |
| Decision Item          | FY 2020   | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary  | ACTUAL    | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund                   | DOLLAR    | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| PR FUND TRANSFER TO GR |           |         |             |         |             |          |             |         |
| CORE                   |           |         |             |         |             |          |             |         |
| FUND TRANSFERS         |           |         |             |         |             |          |             |         |
| MASSAGE THERAPY        | 0         | 0.00    | 13,000      | 0.00    | 13,000      | 0.00     | 13,000      | 0.00    |
| TOTAL - TRF            | 275,010   | 0.00    | 1,461,218   | 0.00    | 1,461,218   | 0.00     | 1,461,218   | 0.00    |
| TOTAL                  | 275,010   | 0.00    | 1,461,218   | 0.00    | 1,461,218   | 0.00     | 1,461,218   | 0.00    |
| GRAND TOTAL            | \$275,010 | 0.00    | \$1,461,218 | 0.00    | \$1,461,218 | 0.00     | \$1,461,218 | 0.00    |

| DCI                    |           |         |             |         |             | 0        | ECISION IT  | EM DETAIL |
|------------------------|-----------|---------|-------------|---------|-------------|----------|-------------|-----------|
| Budget Unit            | FY 2020   | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022   |
| Decision Item          | ACTUAL    | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class    | DOLLAR    | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE       |
| PR FUND TRANSFER TO GR |           |         |             |         |             |          |             |           |
| CORE                   |           |         |             |         |             |          |             |           |
| TRANSFERS OUT          | 275,010   | 0.00    | 1,461,218   | 0.00    | 1,461,218   | 0.00     | 1,461,218   | 0.00      |
| TOTAL - TRF            | 275,010   | 0.00    | 1,461,218   | 0.00    | 1,461,218   | 0.00     | 1,461,218   | 0.00      |
| GRAND TOTAL            | \$275,010 | 0.00    | \$1,461,218 | 0.00    | \$1,461,218 | 0.00     | \$1,461,218 | 0.00      |
| GENERAL REVENUE        | \$0       | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS          | \$0       | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| OTHER FUNDS            | \$275,010 | 0.00    | \$1,461,218 | 0.00    | \$1,461,218 | 0.00     | \$1,461,218 | 0.00      |

| partment of Commerc                       | o and Insurance   |   | HB Soction  | (s): 7.520   |
|---|---|---|---|--|
|   | n Funds Transfer to General   | Rovonuo   |   | (5). 7.320   |
|   |   |   | _<br>gistration Funds Transfer to Gene  | ral Revenue  |
| What strategic prior                      | ity does this program addres  | s?  |   |  |
| See Professional Re                       | gistration Administration progra                                    | am descriptions.  |   |  |
| . What does this prog                     | ram do?   |   |   |  |
| appropriate to ot<br>rendered and all     | her state agencies from each b<br>facilities and supplies furnishe  | board's funds, moneys suffi<br>d to that board. These trans | 01.5, RSMo., which states in part, the<br>icient to reimburse those other state<br>sfers allow for reimbursement to Ger<br>aring Commission), for legal services, | agencies for all services<br>neral Revenue supported |
|   | measure(s) for the program.   |   | 2b. Provide a measure(s) of the   |  |
| •   | asures, see Professional Regis                                      | stration  | For performance measures, se  | e Professional Registration                          |
| program descriptions                      | 3.  |   | program descriptions.   |  |
|   | s) of the program's impact.<br>asures, see Professional Regis<br>s. | stration  | 2d. Provide a measure(s) of the<br>For performance measures, se<br>program descriptions.  |  |
| Provide actual expendinge benefit costs.) | litures for the prior three fisc                                    | al years and planned exp                                    | penditures for the current fiscal ye  | ar. (Note: Amounts do not inclu                      |
|   |   | Program Expendit  | ture History  |  |
| 1,500,000                                 |   |   |   |  |
| 1,250,000                                 |   |   |   |  |
| 1,000,000                                 | 367,017 367,017   | 358,950 358,950   | 0,0   | 6 <sup>59</sup> 6 <sup>59</sup>                      |
|   | 361, 361,   | 358,950 358,950   | 275,010 275,040   | 33 <sup>3,659</sup> 33 <sup>3,659</sup>              |
| 500,000                                   |   |   |   |  |
|   |   |   |   |  |
| 500,000                                   | FY 2018 Actual  | FY 2019 Actual<br>□ GR □ FEDERAL ■ 0                        | FY 2020 Actual  | FY 2021 Planned                                      |

- 4. What are the sources of the "Other " funds? Various Professional Registration Funds
- 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.) State Statute: Section 324.001.5, RSMo.
- 6. Are there federal matching requirements? If yes, please explain.
- 7. Is this a federally mandated program? If yes, please explain.
  - No

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|                                     | Commerce and Ins                           | surance      |            |           | Budget Unit             | 42830C  |            |           |           |
|-------------------------------------|--|--------------|------------|-----------|-------------------------|---------|------------|-----------|-----------|
| Professional Re<br>Core - Transfers | egistration<br>s to Professional           | Registration | Fees Fund  |           | HB Section              | 7.525   |            |           |           |
| . CORE FINAN                        | ICIAL SUMMARY                              |              |            |           |                         |         |            |           |           |
| -                                   |  | r 2022 Budg  | ot Poquest |           |                         | EV 2022 | Governor's | Recommend | ation     |
|                                     | GR   | Federal      | Other      | Total     |                         | GR      | Federal    | Other     | Total     |
| PS                                  | 0  | 0            | 0          | 0         | PS                      | 0       | 0          | 0         | 0         |
| Ē                                   | 0  | 0            | 0          | 0         | EE                      | 0       | 0          | 0         | 0         |
| SD                                  | 0  | 0            | 0          | 0         | PSD                     | 0       | 0          | 0         | 0         |
| TRF                                 | 0  | 0            | 9,665,697  | 9,665,697 | TRF                     | 0       | 0          | 9,665,697 | 9,665,697 |
| 「otal                               | 0  | 0            | 9,665,697  | 9,665,697 | Total                   | 0       | 0          | 9,665,697 | 9,665,697 |
| TE                                  | 0.00                                       | 0.00         | 0.00       | 0.00      | FTE                     | 0.00    | 0.00       | 0.00      | 0.00      |
| Est. Fringe                         | 0  | 0            | 0          | 0         | Est. Fringe             | 0       | 0          | 0         | 0         |
|                                     | udgeted in House E                         | Ŭ            | •          | -         | Note: Fringes k         | •       | •          | •         | •         |
| -                                   | / to MoDOT, Highw                          |              |            | -         | budgeted direct         | •       |            | •         | -         |
|                                     | fer allows the Divis<br>appropriate to the |              |            |           | carrying out the provis |         |            |           |           |
|                                     |  |              |            |           |                         |         |            |           |           |

| nsurance          |  |   |  | Budget Unit  | 42830C  |  |  |
|-------------------|--|---|--|--|---|--|--|
|                   | Fees Fund  |   |  | HB Section   | 7.525   |  |  |
|                   |  |   |  |  |   |  |  |
| FY 2018<br>Actual | FY 2019<br>Actual  | FY 2020<br>Actual   | FY 2021<br>Current Yr.   |  | Actual  | Expenditures (All Funds)                               |  |
| 8,829,032         | 9,665,697  | 9,665,697   | 9,665,697<br>0   | 9,000,000  |   |  |  |
| 0                 | 0  | 0<br>0<br>9.665.697   | 0<br>0<br>9.665.697  | . 8,000,000  |   | 8,015,831  | 8,244,588  |
| 7,021,811         | 8,015,831  | 8,244,588   |  | 7,000,000  | 7,021,81  |  |  |
| 1,807,221         | 1,649,866  | 1,421,109   | N/A  | 6,000,000  |   |  |  |
| 0                 | 0  | 0   |  | 5 000 000  |   |  |  |
| 1,807,221         | 1,649,866  | 1,421,109   |  |  |   |  |  |
| (1)               | (2)  | (3)   |  | 4,000,000  | FY 2018   | FY 2019  | FY 2020  |
|                   | <b>FY 2018</b><br>Actual<br>8,829,032<br>0<br>0<br>8,829,032<br>7,021,811<br>1,807,221<br>0<br>0 | FY 2018         FY 2019           Actual         Actual           8,829,032         9,665,697           0         0           0         0           8,829,032         9,665,697           0         0           7,021,811         8,015,831           1,807,221         1,649,866           0         0           1,807,221         1,649,866 | FY 2018         FY 2019         FY 2020           Actual         Actual         FY 2020           Actual         Actual         Actual           8,829,032         9,665,697         9,665,697           0         0         0           0         0         0           7,021,811         8,015,831         8,244,588           1,807,221         1,649,866         1,421,109           0         0         0           1,807,221         1,649,866         1,421,109 | FY 2018         FY 2019         FY 2020         FY 2021           Actual         Actual         Actual         Current Yr.           8,829,032         9,665,697         9,665,697         9,665,697           0         0         0         0           0         0         0         0           7,021,811         8,015,831         8,244,588         N/A           1,807,221         1,649,866         1,421,109         N/A           0         0         0         N/A           1,807,221         1,649,866         1,421,109         N/A | I Registration Fees FundHB SectionFY 2018FY 2019FY 2020FY 2021ActualActualCurrent Yr. $8,829,032$ $9,665,697$ $9,665,697$ $9,665,697$ $0$ $7,021,811$ $8,015,831$ $8,244,588$ $N/A$ $1,807,221$ $1,649,866$ $1,421,109$ $N/A$ $0$ $0$ $0$ $N/A$ $5,000,000$ $1,807,221$ $1,649,866$ $1,421,109$ $N/A$ | $\begin{array}{c c c c c c c c c c c c c c c c c c c $ | I Registration Fees Fund         HB Section         7.525           FY 2018         FY 2019         FY 2020         FY 2021         Actual         Actual         Current Yr. $8,829,032$ $9,665,697$ $9,665,697$ $9,665,697$ $9,665,697$ $9,000,000$ $9,000,000$ $0$ $0$ $0$ $0$ $0$ $0$ $8,000,000$ $8,000,000$ $8,829,032$ $9,665,697$ $9,665,697$ $9,665,697$ $9,665,697$ $9,000,000$ $8,000,000$ </td |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## NOTES:

(1) Unexpended amounts reflect variations in actual expenditures for services provided from Professional Registration Administration.

(2) Unexpended amounts reflect variations in actual expenditures for services provided from Professional Registration Administration.

(3) Unexpended amounts reflect variations in actual expenditures for services provided from Professional Registration Administration.

#### CORE RECONCILIATION DETAIL

### DEPT OF COMMERCE AND INSURANCE

### PR ADMINSTRATION TRANSFER

### 5. CORE RECONCILIATION DETAIL

|                         | Budget |      |    |         |   |           |           |   |
|-------------------------|--------|------|----|---------|---|-----------|-----------|---|
|                         | Class  | FTE  | GR | Federal |   | Other     | Total     | Ε |
| TAFP AFTER VETOES       |        |      |    |         |   |           |           |   |
|                         | TRF    | 0.00 | (  | )       | 0 | 9,665,697 | 9,665,697 | 7 |
|                         | Total  | 0.00 | (  | )       | 0 | 9,665,697 | 9,665,697 |   |
| DEPARTMENT CORE REQUEST |        |      |    |         |   |           |           |   |
|                         | TRF    | 0.00 | (  | )       | 0 | 9,665,697 | 9,665,697 | , |
|                         | Total  | 0.00 | (  | )       | 0 | 9,665,697 | 9,665,697 | - |
| GOVERNOR'S RECOMMENDED  | ORE    |      |    |         |   |           |           | _ |
|                         | TRF    | 0.00 | (  | )       | 0 | 9,665,697 | 9,665,697 | , |
|                         | Total  | 0.00 | (  |         | 0 | 9,665,697 | 9,665,697 | , |

| dget Unit                      |           |         |           |         |           |          |           |         |
|--------------------------------|-----------|---------|-----------|---------|-----------|----------|-----------|---------|
| ecision Item                   | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022   | FY 2022 |
| Budget Object Summary          | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |
| Fund                           | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |
| ADMINSTRATION TRANSFER         |           |         |           |         |           |          |           |         |
| ORE                            |           |         |           |         |           |          |           |         |
| FUND TRANSFERS                 |           |         |           |         |           |          |           |         |
| HEARING INSTRUMENT SPECIALIST  | 69,333    | 0.00    | 88,470    | 0.00    | 88,470    | 0.00     | 88,470    | 0.0     |
| STATE COMMITTEE OF INTERPRETER | 60,968    | 0.00    | 66,549    | 0.00    | 66,549    | 0.00     | 66,549    | 0.0     |
| BRD OF GEOLOGIST REGISTRATION  | 67,480    | 0.00    | 71,215    | 0.00    | 71,215    | 0.00     | 71,215    | 0.0     |
| MO RE APPRS AND APPRMGMT COMPS | 329,802   | 0.00    | 1,169,574 | 0.00    | 1,169,574 | 0.00     | 1,169,574 | 0.0     |
| ENDOWED CARE CEMETERY AUDIT    | 57,340    | 0.00    | 122,879   | 0.00    | 122,879   | 0.00     | 122,879   | 0.0     |
| LICENSED SOCIAL WORKERS        | 300,493   | 0.00    | 237,471   | 0.00    | 237,471   | 0.00     | 237,471   | 0.0     |
| STATE COMMITTEE OF PSYCHOLOGST | 384,970   | 0.00    | 348,058   | 0.00    | 348,058   | 0.00     | 348,058   | 0.0     |
| BOARD OF ACCOUNTANCY           | 174,225   | 0.00    | 176,701   | 0.00    | 176,701   | 0.00     | 176,701   | 0.0     |
| BOARD OF PODIATRIC MEDICINE    | 34,777    | 0.00    | 42,472    | 0.00    | 42,472    | 0.00     | 42,472    | 0.0     |
| BOARD OF CHIROPRACTIC EXAMINER | 122,403   | 0.00    | 143,327   | 0.00    | 143,327   | 0.00     | 143,327   | 0.0     |
| BOARD OF EMBALM & FUN DIR      | 626,069   | 0.00    | 836,714   | 0.00    | 836,714   | 0.00     | 836,714   | 0.0     |
| BOARD OF REG FOR HEALING ARTS  | 372,909   | 0.00    | 433,431   | 0.00    | 433,431   | 0.00     | 433,431   | 0.0     |
| BOARD OF NURSING               | 926,399   | 0.00    | 1,104,260 | 0.00    | 1,104,260 | 0.00     | 1,104,260 | 0.0     |
| OPTOMETRY FUND                 | 115,874   | 0.00    | 102,381   | 0.00    | 102,381   | 0.00     | 102,381   | 0.0     |
| BOARD OF PHARMACY              | 327,232   | 0.00    | 318,869   | 0.00    | 318,869   | 0.00     | 318,869   | 0.0     |
| MO REAL ESTATE COMMISSION      | 335,430   | 0.00    | 540,206   | 0.00    | 540,206   | 0.00     | 540,206   | 0.0     |
| VETERINARY MEDICAL BOARD       | 232,889   | 0.00    | 188,724   | 0.00    | 188,724   | 0.00     | 188,724   | 0.0     |
| COMMITTEE OF PROF COUNSELORS   | 314,284   | 0.00    | 283,797   | 0.00    | 283,797   | 0.00     | 283,797   | 0.0     |
| DENTAL BOARD FUND              | 66,059    | 0.00    | 100,584   | 0.00    | 100,584   | 0.00     | 100,584   | 0.0     |
| BRD OF ARCH,ENG,LND SUR,LND AR | 227,638   | 0.00    | 278,472   | 0.00    | 278,472   | 0.00     | 278,472   | 0.0     |
| ATHLETIC FUND                  | 285,053   | 0.00    | 241,144   | 0.00    | 241,144   | 0.00     | 241,144   | 0.0     |
| MO ELECTRICAL INDUSTRY LIC     | 0         | 0.00    | 86,666    | 0.00    | 86,666    | 0.00     | 86,666    | 0.0     |
| ATHLETIC AGENT                 | 2,167     | 0.00    | 3,737     | 0.00    | 3,737     | 0.00     | 3,737     | 0.0     |
| BRD OF COSMETOLOGY & BARBER EX | 1,899,439 | 0.00    | 1,664,242 | 0.00    | 1,664,242 | 0.00     | 1,664,242 | 0.0     |
| BOARD OF PI&PI FIRE EXAMINERS  | 107,987   | 0.00    | 186,721   | 0.00    | 186,721   | 0.00     | 186,721   | 0.0     |
| MARITAL & FAMILY THERAPISTS    | 19,623    | 0.00    | 19,024    | 0.00    | 19,024    | 0.00     | 19,024    | 0.0     |
| RESPIRATORY CARE PRACTITIONERS | 91,447    | 0.00    | 137,692   | 0.00    | 137,692   | 0.00     | 137,692   | 0.0     |
| MO BRD OCCUPATIONAL THERAPY    | 101,159   | 0.00    | 138,152   | 0.00    | 138,152   | 0.00     | 138,152   | 0.0     |
| DIETITIAN                      | 45,754    | 0.00    | 56,348    | 0.00    | 56,348    | 0.00     | 56,348    | 0.0     |
| INTERIOR DESIGNER COUNCIL      | 3,810     | 0.00    | 42,037    | 0.00    | 42,037    | 0.00     | 42,037    | 0.0     |
| ACUPUNCTURIST                  | 6,551     | 0.00    | 13,444    | 0.00    | 13,444    | 0.00     | 13,444    | 0.0     |
| ΤΑΤΤΟΟ                         | 129,265   | 0.00    | 81,254    | 0.00    | 81,254    | 0.00     | 81,254    | 0.0     |

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| DCI                       |             |         |             |         |             | DEC      | ISION ITEM  | SUMMARY |
|---------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|---------|
| Budget Unit               |             |         |             |         |             |          |             |         |
| Decision Item             | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary     | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund                      | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| PR ADMINSTRATION TRANSFER |             |         |             |         |             |          |             |         |
| CORE                      |             |         |             |         |             |          |             |         |
| FUND TRANSFERS            |             |         |             |         |             |          |             |         |
| MASSAGE THERAPY           | 405,759     | 0.00    | 341,082     | 0.00    | 341,082     | 0.00     | 341,082     | 0.00    |
| TOTAL - TRF               | 8,244,588   | 0.00    | 9,665,697   | 0.00    | 9,665,697   | 0.00     | 9,665,697   | 0.00    |
| TOTAL                     | 8,244,588   | 0.00    | 9,665,697   | 0.00    | 9,665,697   | 0.00     | 9,665,697   | 0.00    |
| GRAND TOTAL               | \$8,244,588 | 0.00    | \$9,665,697 | 0.00    | \$9,665,697 | 0.00     | \$9,665,697 | 0.00    |

| DCI                       |             |         |             |         |             | 0        | DECISION IT | EM DETAIL |
|---------------------------|-------------|---------|-------------|---------|-------------|----------|-------------|-----------|
| Budget Unit               | FY 2020     | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022   |
| Decision Item             | ACTUAL      | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC   |
| Budget Object Class       | DOLLAR      | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE       |
| PR ADMINSTRATION TRANSFER |             |         |             |         |             |          |             |           |
| CORE                      |             |         |             |         |             |          |             |           |
| TRANSFERS OUT             | 8,244,588   | 0.00    | 9,665,697   | 0.00    | 9,665,697   | 0.00     | 9,665,697   | 0.00      |
| TOTAL - TRF               | 8,244,588   | 0.00    | 9,665,697   | 0.00    | 9,665,697   | 0.00     | 9,665,697   | 0.00      |
| GRAND TOTAL               | \$8,244,588 | 0.00    | \$9,665,697 | 0.00    | \$9,665,697 | 0.00     | \$9,665,697 | 0.00      |
| GENERAL REVENUE           | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| FEDERAL FUNDS             | \$0         | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0         | 0.00      |
| OTHER FUNDS               | \$8,244,588 | 0.00    | \$9,665,697 | 0.00    | \$9,665,697 | 0.00     | \$9,665,697 | 0.00      |

### **PROGRAM DESCRIPTION**

Department of Commerce and Insurance

Professional Registration Funds Transfer to Professional Registration Fees Fund Program is found in the following core budget(s): Transfer to Professional Registration Fees Fund

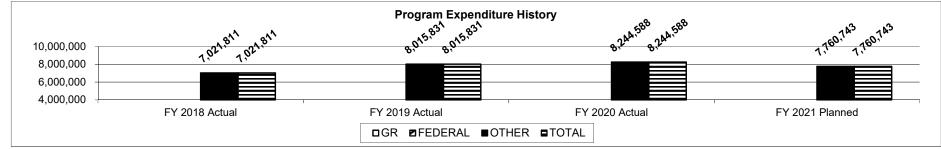
**1a. What strategic priority does this program address?** See Professional Registration program descriptions.

### 1b. What does this program do?

- This core transfer allows the Division of Professional Registration to operate by carrying out the provision of Subsection 324.001.5. RSMo., which states the general assembly shall appropriate to the division from each board's fund, moneys sufficient to reimburse the division for all services rendered and all facilities and supplies furnished to that board.
- 2a. Provide an activity measure(s) for the program. For performance measures, see Professional Registration program descriptions.
- **2c.** Provide a measure(s) of the program's impact. For performance measures, see Professional Registration program descriptions.

- **2b. Provide a measure(s) of the program's quality.** For performance measures, see Professional Registration program descriptions.
- **2d. Provide a measure(s) of the program's efficiency.** For performance measures, see Professional Registration program descriptions.

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



Note: FY20 Planned is based on the prior thee year average.

#### 4. What are the sources of the "Other " funds? Various Professional Registration Funds

- 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.) State Statute: Section 324.001.5, RSMo.
- 6. Are there federal matching requirements? If yes, please explain. N/A
- 7. Is this a federally mandated program? If yes, please explain.

#### No

HB Section(s): 7.525

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| Professional Regis   | nmerce and Ins  | urance      |              |         | Budget Unit | 42850C          |              |           |         |
|----------------------|-----------------|-------------|--------------|---------|-------------|-----------------|--------------|-----------|---------|
|                      | tration         |             |              |         | • _         |                 |              |           |         |
| Core - Transfers fo  | r Start Up Loan | s for New B | oard Program | ns      | HB Section  | 7.530           |              |           |         |
|                      | AL SUMMARY      |             |              |         |             |                 |              |           |         |
|                      | FY              | 2022 Budge  | t Request    |         |             | FY 2022         | Governor's R | ecommenda | tion    |
|                      | GR              | Federal     | Other        | Total   |             | GR              | Federal      | Other     | Total   |
| PS                   | 0               | 0           | 0            | 0       | PS          | 0               | 0            | 0         | 0       |
| E                    | 0               | 0           | 0            | 0       | EE          | 0               | 0            | 0         | 0       |
| PSD                  | 0               | 0           | 0            | 0       | PSD         | 0               | 0            | 0         | 0       |
| TRF                  | 0               | 0           | 200,000      | 200,000 | TRF         | 0               | 0            | 200,000   | 200,000 |
| Fotal                | 0               | 0           | 200,000      | 200,000 | Total       | 0               | 0            | 200,000   | 200,000 |
| FTE                  | 0.00            | 0.00        | 0.00         | 0.00    | FTE         | 0.00            | 0.00         | 0.00      | 0.00    |
| Est. Fringe          | 0               | 0           | 0            | 0       | Est. Fringe | 0               | 0            | 0         | 0       |
| Note: Fringes budge  | •               | Ů,          | -            | -       |             | budgeted in Hou | •            | -         | •       |
| budgeted directly to |                 |             | •            |         | •           | tly to MoDOT, H |              |           | -       |
| 2. CORE DESCRIPT     | TION            |             |              |         |             |                 |              |           |         |

| Department of Commerce and Insurance   |                                |                                |                                |                                | Budget Unit   | 42850C                          |
|--|--------------------------------|--------------------------------|--------------------------------|--------------------------------|---------------|---------------------------------|
| Professional Registration  |                                |                                |                                |                                |               |                                 |
| Core - Transfers for Start Up Lo   | ans for New E                  | Board Progra                   | ims                            | -                              | HB Section    | 7.530                           |
| 4. FINANCIAL HISTORY   |                                |                                |                                |                                |               |                                 |
|  | FY 2018<br>Actual              | FY 2019<br>Actual              | FY 2020<br>Actual              | FY 2021<br>Current Yr.         | _             | Actual Expenditures (All Funds) |
| Appropriation (All Funds)<br>Less Reverted (All Funds)<br>Less Restricted (All Funds)<br>Budget Authority (All Funds)<br>Actual Expenditures (All Funds) | 200,000<br>0<br>200,000<br>0   | 200,000<br>0<br>200,000<br>0   | 200,000<br>0<br>200,000<br>0   | 200,000<br>0<br>200,000<br>N/A |               |                                 |
| Unexpended (All Funds)<br>Unexpended, by Fund:<br>General Revenue<br>Federal<br>Other  | 200,000<br>0<br>200,000<br>(1) | 200,000<br>0<br>200,000<br>(2) | 200,000<br>0<br>200,000<br>(3) | N/A<br>N/A<br>N/A              | = 0<br>0<br>0 |                                 |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## NOTES:

(1) No transfers needed in FY 2018.(2) No transfers needed in FY 2019.(2) No transfers needed in FY 2020.

#### CORE RECONCILIATION DETAIL

#### DEPT OF COMMERCE AND INSURANCE

PR STARTUP LOANS

## 5. CORE RECONCILIATION DETAIL

|                         | Budget | FTF  | 00 | - |        | Other   | Tatal   |             |
|-------------------------|--------|------|----|---|--------|---------|---------|-------------|
|                         | Class  | FTE  | GR | F | ederal | Other   | Total   | Ε           |
| TAFP AFTER VETOES       |        |      |    |   |        |         |         |             |
|                         | TRF    | 0.00 |    | 0 | 0      | 200,000 | 200,000 | )           |
|                         | Total  | 0.00 |    | 0 | 0      | 200,000 | 200,000 | )           |
| DEPARTMENT CORE REQUEST |        |      |    |   |        |         |         |             |
|                         | TRF    | 0.00 |    | C | 0      | 200,000 | 200,000 | )           |
|                         | Total  | 0.00 |    | 0 | 0      | 200,000 | 200,000 | _<br>)<br>_ |
| GOVERNOR'S RECOMMENDED  | CORE   |      |    |   |        |         |         |             |
|                         | TRF    | 0.00 |    | D | 0      | 200,000 | 200,000 | )           |
|                         | Total  | 0.00 |    | 0 | 0      | 200,000 | 200,000 |             |

| DCI                           |         |         |               |         |           | DEC      | ISION ITEM | SUMMARY |
|-------------------------------|---------|---------|---------------|---------|-----------|----------|------------|---------|
| Budget Unit                   |         |         |               |         |           |          |            |         |
| Decision Item                 | FY 2020 | FY 2020 | FY 2021       | FY 2021 | FY 2022   | FY 2022  | FY 2022    | FY 2022 |
| Budget Object Summary         | ACTUAL  | ACTUAL  | BUDGET        | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC    | GOV REC |
| Fund                          | DOLLAR  | FTE     | DOLLAR        | FTE     | DOLLAR    | FTE      | DOLLAR     | FTE     |
| PR STARTUP LOANS              |         |         |               |         |           |          |            |         |
| CORE                          |         |         |               |         |           |          |            |         |
| FUND TRANSFERS                |         |         |               |         |           |          |            |         |
| BOARD OF REG FOR HEALING ARTS |         | 0 0     | .00 200,000   | 0.00    | 200,000   | 0.00     | 200,000    | 0.00    |
| TOTAL - TRF                   |         | 0 0     | .00 200,000   | 0.00    | 200,000   | 0.00     | 200,000    | 0.00    |
| TOTAL                         |         | 0 0     | .00 200,000   | 0.00    | 200,000   | 0.00     | 200,000    | 0.00    |
| GRAND TOTAL                   | ;       | \$0 C   | .00 \$200,000 | 0.00    | \$200,000 | 0.00     | \$200,000  | 0.00    |

| DCI                          |                 |                   |                   |                   |                   |                     | [                   | DECISION IT        | EM DETAIL          |
|------------------------------|-----------------|-------------------|-------------------|-------------------|-------------------|---------------------|---------------------|--------------------|--------------------|
| Budget Unit<br>Decision Item |                 | FY 2020<br>ACTUAL | FY 2020<br>ACTUAL | FY 2021<br>BUDGET | FY 2021<br>BUDGET | FY 2022<br>DEPT REQ | FY 2022<br>DEPT REQ | FY 2022<br>GOV REC | FY 2022<br>GOV REC |
| Budget Object Class          |                 | DOLLAR            | FTE               | DOLLAR            | FTE               | DOLLAR              | FTE                 | DOLLAR             | FTE                |
| PR STARTUP LOANS<br>CORE     |                 |                   |                   |                   |                   |                     |                     |                    |                    |
| TRANSFERS OUT                |                 | C                 | 0.00              | 200,000           | 0.00              | 200,000             | 0.00                | 200,000            | 0.00               |
| TOTAL - TRF                  |                 | C                 | 0.00              | 200,000           | 0.00              | 200,000             | 0.00                | 200,000            | 0.00               |
| GRAND TOTAL                  |                 | \$0               | 0.00              | \$200,000         | 0.00              | \$200,000           | 0.00                | \$200,000          | 0.00               |
| (                            | GENERAL REVENUE | \$0               | 0.00              | \$0               | 0.00              | \$0                 | 0.00                | \$0                | 0.00               |
|                              | FEDERAL FUNDS   | \$0               | 0.00              | \$0               | 0.00              | \$0                 | 0.00                | \$0                | 0.00               |
|                              | OTHER FUNDS     | \$0               | 0.00              | \$200,000         | 0.00              | \$200,000           | 0.00                | \$200,000          | 0.00               |

|   | Commerce and Insurance  |                                 | HB Section(s): 7.530   |                               |  |  |  |  |
|---|---|---------------------------------|--|-------------------------------|--|--|--|--|
|   | artup Loans for New Board Program<br>Ind in the following core budget(s):   |                                 | or Now Board Programs  |                               |  |  |  |  |
| -                                       | • • • • • •   | •                               |  |                               |  |  |  |  |
|   | egic priority does this program addression of Professional Registration program   |                                 |  |                               |  |  |  |  |
| b. What doe                             | s this program do?  |                                 |  |                               |  |  |  |  |
| profe:<br>appro                         | core transfer is necessary to carry out the ssional registration shall have the autho priation for a new board. This authority tions and repay the amount borrowed. | rity to borrow funds from any a | gency within the division to commenc   | e operations upon             |  |  |  |  |
| For perfo                               | <b>n activity measure(s) for the program</b><br>mance measures, see Professional Re<br>ation program descriptions.  |                                 | <b>2b. Provide a measure(s) of the program's quality.</b><br>For performance measures, see Professional Registration<br>Administration program descriptions. |                               |  |  |  |  |
| For perfo                               | measure(s) of the program's impact.<br>mance measures, see Professional Re<br>ation program descriptions.   |                                 | 2d. Provide a measure(s) of the program's efficiency.<br>For performance measures, see Professional Registration<br>Administration program descriptions.     |                               |  |  |  |  |
| 8. Provide act<br>ringe benefit         | ual expenditures for the prior three f<br>costs.)   | iscal years and planned expe    | nditures for the current fiscal year.  | (Note: Amounts do not includ  |  |  |  |  |
| 250,000                                 |   | Program Expenditu               | re History   |                               |  |  |  |  |
| 200.000                                 |   |                                 |  |                               |  |  |  |  |
| 200,000<br>150,000<br>100,000           |   |                                 |  |                               |  |  |  |  |
| 200,000<br>150,000<br>100,000<br>50,000 | 0   | 0 0                             | 0 0  | 0 0                           |  |  |  |  |
| 200,000<br>150,000<br>100,000           | <b>0</b> 0<br>FY 2018 Actual  | S S FY 2019 Actual              | C C FY 2020 Actual   | <b>v v</b><br>FY 2021 Planned |  |  |  |  |

- 6. Are there federal matching requirements? If yes, please explain.  $_{N/A}$
- 7. Is this a federally mandated program? If yes, please explain.

No

| Professional Registration       HB Section       7.535         I. CORE FINANCIAL SUMMARY       FY 2022 Budget Request       FY 2022 Governo's Recommendation         Set       0       0       0       0       0       0         Set       0       0       0       0       0       0       0         Set       0  |  | commerce and Insu   | ance                       |                                |  | Budget Unit           | 42860C            |              |   |         |  |  |  |  |  |  |
|---|--|---|----------------------------|--------------------------------|--|-----------------------|-------------------|--------------|---|---------|--|--|--|--|--|--|
| In the second s |  |   |                            |                                |  |                       |                   |              |   |         |  |  |  |  |  |  |
| FY 2022 Budget Request       FY 2022 Governor's Recommendation         GR       Federal       Other       Total       GR       Federal       Other       Total         S       0       0       0       0       0       0       0       0       0         SD       0       0       0       0       0       0       0       0       0         SR       0       0       320,000       320,000       TRF       0       0       320,000       320,000         THE       0.00       0.00       0.00       0.00       0       0       0       320,000       320,000         St. Fringe       0       <   | Core - Transfers   | for Start Up Loan F   | 'ayback                    |                                |  | HB Section            | 7.535             |              |   |         |  |  |  |  |  |  |
| GR       Federal       Other       Total       PS       GR       Federal       Other       Total         PS       0   | . CORE FINAN   | CIAL SUMMARY  |                            |                                |  |                       |                   |              |   |         |  |  |  |  |  |  |
| GR       Federal       Other       Total       PS       GR       Federal       Other       Total         PS       0   |  | FY 2  | 022 Budge                  | t Request                      |  |                       | FY 2022 G         | overnor's R  | val         Other         Total           0         0         0           0         0         0           0         0         0           0         320,000         320,000           0         320,000         320,000           0         320,000         320,000           0         0.00         0.00           0         0         0           0         0         0           0         0         0           0         0         0           5         except for certain fringes           Patrol, and Conservation.         gistration Funds |         |  |  |  |  |  |  |
| EE       0       0       0       0       0       PSD       0       0       0       0       0         NFF       0       0       320,000       320,000       Total       0       0       320,000       320,000         TTE       0.00  |  |   | -                          | •                              | Total                                      |                       | GR                | Federal      | Other   | Total   |  |  |  |  |  |  |
| SD<br>refer       0<  | <b>v</b> S   | 0   | 0                          | 0                              | 0  | PS                    | 0                 | 0            | 0   | 0       |  |  |  |  |  |  |
| RF       0       0       320,000<   | E  | 0   | 0                          | 0                              | 0  | EE                    | 0                 | 0            | 0   | 0       |  |  |  |  |  |  |
| Otal       0       0       320,000       320,000       Total       0       0       320,000       320,000         TE       0.00       <  | SD   | 0   | 0                          | 0                              | 0  | PSD                   | 0                 | 0            | 0   | 0       |  |  |  |  |  |  |
| TE       0.00       0.00       0.00       0.00       FTE       0.00       0.00       0.00       0.00         Est. Fringe       0 <td>RF</td> <td>0</td> <td>0</td> <td>320,000</td> <td>320,000</td> <td>TRF</td> <td>0</td> <td>0</td> <td>320,000</td> <td>320,000</td>   | RF   | 0   | 0                          | 320,000                        | 320,000                                    | TRF                   | 0                 | 0            | 320,000   | 320,000 |  |  |  |  |  |  |
| Est. Fringe       0 <th< td=""><td>otal</td><td>0</td><td>0</td><td>320,000</td><td>320,000</td><td>Total</td><td>0</td><td>0</td><td>320,000</td><td>320,000</td></th<>  | otal   | 0   | 0                          | 320,000                        | 320,000                                    | Total                 | 0                 | 0            | 320,000   | 320,000 |  |  |  |  |  |  |
| Note:       Fringes budgeted in House Bill 5 except for certain fringes         Nudgeted directly to MoDOT, Highway Patrol, and Conservation.       Note:         Other Funds:       Various Professional Registration Funds         Description       Corrections of Section 324.016 RSMo., which states in part, the director of the division of professional registration share the authority to borrow funds from any agency within the division to commence operations upon appropriation for a new board. This authority shall cease at time that a sufficient fund has been established by the new board to fund its operations and repay the amount borrowed.         PROGRAM LISTING (list programs included in this core funding)  | TE   | 0.00  | 0.00                       | 0.00                           | 0.00                                       | FTE                   | 0.00              | 0.00         | 0.00  | 0.00    |  |  |  |  |  |  |
| Note:       Fringes budgeted in House Bill 5 except for certain fringes<br>budgeted directly to MoDOT, Highway Patrol, and Conservation.         Note:       Fringes budgeted in House Bill 5 except for certain fringes<br>budgeted directly to MoDOT, Highway Patrol, and Conservation.         Other Funds:       Various Professional Registration Funds         Other transfer is necessary to carry out the provisions of Section 324.016 RSMo., which states in part, the director of the division of professional registration suphave the authority to borrow funds from any agency within the division to commence operations upon appropriation for a new board. This authority shall cease at time that a sufficient fund has been established by the new board to fund its operations and repay the amount borrowed.         B. PROGRAM LISTING (list programs included in this core funding)  | -<br>Set Eringo  |   | 0                          | 0                              | 0  | Est Eringo            | 0                 | 0            | 0   | 0       |  |  |  |  |  |  |
| budgeted directly to MoDOT, Highway Patrol, and Conservation.       budgeted directly to MoDOT, Highway Patrol, and Conservation.         Dther Funds:       Various Professional Registration Funds       Other Funds: Various Professional Registration Funds         c. CORE DESCRIPTION       This core transfer is necessary to carry out the provisions of Section 324.016 RSMo., which states in part, the director of the division of professional registration sh have the authority to borrow funds from any agency within the division to commence operations upon appropriation for a new board. This authority shall cease at time that a sufficient fund has been established by the new board to fund its operations and repay the amount borrowed.         B. PROGRAM LISTING (list programs included in this core funding)   |  |   |                            |                                |  |                       | -                 | -            | -   | -       |  |  |  |  |  |  |
| Other Funds:       Various Professional Registration Funds       Other Funds: Various Professional Registration Funds         CORE DESCRIPTION       This core transfer is necessary to carry out the provisions of Section 324.016 RSMo., which states in part, the director of the division of professional registration sl have the authority to borrow funds from any agency within the division to commence operations upon appropriation for a new board. This authority shall cease at time that a sufficient fund has been established by the new board to fund its operations and repay the amount borrowed.         B. PROGRAM LISTING (list programs included in this core funding)  |  |   |                            |                                |  |                       |                   |              |   |         |  |  |  |  |  |  |
| This core transfer is necessary to carry out the provisions of Section 324.016 RSMo., which states in part, the director of the division of professional registration s have the authority to borrow funds from any agency within the division to commence operations upon appropriation for a new board. This authority shall cease at time that a sufficient fund has been established by the new board to fund its operations and repay the amount borrowed.   | -  |   | al Registrati              | ion Funds                      |  | Other Funds: V        | arious Professior | al Registrat | ion Funds   |         |  |  |  |  |  |  |
|   | . CORE DESCR   |   |                            |                                |  |                       |                   |              |   |         |  |  |  |  |  |  |
| Transfer for Startup Loans Payback  | This core transf have the author   | fer is necessary to ca<br>rity to borrow funds fr   | om any age                 | ency within th                 | e division to com                          | nence operations upon | appropriation for | a new boar   |   |         |  |  |  |  |  |  |
|   | This core transf<br>have the author<br>time that a suffi                           | fer is necessary to ca<br>rity to borrow funds fr<br>icient fund has been o                               | rom any age<br>established | ency within th<br>by the new b | e division to comr<br>ooard to fund its op | nence operations upon | appropriation for | a new boar   |   |         |  |  |  |  |  |  |
|   | This core transf<br>have the author<br>time that a suffice<br><b>B. PROGRAM LI</b> | fer is necessary to ca<br>rity to borrow funds fr<br>icient fund has been<br><b>ISTING (list progra</b> n | ns included                | ency within th<br>by the new b | e division to comr<br>ooard to fund its op | nence operations upon | appropriation for | a new boar   |   |         |  |  |  |  |  |  |
|   | This core transf<br>have the author<br>time that a suffice<br><b>B. PROGRAM LI</b> | fer is necessary to ca<br>rity to borrow funds fr<br>icient fund has been<br><b>ISTING (list progra</b> n | ns included                | ency within th<br>by the new b | e division to comr<br>ooard to fund its op | nence operations upon | appropriation for | a new boar   |   |         |  |  |  |  |  |  |

| Department of Commerce and       | nsurance          |                   |                   |                        | Budget Unit | 42860C                          |
|----------------------------------|-------------------|-------------------|-------------------|------------------------|-------------|---------------------------------|
| Professional Registration        |                   |                   |                   |                        |             |                                 |
| Core - Transfers for Start Up Lo | oan Payback       |                   |                   |                        | HB Section  | 7.535                           |
| 4. FINANCIAL HISTORY             |                   |                   |                   |                        |             |                                 |
|                                  | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |             | Actual Expenditures (All Funds) |
| Appropriation (All Funds)        | 320,000           | 320,000           | 320,000           | 320,000                | 45,000      | Т                               |
| Less Reverted (All Funds)        | 0                 | 0                 | 0                 | 0                      |             |                                 |
| Less Restricted (All Funds)      | 0                 | 0                 | 0                 | 0                      | 37,500      |                                 |
| Budget Authority (All Funds)     | 320,000           | 320,000           | 320,000           | 320,000                |             |                                 |
|                                  |                   |                   |                   |                        | 30,000      |                                 |
| Actual Expenditures (All Funds)  | 10,000            | 10,000            | 0                 | N/A                    |             |                                 |
| Unexpended (All Funds)           | 310,000           | 310,000           | 320,000           | N/A                    | 22,500      |                                 |
| Unexpended, by Fund:             |                   |                   |                   |                        | 15,000      |                                 |
| General Revenue                  | 0                 | 0                 | 0                 | N/A                    |             | 10,000 10,000                   |
| Federal                          | 0                 | 0                 | 0                 | N/A                    |             |                                 |
| Other                            | 310,000           | 310,000           | 320,000           | N/A                    |             |                                 |
|                                  | (1)               | (2)               | (3)               |                        | 0           |                                 |
|                                  | (-)               | (-)               | (-)               |                        |             | FY 2018 FY 2019 FY 2020         |

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

# NOTES:

(1) Startup loans payback from Board of Private Investigator and Private Fire Investigator Examiners.

(2) Startup loans payback from Board of Private Investigator and Private Fire Investigator Examiners.

(3) There were no payments made for Start Up Loans Payback in FY2020.

#### CORE RECONCILIATION DETAIL

# DEPT OF COMMERCE AND INSURANCE

PR STARTUP LOANS PAYBACK

#### 5. CORE RECONCILIATION DETAIL

|                         | Budget |      |    |         |   |         |         |     |
|-------------------------|--------|------|----|---------|---|---------|---------|-----|
|                         | Class  | FTE  | GR | Federal |   | Other   | Total   | Exp |
| TAFP AFTER VETOES       |        |      |    |         |   |         |         |     |
|                         | TRF    | 0.00 | 0  | 0       | ) | 320,000 | 320,000 | )   |
|                         | Total  | 0.00 | 0  | 0       |   | 320,000 | 320,000 | )   |
| DEPARTMENT CORE REQUEST |        |      |    |         |   |         |         |     |
|                         | TRF    | 0.00 | 0  | 0       | ) | 320,000 | 320,000 | )   |
|                         | Total  | 0.00 | 0  | 0       | ) | 320,000 | 320,000 | )   |
| GOVERNOR'S RECOMMENDED  | ORE    |      |    |         |   |         |         | -   |
|                         | TRF    | 0.00 | 0  | 0       | ) | 320,000 | 320,000 | )   |
|                         | Total  | 0.00 | 0  | 0       | ) | 320,000 | 320,000 |     |

| DCI                            |         |      |      |           |         |           | DEC      | ISION ITEM | SUMMARY |
|--------------------------------|---------|------|------|-----------|---------|-----------|----------|------------|---------|
| Budget Unit                    |         |      |      |           |         |           |          |            |         |
| Decision Item                  | FY 2020 | FY 2 | 020  | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022    | FY 2022 |
| Budget Object Summary          | ACTUAL  | ACTI | JAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC    | GOV REC |
| Fund                           | DOLLAR  | FT   | E    | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR     | FTE     |
| PR STARTUP LOANS PAYBACK       |         |      |      |           |         |           |          |            |         |
| CORE                           |         |      |      |           |         |           |          |            |         |
| FUND TRANSFERS                 |         |      |      |           |         |           |          |            |         |
| PROFESSIONAL REGISTRATION FEES |         | 0    | 0.00 | 320,000   | 0.00    | 320,000   | 0.00     | 320,000    | 0.00    |
| TOTAL - TRF                    |         | 0    | 0.00 | 320,000   | 0.00    | 320,000   | 0.00     | 320,000    | 0.00    |
| TOTAL                          |         | 0    | 0.00 | 320,000   | 0.00    | 320,000   | 0.00     | 320,000    | 0.00    |
| GRAND TOTAL                    |         | \$0  | 0.00 | \$320,000 | 0.00    | \$320,000 | 0.00     | \$320,000  | 0.00    |

| DCI                              |                   |                   |                   |                   |                     | 0                   | <b>DECISION ITE</b> | EM DETAIL          |
|----------------------------------|-------------------|-------------------|-------------------|-------------------|---------------------|---------------------|---------------------|--------------------|
| Budget Unit<br>Decision Item     | FY 2020<br>ACTUAL | FY 2020<br>ACTUAL | FY 2021<br>BUDGET | FY 2021<br>BUDGET | FY 2022<br>DEPT REQ | FY 2022<br>DEPT REQ | FY 2022<br>GOV REC  | FY 2022<br>GOV REC |
| Budget Object Class              | DOLLAR            | FTE               | DOLLAR            | FTE               | DOLLAR              | FTE                 | DOLLAR              | FTE                |
| PR STARTUP LOANS PAYBACK<br>CORE |                   |                   |                   |                   |                     |                     |                     |                    |
| TRANSFERS OUT                    | 0                 | 0.00              | 320,000           | 0.00              | 320,000             | 0.00                | 320,000             | 0.00               |
| TOTAL - TRF                      | 0                 | 0.00              | 320,000           | 0.00              | 320,000             | 0.00                | 320,000             | 0.00               |
| GRAND TOTAL                      | \$0               | 0.00              | \$320,000         | 0.00              | \$320,000           | 0.00                | \$320,000           | 0.00               |
| GENERAL REVENUE                  | \$0               | 0.00              | \$0               | 0.00              | \$0                 | 0.00                | \$0                 | 0.00               |
| FEDERAL FUNDS                    | \$0               | 0.00              | \$0               | 0.00              | \$0                 | 0.00                | \$0                 | 0.00               |
| OTHER FUNDS                      | \$0               | 0.00              | \$320,000         | 0.00              | \$320,000           | 0.00                | \$320,000           | 0.00               |

|  | nerce and Insurance  |  | HB Section   | n(s): 7.535                   |
|--|--|--|--|-------------------------------|
| ransfer for Startup                      |  |  |  |                               |
| Program is found in                      | the following core budget(s):  | Transfer for Startup Loa                                   | ns Payback   |                               |
|  | riority does this program add<br>Professional Registration progra        |  |  |                               |
| b. What does this                        | program do?  |  |  |                               |
| professiona<br>appropriatic              | l registration shall have the auth                                       | nority to borrow funds from<br>ty shall cease at such time | 24.016 RSMo., which states in part, the<br>any agency within the division to comm<br>that a sufficient fund has been establish | ence operations upon          |
|  | v <b>ity measure(s) for the progra</b><br>e measures, see Professional R |  | <b>2b. Provide a measure(s) of the</b><br>For performance measures, se   |                               |
| Administration p                         | rogram descriptions.   |  | Administration program descri  | ptions.                       |
|  | ure(s) of the program's impac<br>e measures, see Professional R          |  | <b>2d. Provide a measure(s) of the</b><br>For performance measures, se   |                               |
| Administration p                         | rogram descriptions.   | -  | Administration program descri  | ptions.                       |
| Provide actual ex<br>inge benefit costs. |  |  | expenditures for the current fiscal ye   | ear. (Note: Amounts do not in |
| 100,000                                  |  | Program Exp  |  |                               |
| 75,000                                   |  | 2 0  |  |                               |
| 50,000                                   | <sup>7</sup> 0,000<br><sup>7</sup> 0,000                                 | 10,000<br>10,000   | ·  |                               |
| 25,000                                   |  |  | •  | 0                             |
| 0 +                                      | FY 2018 Actual   | FY 2019 Actual   | FY 2020 Actual   | FY 2021 Planned               |
|  |  | GR DFEDERAL  | ■OTHER ■TOTAL  |                               |
|  |  |  |  |                               |
|  | rces of the "Other " funds?<br>Investigator and Private Fire In          | vestigator Examiners Fund                                  | (0802)   |                               |
|  | rization for this program, i.e.,<br>ection 324.016, RSMo.                | federal or state statute, o                                | etc.? (Include the federal program nu  | mber, if applicable.)         |
| <b>Are there federal</b>                 | matching requirements? If ye   | es, please explain.  |  |                               |
|  |  |  |  |                               |
|  | mandated program? If yes, p  | lease explain  |  |                               |

No

| Department of C   | ommerce and Ins    | surance          |                  |             | Budget Unit   | 42930C          |                |               |               |
|-------------------|--------------------|------------------|------------------|-------------|---------------|-----------------|----------------|---------------|---------------|
| Office of the Pul | olic Counsel       |                  |                  |             |               |                 |                |               |               |
| Core - Office of  | the Public Couns   | el               |                  |             | HB Section    | 7.550           |                |               |               |
| 1. CORE FINAN     | CIAL SUMMARY       |                  |                  |             |               |                 |                |               |               |
|                   | F                  | Y 2022 Budg      | et Request       |             |               | FY 2022         | Governor's     | Recommen      | dation        |
|                   | GR                 | Federal          | Other            | Total       |               | GR              | Fed            | Other         | Total         |
| PS                | 939,551            | 0                | 0                | 939,551     | PS            | 939,551         | 0              | 0             | 939,551       |
| EE                | 94,415             | 0                | 0                | 94,415      | EE            | 94,415          | 0              | 0             | 94,415        |
| PSD               | 0                  | 0                | 0                | 0           | PSD           | 0               | 0              | 0             | 0             |
| TRF               | 0                  | 0                | 0                | 0           | TRF           | 0               | 0              | 0             | 0             |
| Total             | 1,033,966          | 0                | 0                | 1,033,966   | Total         | 1,033,966       | 0              | 0             | 1,033,966     |
| FTE               | 16.00              | 0.00             | 0.00             | 16.00       | FTE           | 16.00           | 0.00           | 0.00          | 16.00         |
| Est. Fringe       | 535,947            | 0                | 0                | 535,947     | Est. Fringe   | 535,947         | 0              | 0             | 535,947       |
| Note: Fringes bu  | dgeted in House E  | Bill 5 except fo | or certain fring | es budgeted | Note: Fringe  | s budgeted in F | louse Bill 5 e | except for ce | rtain fringes |
| directly to MoDO  | T, Highway Patrol, | and Conserv      | ation.           |             | budgeted dire | ectly to MoDOT  | , Highway Pa   | atrol, and Co | nservation.   |

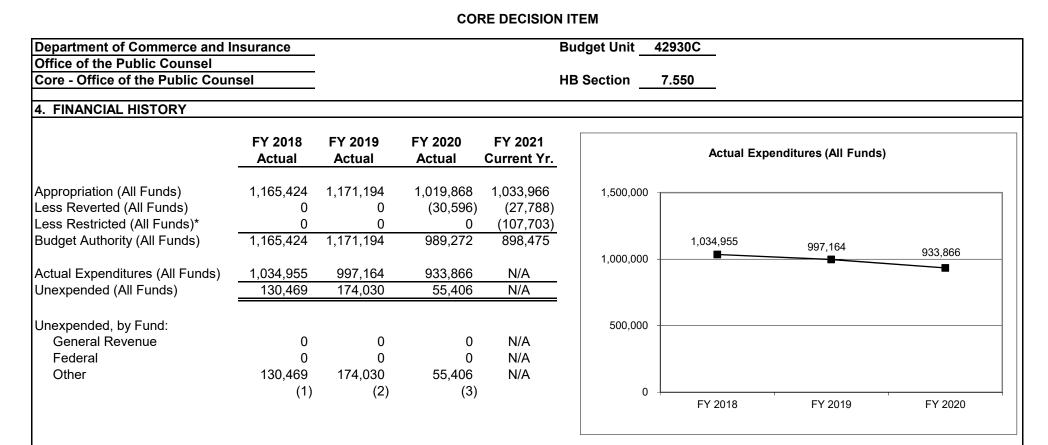
#### 2. CORE DESCRIPTION

This core request will provide Public Counsel with sufficient expertise and resources to represent customers of regulated Missouri utility companies as well as to assist and inform Missourians with property rights concerns. This request funds attorneys and a technical staff that provide expert analysis and recommendations to the Public Service Commission (PSC) and in the courts. Public Counsel advocates for the interests of all residential and commercial consumers of investor-owned utilities in Missouri, with a particular focus on residential and small business consumers who have no other representation. The Public Counsel also has the authority to appeal PSC decisions through the court system when necessary to protect consumer interests. Through the ombudsman for property rights, Public Counsel provides guidance to individuals seeking information about the condemnation process. Further, due to investor-owned utilities becoming more involved with the legislative process, this has further required us to work with lawmakers to provide information and education on a number of topics.

#### 3. PROGRAM LISTING (list programs included in this core funding)

Office of the Public Counsel

(The Public Counsel is the statutory representative of utility consumers in cases before the Public Service Commission and in the courts, and provides guidance to individuals facing property rights issues.)



Reverted includes Governor's standard 3 percent reserve (when applicable). Restricted includes any extraordinary expenditure restriction (when applicable).

# NOTES:

(1) Actual Expenditures for FY 2018 provided by the Department of Economic Development.

(2) Actual Expenditures for FY 2019 provided by the Department of Economic Development.

(3) Unexpended amount is due to less than anticipated expenditures.

#### DEPT OF COMMERCE AND INSURANCE

**OFFICE OF PUBLIC COUNSEL** 

#### 5. CORE RECONCILIATION DETAIL

|                         | Budget |       |           |         |       |   |           |             |
|-------------------------|--------|-------|-----------|---------|-------|---|-----------|-------------|
|                         | Class  | FTE   | GR        | Federal | Other |   | Total     | E           |
| TAFP AFTER VETOES       |        |       |           |         |       |   |           |             |
|                         | PS     | 16.00 | 939,551   | 0       | (     | ) | 939,551   |             |
|                         | EE     | 0.00  | 94,415    | 0       | (     | ) | 94,415    |             |
|                         | Total  | 16.00 | 1,033,966 | 0       | (     | ) | 1,033,966 | -<br>;<br>= |
| DEPARTMENT CORE REQUEST |        |       |           |         |       |   |           |             |
|                         | PS     | 16.00 | 939,551   | 0       | (     | ) | 939,551   |             |
|                         | EE     | 0.00  | 94,415    | 0       | (     | ) | 94,415    |             |
|                         | Total  | 16.00 | 1,033,966 | 0       |       | ) | 1,033,966 | -           |
| GOVERNOR'S RECOMMENDED  | CORE   |       |           |         |       |   |           |             |
|                         | PS     | 16.00 | 939,551   | 0       | (     | ) | 939,551   |             |
|                         | EE     | 0.00  | 94,415    | 0       | (     | ) | 94,415    | _           |
|                         | Total  | 16.00 | 1,033,966 | 0       | (     | ) | 1,033,966 | i           |

| DCI   |                             |                          |                             |                          |                               | DEC                        | ISION ITEM                   | SUMMARY                   |
|---|-----------------------------|--------------------------|-----------------------------|--------------------------|-------------------------------|----------------------------|------------------------------|---------------------------|
| Budget Unit<br>Decision Item<br>Budget Object Summary<br>Fund | FY 2020<br>ACTUAL<br>DOLLAR | FY 2020<br>ACTUAL<br>FTE | FY 2021<br>BUDGET<br>DOLLAR | FY 2021<br>BUDGET<br>FTE | FY 2022<br>DEPT REQ<br>DOLLAR | FY 2022<br>DEPT REQ<br>FTE | FY 2022<br>GOV REC<br>DOLLAR | FY 2022<br>GOV REC<br>FTE |
| OFFICE OF PUBLIC COUNSEL                                      |                             |                          |                             |                          |                               |                            |                              |                           |
| CORE  |                             |                          |                             |                          |                               |                            |                              |                           |
| PERSONAL SERVICES<br>GENERAL REVENUE                          | 900,770                     | 14.18                    | 939,551                     | 16.00                    | 939,551                       | 16.00                      | 939,551                      | 16.00                     |
| TOTAL - PS  | 900,770                     | 14.18                    | 939,551                     | 16.00                    | 939,551                       | 16.00                      | 939,551                      | 16.00                     |
| EXPENSE & EQUIPMENT<br>GENERAL REVENUE                        | 33,096                      | 0.00                     | 94,415                      | 0.00                     | 94,415                        | 0.00                       | 94,415                       | 0.00                      |
| TOTAL - EE  | 33,096                      | 0.00                     | 94,415                      | 0.00                     | 94,415                        | 0.00                       | 94,415                       | 0.00                      |
| TOTAL   | 933,866                     | 14.18                    | 1,033,966                   | 16.00                    | 1,033,966                     | 16.00                      | 1,033,966                    | 16.00                     |
| Pay Plan - 0000012  |                             |                          |                             |                          |                               |                            |                              |                           |
| PERSONAL SERVICES<br>GENERAL REVENUE                          | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 9,398                        | 0.00                      |
| TOTAL - PS  | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 9,398                        | 0.00                      |
| TOTAL   | 0                           | 0.00                     | 0                           | 0.00                     | 0                             | 0.00                       | 9,398                        | 0.00                      |
| GRAND TOTAL   | \$933,866                   | 14.18                    | \$1,033,966                 | 16.00                    | \$1,033,966                   | 16.00                      | \$1,043,364                  | 16.00                     |

# FLEXIBILITY REQUEST FORM

|   | 42930C  |  | DEPARTMENT:                                     | Commerce and Insurance   |
|---|---|--|---|--|
|   | Office of the Pu<br>7.550                             | ıblic Counsel  | DIVISION:                                       | Office of the Public Counsel   |
| •   | nd explain wh   | y the flexibility is needed. I   | If flexibility is bein                          | expense and equipment flexibility you are requesting in g requested among divisions, provide the amount by flexibility is needed.  |
|   |   | DEPARTME   | NT REQUEST                                      |  |
| needed to ensure the office's ability<br>Because of the office's history of us<br>efficiently.<br>Total - PS - \$939,551 * 10% = \$93,5<br>Total - E&E - \$94,415 * 10% = \$9,4 | y to immediately a<br>sing most of the c<br>955<br>42 | address any identified operationa<br>office's Personal Services and Ea                       | al modifications to en<br>&E appropriations eac | ase and Equipment appropriation. This increased flexibility is<br>sure the provision of the highest quality services to Missourians.<br>ch year, the added flexibility will allow the office to operate more |
| Budget? Please specify the a  | mount.  |  |   |  |
| PRIOR YEAR<br>ACTUAL AMOUNT OF FLEXIE   | BILITY USED   | CURRENT Y<br>ESTIMATED AMO<br>FLEXIBILITY THAT W   | OUNT OF   | BUDGET REQUEST<br>ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED   |
| \$0   |   | Expenditures in PS and E&E w<br>based on needs to cover opera<br>address emergency and chang | ational expenses,                               | Expenditures in PS and E&E will differ annually based on needs to cover operational expenses, address emergency and changing situations, etc.  |
| 3. Please explain how flexibility v   | was used in the                                       | prior and/or current years.  |   | ·  |
|   | PRIOR YEAR<br>AIN ACTUAL U                            | SE   |   | CURRENT YEAR<br>EXPLAIN PLANNED USE  |
| Flexibility was not used in the prior   | year.   |  |   | en used in the current year, but any use this year would likely<br>o help our PS budget if necessary.  |

| DCI                            |         |         |         |         |          | C        | <b>DECISION ITI</b> | EM DETAIL |
|--------------------------------|---------|---------|---------|---------|----------|----------|---------------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022             | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC             | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR              | FTE       |
| OFFICE OF PUBLIC COUNSEL       |         |         |         |         |          |          |                     |           |
| CORE                           |         |         |         |         |          |          |                     |           |
| ADMIN OFFICE SUPPORT ASSISTANT | 38,847  | 1.00    | 40,225  | 1.00    | 0        | 0.00     | 0                   | 0.00      |
| SR OFFICE SUPPORT ASSISTANT    | 31,798  | 0.93    | 35,334  | 1.00    | 0        | 0.00     | 0                   | 0.00      |
| PUBLIC UTILITY ACCOUNTANT I    | 38,141  | 1.00    | 40,010  | 1.00    | 0        | 0.00     | 0                   | 0.00      |
| PUBLIC UTILITY ACCOUNTANT III  | 107,367 | 2.00    | 112,551 | 2.00    | 0        | 0.00     | 0                   | 0.00      |
| UTILITY REGULATORY MNGR, BAND1 | 72,582  | 0.95    | 77,250  | 1.00    | 0        | 0.00     | 0                   | 0.00      |
| DIVISION DIRECTOR              | 93,174  | 1.00    | 96,004  | 1.00    | 94,667   | 1.00     | 94,667              | 1.00      |
| DESIGNATED PRINCIPAL ASST DIV  | 237,263 | 3.00    | 236,440 | 4.00    | 241,066  | 3.00     | 241,066             | 3.00      |
| ASSOCIATE COUNSEL              | 11,066  | 0.21    | 0       | 0.00    | 0        | 0.00     | 0                   | 0.00      |
| SENIOR COUNSEL                 | 160,026 | 2.63    | 184,665 | 3.00    | 175,296  | 3.50     | 175,296             | 3.50      |
| DEPUTY COUNSEL                 | 73,474  | 1.00    | 76,795  | 1.00    | 74,652   | 1.00     | 74,652              | 1.00      |
| MISCELLANEOUS PROFESSIONAL     | 37,032  | 0.46    | 39,516  | 1.00    | 39,516   | 0.50     | 39,516              | 0.50      |
| SPECIAL ASST PROFESSIONAL      | 0       | 0.00    | 761     | 0.00    | 0        | 0.00     | 0                   | 0.00      |
| ADMIN SUPPORT ASSISTANT        | 0       | 0.00    | 0       | 0.00    | 47,818   | 2.00     | 47,818              | 2.00      |
| LEAD ADMIN SUPPORT ASSISTANT   | 0       | 0.00    | 0       | 0.00    | 39,470   | 1.00     | 39,470              | 1.00      |
| UTILITY REGULATORY AUDITOR     | 0       | 0.00    | 0       | 0.00    | 38,753   | 1.00     | 38,753              | 1.00      |
| SR UTILITY REGULATORY AUDITOR  | 0       | 0.00    | 0       | 0.00    | 111,063  | 2.00     | 111,063             | 2.00      |
| UTILITY REGULATORY MANAGER     | 0       | 0.00    | 0       | 0.00    | 77,250   | 1.00     | 77,250              | 1.00      |
| TOTAL - PS                     | 900,770 | 14.18   | 939,551 | 16.00   | 939,551  | 16.00    | 939,551             | 16.00     |
| TRAVEL, IN-STATE               | 2,637   | 0.00    | 5,100   | 0.00    | 5,100    | 0.00     | 5,100               | 0.00      |
| TRAVEL, OUT-OF-STATE           | 4,025   | 0.00    | 9,369   | 0.00    | 9,369    | 0.00     | 9,369               | 0.00      |
| SUPPLIES                       | 8,522   | 0.00    | 21,431  | 0.00    | 21,431   | 0.00     | 21,431              | 0.00      |
| PROFESSIONAL DEVELOPMENT       | 9,973   | 0.00    | 28,400  | 0.00    | 28,400   | 0.00     | 28,400              | 0.00      |
| COMMUNICATION SERV & SUPP      | 5,298   | 0.00    | 5,600   | 0.00    | 5,600    | 0.00     | 5,600               | 0.00      |
| PROFESSIONAL SERVICES          | 1,586   | 0.00    | 21,645  | 0.00    | 21,645   | 0.00     | 21,645              | 0.00      |
| M&R SERVICES                   | 1,011   | 0.00    | 1,000   | 0.00    | 1,000    | 0.00     | 1,000               | 0.00      |
| COMPUTER EQUIPMENT             | 0       | 0.00    | 170     | 0.00    | 170      | 0.00     | 170                 | 0.00      |
| OFFICE EQUIPMENT               | 0       | 0.00    | 1,500   | 0.00    | 1,500    | 0.00     | 1,500               | 0.00      |

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| DCI                      |           |         |             |         |             | C        | <b>DECISION ITE</b> | EM DETAIL |
|--------------------------|-----------|---------|-------------|---------|-------------|----------|---------------------|-----------|
| Budget Unit              | FY 2020   | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022             | FY 2022   |
| Decision Item            | ACTUAL    | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC             | GOV REC   |
| Budget Object Class      | DOLLAR    | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR              | FTE       |
| OFFICE OF PUBLIC COUNSEL |           |         |             |         |             |          |                     |           |
| CORE                     |           |         |             |         |             |          |                     |           |
| MISCELLANEOUS EXPENSES   | 44        | 0.00    | 200         | 0.00    | 200         | 0.00     | 200                 | 0.00      |
| TOTAL - EE               | 33,096    | 0.00    | 94,415      | 0.00    | 94,415      | 0.00     | 94,415              | 0.00      |
| GRAND TOTAL              | \$933,866 | 14.18   | \$1,033,966 | 16.00   | \$1,033,966 | 16.00    | \$1,033,966         | 16.00     |
| GENERAL REVENUE          | \$933,866 | 14.18   | \$1,033,966 | 16.00   | \$1,033,966 | 16.00    | \$1,033,966         | 16.00     |
| FEDERAL FUNDS            | \$0       | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0                 | 0.00      |
| OTHER FUNDS              | \$0       | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0                 | 0.00      |

#### PROGRAM DESCRIPTION

HB Section(s):

7.550

Department of Commerce & Insurance

Office of the Public Counsel

#### Program is found in the following core budget(s): Office of the Public Counsel

### 1a. What strategic priority does this program address?

- Provide help and educate stakeholders so they are better informed problem solvers
- Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public
- Develop our team, reward great performance, and retain top talent
- Innovate to make it easier to connect and work with us

#### 1b. What does this program do?

- The Office of the Public Counsel ("OPC") serves as an advocate for the residential and commercial ratepayers of Missouri's investor-owned public utilities and represents all consumers generally and the public generally in all proceedings before the Public Service Commission ("PSC") to secure safe and reliable utility service at an affordable price.
- The OPC appears on behalf of all consumers generally and the public generally in all actions which involve the validity of a rule, regulation, or order of the PSC concerning the legality of all rates, charges, regulations, and practices of all persons under its jurisdiction and initiates proceedings before the Commission or Appellate Court to correct any legality on the part of any such person.
- The OPC acts as a resource for the General Assembly and advocate for ratepayers in policy discussions.
- The OPC serves as the Office of the Ombudsman for Property Rights, assisting Missouri citizens by providing free consultations and helping them understand their property rights involving condemnation and eminent domain.

#### 2a. Provide an activity measure(s) for the program.

| Potonovoro  | <b>FY 2018</b> | <b>FY 2019</b> | FY 2020   | FY 2021   | FY 2022   | FY 2023   |
|-------------|----------------|----------------|-----------|-----------|-----------|-----------|
| Ratepayers  | Actual         | Actual         | Actual    | Target    | Target    | Target    |
| Electric    | 1,976,698      | 2,052,615      | 2,029,760 | 2,056,146 | 2,082,875 | 2,109,952 |
| Natural Gas | 1,409,456      | 1,428,971      | 1,422,813 | 1,429,215 | 1,435,646 | 1,442,106 |
| Water       | 475,151        | 491,598        | 492,792   | 500,183   | 507,685   | 515,300   |
| Sewer       | 19,892         | 21,633         | 22,106    | 23,336    | 24,502    | 25,727    |
| Total       | 3,881,197      | 3,994,817      | 3,967,471 | 4,008,880 | 4,050,708 | 4,093,085 |

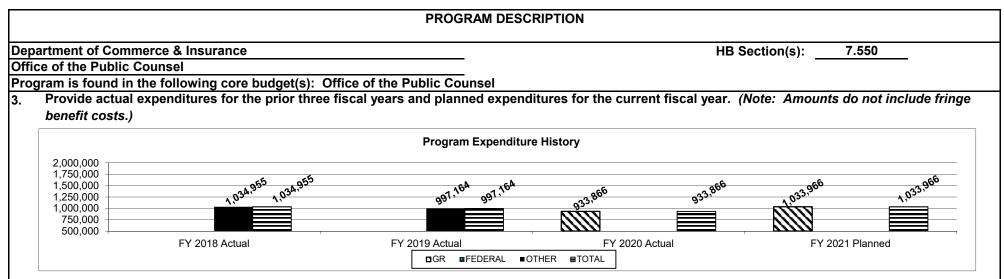
Note 1: Table depicts the total number of residential and commercial utility customers broken down by industry type.

Note 2: Customer Numbers Source: MPSC Annual Reports.

Note 3: Telecommunication companies' customer counts have been excluded since OPC's activity largely relates to electric, national gas, water and sewer services.

Note 4: Some Missouri households may be customers of more than one regulated utility.

| Ce of the Public Counsel   |      | nent of Commerce & Ins                         | urance                             |                                 |                                 | HB Section(s):                        | 7.550                   |
|--|------|--|------------------------------------|---------------------------------|---------------------------------|---------------------------------------|-------------------------|
| Provide a measure(s) of the program's quality.           FY 2018         FY 2019         FY 2020         FY 2021         FY 2022         FY 2023           Ratepayer Savings (in MM)         \$ 112.97         \$ 238.43         \$ 120.10         \$ 116.50         \$ 116.50           Note 1: Figures reflect amount of ratepayer savings that can be qualifiely attivitied to PC advocary before the PSC. and in appeals for the PSC.         Note 2: FY 2021 - FY 2023 Projections based on the three year average of FY 2018 to FY 2020 actual savings, adjusted to remove a large ratepayer savings related to a single case that is unlikely to recur in the near future.           Provide a measure(s) of the program's impact.  |      |  |                                    |                                 |                                 |                                       |                         |
| FY 2018       FY 2019       FY 2010       FY 2021       FY 2021       FY 2022       FY 2023         Ratepayer Savings (in MM)       \$       112.97       \$       238.43       \$\$       120.10       \$\$       116.50       \$\$       116.50         Note 1: Figures reliect amount of ratepayer savings that can be quantifiably attributed to DPC advocacy before the PSC and in appeals from the PSC.       Note 2: FY 2021 - FY 2023 Projections based on the three year average of FY 2018 to FY 2020 actual savings, adjusted to remove a large ratepayer savings related to a single case that is unlikely to recur in the near future.         Provide a measure(s) of the program's impact.  |      |  |                                    | of the Public Counsel           |                                 |                                       |                         |
| Actual       Actual       Actual       Target       Target       Target       Target         Ratepayer Savings (in MM)       \$112.97       \$238.43       \$120.10       \$116.50       \$116.50       \$116.50         Note 1: Figures reflect amount of ratepayer savings that can be quantifiably attributed to OPC advocacy before the PSC and in appeals from the PSC.       Note 2: FY 2021 - FY 2023 Projections based on the three year average of FY 2018 to FY 2020 actual savings, adjusted to remove a large ratepayer savings related to a single case that is unlikely to recur in the near future.         Provide a measure(s) of the program's impact.   | Pr   | ovide a measure(s) of th                       | he program's quality.              |                                 |                                 |                                       |                         |
| Actual       Actual       Actual       Target       Target       Target       Target         Ratepayer Savings (in MM)       \$112.97       \$238.43       \$120.10       \$116.50       \$116.50       \$116.50         Note 1: Figures reflect amount of ratepayer savings that can be quantifiably attributed to OPC advocacy before the PSC and in appeals from the PSC.       Note 2: FY 2021 - FY 2023 Projections based on the three year average of FY 2018 to FY 2020 actual savings, adjusted to remove a large ratepayer savings related to a single case that is unlikely to recur in the near future.         Provide a measure(s) of the program's impact.   |      |  |                                    |                                 |                                 | EV 0000                               |                         |
| Ratepayer Savings (in MM)       112.97       238.43       120.10       116.50       116.50       116.50       116.50         Note 1: Figures reflect amount of ratepayer savings that can be quantifiably attributed to OPC advocacy before the PSC and in appeals from the PSC.         Note 2: F2 2021 - F2 2023 Projections based on the three year average of FY 2018 to FY 2018 to FY 2020 actual savings, adjusted to remove a large ratepayer savings related to a single case that is unlikely to recur in the near future.         Provide a measure(s) of the program's impact.       Average Ratepayer Savings         560       520       523.99       528.78       528.78         500       520.99       529.08       528.78       528.78       528.49         500       520.99       529.08       529.08       528.78       FY 2023 Target**         'Calculated by dividing total ratepayer savings by number of ratepayers. Actual savings for impacted ratepayers is greater than shown because not all utility companies had cases in FY 2019.         *Provide a measure(s) of the program's efficiency.         OPC Costs per Missouri Citizen         50.10       50.10       50.16       50.16       50.16         Solution of the program's efficiency.   |      |  |                                    |                                 |                                 |                                       |                         |
| Note 1: Figures reflect amount of ratepayer savings that can be quantifiably attributed to OPC advocacy before the PSC and in appeals from the PSC.<br>Note 2: FY 2021 - FY 2023 Projections based on the three year average of FY 2018 to FY 2020 actual savings, adjusted to remove a large ratepayer savings related to a single case that is unlikely to recur in the near future.<br>Provide a measure(s) of the program's impact.  | D    | atonovor Sovinge (in MM)                       |                                    |                                 |                                 | <u>v</u>                              |                         |
| Note 2: FY 2021 - FY 2023 Projections based on the three year average of FY 2018 to FY 2020 actual savings, adjusted to remove a large ratepayer savings related to a single case that is unlikely to recur in the near future.  Provide a measure(s) of the program's impact.   |      |  |                                    |                                 |                                 |                                       |                         |
| single case that is unlikely to recur in the near future.  Provide a measure(s) of the program's impact.  Average Ratepayer Savings  So  |      |  |                                    |                                 |                                 |                                       |                         |
| Provide a measure(s) of the program's impact.<br>Average Ratepayer Savings<br>50<br>50<br>50<br>50<br>50<br>50<br>50<br>50<br>50<br>50   |      |  |                                    | age of FY 2018 to FY 2020 actu  | lai savings, adjusted to remove | e a large ratepayer savings related t | оа                      |
| Average Ratepayer Savings         \$60       \$59,68         \$20       \$529,19       \$28,78       \$28,78         \$0       FY 2018 Actual*       FY 2019 Actual*       FY 2020 Actual*       FY 2021 Target**       FY 2022 Target**       FY 2023 Target**         *Calculated by dividing total ratepayer savings by number of ratepayers. Actual savings for impacted ratepayers is greater than shown because not all utility companies had cases in FY 2019.         **Projected savings for FY 2021-2023 is avg of FY 2018-2020 after removing the impact from a large savings anomoly in FY 2019.         Provide a measure(s) of the program's efficiency.         OPC Costs per Missouri Citizen         \$0.40       \$0.20       \$0.27       \$0.29       \$0.15       \$0.16       \$0.16       \$0.16  | SIII | gie case that is utilikely to recur            |                                    |                                 |                                 |                                       |                         |
| Average Ratepayer Savings         \$60       \$59,68         \$20       \$529,19         \$0       \$529,19         \$0       \$20,19         \$0       \$20,19         \$0       \$20,19         \$0       \$20,19         \$20,19       \$30,29         \$20,00       \$28,78         \$28,78       \$28,48         \$20,00       \$28,78         \$28,78       \$28,48         \$20,00       \$28,78         \$28,78       \$28,48         \$20,00       \$28,78         \$28,78       \$28,48         \$20,00       \$22,78         \$20,00       \$22,78         \$20,00       \$0,27         \$0,20       \$0,27         \$0,20       \$0,27         \$0,20       \$0,27         \$0,00       \$0,15         \$0,16       \$0,16   | -    |  |                                    |                                 |                                 |                                       |                         |
| $\frac{560}{520} = \frac{529.19}{529.19} = \frac{529.19}{529.19} = \frac{528.78}{528.78} = \frac{528.48}{528.48} = \frac{528.48}{520} = \frac{528.78}{520} = \frac{528.78}{520} = \frac{528.78}{528.48} = \frac{528.48}{520} = \frac{528.78}{520} = \frac{528.78}{520} = \frac{528.78}{520} = \frac{528.78}{520} = \frac{528.78}{520} = \frac{528.48}{520} = \frac{528.78}{520} = \frac{528.48}{520} = \frac{528.78}{520} = \frac{528.78}{520} = \frac{528.78}{520} = \frac{528.48}{520} = \frac{528.78}{520} = \frac{528.78}$ | Pr   | ovide a measure(s) of th                       | ne program's impact.               |                                 |                                 |                                       |                         |
| Substrate the second  |      |  |                                    | Average Rate                    | payer Savings                   |                                       |                         |
| Substrate the second  |      | \$60   |                                    |                                 |                                 |                                       |                         |
| S20 S22.19 S22.19 FY 2018 Actual* FY 2019 Actual* FY 2019 Actual* FY 2019 Actual* FY 2020 Actual* FY 2021 Target** FY 2021 Target** FY 2022 Target** FY 2023 Target** FY 2023 Target** FY 2023 Target** FY 2023 Target** FY 2021 Target** FY 2021 Target** FY 2022 Target** FY 2023 Target** FY 2023 Target** FY 2023 Target** FY 2023 Target** FY 2021 Target** FY 2022 Target** FY 2023 Target** FY 2021 Target** FY 2021 Target** FY 2023 Target** FY 2023 Target** FY 2023 Target** FY 2023 Target** FY 2021 Target** FY 2021 Target** FY 2023 Target** FY 2023 Target** FY 2023 Target** FY 2023 Target** FY 2021 Target** FY 2021 Target** FY 2023 Target** FY 2023 Target** FY 2023 Target** FY 2019. FY 2019.<  |      |  | \$59.68                            |                                 |                                 |                                       |                         |
| \$20 \$22,19 \$22,19 \$22,19 \$22,19 \$22,19 \$22,19 \$22,19 \$22,19 \$22,29 \$22,28 \$2   |      | \$40   |                                    |                                 |                                 |                                       |                         |
| \$0 FY 2018 Actual* FY 2019 Actual* FY 2019 Actual* FY 2020 Actual* FY 2021 Target** FY 2022 Target** FY 2023 Target** *Calculated by dividing total ratepayer savings by number of ratepayers. Actual savings for impacted ratepayers is greater than shown because not all utility companies had cases in FY 2019. **Projected savings for FY 2021-2023 is avg of FY 2018-2020 after removing the impact from a large savings anomoly in FY 2019. Provide a measure(s) of the program's efficiency. \$0.40 \$0.20 \$0.27 \$0.27 \$0.29 \$0.15 \$0.16 \$0.16 \$0.16 \$0.16 \$0.16 \$0.16  |      | \$20 <u>\$29.19</u>                            |                                    | r 4                             | \$29.08                         | \$28.78                               | \$28.48                 |
| FY 2018 Actual*       FY 2019 Actual*       FY 2020 Actual*       FY 2021 Target**       FY 2022 Target**       FY 2023 Target**         *Calculated by dividing total ratepayer savings by number of ratepayers. Actual savings for impacted ratepayers is greater than shown because not all utility companies had cases in FY 2019.         **Projected savings for FY 2021-2023 is avg of FY 2018-2020 after removing the impact from a large savings anomoly in FY 2019.         Provide a measure(s) of the program's efficiency.         0PC Costs per Missouri Citizen         \$0.40         \$0.27         \$0.29         \$0.20         \$0.27         \$0.29         \$0.10         \$0.10         \$0.10         \$0.11   |      | so   |                                    |                                 |                                 | · · · · · · · · · · · · · · · · · · · |                         |
| *Calculated by dividing total ratepayer savings by number of ratepayers. Actual savings for impacted ratepayers is greater than shown because not all utility companies had cases in FY 2019.<br>**Projected savings for FY 2021-2023 is avg of FY 2018-2020 after removing the impact from a large savings anomoly in FY 2019.<br>Provide a measure(s) of the program's efficiency.   |      |  | ial* FY 2019 Actual                | * FY 2020 Actual*               | * FY 2021 Target <sup>*</sup>   | ** FY 2022 Target**                   | FY 2023 Target**        |
| **Projected savings for FY 2021-2023 is avg of FY 2018-2020 after removing the impact from a large savings anomoly in FY 2019.  Provide a measure(s) of the program's efficiency.  OPC Costs per Missouri Citizen  \$0.40 \$0.30 \$0.20 \$0.27 \$0.27 \$0.29 \$0.27 \$0.10 \$0.16   |      |  |                                    |                                 | J.                              | C C                                   | C C                     |
| **Projected savings for FY 2021-2023 is avg of FY 2018-2020 after removing the impact from a large savings anomoly in FY 2019.  Provide a measure(s) of the program's efficiency.  OPC Costs per Missouri Citizen  \$0.40 \$0.40 \$0.30 \$0.20 \$0.27 \$0.29 \$0.29 \$0.27 \$0.10 \$0.16   |      |  |                                    |                                 |                                 |                                       |                         |
| Solution         Solution           \$0.40         \$0.20         \$0.27         \$0.29         \$0.16         <   |      | , , ,  | , , ,                              | <b>e</b> 1                      | ., .                            | , ,                                   | s had cases in FY 2019. |
| OPC Costs per Missouri Citizen           \$0.40           \$0.30           \$0.20         \$0.27           \$0.10           \$0.10           \$0.00  |      | Projected savings for FY 2021-20               | 023 is avg of FY 2018-2020 after r | emoving the impact from a large | e savings anomoly in FY 2019.   |                                       |                         |
| OPC Costs per Missouri Citizen           \$0.40           \$0.30           \$0.20         \$0.27           \$0.10           \$0.10           \$0.00  |      |  |                                    |                                 |                                 |                                       |                         |
| OPC Costs per Missouri Citizen           \$0.40           \$0.30           \$0.20         \$0.27           \$0.10           \$0.10           \$0.00  |      |  |                                    |                                 |                                 |                                       |                         |
| \$0.40<br>\$0.30<br>\$0.20<br>\$0.27<br>\$0.29<br>\$0.10<br>\$0.10<br>\$0.00<br>\$0.16<br>\$0.16<br>\$0.16   | **F  | ovide a measure(s) of th                       | he program's efficiency            |                                 |                                 |                                       |                         |
| \$0.30<br>\$0.20<br>\$0.27<br>\$0.10<br>\$0.00<br>\$0.10<br>\$0.00<br>\$0.10<br>\$0.10<br>\$0.10<br>\$0.10<br>\$0.16<br>\$0.16   | **F  | ovide a measure(s) of th                       | he program's efficiency.           |                                 |                                 |                                       |                         |
| \$0.30<br>\$0.20<br>\$0.27<br>\$0.10<br>\$0.00<br>\$0.10<br>\$0.00<br>\$0.10<br>\$0.10<br>\$0.10<br>\$0.10<br>\$0.16<br>\$0.16   | **F  | ovide a measure(s) of th                       | he program's efficiency.           | OPC Costs per l                 | Missouri Citizen                |                                       |                         |
| \$0.20 \$0.27 \$0.29<br>\$0.10 \$0.10 \$0.16 \$0.16 \$0.16   | **F  |  | he program's efficiency.           | OPC Costs per                   | Missouri Citizen                |                                       |                         |
| \$0.10<br>\$0.00<br>\$0.00<br>\$0.16<br>\$0.16<br>\$0.16   | **F  | \$0.40   |                                    | OPC Costs per                   | Missouri Citizen                |                                       |                         |
| \$0.00   | **F  | \$0.40   |                                    | OPC Costs per                   | Missouri Citizen                |                                       |                         |
|  | **F  | \$0.40<br>\$0.30<br>\$0.20 \$0.27              |                                    |                                 |                                 |                                       |                         |
| FT 2010 ACTUAL FT 2013 ACTUAL FT 2020 ACTUAL FT 2021 HIBBL. FT 2022 HIBBL. FT 2022 HIBBL. FT 2023 HIBBL.   | **F  | \$0.40<br>\$0.30<br>\$0.20<br>\$0.27<br>\$0.10 |                                    |                                 |                                 | \$0.16                                | \$0.16                  |
|  | **F  | \$0.40<br>\$0.30<br>\$0.20<br>\$0.10<br>\$0.00 | \$0.29                             | \$0.15                          | \$0.16                          |                                       |                         |



Note: All FY 2020 and FY 2021 program funding is appropriated from General Revenue Fund.

#### 4. What are the sources of the "Other " funds?

FY 2018 and FY 2019 Public Service Commission Fund (0607).

- 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.) Sections 386.700, 386.710 and 523.277, RSMo.
- 6. Are there federal matching requirements? If yes, please explain. No
- 7. Is this a federally mandated program? If yes, please explain. No

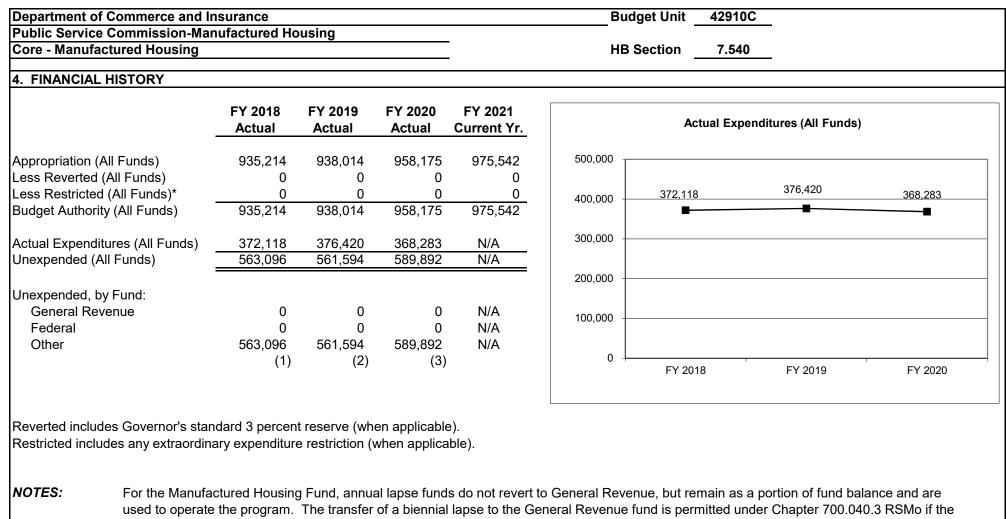
#### Department of Commerce and Insurance Budget Unit 42910C Public Service Commission-Manufactured Housing Core - Manufactured Housing **HB** Section 7.540 1. CORE FINANCIAL SUMMARY FY 2022 Budget Request FY 2022 Governor's Recommendation GR Federal Other Total GR Fed Other Total PS 0 399.070 399.070 PS 0 0 399.070 399.070 0 EE 0 0 354,472 354.472 EE 0 0 354,472 354,472 PSD 0 0 222,000 222.000 PSD 0 0 222.000 222.000 TRF TRF 0 0 0 0 0 0 0 Total 0 0 975.542 975.542 Total 0 0 975.542 975.542 FTE 0.00 0.00 8.00 8.00 FTE 0.00 0.00 8.00 8.00 Est. Fringe 0 0 244.556 244.556 0 0 244.556 244.556 Est. Fringe Note: Fringes budgeted in House Bill 5 except for certain fringes Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation. budgeted directly to MoDOT, Highway Patrol, and Conservation. Other Funds: Manufactured Housing Fund (0582) Other Funds: Manufactured Housing Fund (0582) Consumer Recovery Fund (0909) Consumer Recovery Fund (0909) 2. CORE DESCRIPTION

#### CORE DECISION ITEM

The Manufactured Housing Department, housed within the Public Service Commission, is required by statute (Section 700.010 - 700.692 RSMo) to: 1) annually register manufacturers and dealers of manufactured homes and modular units, and new manufactured home installers; 2) prescribe and enforce uniform construction standards for manufactured homes and modular units sold in the State of Missouri; 3) enforce manufactured home set up and tie-down requirements; and 4) administer the Consumer Recovery Fund established pursuant to SB 788. The Manufactured Housing Program acts as the State Administrative Agency (SAA) to the Federal Housing and Urban Development's Manufactured Housing Program in an effort to assure safe and affordable housing for consumers with emphasis on safety. The SAA provides this assurance by responding to consumer complaints, conducting manufactured home inspections and performing dealer lot inspections and manufacturing plant record reviews. It also provides installer and inspector training. These functions directly increase the number of manufactured homes that are code compliant and installed correctly, in addition to providing consumers with safe and adequate housing. The Program also enforces similar policies for the modular unit industry.

3. PROGRAM LISTING (list programs included in this core funding)

Manufactured Housing Program



fund amount exceeds two times the appropriation of the prior fiscal year. Lapsed monies are primarily due to various containment measures implemented within the Manufactured Housing Department.

(1) Actual Expenditures provided by the Department of Economic Development.

(2) Actual Expenditures provided by the Department of Economic Development.

(3) Unexpended amount is due to less than anticipated expense and equipment spending.

#### DEPT OF COMMERCE AND INSURANCE

MANUFACTURED HOUSING

#### 5. CORE RECONCILIATION DETAIL

|                         | Budget<br>Class | FTE  | GR | Federal | Other   | Total   | E |
|-------------------------|-----------------|------|----|---------|---------|---------|---|
| TAFP AFTER VETOES       |                 |      | -  |         |         |         |   |
|                         | PS              | 8.00 | (  | ) 0     | 399,070 | 399,070 | ) |
|                         | EE              | 0.00 |    | ) 0     | 354,472 | 354,472 |   |
|                         | PD              | 0.00 | (  | ) 0     | 222,000 | 222,000 |   |
|                         | Total           | 8.00 |    | ) 0     | 975,542 | 975,542 | 2 |
| DEPARTMENT CORE REQUEST |                 |      |    |         |         |         | - |
|                         | PS              | 8.00 | (  | 0 0     | 399,070 | 399,070 | ) |
|                         | EE              | 0.00 | (  | 0 0     | 354,472 | 354,472 | 2 |
|                         | PD              | 0.00 | (  | ) 0     | 222,000 | 222,000 | ) |
|                         | Total           | 8.00 |    | ) 0     | 975,542 | 975,542 | 2 |
| GOVERNOR'S RECOMMENDED  | CORE            |      |    |         |         |         |   |
|                         | PS              | 8.00 | (  | 0 0     | 399,070 | 399,070 | ) |
|                         | EE              | 0.00 | (  | ) 0     | 354,472 | 354,472 | 2 |
|                         | PD              | 0.00 | (  | ) 0     | 222,000 | 222,000 | ) |
|                         | Total           | 8.00 |    | ) 0     | 975,542 | 975,542 | 2 |

| DCI                            |           |         |           |         |             | DEC      | ISION ITEM  | SUMMAR  |
|--------------------------------|-----------|---------|-----------|---------|-------------|----------|-------------|---------|
| Budget Unit                    |           |         |           |         |             |          |             |         |
| Decision Item                  | FY 2020   | FY 2020 | FY 2021   | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary          | ACTUAL    | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund                           | DOLLAR    | FTE     | DOLLAR    | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| MANUFACTURED HOUSING           |           |         |           |         |             |          |             |         |
| CORE                           |           |         |           |         |             |          |             |         |
| PERSONAL SERVICES              |           |         |           |         |             |          |             |         |
| MANUFACTURED HOUSING FUND      | 247,482   | 5.80    | 399,070   | 8.00    | 399,070     | 8.00     | 399,070     | 8.00    |
| TOTAL - PS                     | 247,482   | 5.80    | 399,070   | 8.00    | 399,070     | 8.00     | 399,070     | 8.00    |
| EXPENSE & EQUIPMENT            |           |         |           |         |             |          |             |         |
| MANUFACTURED HOUSING FUND      | 103,485   | 0.00    | 354,472   | 0.00    | 354,472     | 0.00     | 354,472     | 0.00    |
| TOTAL - EE                     | 103,485   | 0.00    | 354,472   | 0.00    | 354,472     | 0.00     | 354,472     | 0.00    |
| PROGRAM-SPECIFIC               |           |         |           |         |             |          |             |         |
| MANUFACTURED HOUSING FUND      | 17,316    | 0.00    | 30,000    | 0.00    | 30,000      | 0.00     | 30,000      | 0.00    |
| MANUFACTURED HOUS CONS RECVERY | 0         | 0.00    | 192,000   | 0.00    | 192,000     | 0.00     | 192,000     | 0.00    |
| TOTAL - PD                     | 17,316    | 0.00    | 222,000   | 0.00    | 222,000     | 0.00     | 222,000     | 0.00    |
| TOTAL                          | 368,283   | 5.80    | 975,542   | 8.00    | 975,542     | 8.00     | 975,542     | 8.00    |
| Pay Plan - 0000012             |           |         |           |         |             |          |             |         |
| PERSONAL SERVICES              |           |         |           |         |             |          |             |         |
| MANUFACTURED HOUSING FUND      | 0         | 0.00    | 0         | 0.00    | 0           | 0.00     | 3,991       | 0.00    |
| TOTAL - PS                     | 0         | 0.00    | 0         | 0.00    | 0           | 0.00     | 3,991       | 0.00    |
| TOTAL                          | 0         | 0.00    | 0         | 0.00    | 0           | 0.00     | 3,991       | 0.00    |
| Manufactured Housing - 1375003 |           |         |           |         |             |          |             |         |
| PROGRAM-SPECIFIC               |           |         |           |         |             |          |             |         |
| MANUFACTURED HOUSING FUND      | 0         | 0.00    | 0         | 0.00    | 30,000      | 0.00     | 30,000      | 0.00    |
| TOTAL - PD                     | 0         | 0.00    | 0         | 0.00    | 30,000      | 0.00     | 30,000      | 0.00    |
| TOTAL                          | 0         | 0.00    | 0         | 0.00    | 30,000      | 0.00     | 30,000      | 0.00    |
| GRAND TOTAL                    | \$368,283 | 5.80    | \$975,542 | 8.00    | \$1,005,542 | 8.00     | \$1,009,533 | 8.00    |

| DCI                            |         |         |         |         |          | D        | ECISION IT | EM DETAIL |
|--------------------------------|---------|---------|---------|---------|----------|----------|------------|-----------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022    | FY 2022   |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC    | GOV REC   |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR     | FTE       |
| MANUFACTURED HOUSING           |         |         |         |         |          |          |            |           |
| CORE                           |         |         |         |         |          |          |            |           |
| ADMIN OFFICE SUPPORT ASSISTANT | 35,599  | 1.00    | 36,165  | 1.00    | 0        | 0.00     | 0          | 0.00      |
| SR OFFICE SUPPORT ASSISTANT    | 25,306  | 0.80    | 32,177  | 1.00    | 0        | 0.00     | 0          | 0.00      |
| MANUFACTURED HSNG INSP II      | 80,421  | 2.00    | 218,623 | 4.00    | 0        | 0.00     | 0          | 0.00      |
| MANUFACTURED HSNG INSP SUPV    | 48,149  | 1.00    | 53,174  | 1.00    | 0        | 0.00     | 0          | 0.00      |
| UTILITY REGULATORY MNGR, BAND1 | 58,007  | 1.00    | 58,931  | 1.00    | 0        | 0.00     | 0          | 0.00      |
| ADMIN SUPPORT ASSISTANT        | 0       | 0.00    | 0       | 0.00    | 32,177   | 1.00     | 32,177     | 1.00      |
| LEAD ADMIN SUPPORT ASSISTANT   | 0       | 0.00    | 0       | 0.00    | 36,165   | 1.00     | 36,165     | 1.00      |
| SAFETY INSPECTOR               | 0       | 0.00    | 0       | 0.00    | 99,000   | 2.00     | 99,000     | 2.00      |
| SENIOR SAFETY INSPECTOR        | 0       | 0.00    | 0       | 0.00    | 119,623  | 2.00     | 119,623    | 2.00      |
| COMPLIANCE INSPECTION SPV      | 0       | 0.00    | 0       | 0.00    | 53,174   | 1.00     | 53,174     | 1.00      |
| REGULATORY COMPLIANCE MANAGER  | 0       | 0.00    | 0       | 0.00    | 58,931   | 1.00     | 58,931     | 1.00      |
| TOTAL - PS                     | 247,482 | 5.80    | 399,070 | 8.00    | 399,070  | 8.00     | 399,070    | 8.00      |
| TRAVEL, IN-STATE               | 3,738   | 0.00    | 10,006  | 0.00    | 10,006   | 0.00     | 10,006     | 0.00      |
| TRAVEL, OUT-OF-STATE           | 0       | 0.00    | 2,000   | 0.00    | 2,000    | 0.00     | 2,000      | 0.00      |
| SUPPLIES                       | 14,873  | 0.00    | 25,000  | 0.00    | 25,000   | 0.00     | 25,000     | 0.00      |
| PROFESSIONAL DEVELOPMENT       | 3,223   | 0.00    | 6,746   | 0.00    | 6,746    | 0.00     | 6,746      | 0.00      |
| COMMUNICATION SERV & SUPP      | 6,059   | 0.00    | 20,000  | 0.00    | 20,000   | 0.00     | 20,000     | 0.00      |
| PROFESSIONAL SERVICES          | 39,637  | 0.00    | 50,000  | 0.00    | 50,000   | 0.00     | 50,000     | 0.00      |
| M&R SERVICES                   | 24,659  | 0.00    | 68,000  | 0.00    | 68,000   | 0.00     | 68,000     | 0.00      |
| COMPUTER EQUIPMENT             | 10,645  | 0.00    | 163,948 | 0.00    | 163,948  | 0.00     | 163,948    | 0.00      |
| OFFICE EQUIPMENT               | 315     | 0.00    | 2,270   | 0.00    | 2,270    | 0.00     | 2,270      | 0.00      |
| OTHER EQUIPMENT                | 0       | 0.00    | 3,500   | 0.00    | 3,500    | 0.00     | 3,500      | 0.00      |
| PROPERTY & IMPROVEMENTS        | 0       | 0.00    | 1       | 0.00    | 1        | 0.00     | 1          | 0.00      |
| BUILDING LEASE PAYMENTS        | 0       | 0.00    | 1       | 0.00    | 1        | 0.00     | 1          | 0.00      |
| EQUIPMENT RENTALS & LEASES     | 0       | 0.00    | 1,000   | 0.00    | 1,000    | 0.00     | 1,000      | 0.00      |
| MISCELLANEOUS EXPENSES         | 336     | 0.00    | 2,000   | 0.00    | 2,000    | 0.00     | 2,000      | 0.00      |
| TOTAL - EE                     | 103,485 | 0.00    | 354,472 | 0.00    | 354,472  | 0.00     | 354,472    | 0.00      |
| PROGRAM DISTRIBUTIONS          | 17,316  | 0.00    | 212,000 | 0.00    | 212,000  | 0.00     | 212,000    | 0.00      |

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| DCI                          |                   |                   |                   |                   |                     | [                   | DECISION IT        | EM DETAIL          |
|------------------------------|-------------------|-------------------|-------------------|-------------------|---------------------|---------------------|--------------------|--------------------|
| Budget Unit<br>Decision Item | FY 2020<br>ACTUAL | FY 2020<br>ACTUAL | FY 2021<br>BUDGET | FY 2021<br>BUDGET | FY 2022<br>DEPT REQ | FY 2022<br>DEPT REQ | FY 2022<br>GOV REC | FY 2022<br>GOV REC |
| Budget Object Class          | DOLLAR            | FTE               | DOLLAR            | FTE               | DOLLAR              | FTE                 | DOLLAR             | FTE                |
| MANUFACTURED HOUSING         |                   |                   |                   |                   |                     |                     |                    |                    |
| CORE                         |                   |                   |                   |                   |                     |                     |                    |                    |
| REFUNDS                      | 0                 | 0.00              | 10,000            | 0.00              | 10,000              | 0.00                | 10,000             | 0.00               |
| TOTAL - PD                   | 17,316            | 0.00              | 222,000           | 0.00              | 222,000             | 0.00                | 222,000            | 0.00               |
| GRAND TOTAL                  | \$368,283         | 5.80              | \$975,542         | 8.00              | \$975,542           | 8.00                | \$975,542          | 8.00               |
| GENERAL REVENUE              | \$0               | 0.00              | \$0               | 0.00              | \$0                 | 0.00                | \$0                | 0.00               |
| FEDERAL FUNDS                | \$0               | 0.00              | \$0               | 0.00              | \$0                 | 0.00                | \$0                | 0.00               |
| OTHER FUNDS                  | \$368,283         | 5.80              | \$975,542         | 8.00              | \$975,542           | 8.00                | \$975,542          | 8.00               |

#### PROGRAM DESCRIPTION

HB Section(s):

7.540

Department of Commerce and Insurance

#### Manufactured Housing Program

Program is found in the following core budget(s): Manufactured Housing

#### 1a. What strategic priority does this program address?

• Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public

#### 1b. What does this program do?

- Register manufacturers, dealers and installers of new manufactured homes and modular units;
- Prescribe and enforce uniform construction standards for manufactured homes and modular units sold in the State of Missouri through inspections and investigations; train and license installers conducting business within Missouri; and
- Administer the Consumer Recovery Fund pursuant to SB 788.

#### 2a. Provide an activity measure(s) for the program.

|                                    | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|------------------------------------|---------|---------|---------|---------|---------|---------|
|                                    | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Number of Inspections<br>Performed | 685     | 668     | 496     | 600     | 625     | 650     |

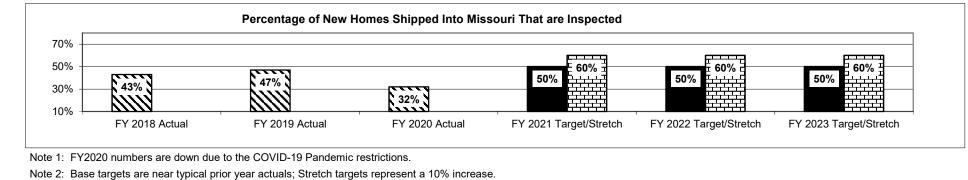
Note 1: FY2020 numbers are down due to the COVID-19 Pandemic restrictions.

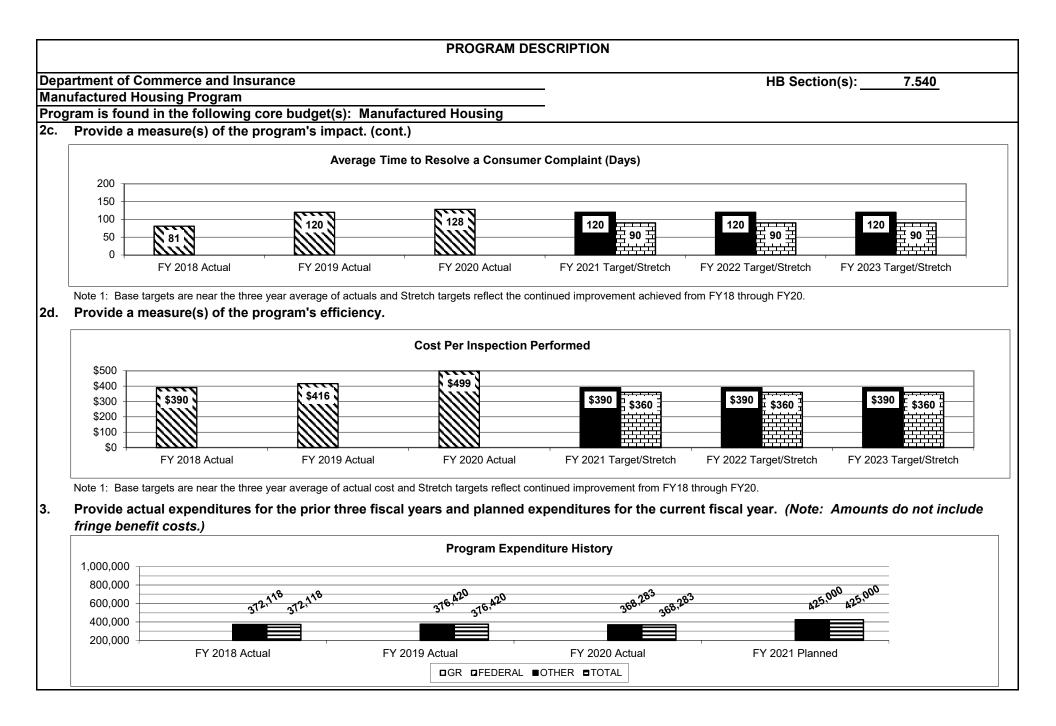
#### 2b. Provide a measure(s) of the program's quality.

|  | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--|---------|---------|---------|---------|---------|---------|
|  | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Average Number of<br>Re-Inspections per<br>Complaint | 7.4     | 4.5     | 3.9     | 7       | 7       | 7       |

Note 1: Re-inspections are performed to verify that all identified deficiencies have been corrected. Projection is based on a less than 1% re-inspection rate.

#### 2c. Provide a measure(s) of the program's impact.





| PROGRAM DESCR  | RIPTION  |
|--|--|
| Department of Commerce and Insurance   | HB Section(s):7.540  |
| Anufactured Housing Program Program is found in the following core budget(s): Manufactured Housing |  |
| What are the sources of the "Other " funds?  |  |
| Manufactured Housing Fund (0582)   |  |
| 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Ind          | clude the federal program number, if applicable.)                  |
| Chapter 700, Sections 700.010 - 700.692 RSMo   |  |
| 6. Are there federal matching requirements? If yes, please explain.                                |  |
| N/A  |  |
| '. Is this a federally mandated program? If yes, please explain.                                   |  |
|  | lopment (HUD) program all of the state regulations fulfill Federal |

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|                         |  |                                  |                                | N                              | EW DECISION ITEM  |                                     |                                     |                |                |        |
|-------------------------|--|----------------------------------|--------------------------------|--------------------------------|---|-------------------------------------|-------------------------------------|----------------|----------------|--------|
|                         |  |                                  |                                | RANK:                          | <u> </u>  | 5                                   |                                     |                |                |        |
| Department              | of Commerce an                           | d Insurance                      |                                |                                | Budget Unit   | 42910C                              |                                     |                |                |        |
| Public Servi            | ice Commission-                          | Manufactured                     | l Housing                      |                                |   |                                     |                                     |                |                |        |
| Manufacture             | ed Housing Prog                          | ram Increase                     |                                | DI# 1375003                    | HB Section  | 7.540                               |                                     |                |                |        |
| 1. AMOUNT               | OF REQUEST                               |                                  |                                |                                |   |                                     |                                     |                |                |        |
|                         | FY                                       | 2022 Budget                      | Request                        |                                |   | FY 2022                             | 2 Governor's                        | Recommend      | lation         |        |
|                         | GR                                       | Federal                          | Other                          | Total                          |   | GR                                  | Federal                             | Other          | Total          |        |
| PS                      | 0  | 0                                | 0                              | 0                              | PS  | 0                                   | 0                                   | 0              | 0              |        |
| EE                      | 0  | 0                                | 0                              | 0                              | EE  | 0                                   | 0                                   | 0              | 0              |        |
| PSD                     | 0  | 0                                | 30,000                         | 30,000                         | PSD   | 0                                   | 0                                   | 30,000         | 30,000         |        |
| TRF                     | 0  | 0                                | 0                              | 0                              | TRF   | 0                                   | 0                                   | 0              | 0              |        |
| Total                   | 0  | 0                                | 30,000                         | 30,000                         | Total   | 0                                   | 0                                   | 30,000         | 30,000         |        |
| FTE                     | 0.00                                     | 0.00                             | 0.00                           | 0.00                           | FTE   | 0.00                                | 0.00                                | 0.00           | 0.00           |        |
| Est. Fringe             | 0  | 0                                | 0                              | 0                              | Est. Fringe   | 0                                   | 0                                   | 0              | 0              |        |
|                         | es budgeted in Hou                       | ise Bill 5 exce                  | ot for certain f               | fringes                        | Note: Fringes   | budgeted in F                       | louse Bill 5 ex                     | cept for certa | ain fringes    |        |
| budgeted dir            | ectly to MoDOT, H                        | lighway Patrol,                  | , and Conserv                  | vation.                        | budgeted dired  | ctly to MoDOT                       | , Highway Pai                       | trol, and Cons | servation.     |        |
| Other Funds             | : Manufactured Ho                        | ousing Fund (0                   | 582)                           |                                | Other Funds: I  | Manufactured                        | Housing Fund                        | l (0582)       |                |        |
|                         | QUEST CAN BE C                           | ATEGORIZED                       | AS:                            |                                |   |                                     |                                     |                |                |        |
|                         | New Legislation                          |                                  |                                |                                | lew Program   | _                                   |                                     | und Switch     |                |        |
|                         | Federal Mandate                          |                                  | _                              |                                | Program Expansion   | _                                   |                                     | Cost to Contin |                |        |
|                         | GR Pick-Up                               |                                  | _                              |                                | Space Request   | _                                   | E                                   | Equipment Re   | placement      |        |
|                         | Pay Plan                                 |                                  | _                              | <u> </u>                       | Other: Program Incre  | ase                                 |                                     |                |                |        |
| 3. WHY IS 1             | THIS FUNDING N                           | EDED? PRC                        | VIDE AN EX                     | PLANATION                      | FOR ITEMS CHECKED IN  | #2. INCLUD                          | E THE FEDE                          | RAL OR STA     |                | DRY OR |
|                         | IONAL AUTHOR                             |                                  |                                |                                |   |                                     |                                     |                |                |        |
| services. R<br>RSMo. Ov | eimbursement is r<br>er time the costs c | equired becau<br>f legal service | se Public Ser<br>s have increa | vice Commiss<br>sed to the poi | Mo uses a PSD appropriat<br>sion Funds can only be use<br>nt of exceeding the approp<br>services to the Manufacture | ed for regulatir<br>priation. Addit | ng utilities as o<br>ional appropri | described in S | Section 386.37 | 0      |

NEW DECISION ITEM RANK: <u>5</u> OF <u>5</u>

| Department of Commerce and Insurance          |                |              | E             | Budget Unit    | 42910C          |               |              |              |             |
|---|----------------|--------------|---------------|----------------|-----------------|---------------|--------------|--------------|-------------|
| Public Service Commission-Manufacture         |                |              |               |                |                 |               |              |              |             |
| Manufactured Housing Program Increase         | ) E            | DI# 1375003  | ŀ             | HB Section     | 7.540           |               |              |              |             |
| 4. DESCRIBE THE DETAILED ASSUMPTI             |                |              |               | DEQUESTE       |                 | (How did yo   | u dotormino  | that the rea | upotod      |
| number of FTE were appropriate? From          |                |              |               |                |                 | •             |              | •            | uesteu      |
| outsourcing or automation considered?         |                |              |               | •              |                 | -             |              |              | nortions of |
| the request are one-times and how those       |                | -            | •             | lest lie to TA | FP liscal hou   | er il nol, ex | plain why. D | etan which   | portions of |
| the request are one-times and now those       | amounts wer    | e calculate  | u.)           |                |                 |               |              |              |             |
| In FY2020, the Public Service Commission      |                |              |               |                |                 |               |              |              |             |
| appropriation used by the program to reimb    |                |              |               |                |                 |               |              |              |             |
| reimbursed in FY2022. We are requesting       | an increase in | the annual F | PSD appropria | tion from \$20 | ,000 to \$50,00 | 00 beginning  | in FY2022 to | ensure reiml | oursement   |
| of the costs of legal services in the future. |                |              |               |                |                 |               |              |              |             |
| •   |                |              |               |                |                 |               |              |              |             |
|   |                |              |               |                |                 |               |              |              |             |
| 5. BREAK DOWN THE REQUEST BY BUI              | OGET OBJEC     | T CLASS, J   | OB CLASS, A   |                | URCE. IDEN      | ITIFY ONE-T   | IME COSTS.   |              |             |
|   |                | Dept Req     | Dept Req      | Dept Req       | Dept Req        | Dept Req      | Dept Req     | Dept Req     | Dept Req    |
|   | GR             | GR           | FED           | FED            | OTHER           | OTHER         | TOTAL        | TOTAL        | One-Time    |
| Budget Object Class/Job Class                 | DOLLARS        | FTE          | DOLLARS       | FTE            | DOLLARS         | FTE           | DOLLARS      | FTE          | DOLLARS     |
|   |                |              |               |                |                 |               | 0            |              |             |
|   |                |              | •             |                | •               |               | 0            | 0.0          |             |
| Total PS                                      | 0              | 0.0          | 0             | 0.0            | 0               | 0.0           | 0            | 0.0          | 0           |
|   |                |              |               |                |                 |               | 0            |              |             |
|   |                |              |               |                |                 |               | 0            |              |             |
|   |                |              |               |                |                 |               | 0            |              |             |
| Total EE                                      | 0              |              | 0             |                | 0               | •             | 0            |              | 0           |
|   | Ŭ              |              | Ū             |                | Ŭ               |               | Ŭ            |              | Ū           |
| Program Distributions                         |                |              |               |                | 30,000          |               | 30,000       |              |             |
| Total PSD                                     | 0              |              | 0             |                | 30,000          | •             | 30,000       |              | 0           |
|   |                |              |               |                |                 |               | ,            |              |             |
| Transfers                                     |                |              |               |                |                 |               |              |              |             |
| Total TRF                                     | 0              |              | 0             |                | 0               |               | 0            |              | 0           |
|   |                |              |               |                |                 |               |              |              |             |
| Grand Total                                   | 0              | 0.0          | 0             | 0.0            | 30,000          | 0.0           | 30,000       | 0.0          | 0           |
|   |                |              |               |                |                 |               |              |              |             |
|   |                |              |               |                |                 |               |              |              |             |

|                                   |                          | RANK:                | 5                         | OF                    | 5                           |                         |                             |                         |                                |
|-----------------------------------|--------------------------|----------------------|---------------------------|-----------------------|-----------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|
| Department of Commerce and Insura |                          |                      |                           | Budget Unit           | 42910C                      |                         |                             |                         |                                |
| Public Service Commission-Manufac |                          |                      |                           |                       |                             |                         |                             |                         |                                |
| Manufactured Housing Program Incr | ease                     | DI# 1375003          |                           | HB Section            | 7.540                       |                         |                             |                         |                                |
| Budget Object Class/Job Class     | Gov Rec<br>GR<br>DOLLARS | Gov Rec<br>GR<br>FTE | Gov Rec<br>FED<br>DOLLARS | Gov Rec<br>FED<br>FTE | Gov Rec<br>OTHER<br>DOLLARS | Gov Rec<br>OTHER<br>FTE | Gov Rec<br>TOTAL<br>DOLLARS | Gov Rec<br>TOTAL<br>FTE | Gov Rec<br>One-Time<br>DOLLARS |
|                                   | DOLLARO                  |                      | DOLLARO                   |                       | DOLLANO                     |                         | 0                           |                         |                                |
| Total PS                          |                          | 0.0                  | •                         | 0.0                   | 0                           | 0.0                     | 0<br>0                      | 0.0<br><b>0.0</b>       |                                |
|                                   | 0                        | 0.0                  | 0                         | 0.0                   | 0                           | 0.0                     | U                           | 0.0                     | 0                              |
|                                   |                          |                      |                           |                       |                             |                         | 0                           |                         |                                |
|                                   |                          |                      |                           |                       |                             |                         | 0                           |                         |                                |
|                                   |                          |                      |                           |                       |                             |                         | 0                           |                         |                                |
| Total EE                          | 0                        |                      | 0                         |                       | 0                           |                         | 0                           |                         | 0                              |
| Program Distributions             |                          |                      |                           |                       | 30,000                      |                         | 30,000                      |                         |                                |
| Total PSD                         | 0                        |                      | 0                         |                       | 30,000                      |                         | 30,000                      |                         | 0                              |
| Transfers                         |                          |                      |                           |                       |                             |                         |                             |                         |                                |
| Total TRF                         | 0                        |                      | 0                         |                       | 0                           |                         | 0                           |                         | 0                              |
| Grand Total                       | 0                        | 0.0                  | 0                         | 0.0                   | 30,000                      | 0.0                     | 30,000                      | 0.0                     | 0                              |

#### NEW DECISION ITEM

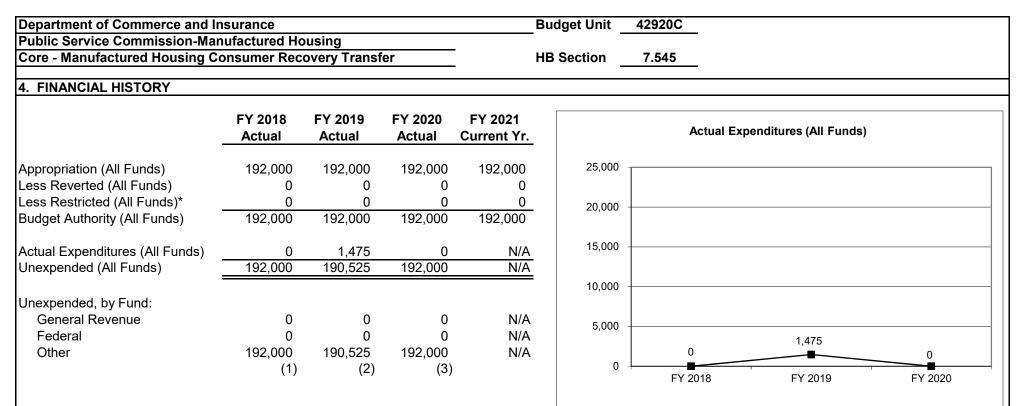
RANK: 5 OF 5

|                   | NEW D  | ECISION ITEM              |  |  |
|-------------------|--|---------------------------|--|--|
|                   | RANK: 5  | 5 <u> </u>                | 5  |  |
| Public            | ment of Commerce and Insurance<br>Service Commission-Manufactured Housing<br>Inctured Housing Program Increase DI# 1375003 | Budget Unit<br>HB Section | 42910C<br>7.540  |  |
| 6. PER<br>funding | FORMANCE MEASURES (If new decision item has an associated g.)  | core, separately ide      | entify projected performance with & without additional         |  |
| 6a.               | Provide an activity measure(s) for the program.  | 6b.                       | Provide a measure(s) of the program's quality.                 |  |
|                   | For performance measures, see Manufactured Housing Program Description.  | For per<br>Descrip        | formance measures, see Manufactured Housing Program<br>otion.  |  |
| 6c.               | Provide a measure(s) of the program's impact.  | 6d.                       | Provide a measure(s) of the program's efficiency.              |  |
|                   | For performance measures, see Manufactured Housing Program Description.  | For per<br>Descri         | rformance measures, see Manufactured Housing Program<br>ption. |  |
| 7. STR            | ATEGIES TO ACHIEVE THE PERFORMANCE MEASUREMENT TA  | RGETS:                    |  |  |
| N/A               |  |                           |  |  |

| DCI DECISION ITEM              |         |         |                   |         |                     |                     |                    |         |  |  |
|--------------------------------|---------|---------|-------------------|---------|---------------------|---------------------|--------------------|---------|--|--|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021<br>BUDGET | FY 2021 | FY 2022<br>DEPT REQ | FY 2022<br>DEPT REQ | FY 2022<br>GOV REC | FY 2022 |  |  |
| Decision Item                  | ACTUAL  | ACTUAL  |                   | BUDGET  |                     |                     |                    | GOV REC |  |  |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR            | FTE     | DOLLAR              | FTE                 | DOLLAR             | FTE     |  |  |
| MANUFACTURED HOUSING           |         |         |                   |         |                     |                     |                    |         |  |  |
| Manufactured Housing - 1375003 |         |         |                   |         |                     |                     |                    |         |  |  |
| PROGRAM DISTRIBUTIONS          | C       | 0.00    | 0                 | 0.00    | 30,000              | 0.00                | 30,000             | 0.00    |  |  |
| TOTAL - PD                     | 0       | 0.00    | 0                 | 0.00    | 30,000              | 0.00                | 30,000             | 0.00    |  |  |
| GRAND TOTAL                    | \$0     | 0.00    | \$0               | 0.00    | \$30,000            | 0.00                | \$30,000           | 0.00    |  |  |
| GENERAL REVENUE                | \$0     | 0.00    | \$0               | 0.00    | \$0                 | 0.00                | \$0                | 0.00    |  |  |
| FEDERAL FUNDS                  | \$0     | 0.00    | \$0               | 0.00    | \$0                 | 0.00                | \$0                | 0.00    |  |  |
| OTHER FUNDS                    | \$0     | 0.00    | \$0               | 0.00    | \$30,000            | 0.00                | \$30,000           | 0.00    |  |  |

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| Department of C                                       | Commerce and Ins   | urance                              | Budget Unit                 | 42920C                           |  |   |                             |                |               |           |  |  |
|---|--|-------------------------------------|-----------------------------|----------------------------------|--|---|-----------------------------|----------------|---------------|-----------|--|--|
| Public Service C                                      | Commission-Manu  | afactured Hou                       |                             |                                  |  |   |                             |                |               |           |  |  |
| Core - Manufact                                       | ured Housing Co  | nsumer Reco                         | very Transfe                | <b>∍r</b>                        | HB Section   | 7.545   |                             |                |               |           |  |  |
| 1. CORE FINAN   | CIAL SUMMARY   |                                     |                             |                                  |  |   |                             |                |               |           |  |  |
|   |  | 2022 Budge                          | t Request                   |                                  |  | FY 2022   | Governor's                  | Recommend      | lation        |           |  |  |
|   | GR Federal Other Total   |                                     |                             | GR                               | Fed  | Other   | Total                       |                |               |           |  |  |
| PS  | 0  | 0                                   | 0                           | 0                                | PS   | 0   | 0                           | 0              | 0             |           |  |  |
| EE  | 0  | 0                                   | 0                           | 0                                | EE   | 0   | 0                           | 0              | 0             |           |  |  |
| PSD   | 0  | 0                                   | 0                           | 0                                | PSD  | 0   | 0                           | 0              | 0             |           |  |  |
| TRF   | 0  | 0                                   | 192,000                     | 192,000                          | TRF  | 0   | 0                           | 192,000        | 192,000       |           |  |  |
| Total   | 0  | 0                                   | 192,000                     | 192,000                          | Total  | 0   | 0                           | 192,000        | 192,000       |           |  |  |
| FTE   | 0.00   | 0.00                                | 0.00                        | 0.00                             | FTE  | 0.00  | 0.00                        | 0.00           | 0.00          |           |  |  |
| Est. Fringe   | 0  | 0                                   | 0                           | 0                                | Est. Fringe  | 0   | 0                           | 0              | 0             |           |  |  |
|   | idgeted in House B   | ill 5 except for                    | r certain fring             | es                               |  | budgeted in Ho  | ouse Bill 5 ex              | cept for certa | in fringes    |           |  |  |
| budgeted directly                                     | to MoDOT, Highw  | ay Patrol, anc                      | l Conservatio               | n.                               | budgeted direc   | tly to MoDOT,   | Highway Pati                | rol, and Cons  | ervation.     |           |  |  |
|   | Manufacture  |                                     |                             |                                  | Oth an Euroday   |   | Lauria a Fran               |                |               |           |  |  |
| Other Funds:  | Manufactured Ho  | using Fund (C                       | 1582)                       |                                  | Other Funds:   | Manufactured  | Housing Fund                | u (0582)       |               |           |  |  |
| Notes:  | Establishes the tr<br>Housing Fund int<br>Recovery Fund p      | o the Manufac                       | ctured Housir               |                                  |  | Notes: Establishes the transfer authority from the Manufactured<br>Housing Fund into the Manufactured Housing Consumer<br>Recovery Fund pursuant to SB 788. |                             |                |               |           |  |  |
| 2. CORE DESCR   | RIPTION  |                                     |                             |                                  |  |   |                             |                |               |           |  |  |
| Section 700.041<br>promulgates by<br>legal remedies l | 1 establishes the "N<br>rule. (See 20 CSR<br>have been exhaust | Manufactured<br>4240-126.01<br>ted. | Housing Cus<br>0 and 20 CSI | tomer Recovery<br>R 4240-126.020 | B 788, signed by the Go<br>Fund" for the purposes<br>.) The law provides that<br>Fund cannot be used for | of paying cons<br>no claims shall   | umer claims<br>be considere | pursuant to th | ne procedures | s the PSC |  |  |
| 3. PROGRAM L  | ISTING (list progr   | ams included                        | 1 in this core              | + funding)                       |  |   |                             |                |               |           |  |  |
| Manufactured  | Housing Program  |                                     |                             |                                  |  |   |                             |                |               |           |  |  |



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

# NOTES:

(1) Actual Expenditures provided by the Department of Economic Development.

(2) Actual Expenditures provided by the Department of Economic Development.

(3) No transfer necessary in FY 2020.

#### CORE RECONCILIATION DETAIL

# DEPT OF COMMERCE AND INSURANCE

MANUF HOUSING CONSUMER RC TRF

### 5. CORE RECONCILIATION DETAIL

|                             | Budget<br>Class |      | <b>CD</b> | Federal |   | Other   | Total   | F      |
|-----------------------------|-----------------|------|-----------|---------|---|---------|---------|--------|
|                             | Class           | FTE  | GR        | Federal |   | Other   | Total   | E      |
| TAFP AFTER VETOES           |                 |      |           |         |   |         |         |        |
|                             | TRF             | 0.00 | C         | C       | 1 | 192,000 | 192,000 | )      |
|                             | Total           | 0.00 | C         | 0       |   | 192,000 | 192,000 | )<br>= |
| DEPARTMENT CORE REQUEST     |                 |      |           |         |   |         |         |        |
|                             | TRF             | 0.00 | C         | 0       |   | 192,000 | 192,000 | )      |
|                             | Total           | 0.00 | C         | 0       |   | 192,000 | 192,000 | -      |
| GOVERNOR'S RECOMMENDED CORE |                 |      |           |         |   |         |         |        |
|                             | TRF             | 0.00 | C         | 0       |   | 192,000 | 192,000 | )      |
|                             | Total           | 0.00 | C         | 0       |   | 192,000 | 192,000 |        |

| DCI DECISION ITEM SUMI        |             |         |           |         |           |          |           |         |  |  |  |
|-------------------------------|-------------|---------|-----------|---------|-----------|----------|-----------|---------|--|--|--|
| Budget Unit                   |             |         |           |         |           |          |           |         |  |  |  |
| Decision Item                 | FY 2020     | FY 2020 | FY 2021   | FY 2021 | FY 2022   | FY 2022  | FY 2022   | FY 2022 |  |  |  |
| Budget Object Summary         | ACTUAL ACTU | ACTUAL  | BUDGET    | BUDGET  | DEPT REQ  | DEPT REQ | GOV REC   | GOV REC |  |  |  |
| Fund                          | DOLLAR      | FTE     | DOLLAR    | FTE     | DOLLAR    | FTE      | DOLLAR    | FTE     |  |  |  |
| MANUF HOUSING CONSUMER RC TRF |             |         |           |         |           |          |           |         |  |  |  |
| CORE                          |             |         |           |         |           |          |           |         |  |  |  |
| FUND TRANSFERS                |             |         |           |         |           |          |           |         |  |  |  |
| MANUFACTURED HOUSING FUND     |             | 0.00    | 192,000   | 0.00    | 192,000   | 0.00     | 192,000   | 0.00    |  |  |  |
| TOTAL - TRF                   |             | 0.00    | 192,000   | 0.00    | 192,000   | 0.00     | 192,000   | 0.00    |  |  |  |
| TOTAL                         |             | 0 0.00  | 192,000   | 0.00    | 192,000   | 0.00     | 192,000   | 0.00    |  |  |  |
| GRAND TOTAL                   | \$          | 0.00    | \$192,000 | 0.00    | \$192,000 | 0.00     | \$192,000 | 0.00    |  |  |  |

| DCI                           |                   |               |                   |               |                     | 0                   | DECISION ITI       | EM DETAIL          |
|-------------------------------|-------------------|---------------|-------------------|---------------|---------------------|---------------------|--------------------|--------------------|
| Budget Unit<br>Decision Item  | FY 2020<br>ACTUAL | FY 2020       | FY 2021<br>BUDGET | FY 2021       | FY 2022<br>DEPT REQ | FY 2022<br>DEPT REQ | FY 2022<br>GOV REC | FY 2022<br>GOV REC |
| Budget Object Class           | DOLLAR            | ACTUAL<br>FTE | DOLLAR            | BUDGET<br>FTE | DEPTREQ             | FTE                 | DOLLAR             | FTE                |
| MANUF HOUSING CONSUMER RC TRF |                   |               |                   |               |                     |                     |                    |                    |
| CORE                          |                   |               |                   |               |                     |                     |                    |                    |
| TRANSFERS OUT                 | 0                 | 0.00          | 192,000           | 0.00          | 192,000             | 0.00                | 192,000            | 0.00               |
| TOTAL - TRF                   | 0                 | 0.00          | 192,000           | 0.00          | 192,000             | 0.00                | 192,000            | 0.00               |
| GRAND TOTAL                   | \$0               | 0.00          | \$192,000         | 0.00          | \$192,000           | 0.00                | \$192,000          | 0.00               |
| GENERAL REVENUE               | \$0               | 0.00          | \$0               | 0.00          | \$0                 | 0.00                | \$0                | 0.00               |
| FEDERAL FUNDS                 | \$0               | 0.00          | \$0               | 0.00          | \$0                 | 0.00                | \$0                | 0.00               |
| OTHER FUNDS                   | \$0               | 0.00          | \$192,000         | 0.00          | \$192,000           | 0.00                | \$192,000          | 0.00               |

|       |  |   | PRO  | GRAM DE            | ESCRIPTION   |           |            |
|-------|--|---|--|--------------------|--|-----------|------------|
| Dep   | artment of Commerce a  | Ind Insurance                                       |  |                    | HB Section   | າ(s):     | 7.545      |
| Man   | ufactured Housing Prog   | gram  |  |                    |  | .,        |            |
| Prog  | gram is found in the foll  | owing core budget(s):                               | Manufactured Hous                              | sing Prog          | ram, Manufactured Housing Consumer Reco  | very Fund | d Transfer |
| 1a.   | What strategic priority<br>See Manufactured Hou  |   |  |                    |  |           |            |
| 1b.   | What does this program   | n do?   |  |                    |  |           |            |
|       | <ul><li>regulations (See 20 C</li><li>Provides a process for</li></ul>                                   | CSR 4240-126.010 and 2<br>or the Commission to inv  | 20 CSR 4240-126.020<br>vestigate each claim to | 0.).<br>o determir | urpose of paying consumer claims resulting from<br>ne if all legal remedies have been exhausted.<br>nmission determines all other legal remedies hav |           |            |
| 2a.   | <b>Provide an activity me</b><br>For performance mease<br>description.                                   |   |  | 2b.                | <b>Provide a measure(s) of the program's qua</b><br>For performance measures, see Manufacture<br>description.  | -         | program    |
| 2c.   | <b>Provide a measure(s)</b><br>For performance measure description.                                      | of the program's impa<br>ures, see Manufactured     |  | 2d.                | <b>Provide a measure(s) of the program's effic</b><br>For performance measures, see Manufacture<br>description.                                      |           | program    |
| 3.    | fringe benefit costs.)   |   | Pro  | -                  | expenditures for the current fiscal year. (Note  |           |            |
|       | 3,000  |   | 1, <sup>61</sup>                               | 5                  |  |           |            |
|       | 2,000 ———<br>1,000 ———   | Ō   |  |                    | <u>\</u>   |           | 0          |
|       | 0  | 2018 Actual   | FY 2019 Actu                                   |                    | FY 2020 Actual   | FY 2021   | Planned    |
|       | Vhat are the sources of<br>Transfer from Manufac<br>Vhat is the authorization<br>Chapter 700, Sections 7 | tured Housing Fund (05<br>n for this program, i.e., | 582)   |                    | ? (Include the federal program number, if app  | licable.) |            |
| 6. A  | re there federal matchin   | ng requirements? If ye                              | es, please explain.                            |                    |  |           |            |
| 7. Is | s this a federally manda<br>No   | ted program? If yes, p                              | blease explain.                                |                    |  |           |            |

|                                   | f Commerce and In   | surance       |                 |            | Budget Ur                | nit <u>42940C</u> | _               |             |
|-----------------------------------|---------------------|---------------|-----------------|------------|--------------------------|-------------------|-----------------|-------------|
| Public Service<br>Core - Public S | Service Commission  | on Regulato   | ory             |            | HB Sectio                | n <u>7.555</u>    | _               |             |
| 1. CORE FINA                      | ANCIAL SUMMARY      | ,             |                 |            |                          |                   |                 |             |
|                                   | FY 2                | 2022 Budge    | t Request       |            | FY                       | 2022 Governor     | 's Recommen     | dation      |
|                                   | GR                  | Federal       | Other           | Total      | GR                       | Fed               | Other           | Total       |
| PS -                              | 0                   | 0             | 11,566,798      | 11,566,798 | PS                       | 0 (               | 0 11,566,798    | 11,566,798  |
| EE                                | 0                   | 0             | 2,285,028       | 2,285,028  | EE                       | 0 (               | 2,285,028       | 2,285,028   |
| PSD                               | 0                   | 0             | 10,000          | 10,000     | PSD                      | 0 (               | 10,000          | 10,000      |
| TRF                               | 0                   | 0             | 0               | 0          | TRF                      | 0 (               | ) 0             | 0           |
| Total                             | 0                   | 0             | 13,861,826      | 13,861,826 | Total                    | 0 0               | ) 13,861,826    | 13,861,826  |
| FTE                               | 0.00                | 0.00          | 191.00          | 191.00     | FTE 0                    | 0.00 0.0          | 0 191.00        | 191.00      |
| Est. Fringe                       | 0                   | 0             | 6,514,091       | 6,514,091  | Est. Fringe              | 0 0               | 6,514,091       | 6,514,091   |
| Note: Fringes I                   | budgeted in House I | Bill 5 except | for certain fri | nges       | Note: Fringes budgeted   | in House Bill 5   | except for cert | ain fringes |
| budgeted direct                   | tly to MoDOT, Highw | way Patrol, a | and Conserva    | ntion.     | budgeted directly to MoD | OT, Highway P     | atrol, and Con  | servation.  |
| -                                 | Public Service Com  | •             |                 |            | Other Funds: Public Serv |                   | -               |             |

#### 2. CORE DESCRIPTION

The Missouri Public Service Commission has the statutory responsibility of ensuring that consumers receive adequate amounts of safely delivered and reasonably priced utility services at rates that will provide the utility companies' shareholders the opportunity to earn a reasonable return on their investment. The Commission must balance a variety of often competing private interests to ensure the overall public interest. Much of the Commission's work is conducted through formal contested case hearings, similar to court proceedings. The primary statutory provisions governing the Commission are contained in Chapters 386, 392, and 393 RSMo. The Commission regulates the rates and practices of investor-owned local telephone, water, sewer, gas, steam/heat and electric companies. The Commission also issues video service authorizations to entities that meet statutory requirements and registers providers of Voice-Over-Internet (VoIP) communications service.

### 3. PROGRAM LISTING (list programs included in this core funding)

Public Service Commission (PSC) Regulatory Core, which includes PSC Administration, video service authorization and VoIP provider registration.

| Department of Commerce and   | Insurance               |                         |                         |                        | Budg                                    | et Unit 42940     | с                      |                    |
|--|-------------------------|-------------------------|-------------------------|------------------------|---|-------------------|------------------------|--------------------|
| Public Service Commission<br>Core - Public Service Commiss                             | ion Regulate            | ory                     | -                       |                        | HB S                                    | ection 7.555      | ;                      |                    |
| 4. FINANCIAL HISTORY   |                         |                         |                         |                        |   |                   |                        |                    |
|  | FY 2018<br>Actual       | FY 2019<br>Actual       | FY 2020<br>Actual       | FY 2021<br>Current Yr. |   | Actual Expe       | nditures (All Funds)   |                    |
| Appropriation (All Funds)<br>Less Reverted (All Funds)<br>Less Restricted (All Funds)* | 13,435,696<br>0<br>0    | 0<br>0                  | 13,694,202<br>0<br>0    | 0<br>0                 | 20,000,000                              |                   |                        |                    |
| Budget Authority (All Funds)   | 13,435,696              | 13,504,769              | 13,694,202              | 13,861,826             | 15,000,000                              |                   |                        |                    |
| Actual Expenditures (All Funds)<br>Unexpended (All Funds)                              | 11,351,406<br>2,084,290 | 11,456,964<br>2,047,805 | 11,773,087<br>1,921,115 | N/A<br>N/A             |   | 11,351,406        | 11,456,964             | 11,773,087         |
| Unexpended, by Fund:<br>General Revenue<br>Federal<br>Other                            | 0<br>0<br>2,084,290     | 0<br>0<br>2,047,805     |                         | N/A<br>N/A<br>N/A      | 10,000,000                              |                   |                        |                    |
|  | (1)                     | (2)                     | (3)                     |                        | 5,000,000 +                             | FY 2018           | FY 2019                | FY 2020            |
| Reverted includes the statutory the Restricted includes any Governor <b>NOTES:</b>     |                         |                         |                         |                        | l of the fiscal year (v                 | /hen applicable). |                        |                    |
|  |                         |                         |                         |                        | to General Revenu<br>panies per Chapter |                   | r next fiscal year's b | udget              |
| (1) Actual Expend<br>(2) Actual Expend<br>(3) Unexpended a                             | itures provide          | d by the Depa           | artment of Ec           | onomic Devel           | •                                       | containment meas  | sures implemented v    | vithin the agency. |

### DEPT OF COMMERCE AND INSURANCE

PUBLIC SERVICE COMMISSION

### 5. CORE RECONCILIATION DETAIL

|                         | Budget |        | 00 | Federal | Other      | Tatal      |   |
|-------------------------|--------|--------|----|---------|------------|------------|---|
|                         | Class  | FTE    | GR | Federal | Other      | Total      | E |
| TAFP AFTER VETOES       |        |        |    |         |            |            |   |
|                         | PS     | 191.00 | (  | ) 0     | 11,566,798 | 11,566,798 | 3 |
|                         | EE     | 0.00   | (  | ) 0     | 2,285,028  | 2,285,028  | 3 |
|                         | PD     | 0.00   | (  | ) 0     | 10,000     | 10,000     | ) |
|                         | Total  | 191.00 | (  | ) 0     | 13,861,826 | 13,861,826 | 5 |
| DEPARTMENT CORE REQUEST |        |        |    |         |            |            | _ |
|                         | PS     | 191.00 | (  | ) 0     | 11,566,798 | 11,566,798 | 3 |
|                         | EE     | 0.00   | (  | ) 0     | 2,285,028  | 2,285,028  | 3 |
|                         | PD     | 0.00   | (  | ) 0     | 10,000     | 10,000     | ) |
|                         | Total  | 191.00 | (  | ) 0     | 13,861,826 | 13,861,826 | 5 |
| GOVERNOR'S RECOMMENDED  | CORE   |        |    |         |            |            | _ |
|                         | PS     | 191.00 | (  | ) 0     | 11,566,798 | 11,566,798 | 3 |
|                         | EE     | 0.00   | (  | ) 0     | 2,285,028  | 2,285,028  | 3 |
|                         | PD     | 0.00   | (  | ) 0     | 10,000     | 10,000     | ) |
|                         | Total  | 191.00 | (  | 0       | 13,861,826 | 13,861,826 | 5 |

| DCI  |              |         |              |         |              | DEC      | ISION ITEM   | SUMMAR  |
|--|--------------|---------|--------------|---------|--------------|----------|--------------|---------|
| Budget Unit                                      |              |         |              |         |              |          |              |         |
| Decision Item                                    | FY 2020      | FY 2020 | FY 2021      | FY 2021 | FY 2022      | FY 2022  | FY 2022      | FY 2022 |
| Budget Object Summary                            | ACTUAL       | ACTUAL  | BUDGET       | BUDGET  | DEPT REQ     | DEPT REQ | GOV REC      | GOV REC |
| Fund   | DOLLAR       | FTE     | DOLLAR       | FTE     | DOLLAR       | FTE      | DOLLAR       | FTE     |
| PUBLIC SERVICE COMMISSION                        |              |         |              |         |              |          |              |         |
| CORE   |              |         |              |         |              |          |              |         |
| PERSONAL SERVICES                                |              |         |              |         |              |          |              |         |
| PUBLIC SERVICE COMMISSION                        | 10,427,562   | 176.98  | 11,566,798   | 191.00  | 11,566,798   | 191.00   | 11,566,798   | 191.00  |
| TOTAL - PS                                       | 10,427,562   | 176.98  | 11,566,798   | 191.00  | 11,566,798   | 191.00   | 11,566,798   | 191.00  |
| EXPENSE & EQUIPMENT<br>PUBLIC SERVICE COMMISSION | 1,345,525    | 0.00    | 2,285,028    | 0.00    | 2,285,028    | 0.00     | 2,285,028    | 0.00    |
| TOTAL - EE                                       | 1,345,525    | 0.00    | 2,285,028    | 0.00    | 2,285,028    | 0.00     | 2,285,028    | 0.00    |
| PROGRAM-SPECIFIC<br>PUBLIC SERVICE COMMISSION    | 0            | 0.00    | 10,000       | 0.00    | 10,000       | 0.00     | 10,000       | 0.00    |
| TOTAL - PD                                       | 0            | 0.00    | 10,000       | 0.00    | 10,000       | 0.00     | 10,000       | 0.00    |
| TOTAL  | 11,773,087   | 176.98  | 13,861,826   | 191.00  | 13,861,826   | 191.00   | 13,861,826   | 191.00  |
| Pay Plan - 0000012                               |              |         |              |         |              |          |              |         |
| PERSONAL SERVICES                                |              |         |              |         |              |          |              |         |
| PUBLIC SERVICE COMMISSION                        | 0            | 0.00    | 0            | 0.00    | 0            | 0.00     | 115,670      | 0.00    |
| TOTAL - PS                                       | 0            | 0.00    | 0            | 0.00    | 0            | 0.00     | 115,670      | 0.00    |
| TOTAL  | 0            | 0.00    | 0            | 0.00    | 0            | 0.00     | 115,670      | 0.00    |
| GRAND TOTAL                                      | \$11,773,087 | 176.98  | \$13,861,826 | 191.00  | \$13,861,826 | 191.00   | \$13,977,496 | 191.00  |

# FLEXIBILITY REQUEST FORM

| BUDGET UNIT NUMBER:  | 42940C                     |  | DEPARTMENT:          | Commerce and Insurance  |  |  |  |
|--|----------------------------|--|----------------------|---|--|--|--|
| BUDGET UNIT NAME:  | Public Service             | Commission   |                      |   |  |  |  |
| HOUSE BILL SECTION:  | 7.555                      |  | DIVISION:            | Public Service Commission   |  |  |  |
| 1. Provide the amount by fun   | d of personal              | service flexibility and the a  | mount by fund of e   | expense and equipment flexibility you are requesting in   |  |  |  |
|  |                            |  |                      | ng requested among divisions, provide the amount by fund  |  |  |  |
| of flexibility you are requestir   | ng in dollar and           | d percentage terms and exp   | blain why the flexit | bility is needed.   |  |  |  |
|  |                            | DEPART   | MENT REQUEST         |   |  |  |  |
|  | . This flexibility e       |  |                      | and Equipment appropriations in fund 0607<br>nmediately address any identified operational  |  |  |  |
| Total PS - \$11,566,798 x 10% = \$1<br>Total EE - \$2,285,028 x 10% = \$22 |                            |  |                      |   |  |  |  |
| 2. Estimate how much flexibi<br>Budget? Please specify the a               | •                          | d for the budget year. How   | / much flexibility w | vas used in the Prior Year Budget and the Current Year  |  |  |  |
|  |                            | CURRENT Y  |                      | BUDGET REQUEST  |  |  |  |
| PRIOR YEAR<br>ACTUAL AMOUNT OF FLEXI                                       |                            | ESTIMATED AMO<br>FLEXIBILITY THAT W  |                      | ESTIMATED AMOUNT OF<br>FLEXIBILITY THAT WILL BE USED  |  |  |  |
| ACTUAL AMOUNT OF FLEXI   | BILITY USED                |  |                      |   |  |  |  |
| \$0  |                            | Expenditures in PS and E&E w<br>based on needs to cover opera<br>address emergency and chang | ational expenses,    | Expenditures in PS and E&E will differ annually based on needs to cover operational expenses, address emergency and changing situations, etc. |  |  |  |
| 3. Please explain how flexibility  | was used in the            | prior and/or current years.  |                      |   |  |  |  |
|  |                            |  | 1                    |   |  |  |  |
|  | PRIOR YEAR<br>AIN ACTUAL U | SE   |                      | CURRENT YEAR<br>EXPLAIN PLANNED USE   |  |  |  |
| In FY2020, the Public Service Com<br>PS and E&E appropriations.            | nmission did not u         |  |                      |   |  |  |  |

| DCI                            |         |         |         |         |          |          | ECISION IT |         |
|--------------------------------|---------|---------|---------|---------|----------|----------|------------|---------|
| Budget Unit                    | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | FY 2022    | FY 2022 |
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC    | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR     | FTE     |
| PUBLIC SERVICE COMMISSION      |         |         |         |         |          |          |            |         |
| CORE                           |         |         |         |         |          |          |            |         |
| ADMIN OFFICE SUPPORT ASSISTANT | 229,504 | 6.41    | 253,904 | 7.00    | 0        | 0.00     | 0          | 0.00    |
| SR OFFICE SUPPORT ASSISTANT    | 42,958  | 1.36    | 95,952  | 3.00    | 0        | 0.00     | 0          | 0.00    |
| SUPPORT SERVICES TECHNICIAN    | 31,742  | 0.84    | 38,086  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| INFORMATION TECHNOLOGIST III   | 77,190  | 1.72    | 95,947  | 2.00    | 0        | 0.00     | 0          | 0.00    |
| INFORMATION TECHNOLOGIST IV    | 122,478 | 2.28    | 111,635 | 2.00    | 0        | 0.00     | 0          | 0.00    |
| INFORMATION TECHNOLOGY SPEC I  | 231,245 | 4.00    | 235,087 | 4.00    | 0        | 0.00     | 0          | 0.00    |
| INFORMATION TECHNOLOGY SPEC II | 66,887  | 1.00    | 67,943  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| INFO TECHNOLOGY MANAGER        | 77,603  | 1.00    | 78,837  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| PROCUREMENT OFCR I             | 40,964  | 1.00    | 41,926  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| ACCOUNTANT II                  | 39,540  | 1.00    | 40,761  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| ACCOUNTANT III                 | 44,726  | 0.91    | 100,038 | 2.00    | 0        | 0.00     | 0          | 0.00    |
| ACCOUNTING SPECIALIST III      | 28,340  | 0.45    | 0       | 0.00    | 0        | 0.00     | 0          | 0.00    |
| ACCOUNTING TECHNICIAN          | 38,180  | 1.00    | 38,745  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| ACCOUNTING GENERALIST II       | 39,540  | 1.00    | 40,174  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| PERSONNEL ANAL II              | 51,522  | 1.00    | 48,228  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| PUBLIC INFORMATION COOR        | 48,348  | 1.00    | 49,130  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| PUBLIC INFORMATION ADMSTR      | 60,416  | 1.00    | 61,372  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| TRAINING TECH II               | 46,523  | 1.00    | 47,276  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| PERSONNEL CLERK                | 36,729  | 1.01    | 36,164  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| ADMINISTRATIVE ANAL I          | 12,278  | 0.29    | 0       | 0.00    | 0        | 0.00     | 0          | 0.00    |
| ADMINISTRATIVE ANAL II         | 44,078  | 1.00    | 44,806  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| ADMINISTRATIVE ANAL III        | 18,051  | 0.30    | 64,714  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| CH REGULATORY ECONOMIST        | 138,108 | 2.00    | 142,793 | 2.00    | 0        | 0.00     | 0          | 0.00    |
| CONSUMER SERVICES SPEC I       | 87,286  | 2.32    | 38,765  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| CONSUMER SERVICES SPEC II      | 144,066 | 3.57    | 204,330 | 5.00    | 0        | 0.00     | 0          | 0.00    |
| CONSUMER SERVICES COORDINATOR  | 46,680  | 1.00    | 48,066  | 1.00    | 0        | 0.00     | 0          | 0.00    |
| UTILITY REGULATORY AUDITOR I   | 184,683 | 4.53    | 0       | 0.00    | 0        | 0.00     | 0          | 0.00    |
| UTILITY REGULATORY AUDITOR II  | 288,468 | 6.46    | 263,332 | 6.00    | 0        | 0.00     | 0          | 0.00    |
| UTILITY REGULATORY AUDITOR III | 190,323 | 3.71    | 457,558 | 9.00    | 0        | 0.00     | 0          | 0.00    |
| UTILITY REGULATORY AUDITOR IV  | 512,658 | 8.78    | 593,581 | 10.00   | 0        | 0.00     | 0          | 0.00    |
| UTILITY REGULATORY AUDITOR V   | 453,913 | 6.68    | 482,968 | 7.00    | 0        | 0.00     | 0          | 0.00    |
| REGULATORY ECONOMIST II        | 110,851 | 2.33    | 154,295 | 3.00    | 0        | 0.00     | 0          | 0.00    |

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| DCI<br>Budget Unit             | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | ECISION ITE | FY 2022 |
|--------------------------------|---------|---------|---------|---------|----------|----------|-------------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC     | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR      | FTE     |
|                                | DULLAR  | FIE     | DULLAR  | FIE     | DOLLAR   | FIE      | DULLAR      | FIE     |
| PUBLIC SERVICE COMMISSION      |         |         |         |         |          |          |             |         |
| CORE                           |         |         |         |         |          |          |             |         |
| REGULATORY ECONOMIST III       | 241,589 | 4.13    | 315,894 | 5.00    | 0        | 0.00     | 0           | 0.00    |
| UTILITY MANAGEMENT ANALYST II  | 43,433  | 1.00    | 44,261  | 1.00    | 0        | 0.00     | 0           | 0.00    |
| UTILITY MANAGEMENT ANALYST III | 225,182 | 4.00    | 230,754 | 4.00    | 0        | 0.00     | 0           | 0.00    |
| UTILITY POLICY ANALYST I       | 162,782 | 3.42    | 242,753 | 5.00    | 0        | 0.00     | 0           | 0.00    |
| UTILITY POLICY ANALYST II      | 232,008 | 3.88    | 177,935 | 3.00    | 0        | 0.00     | 0           | 0.00    |
| UTILITY ENGINEERING SPEC I     | 25,406  | 0.50    | 0       | 0.00    | 0        | 0.00     | 0           | 0.00    |
| UTILITY ENGINEERING SPEC II    | 191,387 | 3.50    | 293,624 | 5.00    | 0        | 0.00     | 0           | 0.00    |
| UTILITY ENGINEERING SPEC III   | 628,765 | 10.71   | 644,903 | 10.00   | 0        | 0.00     | 0           | 0.00    |
| UTILITY REGULATORY ENGINEER I  | 177,735 | 3.07    | 181,817 | 3.00    | 0        | 0.00     | 0           | 0.00    |
| UTILITY REGULATORY ENGINEER II | 244,415 | 3.79    | 258,772 | 4.00    | 0        | 0.00     | 0           | 0.00    |
| UTILITY REGULATORY ENG SPV     | 139,561 | 1.96    | 221,872 | 3.00    | 0        | 0.00     | 0           | 0.00    |
| UTILITY OPERS TECH SPEC II     | 133,827 | 3.00    | 137,325 | 3.00    | 0        | 0.00     | 0           | 0.00    |
| RATE & TARIFF EXAMINER II      | 87,698  | 2.00    | 89,312  | 2.00    | 0        | 0.00     | 0           | 0.00    |
| RATE & TARIFF EXAMINER III     | 47,399  | 1.00    | 48,172  | 1.00    | 0        | 0.00     | 0           | 0.00    |
| RATE & TARIFF EXAMINATION SPV  | 69,697  | 1.00    | 70,814  | 1.00    | 0        | 0.00     | 0           | 0.00    |
| FISCAL & ADMINISTRATIVE MGR B1 | 65,860  | 0.96    | 70,383  | 1.00    | 0        | 0.00     | 0           | 0.00    |
| HUMAN RESOURCES MGR B1         | 2,636   | 0.04    | 65,147  | 1.00    | 0        | 0.00     | 0           | 0.00    |
| UTILITY REGULATORY MNGR, BAND1 | 638,337 | 8.67    | 669,393 | 9.00    | 0        | 0.00     | 0           | 0.00    |
| DIVISION DIRECTOR              | 281,510 | 2.99    | 288,622 | 3.00    | 286,500  | 3.00     | 286,500     | 3.00    |
| DESIGNATED PRINCIPAL ASST DIV  | 455,655 | 7.11    | 474,459 | 8.00    | 328,308  | 5.00     | 328,308     | 5.00    |
| ADMINISTRATIVE ASSISTANT       | 176,183 | 3.92    | 140,685 | 3.00    | 182,546  | 4.00     | 182,546     | 4.00    |
| ASSOCIATE COUNSEL              | 91,203  | 1.61    | 58,957  | 1.00    | 178,968  | 3.00     | 178,968     | 3.00    |
| PROGRAM CONSULTANT             | 365,321 | 4.50    | 653,588 | 8.00    | 479,481  | 6.00     | 479,481     | 6.00    |
| PARALEGAL                      | 110,318 | 2.52    | 91,203  | 2.00    | 0        | 0.00     | 0           | 0.00    |
| LEGAL COUNSEL                  | 92,620  | 1.77    | 113,966 | 2.00    | 110,961  | 2.00     | 110,961     | 2.00    |
| CHIEF COUNSEL                  | 81,964  | 1.00    | 83,285  | 1.00    | 83,285   | 1.00     | 83,285      | 1.00    |
| REGULATORY LAW JUDGE           | 402,302 | 5.56    | 486,716 | 7.00    | 527,659  | 7.00     | 527,659     | 7.00    |
| COMMISSION MEMBER              | 423,489 | 3.80    | 452,563 | 4.00    | 452,563  | 4.00     | 452,563     | 4.00    |
| COMMISSION CHAIRMAN            | 111,357 | 1.00    | 113,142 | 1.00    | 113,142  | 1.00     | 113,142     | 1.00    |
| SENIOR COUNSEL                 | 157,924 | 2.62    | 182,373 | 3.00    | 122,768  | 2.00     | 122,768     | 2.00    |
| DEPUTY COUNSEL                 | 558,154 | 7.70    | 592,195 | 8.00    | 588,956  | 8.00     | 588,956     | 8.00    |
| MANAGING COUNSEL               | 93,993  | 1.00    | 95,500  | 1.00    | 95,500   | 1.00     | 95,500      | 1.00    |

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| DCI<br>Budget Unit             | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022  | DECISION ITI | FY 2022 |
|--------------------------------|---------|---------|---------|---------|----------|----------|--------------|---------|
| Decision Item                  | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ | GOV REC      | GOV REC |
| Budget Object Class            | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   | FTE      | DOLLAR       | FTE     |
| PUBLIC SERVICE COMMISSION      |         |         |         |         |          |          |              |         |
| CORE                           |         |         |         |         |          |          |              |         |
| MISCELLANEOUS TECHNICAL        | 3,107   | 0.06    | 0       | 0.00    | 0        | 0.00     | 0            | 0.00    |
| MISCELLANEOUS PROFESSIONAL     | 78,867  | 1.24    | 0       | 0.00    | 0        | 0.00     | 0            | 0.00    |
| ADMIN SUPPORT ASSISTANT        | 0       | 0.00    | 0       | 0.00    | 64,280   | 2.00     | 64,280       | 2.00    |
| LEAD ADMIN SUPPORT ASSISTANT   | 0       | 0.00    | 0       | 0.00    | 292,534  | 8.00     | 292,534      | 8.00    |
| ADMINISTRATIVE MANAGER         | 0       | 0.00    | 0       | 0.00    | 69,784   | 1.00     | 69,784       | 1.00    |
| ASSOCIATE CUSTOMER SERVICE REP | 0       | 0.00    | 0       | 0.00    | 38,765   | 1.00     | 38,765       | 1.00    |
| CUSTOMER SERVICE REP           | 0       | 0.00    | 0       | 0.00    | 163,564  | 4.00     | 163,564      | 4.00    |
| CUSTOMER SERVICE SUPERVISOR    | 0       | 0.00    | 0       | 0.00    | 47,429   | 1.00     | 47,429       | 1.00    |
| RESEARCH/DATA ASSISTANT        | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 0            | 0.00    |
| ASSOC RESEARCH/DATA ANALYST    | 0       | 0.00    | 0       | 0.00    | 0        | 0.00     | 0            | 0.00    |
| RESEARCH/DATA ANALYST          | 0       | 0.00    | 0       | 0.00    | 535,666  | 11.00    | 535,666      | 11.00   |
| SENIOR RESEARCH/DATA ANALYST   | 0       | 0.00    | 0       | 0.00    | 522,191  | 9.00     | 522,191      | 9.00    |
| PUBLIC RELATIONS COORDINATOR   | 0       | 0.00    | 0       | 0.00    | 49,130   | 1.00     | 49,130       | 1.00    |
| PUBLIC RELATIONS DIRECTOR      | 0       | 0.00    | 0       | 0.00    | 61,372   | 1.00     | 61,372       | 1.00    |
| STAFF DEV TRAINING SPECIALIST  | 0       | 0.00    | 0       | 0.00    | 47,276   | 1.00     | 47,276       | 1.00    |
| ASSOCIATE ENGINEER             | 0       | 0.00    | 0       | 0.00    | 991,922  | 17.00    | 991,922      | 17.00   |
| PROFESSIONAL ENGINEER          | 0       | 0.00    | 0       | 0.00    | 117,874  | 2.00     | 117,874      | 2.00    |
| SENIOR PROFESSIONAL ENGINEER   | 0       | 0.00    | 0       | 0.00    | 265,926  | 4.00     | 265,926      | 4.00    |
| ENGINEER MANAGER               | 0       | 0.00    | 0       | 0.00    | 144,670  | 2.00     | 144,670      | 2.00    |
| SENIOR ACCOUNTS ASSISTANT      | 0       | 0.00    | 0       | 0.00    | 119,141  | 3.00     | 119,141      | 3.00    |
| SENIOR ACCOUNTANT              | 0       | 0.00    | 0       | 0.00    | 115,166  | 2.00     | 115,166      | 2.00    |
| ECONOMICS ASSOCIATE            | 0       | 0.00    | 0       | 0.00    | 48,159   | 1.00     | 48,159       | 1.00    |
| ECONOMICS ANALYST              | 0       | 0.00    | 0       | 0.00    | 144,477  | 3.00     | 144,477      | 3.00    |
| ECONOMIST                      | 0       | 0.00    | 0       | 0.00    | 238,194  | 4.00     | 238,194      | 4.00    |
| CHIEF ECONOMIST                | 0       | 0.00    | 0       | 0.00    | 142,793  | 2.00     | 142,793      | 2.00    |
| PROCUREMENT ANALYST            | 0       | 0.00    | 0       | 0.00    | 41,926   | 1.00     | 41,926       | 1.00    |
| HUMAN RESOURCES ASSISTANT      | 0       | 0.00    | 0       | 0.00    | 37,430   | 1.00     | 37,430       | 1.00    |
| HUMAN RESOURCES GENERALIST     | 0       | 0.00    | 0       | 0.00    | 48,228   | 1.00     | 48,228       | 1.00    |
| HUMAN RESOURCES SPECIALIST     | 0       | 0.00    | 0       | 0.00    | 60,000   | 1.00     | 60,000       | 1.00    |
| HUMAN RESOURCES MANAGER        | 0       | 0.00    | 0       | 0.00    | 65,147   | 1.00     | 65,147       | 1.00    |
| APPLICATIONS DEVELOPER         | 0       | 0.00    | 0       | 0.00    | 180,620  | 3.00     | 180,620      | 3.00    |
| DIR STRATEGY & PLANNING LVL 1  | 0       | 0.00    | 0       | 0.00    | 78,837   | 1.00     | 78,837       | 1.00    |

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| DCI                            |            |         |            |         |            | C        | ECISION IT | EM DETAII |
|--------------------------------|------------|---------|------------|---------|------------|----------|------------|-----------|
| Budget Unit                    | FY 2020    | FY 2020 | FY 2021    | FY 2021 | FY 2022    | FY 2022  | FY 2022    | FY 2022   |
| Decision Item                  | ACTUAL     | ACTUAL  | BUDGET     | BUDGET  | DEPT REQ   | DEPT REQ | GOV REC    | GOV REC   |
| Budget Object Class            | DOLLAR     | FTE     | DOLLAR     | FTE     | DOLLAR     | FTE      | DOLLAR     | FTE       |
| PUBLIC SERVICE COMMISSION      |            |         |            |         |            |          |            |           |
| CORE                           |            |         |            |         |            |          |            |           |
| SYSTEMS ADMINISTRATION TECH    | 0          | 0.00    | 0          | 0.00    | 50,025     | 1.00     | 50,025     | 1.00      |
| SYSTEMS ADMINISTRATION SPEC    | 0          | 0.00    | 0          | 0.00    | 55,405     | 1.00     | 55,405     | 1.00      |
| SR SYSTEMS ADMINISTRATION SPEC | 0          | 0.00    | 0          | 0.00    | 67,943     | 1.00     | 67,943     | 1.00      |
| SENIOR CLIENT SUPPORT TECH     | 0          | 0.00    | 0          | 0.00    | 158,185    | 3.00     | 158,185    | 3.00      |
| PARALEGAL                      | 0          | 0.00    | 0          | 0.00    | 131,423    | 3.00     | 131,423    | 3.00      |
| COMPLIANCE INSPECTOR           | 0          | 0.00    | 0          | 0.00    | 135,973    | 3.00     | 135,973    | 3.00      |
| REGULATORY COMPLIANCE MANAGER  | 0          | 0.00    | 0          | 0.00    | 753,765    | 10.00    | 753,765    | 10.00     |
| UTILITY REGULATORY AUDITOR     | 0          | 0.00    | 0          | 0.00    | 530,232    | 10.00    | 530,232    | 10.00     |
| SR UTILITY REGULATORY AUDITOR  | 0          | 0.00    | 0          | 0.00    | 842,290    | 15.00    | 842,290    | 15.00     |
| UTILITY REGULATORY SUPERVISOR  | 0          | 0.00    | 0          | 0.00    | 482,968    | 7.00     | 482,968    | 7.00      |
| UTILITY REGULATORY MANAGER     | 0          | 0.00    | 0          | 0.00    | 75,451     | 1.00     | 75,451     | 1.00      |
| TOTAL - PS                     | 10,427,562 | 176.98  | 11,566,798 | 191.00  | 11,566,798 | 191.00   | 11,566,798 | 191.00    |
| TRAVEL, IN-STATE               | 69,152     | 0.00    | 142,005    | 0.00    | 142,005    | 0.00     | 142,005    | 0.00      |
| TRAVEL, OUT-OF-STATE           | 97,878     | 0.00    | 95,207     | 0.00    | 95,207     | 0.00     | 95,207     | 0.00      |
| SUPPLIES                       | 241,017    | 0.00    | 300,000    | 0.00    | 300,000    | 0.00     | 300,000    | 0.00      |
| PROFESSIONAL DEVELOPMENT       | 94,339     | 0.00    | 145,000    | 0.00    | 145,000    | 0.00     | 145,000    | 0.00      |
| COMMUNICATION SERV & SUPP      | 99,870     | 0.00    | 210,000    | 0.00    | 210,000    | 0.00     | 210,000    | 0.00      |
| PROFESSIONAL SERVICES          | 244,478    | 0.00    | 779,354    | 0.00    | 779,354    | 0.00     | 779,354    | 0.00      |
| HOUSEKEEPING & JANITORIAL SERV | 125        | 0.00    | 1,000      | 0.00    | 1,000      | 0.00     | 1,000      | 0.00      |
| M&R SERVICES                   | 115,433    | 0.00    | 252,500    | 0.00    | 252,500    | 0.00     | 252,500    | 0.00      |
| COMPUTER EQUIPMENT             | 208,709    | 0.00    | 225,000    | 0.00    | 225,000    | 0.00     | 225,000    | 0.00      |
| MOTORIZED EQUIPMENT            | 70,476     | 0.00    | 42,000     | 0.00    | 42,000     | 0.00     | 42,000     | 0.00      |
| OFFICE EQUIPMENT               | 23,690     | 0.00    | 30,000     | 0.00    | 30,000     | 0.00     | 30,000     | 0.00      |
| OTHER EQUIPMENT                | 6,122      | 0.00    | 5,000      | 0.00    | 5,000      | 0.00     | 5,000      | 0.00      |
| PROPERTY & IMPROVEMENTS        | 0          | 0.00    | 200        | 0.00    | 200        | 0.00     | 200        | 0.00      |
| BUILDING LEASE PAYMENTS        | 36,538     | 0.00    | 25,000     | 0.00    | 25,000     | 0.00     | 25,000     | 0.00      |
| EQUIPMENT RENTALS & LEASES     | 23,121     | 0.00    | 20,000     | 0.00    | 20,000     | 0.00     | 20,000     | 0.00      |
| MISCELLANEOUS EXPENSES         | 14,577     | 0.00    | 12,762     | 0.00    | 12,762     | 0.00     | 12,762     | 0.00      |
| TOTAL - EE                     | 1,345,525  | 0.00    | 2,285,028  | 0.00    | 2,285,028  | 0.00     | 2,285,028  | 0.00      |

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| DCI                                      |                  |               |                  |               |                    | [               | DECISION IT       | EM DETAIL      |
|--|------------------|---------------|------------------|---------------|--------------------|-----------------|-------------------|----------------|
| Budget Unit                              | FY 2020          | FY 2020       | FY 2021          | FY 2021       | FY 2022            | FY 2022         | FY 2022           | FY 2022        |
| Decision Item <u>Budget Object Class</u> | ACTUAL<br>DOLLAR | ACTUAL<br>FTE | BUDGET<br>DOLLAR | BUDGET<br>FTE | DEPT REQ<br>DOLLAR | DEPT REQ<br>FTE | GOV REC<br>DOLLAR | GOV REC<br>FTE |
| PUBLIC SERVICE COMMISSION                |                  |               |                  |               |                    |                 |                   |                |
| CORE                                     |                  |               |                  |               |                    |                 |                   |                |
| REFUNDS                                  | 0                | 0.00          | 10,000           | 0.00          | 10,000             | 0.00            | 10,000            | 0.00           |
| TOTAL - PD                               | 0                | 0.00          | 10,000           | 0.00          | 10,000             | 0.00            | 10,000            | 0.00           |
| GRAND TOTAL                              | \$11,773,087     | 176.98        | \$13,861,826     | 191.00        | \$13,861,826       | 191.00          | \$13,861,826      | 191.00         |
| GENERAL REVENUE                          | \$0              | 0.00          | \$0              | 0.00          | \$0                | 0.00            | \$0               | 0.00           |
| FEDERAL FUNDS                            | \$0              | 0.00          | \$0              | 0.00          | \$0                | 0.00            | \$0               | 0.00           |
| OTHER FUNDS                              | \$11,773,087     | 176.98        | \$13,861,826     | 191.00        | \$13,861,826       | 191.00          | \$13,861,826      | 191.00         |

#### **PROGRAM DESCRIPTION**

Department of Commerce and Insurance

#### Public Service Commission Regulatory

#### Program is found in the following core budget(s): Public Service Commission Regulatory

#### 1a. What strategic priority does this program address?

· Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public

#### 1b. What does this program do?

- Regulates the rates and practices of investor-owned electric, natural gas, steam heat, water and sewer companies; provides limited jurisdiction over telecommunications providers in the state; issues authorizations to entities providing video programming; and registers Voice-over-Internet Protocol (IVoIP) communication providers
- · Ensures that consumers receive adequate amounts of safely delivered and reasonably priced utility services
- Ensures rates that will provide the utility companies' shareholders the opportunity to earn a reasonable return on their investment

### 2a. Provide an activity measure(s) for the program.

| -  | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Target | FY 2022<br>Target | FY 2023<br>Target |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Number of Utilities<br>Regulated   | 738               | 764               | 803               | 768               | 768               | 768               |
| Number of Final Agenda<br>Orders   | 109               | 155               | 132               | 132               | 132               | 132               |
| Number of Appeals of<br>Final Agenda Orders                                  | 18                | 15                | 15                | 16                | 16                | 16                |
| Final Agenda Orders Not<br>Remanded, Reversed or<br>Vacated in Whole or Part | 108               | 152               | 129               | 130               | 130               | 130               |

Note 1: Final Agenda Orders are orders from the commission that dispose of the substantive issues in a case.

Note 2: The number of Final Agenda Orders Not Remanded, Reversed or Vacated may not correspond to the same fiscal year for the number of Final Agenda Orders issued depending on when an appellate court decision is issued in an appeal.

Nete 2. Designations are based on three years of active

Note 3: Projections are based on three year average of actuals.

#### 2b. Provide a measure(s) of the program's quality.

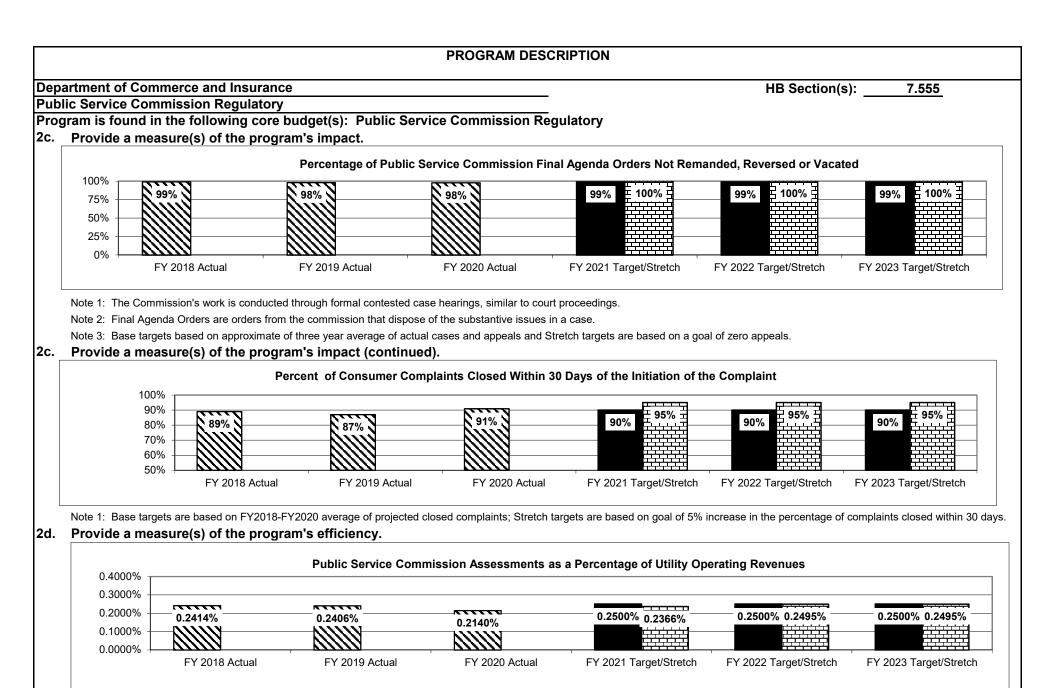
|                       | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|-----------------------|---------|---------|---------|---------|---------|---------|
|                       | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Customer Satisfaction | 84%     | 87%     | *       | 95%     | 95%     | 95%     |

\*Note 1: A PSC Customer Satisfaction Survey is normally conducted in the Spring each year; however, due to the COVID-19 Pandemic a survey was not conducted in FY2020.

PSC will conduct the next survey in Spring 2021. This measure is based on the customer's response to how they would rate the overall service they received.

Note 2: In FY2018, 23% of the 120 consumers that contacted the commission in regard to their utilities responded to the survey.

HB Section(s): 7.555



Note 1: Section 386.370 RSMo directs the commission to calculate an assessment annually which provides funding for the Public Service Commission from regulated public utilities as provided in Chapters 386, 392 and 393.

Note 2: Base and stretch targets are based on maximum assessment allowed in Section 386.370 RSMo.

Note 3. Actual percentage is calculated prior to each fiscal year.

#### **PROGRAM DESCRIPTION** Department of Commerce and Insurance HB Section(s): 7.555 Public Service Commission Regulatory Program is found in the following core budget(s): Public Service Commission Regulatory Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe 3. benefit costs.) **Program Expenditure History** 13,861,826 13,861,826 20,000,000 -11,713,081 11,456,964 11,351,406 11,456,964 11,713,087 11,351,406 15,000,000 10,000,000 5,000,000 FY 2018 Actual FY 2019 Actual FY 2020 Actual FY 2021 Planned

### 4. What are the sources of the "Other " funds?

PSC Fund (0607)

- 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.) Chapters 386, 392, 393 RSMo
- 6. Are there federal matching requirements? If yes, please explain.

No

7. Is this a federally mandated program? If yes, please explain.

No

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| Department of | Commerce | and Insurance |
|---------------|----------|---------------|
|---------------|----------|---------------|

#### Public Service Commission

Core - Relay Missouri Program and Equipment Distribution Program

#### **1. CORE FINANCIAL SUMMARY**

|                | FY 202                 | 22 Budget   | Request          |           |
|----------------|------------------------|-------------|------------------|-----------|
|                | GR F                   | ederal      | Other            | Total     |
| PS             | 0                      | 0           | 0                | 0         |
| EE             | 0                      | 0           | 2,495,834        | 2,495,834 |
| PSD            | 0                      | 0           | 0                | 0         |
| TRF            | 0                      | 0           | 0                | 0         |
| Total          | 0                      | 0           | 2,495,834        | 2,495,834 |
| FTE            | 0.00                   | 0.00        | 0.00             | 0.00      |
| Est. Fringe    | 0                      | 0           | 0                | 0         |
| Note: Fringes  | budgeted in House Bill | 5 except    | for certain frii | nges      |
| budgeted dired | tly to MoDOT, Highwa   | y Patrol, a | nd Conserva      | tion.     |
| Other Funds:   |                        |             |                  |           |

|               | FY 2022 0         | Governor's    | Recommend      | lation     |
|---------------|-------------------|---------------|----------------|------------|
|               | GR                | Fed           | Other          | Total      |
| PS            | 0                 | 0             | 0              | 0          |
| EE            | 0                 | 0             | 2,495,834      | 2,495,834  |
| PSD           | 0                 | 0             | 0              | 0          |
| TRF           | 0                 | 0             | 0              | 0          |
| Total         | 0                 | 0             | 2,495,834      | 2,495,834  |
| FTE           | 0.00              | 0.00          | 0.00           | 0.00       |
| Est. Fringe   | 0                 | 0             | 0              | 0          |
| Note: Fringes | s budgeted in Hou | ise Bill 5 ex | cept for certa | in fringes |
| budgeted dire | ctly to MoDOT, H  | lighway Pat   | rol, and Cons  | servation. |

42950C

7.555

Other Funds: Deaf Relay Srv & Equip Dist Fund (0559)

Budget Unit

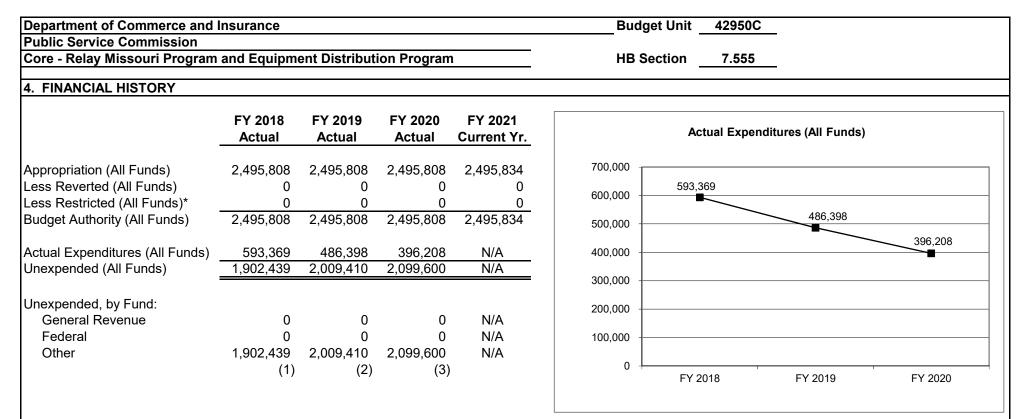
**HB** Section

# 2. CORE DESCRIPTION

The Missouri Public Service Commission has the statutory (Chapter 209 RSMo, Sections 251-260) responsibility of providing a statewide dual-party relay system to enable deaf, hearing-impaired and speech-impaired persons use of the telephone network. The Commission administers the Deaf Relay Service and Equipment Distribution Fund and oversees the Relay Missouri Program (aka the Deaf Relay Service Program) which provides relay service and "Captioned Telephone" service. The Department of Elementary and Secondary Education's Missouri Assistive Technology Program administers the Equipment Distribution Program which provides specialized equipment to consumers. Funding is provided by a surcharge applied to landline local exchange telephone lines and interconnected Voice over Internet Protocol (VoIP) lines. Companies are allowed to retain a portion of the surcharge revenue for their billing and collection service. All remaining surcharge money collected by companies is remitted to the PSC, who shall use such money exclusively to fund the programs. All remaining surcharge money collected shall be retained in the Deaf Relay Service and Equipment Distribution Fund. The Commission is statutorily required to review the surcharge at least once every two years, but no more frequently than once per year. The current surcharge rate shall not increase for a period of two years, subject to change in federal requirements for deaf relay services.

#### 3. PROGRAM LISTING (list programs included in this core funding)

Deaf Relay Service Program



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

## NOTES:

Any unexpended balance in the fund at the end of the fiscal year shall be exempt from the provisions of Section 33.080 relating to the transfer of unexpended balances to the general revenue fund, but shall be applicable by appropriation to the payment of expenditures for the dual-party relay service and equipment distribution program in the succeeding fiscal year

(1) Actual Expenditures provided by the Department of Economic Development.

(2) Actual Expenditures provided by the Department of Economic Development.

(3) Unexpended amount is due to staff vacancies and less than anticipated expense and equipment spending.

#### CORE RECONCILIATION DETAIL

#### DEPT OF COMMERCE AND INSURANCE

DEAF RELAY PROGRAM

### 5. CORE RECONCILIATION DETAIL

|                          | Budget |      |    |         |   |           |           |   |
|--------------------------|--------|------|----|---------|---|-----------|-----------|---|
|                          | Class  | FTE  | GR | Federal |   | Other     | Total     | Ε |
| TAFP AFTER VETOES        |        |      |    |         |   |           |           |   |
|                          | EE     | 0.00 | 0  |         | 0 | 2,495,834 | 2,495,834 |   |
|                          | Total  | 0.00 | 0  |         | 0 | 2,495,834 | 2,495,834 | - |
| DEPARTMENT CORE REQUEST  |        |      |    |         |   |           |           | - |
|                          | EE     | 0.00 | 0  |         | 0 | 2,495,834 | 2,495,834 |   |
|                          | Total  | 0.00 | 0  |         | 0 | 2,495,834 | 2,495,834 | - |
| GOVERNOR'S RECOMMENDED O | ORE    |      |    |         |   |           |           | - |
|                          | EE     | 0.00 | 0  |         | 0 | 2,495,834 | 2,495,834 |   |
|                          | Total  | 0.00 | 0  |         | 0 | 2,495,834 | 2,495,834 | - |

| DCI                           |           |         |             |         |             | DEC      | ISION ITEM  | SUMMARY |
|-------------------------------|-----------|---------|-------------|---------|-------------|----------|-------------|---------|
| Budget Unit                   |           |         |             |         |             |          |             |         |
| Decision Item                 | FY 2020   | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022     | FY 2022 |
| Budget Object Summary         | ACTUAL    | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC     | GOV REC |
| Fund                          | DOLLAR    | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR      | FTE     |
| DEAF RELAY PROGRAM            |           |         |             |         |             |          |             |         |
| CORE                          |           |         |             |         |             |          |             |         |
| EXPENSE & EQUIPMENT           |           |         |             |         |             |          |             |         |
| DEAF RELAY SER & EQ DIST PRGM | 396,208   | 0.00    | 2,495,834   | 0.00    | 2,495,834   | 0.00     | 2,495,834   | 0.00    |
| TOTAL - EE                    | 396,208   | 0.00    | 2,495,834   | 0.00    | 2,495,834   | 0.00     | 2,495,834   | 0.00    |
| TOTAL                         | 396,208   | 0.00    | 2,495,834   | 0.00    | 2,495,834   | 0.00     | 2,495,834   | 0.00    |
| GRAND TOTAL                   | \$396,208 | 0.00    | \$2,495,834 | 0.00    | \$2,495,834 | 0.00     | \$2,495,834 | 0.00    |

| DCI                      |           |         |             |         |             | [        | DECISION ITI | EM DETAIL |
|--------------------------|-----------|---------|-------------|---------|-------------|----------|--------------|-----------|
| Budget Unit              | FY 2020   | FY 2020 | FY 2021     | FY 2021 | FY 2022     | FY 2022  | FY 2022      | FY 2022   |
| Decision Item            | ACTUAL    | ACTUAL  | BUDGET      | BUDGET  | DEPT REQ    | DEPT REQ | GOV REC      | GOV REC   |
| Budget Object Class      | DOLLAR    | FTE     | DOLLAR      | FTE     | DOLLAR      | FTE      | DOLLAR       | FTE       |
| DEAF RELAY PROGRAM       |           |         |             |         |             |          |              |           |
| CORE                     |           |         |             |         |             |          |              |           |
| TRAVEL, IN-STATE         | 157       | 0.00    | 704         | 0.00    | 704         | 0.00     | 704          | 0.00      |
| SUPPLIES                 | 0         | 0.00    | 50          | 0.00    | 50          | 0.00     | 50           | 0.00      |
| PROFESSIONAL DEVELOPMENT | 0         | 0.00    | 380         | 0.00    | 380         | 0.00     | 380          | 0.00      |
| PROFESSIONAL SERVICES    | 395,809   | 0.00    | 2,494,000   | 0.00    | 2,494,000   | 0.00     | 2,494,000    | 0.00      |
| MISCELLANEOUS EXPENSES   | 242       | 0.00    | 700         | 0.00    | 700         | 0.00     | 700          | 0.00      |
| TOTAL - EE               | 396,208   | 0.00    | 2,495,834   | 0.00    | 2,495,834   | 0.00     | 2,495,834    | 0.00      |
| GRAND TOTAL              | \$396,208 | 0.00    | \$2,495,834 | 0.00    | \$2,495,834 | 0.00     | \$2,495,834  | 0.00      |
| GENERAL REVENUE          | \$0       | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0          | 0.00      |
| FEDERAL FUNDS            | \$0       | 0.00    | \$0         | 0.00    | \$0         | 0.00     | \$0          | 0.00      |
| OTHER FUNDS              | \$396,208 | 0.00    | \$2,495,834 | 0.00    | \$2,495,834 | 0.00     | \$2,495,834  | 0.00      |

### PROGRAM DESCRIPTION

Department of Commerce and Insurance

Public Service Commission Regulatory-Deaf Relay

Program is found in the following core budget(s): Deaf Relay Services and Equipment Distribution Program

### 1a. What strategic priority does this program address?

• Strengthen our regulatory relationships while ensuring a level playing field to protect and advocate for the general public

#### 1b. What does this program do?

- Enables hearing and/or speech impaired consumers to communicate over the telephone network through the provision of relay service and captioned telephone (CapTel) service.
- Provides specialized equipment to hearing and/or speech impaired consumers (administered through the Department of Elementary and Secondary Education's Mo. Assistive Technology Program).

### 2a. Provide an activity measure(s) for the program.

|  | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--|---------|---------|---------|---------|---------|---------|
|  | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Relay Missouri Annual<br>Usage (Minutes) | 122,981 | 60,573  | 57,459  | 50,000  | 45,000  | 40,000  |
| Cap Tel Annual Usage<br>(Minutes)        | 175,275 | 129,040 | 115,882 | 100,000 | 85,000  | 70,000  |

Note 1: Anticipate usage to decrease due to internet and cell phone accessibility.

Note 2: Billing method changed from using "session" minutes to "conversation" minutes September 25, 2017.

### 2b. Provide a measure(s) of the program's quality.

|                                      | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 |
|--------------------------------------|---------|---------|---------|---------|---------|---------|
|                                      | Actual  | Actual  | Actual  | Target  | Target  | Target  |
| Relay Missouri<br>Related Complaints | 4       | 1       | 0       | 0       | 0       | 0       |

Note 1: Customer satisfaction is based on the number of complaints related to the Relay Missouri Services and Cap Tel Services.

#### PROGRAM DESCRIPTION Department of Commerce and Insurance HB Section(s): 7.555 Public Service Commission Regulatory-Deaf Relay Program is found in the following core budget(s): Deaf Relay Services and Equipment Distribution Program Provide a measure(s) of the program's impact. 2c. Days Failing to Answer 85% of Relay and/or Cap Tel Calls Within 10 Seconds 125 100 109 75 50 25 3 3 0 3 0 3 0 Λ FY 2023 Target/Stretch FY 2018 Actual FY 2019 Actual FY 2020 Actual FY 2021 Target/Stretch FY 2022 Target/Stretch Note 1: The 85% level is a minimum technical requirement in FCC rules (47 CFR §64.604(b) and is also a PSC contractual requirement for providing Relay and Cap Tel services. Note 2: FY2020 numbers have significantly increased due to the COVID-19 Pandemic. The FCC issued Order DA-20-281 to waive, from March 1 - May 15, 2020, the requirement that TRS providers answer 85% of calls within 10 seconds, measured on a daily basis, conditional on the TRS provider ensuring that 85% of calls are answered within 120 seconds, measured on a monthly basis. A subsequent Order DA 20-517 was issued extending the waiver through June 30, 2020. 2d. Provide a measure(s) of the program's efficiency. **Cost Per minute - Relay Missouri Service** \$3.00 \$2.00 \$1.88 \$1.88 \$1.88 \$1.88 \$1.88 \$1.88 \$1.00 \$0.00 FY 2018 Actual FY 2019 Actual FY 2020 Actual FY 2021 Target FY 2022 Target FY 2023 Target Note 1: Base targets are set by contract, through a competitive bidding process (current contract period is Sept 2017 - Sept 2020). Note 2: Stretch targets are not applicable due to costs being set by the contract. Provide a measure(s) of the program's efficiency (continued). 2d. **COST PER MINUTE - CAP TEL SERVICE** \$3.00 \$2.00 \$2.01 \$2.01 \$2.01 \$2.01 \$2.01 \$2.01 \$1.00 \$0.00 FY 2018 Actual FY 2019 Actual FY 2020 Actual FY 2021 Target FY 2022 Target FY 2023 Target Note 1: Base targets are set by contract, through a competitive bidding process (current contract period is Sept 2017 - Sept 2020). Note 2: Stretch targets are not applicable due to costs being set by the contract.

#### PROGRAM DESCRIPTION

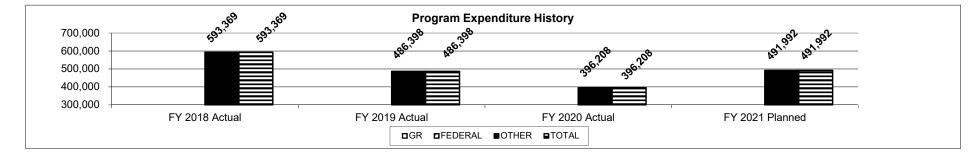
Department of Commerce and Insurance

HB Section(s): 7.555

Public Service Commission Regulatory-Deaf Relay

Program is found in the following core budget(s): Deaf Relay Services and Equipment Distribution Program

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



### 4. What are the sources of the "Other " funds?

Deaf Relay Services & Equipment Distribution Fund (0559)

- 5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.) Chapter 209 RSMo, Sections 251-260
- 6. Are there federal matching requirements? If yes, please explain.

N/A

7. Is this a federally mandated program? If yes, please explain.

No; however, federal requirements apply to a state relay service.

| Image: State in the system is a system of the system of                      | HB Section   |                       | mmerce and Insu     | rance          |              |                     | Budget Unit     | 42955C                  |                    |               |                |
|--|--|-----------------------|---------------------|----------------|--------------|---------------------|-----------------|-------------------------|--------------------|---------------|----------------|
| Image: Second s                                  | CORE FINANCIAL SUMMARY         GR       FY 2022 Budget Request         FY 2022 Governor's Recommendation         GR       Federal       Other       Total         9       0       0       0       0       0       0         SD       0       0       0       0       PS       GR       Federal       Other       Total         1       0       0       1       0       0       1       0   |                       |                     | r              |              |                     | HB Section      | 7 560                   |                    |               |                |
| FY 2022 Budget Request       FY 2022 Governor's Recommendation         S       GR       Federal       Other       Total       PS       O       O       O       O         SE       0  | FY 2022 Budget Request<br>Federal       FY 2022 Governor's Recommendation<br>GR         GR       Federal       Other       Total         0   |                       |                     |                |              |                     |                 | 7.500                   |                    |               |                |
| GR       Federal       Other       Total         PS       0       0       0       0       0       0       0         EE       0       0       0       0       0       0       0       0         SD       0       0       0       0       0       0       0       0         RF       1       0       0       1       TRF       1       0       0         St       7 total       1       0       0       1       0       0       0         GE       0       0       0       1       TRF       1       0       0       0         Ster. Fringe       0       0       0       0       0       0       0       0       0       0         Ster. Fringes budgeted in House Bill 5 except for certain fringes       0  | GR       Federal       Other       Total       PS       GR       Federal       Other       Total         0   | . CORE FINANCI        | AL SUMMARY          |                |              |                     |                 |                         |                    |               |                |
| PS       0   | 0        |                       | FY 2                | 022 Budget     | Request      |                     |                 | FY 2022 (               | Governor's R       | ecommendat    | tion           |
| EE       0   | BD       0   |                       | GR I                | Federal        | Other        | Total               |                 | GR                      | Federal            | Other         | Total          |
| PSD       0  | SD<br>NF       0       1       0       0       1       0       0       1       1       0       0       1       1       0       0       1       1       0       0       1       1       0       0       1       1       0       0       1       1       0       0       1       1       0       0       1       1       0       0       1       1       0       0       1       1       0       0       1       1       0 <td></td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td></td> <td>0</td> <td>0</td> <td>0</td> <td>0</td>   |                       | 0                   | 0              | 0            | 0                   |                 | 0                       | 0                  | 0             | 0              |
| TRF       1       0       0       1         TTE       0.00       0.00       0.00       0.00       FTE       0.00       0.00       0.00       0.00         St. Fringe       0   | RF       1       0       0       1       TRF       1       0       0       1         E       0.00       0.00       0.00       0.00       0.00       FTE       0.00       0.00       0.00       0.00         it. Fringe       0 <t< td=""><td></td><td>0</td><td>0</td><td>0</td><td>0</td><td></td><td>0</td><td>0</td><td>0</td><td>0</td></t<>   |                       | 0                   | 0              | 0            | 0                   |                 | 0                       | 0                  | 0             | 0              |
| Image: Total       Image: Total       Image: Total       Image: Total       Image: Total         Image: Triange       0       0.00   | Image: State in the state |                       | 0                   | 0              | 0            | 0                   | -               | 0                       | 0                  | 0             | 0              |
| FTE       0.00       0.00       0.00       0.00       FTE       0.00       0.00       0.00       0.00         Est. Fringe       0 </td <td>TE       0.00       0.00       0.00       FTE       0.00       0.00       0.00         it. Fringe       0</td> <td></td> <td>1</td> <td></td> <td>0</td> <td>1</td> <td></td> <td>1</td> <td>0</td> <td>0</td> <td>1</td> | TE       0.00       0.00       0.00       FTE       0.00       0.00       0.00         it. Fringe       0  |                       | 1                   |                | 0            | 1                   |                 | 1                       | 0                  | 0             | 1              |
| Est. Fringe       0 <td< td=""><td>it. Fringe       0</td><td>Fotal =</td><td>1</td><td>0</td><td>0</td><td>1</td><td>Total</td><td>1</td><td>0</td><td>0</td><td>1</td></td<>       | it. Fringe       0   | Fotal =               | 1                   | 0              | 0            | 1                   | Total           | 1                       | 0                  | 0             | 1              |
| Note:       Fringes budgeted in House Bill 5 except for certain fringes<br>budgeted directly to MoDOT, Highway Patrol, and Conservation.         Dther Funds:       Note:         Proceeding of the conservation of the conservation.       Note: Fringes budgeted in House Bill 5 except for certain fringes<br>budgeted directly to MoDOT, Highway Patrol, and Conservation.         Dther Funds:       Other Funds:         Proceeding of the conservation of the conserva  | Inte:       Fringes budgeted in House Bill 5 except for certain fringes         Inte:       Note:       Fringes budgeted in House Bill 5 except for certain fringes         Inte:       Dideted directly to MoDOT, Highway Patrol, and Conservation.         Inte:       Note:       Fringes budgeted in House Bill 5 except for certain fringes         budgeted directly to MoDOT, Highway Patrol, and Conservation.       Other Funds:         CORE DESCRIPTION       Other Funds:         In FY2021, the General Assembly appropriated \$1 for transfer from the department's core budget to the State Legal Expense Fund for the payment of claims, or premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also authorized to be cercent flexibility from the departments operating budget into the \$1 transfer appropriation.         PROGRAM LISTING (list programs included in this core funding)   | TE                    | 0.00                | 0.00           | 0.00         | 0.00                | FTE             | 0.00                    | 0.00               | 0.00          | 0.00           |
| Note:       Fringes budgeted in House Bill 5 except for certain fringes<br>budgeted directly to MoDOT, Highway Patrol, and Conservation.         Other Funds:       Other Funds:         2. CORE DESCRIPTION       Other for transfer from the department's core budget to the State Legal Expense Fund for the payment of c<br>premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also auth<br>percent flexibility from the departments operating budget into the \$1 transfer appropriation.         3. PROGRAM LISTING (list programs included in this core funding)   | Inte:       Fringes budgeted in House Bill 5 except for certain fringes         Inte:       Note:       Fringes budgeted in House Bill 5 except for certain fringes         Inte:       Dideted directly to MoDOT, Highway Patrol, and Conservation.         Inte:       Note:       Fringes budgeted in House Bill 5 except for certain fringes         budgeted directly to MoDOT, Highway Patrol, and Conservation.       Other Funds:         CORE DESCRIPTION       Other Funds:         In FY2021, the General Assembly appropriated \$1 for transfer from the department's core budget to the State Legal Expense Fund for the payment of claims, or premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also authorized to be cercent flexibility from the departments operating budget into the \$1 transfer appropriation.         PROGRAM LISTING (list programs included in this core funding)   | Est Eringo            | 0                   | 0              | 0            | 0                   | Est Eringo      | 0                       | 0                  | 0             | 0              |
| budgeted directly to MoDOT, Highway Patrol, and Conservation.       budgeted directly to MoDOT, Highway Patrol, and Conservation.         Other Funds:       Other Funds:         2. CORE DESCRIPTION       In FY2021, the General Assembly appropriated \$1 for transfer from the department's core budget to the State Legal Expense Fund for the payment of core premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also authors percent flexibility from the departments operating budget into the \$1 transfer appropriation.         3. PROGRAM LISTING (list programs included in this core funding)   | dgeted directly to MoDOT, Highway Patrol, and Conservation.       budgeted directly to MoDOT, Highway Patrol, and Conservation.         her Funds:       Other Funds:         CORE DESCRIPTION         n FY2021, the General Assembly appropriated \$1 for transfer from the department's core budget to the State Legal Expense Fund for the payment of claims, premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also authorized to be cert flexibility from the departments operating budget into the \$1 transfer appropriation.         PROGRAM LISTING (list programs included in this core funding)   |                       | •                   | -              | -            | -                   |                 | •                       | •                  | -             | -              |
| Other Funds:       Other Funds:         2. CORE DESCRIPTION       In FY2021, the General Assembly appropriated \$1 for transfer from the department's core budget to the State Legal Expense Fund for the payment of c premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also auth percent flexibility from the departments operating budget into the \$1 transfer appropriation.         3. PROGRAM LISTING (list programs included in this core funding)   | her Funds: Other Funds: CORE DESCRIPTION n FY2021, the General Assembly appropriated \$1 for transfer from the department's core budget to the State Legal Expense Fund for the payment of claims, oremiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also authorized to be cerent flexibility from the departments operating budget into the \$1 transfer appropriation. PROGRAM LISTING (list programs included in this core funding)   |                       |                     |                |              |                     |                 |                         |                    |               |                |
| 2. CORE DESCRIPTION In FY2021, the General Assembly appropriated \$1 for transfer from the department's core budget to the State Legal Expense Fund for the payment of c premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also auth percent flexibility from the departments operating budget into the \$1 transfer appropriation. 3. PROGRAM LISTING (list programs included in this core funding)   | CORE DESCRIPTION n FY2021, the General Assembly appropriated \$1 for transfer from the department's core budget to the State Legal Expense Fund for the payment of claims, oremiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also authorized t bercent flexibility from the departments operating budget into the \$1 transfer appropriation. PROGRAM LISTING (list programs included in this core funding)  |                       | ,                   | r all ol, alla | 001100110110 |                     | budgeteu un eet | <i>ij to mož o i, i</i> | iginiaj i ali ol   |               |                |
| premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also auth<br>percent flexibility from the departments operating budget into the \$1 transfer appropriation.<br>3. PROGRAM LISTING (list programs included in this core funding)  | n FY2021, the General Assembly appropriated \$1 for transfer from the department's core budget to the State Legal Expense Fund for the payment of claims,<br>oremiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also authorized t<br>bercent flexibility from the departments operating budget into the \$1 transfer appropriation.   | Other Funds:          |                     |                |              |                     | Other Funds:    |                         |                    |               |                |
| In FY2021, the General Assembly appropriated \$1 for transfer from the department's core budget to the State Legal Expense Fund for the payment of c<br>premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also auth<br>percent flexibility from the departments operating budget into the \$1 transfer appropriation.<br>3. PROGRAM LISTING (list programs included in this core funding)  | n FY2021, the General Assembly appropriated \$1 for transfer from the department's core budget to the State Legal Expense Fund for the payment of claims,<br>oremiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also authorized t<br>bercent flexibility from the departments operating budget into the \$1 transfer appropriation.   |                       |                     |                |              |                     |                 |                         |                    |               |                |
| premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also auth percent flexibility from the departments operating budget into the \$1 transfer appropriation.   | premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also authorized to bercent flexibility from the departments operating budget into the \$1 transfer appropriation.  | CORE DESCRIP          | TION                |                |              |                     |                 |                         |                    |               |                |
| premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also auth percent flexibility from the departments operating budget into the \$1 transfer appropriation.   | premiums, and expenses provided by section 105.711 through Section 105.726 RSMo. In order to fund such expenses, the General Assembly also authorized to bercent flexibility from the departments operating budget into the \$1 transfer appropriation.  |                       |                     |                |              |                     |                 |                         |                    |               |                |
| percent flexibility from the departments operating budget into the \$1 transfer appropriation.   | percent flexibility from the departments operating budget into the \$1 transfer appropriation.   |                       |                     |                |              |                     |                 |                         |                    |               |                |
| 3. PROGRAM LISTING (list programs included in this core funding)   | PROGRAM LISTING (list programs included in this core funding)  |                       |                     |                |              |                     |                 | id such expense         | es, the Generation | al Assembly a | iso authorized |
|  |  | percent flexibility i | from the department | nts operating  | pudget into  | the \$1 transfer ap | propriation.    |                         |                    |               |                |
|  |  |                       |                     |                |              |                     |                 |                         |                    |               |                |
|  |  |                       |                     |                |              |                     |                 |                         |                    |               |                |
|  |  |                       |                     |                |              |                     |                 |                         |                    |               |                |
| 3. PROGRAM LISTING (list programs included in this core funding)<br>N/A  |  |                       |                     |                |              |                     |                 |                         |                    |               |                |
|  |  |                       | TING (list program  | na inaludad    | in this core | funding)            |                 |                         |                    |               |                |
| N/A  | N/A  | D. PROGRAW LIS        | ring (list program  | ns included    | in this core | runung)             |                 |                         |                    |               |                |
|  |  | N/A                   |                     |                |              |                     |                 |                         |                    |               |                |
|  |  | 1                     |                     |                |              |                     |                 |                         |                    |               |                |
|  |  |                       |                     |                |              |                     |                 |                         |                    |               |                |

| Department of Commerce and Ir   | nsurance          |                   |                   |                        | Budget Unit 42 | 2955C       |                      |         |
|---------------------------------|-------------------|-------------------|-------------------|------------------------|----------------|-------------|----------------------|---------|
| Office of the Public Counsel    |                   |                   |                   |                        |                |             |                      |         |
| Core - Legal Expense Fund Tran  | nsfer             |                   |                   |                        | HB Section     | 7.560       |                      |         |
| 4. FINANCIAL HISTORY            |                   |                   |                   |                        |                |             |                      |         |
|                                 | FY 2018<br>Actual | FY 2019<br>Actual | FY 2020<br>Actual | FY 2021<br>Current Yr. |                | Actual Expe | nditures (All Funds) |         |
| Appropriation (All Funds)       | 0                 | 0                 | 0                 | 1                      |                |             |                      |         |
| Less Reverted (All Funds)       | 0                 | 0                 | 0                 | 0                      | 5              |             |                      |         |
| Less Restricted (All Funds)*    | 0                 | 0                 | 0                 | 0                      |                |             |                      |         |
| Budget Authority (All Funds)    | 0                 | 0                 | 0                 | 1                      | 4 —            |             |                      |         |
| Actual Expenditures (All Funds) | 0                 | 0                 | 0                 | N/A                    |                |             |                      |         |
| Jnexpended (All Funds)          | 0                 | 0                 | 0                 | N/A                    | 3 —            |             |                      |         |
| Jnexpended, by Fund:            |                   |                   |                   |                        | 2 —            |             |                      |         |
| General Revenue                 | 0                 | 0                 | 0                 | N/A                    |                |             |                      |         |
| Federal                         | 0                 | 0                 | 0                 | N/A                    | 1              |             |                      |         |
| Other                           | 0                 | 0                 | 0                 | N/A                    |                |             |                      |         |
|                                 |                   |                   |                   | (1)                    | 0              | 0           | 0                    | 0       |
|                                 |                   |                   |                   |                        | 0 +            | FY 2018     | FY 2019              | FY 2020 |

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

# NOTES:

(1) FY 2021 is the first year for this appropriation.

### DEPT OF COMMERCE AND INSURANCE

DCI LEGAL EXPENSE TRF

### 5. CORE RECONCILIATION DETAIL

|                             | Budget<br>Class | FTE  | GR | Federal | Other | Total | I |
|-----------------------------|-----------------|------|----|---------|-------|-------|---|
| TAFP AFTER VETOES           |                 |      |    |         |       |       |   |
|                             | TRF             | 0.00 | 1  | 0       | 0     |       | 1 |
|                             | Total           | 0.00 | 1  | 0       | 0     |       | 1 |
| DEPARTMENT CORE REQUEST     |                 |      |    |         |       |       |   |
|                             | TRF             | 0.00 | 1  | 0       | 0     |       | 1 |
|                             | Total           | 0.00 | 1  | 0       | 0     |       | 1 |
| GOVERNOR'S RECOMMENDED CORE |                 |      |    |         |       |       |   |
|                             | TRF             | 0.00 | 1  | 0       | 0     |       | 1 |
|                             | Total           | 0.00 | 1  | 0       | 0     |       | 1 |

| DCI                                    |                   |                   |         |     |                   |                     | DEC                 | DECISION ITEM SUMMARY |                    |  |
|--|-------------------|-------------------|---------|-----|-------------------|---------------------|---------------------|-----------------------|--------------------|--|
| Budget Unit                            |                   | FY 2020<br>ACTUAL |         |     | FY 2021<br>BUDGET | FY 2022<br>DEPT REQ |                     | FY 2022<br>GOV REC    | FY 2022<br>GOV REC |  |
| Decision Item<br>Budget Object Summary | FY 2020<br>ACTUAL |                   | FY 2021 |     |                   |                     | FY 2022<br>DEPT REQ |                       |                    |  |
|  |                   |                   | BUDGET  | I   |                   |                     |                     |                       |                    |  |
| Fund                                   | DOLLAR            | FTE               | DOLLAR  |     | FTE               | DOLLAR              | FTE                 | DOLLAR                | FTE                |  |
| DCI LEGAL EXPENSE TRF                  |                   |                   |         |     |                   |                     |                     |                       |                    |  |
| CORE                                   |                   |                   |         |     |                   |                     |                     |                       |                    |  |
| FUND TRANSFERS                         |                   |                   |         |     |                   |                     |                     |                       |                    |  |
| GENERAL REVENUE                        |                   | 0 0               | .00     | 1   | 0.00              |                     | 1 0.00              | 1                     | 0.00               |  |
| TOTAL - TRF                            |                   | 0 0               | .00     | 1   | 0.00              |                     | 1 0.00              | 1                     | 0.00               |  |
| TOTAL                                  |                   | 0 0               | .00     | 1   | 0.00              |                     | 1 0.00              | 1                     | 0.00               |  |
| GRAND TOTAL                            | \$                | io 0              | .00     | \$1 | 0.00              | \$                  | 1 0.00              | \$1                   | 0.00               |  |

| DCI DECISION ITEM     |         |         |         |         |          |                 |                   |                |  |  |  |
|-----------------------|---------|---------|---------|---------|----------|-----------------|-------------------|----------------|--|--|--|
| Budget Unit           | FY 2020 | FY 2020 | FY 2021 | FY 2021 | FY 2022  | FY 2022         | FY 2022           | FY 2022        |  |  |  |
| Decision Item         | ACTUAL  | ACTUAL  | BUDGET  | BUDGET  | DEPT REQ | DEPT REQ<br>FTE | GOV REC<br>DOLLAR | GOV REC<br>FTE |  |  |  |
| Budget Object Class   | DOLLAR  | FTE     | DOLLAR  | FTE     | DOLLAR   |                 |                   |                |  |  |  |
| DCI LEGAL EXPENSE TRF |         |         |         |         |          |                 |                   |                |  |  |  |
| CORE                  |         |         |         |         |          |                 |                   |                |  |  |  |
| TRANSFERS OUT         | C       | 0.00    | 1       | 0.00    | 1        | 0.00            | 1                 | 0.00           |  |  |  |
| TOTAL - TRF           | (       | 0.00    | 1       | 0.00    | 1        | 0.00            | 1                 | 0.00           |  |  |  |
| GRAND TOTAL           | \$0     | 0.00    | \$1     | 0.00    | \$1      | 0.00            | \$1               | 0.00           |  |  |  |
| GENERAL REVENUE       | \$0     | 0.00    | \$1     | 0.00    | \$1      | 0.00            | \$1               | 0.00           |  |  |  |
| FEDERAL FUNDS         | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00            | \$0               | 0.00           |  |  |  |
| OTHER FUNDS           | \$0     | 0.00    | \$0     | 0.00    | \$0      | 0.00            | \$0               | 0.00           |  |  |  |